# Reversible combinatory logic<sup>†</sup>

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The  $\lambda$ -calculus is destructive: its main computational mechanism, beta reduction, destroys the redex, which makes replaying the computational steps impossible. Combinatory logic is a variant of the  $\lambda$ -calculus that maintains irreversibility. Recently, reversible computational models have been studied mainly in the context of quantum computation, as (without measurements) quantum physics is inherently reversible. However, reversibility also fundamentally changes the semantical framework in which classical computation has to be investigated. We describe an implementation of classical combinatory logic in a reversible calculus for which we present an algebraic model based on a generalisation of the notion of a group.

### 1. Introduction

It has been suggested (see, for example, Mundici and Sieg (1995)) that the standard model for computation, as embodied in Turing machines, answers the problem of what constitutes a 'computational procedure' in Hilbert's 10th Problem by reference to mental arithmetic as practised in previous times by European school children, accountants and waiters. This 'waiter's arithmetic' is non-reversible and destructive. One may speculate whether a culture based on reversible computation like an abacus would have developed a different basic computational model. Quantum computation (Kitaev et al. 2000; Nielsen and Chuang 2000), various issues in systems biology (Danos and Krivine 2004; Phillips and Ulidowski 2005), and the need for minimal energy loss (Vitanyi 2005) have made reversible computation interesting once again. Quantum computation was the motivation for van Tonder (van Tonder 2004), who presents a reversible applied lambda calculus with quantum constants; his operational semantics provided the inspiration for the operational semantics of our reversible version of combinatory logic. On the other hand, the set of combinators that we consider here have also been studied by Abramsky (Abramsky 2001;

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Abramsky et al. 2002), although with a different motivation, namely, to study the links between reversible calculus and linear logic.

Our main motivation for investigating a reversible version of combinatory logic is, ultimately, the development of a denotational semantics of (probabilistic versions of) the  $\lambda$ -calculus reflecting the operational semantics we introduced in Di Pierro *et al.* (2005). This kind of semantics is based on linear operator algebras and aims to support a compositional approach to (probabilistic) program analysis. The close relationship between reversibility and certain important classes of linear operators – in particular, unitary and normal operators – was the starting point for a deeper investigation of the structure of reversible computation.

In this paper we introduce an extension of the classical combinatory logic **CL** and its associated notion of reduction, which incorporates information useful for reconstructing the redex from the contractum. Intuitively, we can reverse a computation if we keep information about its 'history', that is, information about the reduction steps that have been performed during the computation. Our extension is based on this intuition and, in particular, on the notion of a 'history term', which consists of a sequence of variables and appropriately annotated combinators. This notion and, in general, the information that has to be recorded as a history is strictly dependent on the nature and structure of the original calculus; for example, in Van Tonder's  $\lambda$ -calculus (van Tonder 2004) the history keeps track only of the substitutions that take place in each  $\beta$ -reduction step.

Reversibility naturally introduces a notion of symmetry into computation and is therefore strongly related to the theory of groups; these are considered by most mathematicians as being virtually synonymous with symmetry (Weinstein 1996). However, the notion of automorphism associated with groups is in some sense too 'trivial' for characterising the symmetry involved in a reversible computation. According to R. Brown (Brown 1987), this has motivated the extension of the theory of groups to the theory of groupoids. A groupoid can be described informally as a group with many objects, where objects can be thought of as the start and end points of computational processes. While group theory only allows us to characterise processes that start from one point and (possibly after a number of steps) come back to the same point, in groupoid theory processes can have different start and end points, which can be composed if and only if the starting point of one process is the end point of the previous one. Thus, the algebraic structure of groupoids naturally reflects the structure of reversible processes, which may traverse a number of states, and is, therefore, more suitable for our purposes.

Based on this idea, we show that computations in the reversible CL can be modelled as elements of the groupoid associated with the reduction relation. This corresponds to the action of a group on the set of reversible terms, the group being determined by the history terms.

This last characterisation allows us to show that the reversible CL is universal for classical reversible computation, in the sense that all reversible computations can be represented as a reversible CL reduction and *vice versa*. Moreover, as every reversible reduction corresponds to a permutation, that is a unitary operator, reversible CL represents a high-level, though extremely inefficient way, of embedding classical (irreversible) computation in quantum computation.

## 2. Combinatory logic

Combinatory logic (Curry and Feys 1958; Hindley *et al.* 1972; Hindley and Seldin 1986) (CL) is a formalism that, like the  $\lambda$ -calculus, was introduced to describe functions and certain primitive ways to combine them to form other functions. Compared with the  $\lambda$ -calculus, it has the advantage that it is variable free, which allows one to avoid all the technical complications concerned with substitutions and congruence. It has, on the other hand, the disadvantage of being less intuitive than the  $\lambda$ -notation. For the purposes of our work we have opted for this more involved formalism because it allows a more agile treatment and definition of our notion of reversible computation.

**Definition 1 (Combinatory logic terms).** The set of combinatory logic terms, **CL**-terms, over a finite or infinite set of constants containing K and S and an infinite set of variables is defined inductively as follows:

- 1 All variables and constants are CL-terms.
- 2 If X and Y are CL-terms, then (XY) is a CL term.

Following Barendregt (Barendregt 1984), we will use the symbol  $\equiv$  to denote syntactic equivalence. The two combinators S and K form a common basis for combinatory logic. However, other sets of basic combinators can be defined. We will use the base consisting of four basic operations encoded in the combinators B (implementing bracketing), C (elementary permutations), W (duplication) and K (for deletion), which could be  $\lambda$ -defined as follows (Curry and Feys 1958, page 379):

$$K \equiv \lambda xy.x$$

$$W \equiv \lambda xy.xyy$$

$$C \equiv \lambda xyz.xzy$$

$$B \equiv \lambda xyz.x(yz).$$

Importantly, we can use B, W and C to implement the common combinator S (Curry and Feys 1958, page 155):

$$S \equiv B(B(BW)C)(BB)$$
.

In order to generate equalities provable in this calculus, we use a notion of reduction similar to *weak reduction* for the SK-calculus (Barendregt 1984). This is defined as the smallest extension of the relation on **CL**-terms induced by the basic operators that is compatible with application.

**Definition 2 (Reduction in CL).** The reduction relation  $\longrightarrow$  on CL-terms is defined by the following rules:

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1 KXY \rightarrow X

2 WXY \rightarrow XYY

3 CXYZ \rightarrow XZY

4 BXYZ \rightarrow X(YZ)

5 X \rightarrow X' implies XY \rightarrow X'Y

6 X \rightarrow X' implies YX \rightarrow YX'.
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We will use  $\longrightarrow$  to denote the reflexive transitive closure of  $\longrightarrow$ . Following Barendregt (Barendregt 1984), we will use = to denote the least equivalence relation extending  $\longrightarrow$ . This relation coincides with the set of all equalities that are provable in **CL** (Barendregt 1984, Proposition 7.2.2).

The relation between the  $\lambda$ -calculus and **CL** is a standard result (Barendregt 1984). With reference to the standard base {S, K}, there is a canonical encoding ()<sub>CL</sub> of  $\lambda$  terms in **CL** terms. It is well known that in the presence of a rule for extensionality, the two theories  $\lambda$ -calculus and **CL** (which are in general not equivalent) become equivalent (Barendregt 1984, Definition 7.3.14).

#### 2.1. Invertible terms

The assumption of extensionality is also essential in the investigation of invertibility, as shown in Dezani-Ciancaglini (1976) and Bergstra and Klop (1980) in the context of  $\lambda$ -calculus.

Within the theory **CL+ext**, that is, **CL** extended with the rule (see Barendregt (1984, Definition 7.1.10))

$$Px = P'x$$
 for all  $x \notin FV(PP')$  implies  $P = P'$ ,

we can characterise the *invertible* combinatory logic terms. We first observe that a semigroup structure on the extended theory **CL+ext** is given by defining a composition of terms by means of the B combinator as

$$X \cdot Y = BXY$$
.

since for all Z we get  $(X \cdot Y)Z = BXYZ = X(YZ)$ . This operation is associative and can be seen as implementing 'sequential' or 'functional composition'. In the  $\lambda$ -calculus it is defined by

$$M \cdot N = \lambda z.M(Nz)$$

for any two  $\lambda$ -terms M, N.

Moreover, we can take the I combinator as the identity; in the  $\lambda$ -calculus this can be defined, for example, by the term  $\lambda x.x.$ 

Naturally, the question arises as to which terms of a calculus like CL+ext form a group, that is, for which terms X do we have an element  $X^{-1}$  (the inverse) such that

$$X \cdot X^{-1} = X^{-1} \cdot X = 1$$

The classically invertible **CL** terms are all those terms X for which there is a Y such that BXY = BYX = I holds (*cf.* also Curry and Feys (1958, Section 5.D.5 and Definition 5.D.1)). A very simple example of an invertible term is the identity combinator I, which is its own inverse. In fact, we have

$$I \cdot I = BII = I$$
.

However, in calculi without extensionality this might be about the only example of an invertible term. According to Barendregt (1984, Section 21.3), the invertible terms in the  $\lambda$ -calculus (without extensionality) form the trivial group  $\{1\}$ . Extensionality is therefore

needed to obtain some non-trivial invertible elements. It allows us to show, for example, that  $C = C^{-1}$ , that is, C is its own inverse. This is intuitively clear as the combinator C is essentially representing a transposition of its 2nd and 3rd argument, and permutations are reversible.

Dezani (Dezani-Ciancaglini 1976) and Bergstra and Klop (Bergstra and Klop 1980) have studied the problem of how to describe the invertible elements in different calculi and theories. This also resulted in a description of the group of all invertible elements in the  $\lambda \eta$ -calculus – cf. Barendregt (1984, Chapter 21).

In contrast with the classical approach, we will define a calculus that is reversible in the sense that all reductions in the calculus can be expanded in a unique way to get the same derivation, but in the opposite direction. The new reversible calculus will be an extension of the **CL+ext** theory, so that all classical **CL+ext** reductions will still be reductions in the new calculus.

# 3. Reversible combinatory logic

Providing a mechanism for recording the computational history of a term allows us to define a reversible version of CL, which we will call rCL.

Formally, we define a reversible combinatory logic term, or **rCL** term, as a pair  $\langle M \mid H \rangle$ , where M is a classical **CL** term, which we refer to as the *proper term*, and H is a list of elements that record the reduction steps S (forward execution) and their expansion steps  $\overline{S}$  (backward execution). We refer to H as the *history term*.

**Definition 3 (Reversible combinatory logic terms).** A term in **rCL** is a pair  $\langle M \mid H \rangle$ , where M is a classical **CL** term and H has the following syntax:

$$H ::= \varepsilon \mid S : H$$

$$S ::= T \mathsf{K}_n^m \mid \mathsf{W}_n^m \mid \mathsf{B}_n^m \mid \mathsf{C}_n^m \mid \overline{S}$$

where T is a classical CL-term,  $n, m \in \mathbb{N}$  and  $\overline{\overline{S}}$  is defined as S.

If  $H \equiv S_1 : S_2 : \ldots : S_n$ , we will use  $\overline{H}$  to denote the term  $\overline{S_n} : \overline{S_{n-1}} : \ldots : \overline{S_1}$ . We identify the terms  $\overline{\overline{H}}$  and H, that is,  $\overline{\overline{H}} = H$ . We use  $\mathscr{H}$  to denote the set of all history terms modulo this equivalence. It is easy to see that by construction the set of histories  $\mathscr{H}$  forms a group with respect to the composition operation ':' by defining the neutral element of the group as the empty history  $\varepsilon$  and the inverse of H by  $\overline{H}$ , that is,  $H : \overline{H} = \overline{H} : H = \varepsilon$ . The two numbers n and m record the exact point in the term in which the combinator, that is, its corresponding reduction rule, is applied, and the length of prefix of the reduced term, respectively. This information is important for guaranteeing a unique replay of all reduction steps. We will often omit  $\varepsilon$  and use blank to represent the empty history. We will use S + l with  $l \in \mathbb{N}$  to denote a history step in which the position reference is increased by l, for example,  $TK_n^m + l \equiv TK_{n+l}^m$ , and H + l to denote a position shift applied to a whole history, that is,  $H + l \equiv S_1 + l : S_2 + l : \ldots : S_k + l$ .

Formally, we define the function len on classical CL-terms by

$$len(X) = \begin{cases} 1 & \text{if } X \text{ is a constant or variable} \\ n+m & \text{if } X = (YZ) \text{ with } len(Y) = n \text{ and } len(Z) = m. \end{cases}$$

**Definition 4 (Reduction in rCL).** The reversible reduction relation  $> \rightarrow >$  is defined by the following rules:

## **Forward Rules**

- 1  $\langle \mathsf{K}XY \mid \rangle \longrightarrow \langle X \mid Y \mathsf{K}_0^{len(X)} \rangle$
- 2  $\langle \mathsf{W}XY \mid \rangle \longrightarrow \langle XYY \mid \mathsf{W}_0^{len(X)} \rangle$
- $3 \langle CXYZ \mid \rangle \longrightarrow \langle XZY \mid C_0^{len(X)} \rangle$
- 4  $\langle \mathsf{B}XYZ \mid \rangle \longrightarrow \langle X(YZ) \mid \mathsf{B}_0^{len(X)} \rangle$

## **Backward Rules**

- $1 \langle X \mid \rangle \longrightarrow \langle \mathsf{K} X Y \mid \overline{Y} \mathsf{K}_0^{len(X)} \rangle$
- $2 \langle XYY \mid \rangle \longrightarrow \langle WXY \mid \overline{W}_0^{len(X)} \rangle$
- $3 \ \langle XZY| \ \rangle \longrightarrow \ \langle \mathsf{C}XYZ \ | \ \overline{\mathsf{C}}_0^{\mathit{len}(X)} \rangle$
- 4  $\langle X(YZ)| \rangle \longrightarrow \langle \mathsf{B}XYZ| \; \overline{\mathsf{B}}_0^{len(X)} \rangle$

### Structural Rules

- 1  $\langle X \mid \rangle \longrightarrow \langle X' \mid H' \rangle$  implies  $\langle XY \mid \rangle \longrightarrow \langle X'Y \mid H' \rangle$
- $2 \langle X \mid \rangle \longrightarrow \langle X' \mid H' \rangle \text{ implies } \langle YX \mid \rangle \longrightarrow \langle YX' \mid H' + len(Y) \rangle$
- $3 \ \langle X \mid \rangle \rightarrowtail \ \langle X' \mid H' \rangle \text{ implies } \langle X \mid H \rangle \rightarrowtail \ \langle X' \mid H : H' \rangle.$

We will refer to Backward Rule i as the symmetric of Forward Rule i, and vice versa, for i = 1, 2, 3, 4. The Structural Rules guarantee the compatibility of the reduction relation with the composition operation on the proper terms (Rules 1 and 2), and with the composition of history terms (Rule 3).

We call the relation  $\longrightarrow$  on **rCL** defined by the Forward, Bckward and Structural rules in Definition 4 the *forward reduction*, and we use  $\longrightarrow$  to denote the reflexive and transitive closure of  $\longrightarrow$ . The relation  $\longrightarrow$  is a proper relation, that is, not a function; reductions in **rCL** are therefore *non-deterministic*. However, the converse transition relation  $\longleftarrow$ , defined as

$$\langle P_2 \mid H_2 \rangle \iff \langle P_1 \mid H_1 \rangle \text{ iff } \langle P_1 \mid H_1 \rangle \implies \langle P_2 \mid H_2 \rangle,$$

and referred to as backward reduction, is deterministic. This allows us to reconstruct the reduction sequences uniquely, despite the non-deterministic nature of  $> \rightarrow >$ . We will show this formally in Proposition 1, whose proof will highlight the fundamental role played by the pair of integers (m,n) occurring in the history terms in making the backward reduction deterministic. Since  $< \rightarrow >$  is defined only on those terms  $\langle P_2 \mid H_2 \rangle$  for which there is a forward reduction, it is a partial function.

In the following we will use the words 'reduction', 'reduction sequence', 'computational path' and 'computation' interchangeably.

**Proposition 1.** The relation  $\leftarrow$  is a partial function.

*Proof.* We have to show for every **rCL** term  $\langle P_2 \mid H_2 \rangle$  that there is either no term  $\langle P_1 \mid H_1 \rangle$  different from  $\langle P_2 \mid H_2 \rangle$  with  $\langle P_2 \mid H_2 \rangle$   $\iff$   $\langle P_1 \mid H_1 \rangle$ , or if there exists such a term  $\langle P_1 \mid H_1 \rangle$ , it is uniquely determined.

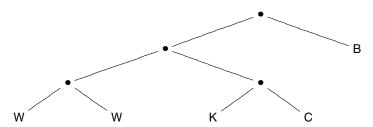
The proof is a straightforward induction on the depth of the derivation tree for  $\langle P_2 \mid H_2 \rangle \iff \langle P_1 \mid H_1 \rangle$ .

There are eight base cases corresponding to the four Forward Rules and the four Backward Rules. In each case:  $H_2$  is a single term; the history  $H_1$  is empty; and the term  $P_1$  can be uniquely determined from  $\langle P_2 | H_2 \rangle$  (by inspection of the rules).

There are three parts to the inductive step: one case for each of the Structural Rules. Since each of the rules has a single premise, each case follows from a single use of the induction hypothesis.

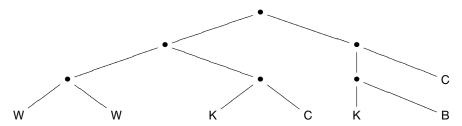
If we represent classical CL terms as binary trees, the last step S of the history  $H_2': S$  specifies a simple tree transformation. This transformation combined with the removal of S from the history implements the reverse relation  $\leftarrow$ . To do this, we first have to decompose the tree representation of  $P_2$  according to the information provided by the sub- and superscripts m and n, and then transform the tree.

**Example 1.** Consider the classical term  $P_2 \equiv (((WW)(KC))B)$ . This term can be represented by the binary tree



Let us assume that the history contains only one step, for example,  $H_2 \equiv \mathsf{CK}_4^1$ . Then we can (re)construct the sub-tree corresponding to  $P_2'$  by isolating the sub-tree on the first 4 leaves, and the one corresponding to X as the (degenerate) sub-tree with just one leaf, such that  $P_2 = P_2'XP_2''$ : in our case we get the sub-trees corresponding to  $P_2' \equiv ((\mathsf{WW})(\mathsf{KC}))$  and  $X \equiv \mathsf{B}$  while  $P_2''$  is omitted. Based on this decomposition, we can (re)construct the tree  $P_1 \equiv P_2'(\mathsf{K}XT)P_2'' \equiv (((\mathsf{WW})(\mathsf{KC}))(\mathsf{KBC}))$ .

In terms of tree-transformations, we just have to replace the subtree  $X \equiv \mathsf{B}$  by the subtree representing  $((\mathsf{K}X)T) \equiv (\mathsf{KBC})$ ;



It is important to note that the decomposition of  $P_2$  according to the data provided by n and m might not always be possible. If we had taken, for example,  $H_2 \equiv CK_3^1$ , it would have been impossible to isolate a complete sub-tree with the first three leaves W, W and K. This would represent a case where the reverse computation is not defined.

An important property of **rCL** is that reductions always increase the length of the history term. We can interpret the accumulation of steps in the history as reflecting the *progress of time*.

**Proposition 2.** Let  $T = \langle M \mid H' \rangle$  and  $T' = \langle N \mid H'' \rangle$  be two **rCL** terms. If  $T > - \gg T'$ , there exists  $H \in \mathcal{H}$  such that H'' = H' : H.

*Proof.* The proof is by a straightforward induction on the length of the reduction 
$$T \rightarrow T'$$
.

The identification of histories in  $\mathscr{H}$  via the equivalence  $H:\overline{H}=\varepsilon$  allows us to eliminate 'computational loops', that is, the cyclic application of a certain sequence of rules. In this way we can return to the start of a computation by undoing all its steps (in reverse order) as in the following two simple reductions:

$$\langle W \mid \rangle \longrightarrow \langle KWB \mid \overline{BK}_0^1 \rangle \longrightarrow \langle W \mid \overline{BK}_0^1 : BK_0^1 \rangle = \langle W \mid \rangle, \text{ and}$$

$$\langle KWB \mid \rangle \longrightarrow \langle W \mid BK_0^1 \rangle \longrightarrow \langle KWB \mid BK_0^1 : \overline{BK}_0^1 \rangle = \langle KWB \mid \rangle.$$

The following example shows that without the position references it would be impossible to reconstruct or retrace a given computational path.

**Example 2.** Consider the two reductions for terms  $\langle K(CW)C \mid \rangle$  and  $\langle KCCW \mid \rangle$ , respectively:

$$\langle K(CW)C \mid \rangle \longrightarrow \langle CW \mid CK \rangle$$
 and  $\langle KCCW \mid \rangle \longrightarrow \langle CW \mid CK \rangle$ .

It is, therefore, impossible to tell where  $\langle CW \mid CK \rangle$  came from. However, by adding the position information, we have

The position information also allows us to encode different reduction strategies (for example, n = 0 indicates left-most reduction) as in the following example.

**Example 3.** Let us consider the classical term W(BXYZ)K. It has two possible reduction paths, which are reflected in the history terms:

Note that fixing a strategy in a reduction effectively rules out the use of Structural Rule 2 in the reduction.

The retracing of a computational path, that is, the reverse reduction relation  $\leftarrow$ , is naturally implemented within the transition relation > .

**Lemma 1.** If  $\langle P_2 \mid H_2 \rangle \leftarrow \langle P_1 \mid H_1 \rangle$ , there exists a history H with  $H \equiv H_1$  such that  $\langle P_2 \mid H_2 \rangle \rightarrow \langle P_1 \mid H \rangle$ .

*Proof.* If  $\langle P_2 \mid H_2 \rangle \iff \langle P_1 \mid H_1 \rangle$ , then  $\langle P_1 \mid H_1 \rangle \implies \langle P_2 \mid H_2 \rangle$  (by definition of the converse relation). Assume that  $H_1 = S_1 : \ldots : S_{n-1}$  and  $H_2 = S_1 : \ldots : S_{n-1} : S_n$ . Take  $H = S_1 : \ldots : S_{n-1} : S_n : \overline{S}_n$ , then

$$\langle P_2 \mid S_1 : \ldots : S_{n-1} : S_n \rangle \longrightarrow \langle P_1 \mid S_1 : \ldots : S_{n-1} : S_n : \overline{S}_n \rangle$$
.

since for every Forward Rule there is a symmetric Backward Rule in Definition 4, and, obviously,  $S_1 : ... : S_{n-1} \equiv S_1 : ... : S_{n-1} : S_n : \overline{S}_n$ .

## 3.1. Embedding CL in rCL

Classical combinatory logic can be embedded in rCL by representing any CL-term M with a rCL-term T of the form  $\langle M \mid \varepsilon \rangle$ . The following result shows that the weak reduction relation for CL-terms can be simulated by the reversible reduction relation on rCL.

**Proposition 3.** For every  $M \in CL$  we have:

If M N, then for all  $H \in \mathcal{H}$  there exists  $H' \in \mathcal{H} : \langle M \mid H \rangle \to \langle N \mid H' \rangle$ .

*Proof.* By hypothesis, there exists a classical reduction

$$M = N_0 \longrightarrow N_1 \dots \longrightarrow N_i \dots \longrightarrow N_n = N_n$$

for some  $n \ge 1$ , where for all  $0 \le i \le n$ ,  $N_i \longrightarrow N_{i+1}$  is an instance of one of the rules 1-4 of Definition 2.

By replacing each reduction step by the corresponding reversible forward reduction step obtained by the rules in Definition 4, we get

$$\langle M \mid \rangle \longrightarrow \langle N \mid H'' \rangle$$
,

with H'' the history term produced in the reversible forward reduction. For any  $H \in \mathcal{H}$ , we can now apply Structural Rule 3 in Definition 4 to get

$$\langle M \mid H \rangle \longrightarrow \langle N \mid H : H'' \rangle.$$

Then take H' = H : H''.

The reverse of the proposition above does not hold, as shown by the following example.

**Example 4.** Consider the CL term  $M = \mathsf{KCBBB}$  and its corresponding rCL term  $\langle \mathsf{KCBBB} | \rangle$ . The following is a possible reversible reduction for this term:

$$\langle \mathsf{KCBBB} \mid \rangle \!\! \longrightarrow \langle \mathsf{CBB} \mid \mathsf{BK}_0^1 \rangle \!\! \longrightarrow \langle \mathsf{WCB} \mid \mathsf{BK}_0^1 : \overline{W}_0^1 \rangle \, .$$

The first step is Forward Rule 1, and the second step is by Backward Rule 2. We therefore have

$$\langle M \mid H \rangle \longrightarrow \langle N \mid H' \rangle$$

with  $M=\mathsf{KCBBB},\, N=\mathsf{WCB},\, H=\varepsilon$  and  $H'=\mathsf{BK}_0^1:\overline{\mathsf{W}}_0^1.$ 

However, neither of the two classical reductions

are possible as, classically, the two terms KCBBB and WCB reduce as follows:

and

WCB 
$$\rightarrow$$
 CBB.

## 3.2. Invertible CL terms and rCL reduction

The inverse of a history and the inverse of a classical **CL** term, if it exists, are closely related. The inverse history can, to a certain degree, simulate the effects of the inverse of a classical term. In order to establish this relation, we first show how the group structure of the history terms interacts with the reversible reduction rules introduced earlier.

**Lemma 2.** Let X be a classical CL term, and let  $H \in \mathcal{H}$ . Then

$$\langle X \mid \rangle \longrightarrow \langle X' \mid H \rangle \text{ iff } \langle X' \mid \rangle \longrightarrow \langle X \mid \overline{H} \rangle.$$

*Proof.* Provided that  $\langle X \mid \rangle \longrightarrow \langle X' \mid H \rangle$ , we have, by Structural Rule 3,

$$\langle X \mid \overline{H} \rangle \longrightarrow \langle X' \mid \overline{H} : H \rangle \equiv \langle X' \mid \rangle,$$

and thus, by replacing in this derivation each rule by its symmetric rule,

$$\langle X' \mid \rangle \longrightarrow \langle X \mid \overline{H} \rangle.$$

We can now show that for classical invertible terms M, histories can be used to simulate a reduction for the inverse  $M^{-1}$  given a reduction for M.

**Proposition 4.** Let M be an invertible term in CL. Assume a history  $H \in \mathcal{H}$  and two CL terms  $N_1$  and  $N_2$  such that

$$\langle MN_1 \mid \rangle \longrightarrow \langle N_2 \mid H \rangle.$$

Then there exists  $H' \in \mathcal{H}$  such that

$$\langle M^{-1}N_2 \mid \rangle \longrightarrow \langle N_1 \mid H' \rangle.$$

*Proof.* By Lemma 2 and the hypothesis  $\langle MN_1 \mid \rangle \longrightarrow \langle N_2 \mid H \rangle$ , we have

$$\langle N_2 \mid \rangle \longrightarrow \langle MN_1 \mid \overline{H} \rangle.$$

By Structural Rule 2, this reduction holds in any context, for example,  $M^{-1}$ , and by applying Backward Rule 4 and Structural Rule 3, we get

$$\langle M^{-1}N_2 \mid \rangle > \longrightarrow \langle M^{-1}(MN_1) \mid \overline{H} + len(M^{-1}) \rangle$$

$$> \longrightarrow \langle BM^{-1}MN_1 \mid \overline{H} + len(M^{-1}) + 1 : \overline{\mathsf{B}}_0^1 \rangle$$

$$= \langle (M^{-1} \cdot M)N_1 \mid \overline{H} + len(M^{-1}) + 1 : \overline{\mathsf{B}}_0^1 \rangle$$

$$= \langle IN_1 \mid \overline{H} + len(M^{-1}) + 1 : \overline{\mathsf{B}}_0^1 \rangle$$

$$> \longrightarrow \langle N_1 \mid H' \rangle,$$

where the last reduction is by Proposition 3.

In this proof the existence of H' is guaranteed by Proposition 3, which allows us to translate classical equivalence into reversible equivalence: from P = P' and PM N, we can conclude classically, by exploiting extensionality, that P'M N for any M. From Proposition 3, this can be translated into a similar statement in  $\mathbf{rCL}$ :

$$\langle PM \mid \rangle > \longrightarrow \langle N \mid H \rangle$$

implies that there exists H' such that for any P = P' and any M, we have

$$\langle P'M \mid \rangle \longrightarrow \langle N \mid H' \rangle$$
.

Thus, in the proof above, H' can be constructed as in the proof for Proposition 3.

Note that since there are many different representations of the identity, for example,  $I \equiv WK$  or  $I \equiv SKK \equiv B(B(BW)C)(BB)KK$ , the derivations of  $\langle WKM \mid \rangle$  and  $\langle B(B(BW)C)(BB)KKM \mid \rangle$  will result in **rCL** terms  $\langle M \mid H \rangle$  and  $\langle M \mid H' \rangle$  with the same proper term M, but with completely different histories H and H'. We can therefore say nothing about the concrete nature of H' in the previous proposition.

## 4. The groupoid structure of reversible computations

A groupoid can be defined succinctly as a small category in which every morphism is an isomorphism (Brown 1987). This algebraic structure, which was introduced by Brandt (Brandt 1926) (for further details see, for example, Renault (1980), Weinstein (1996), Ramsay and Renault (2001) and Brown (1987)), naturally reflects the operational meaning of term reduction and its reverse process. In fact, the reduction relation >-->- defines a reversible computation as an isomorphism between rCL terms.

In this section we will develop this analogy in full detail. We will adopt the definition of a groupoid as in Brown (1987).

**Definition 5.** A groupoid with base  $\mathcal{B}$  is a set  $\mathcal{G}$  with mappings  $\alpha$  and  $\beta$  from  $\mathcal{G}$  onto  $\mathcal{B}$ , a partially defined binary operation (product)  $(g,h) \mapsto g \cdot h = gh$ , and a function i from  $\mathcal{B}$  to  $\mathcal{G}$  satisfying the following conditions:

- 1 gh is defined whenever  $\beta(g) = \alpha(h)$ , and in this case  $\alpha(gh) = \alpha(g)$  and  $\beta(gh) = \beta(h)$ .
- 2 The product is associative: if gh and hk are defined, then so are (gh)k and g(hk), and they are equal.
- 3 For each  $b \in \mathcal{B}$ , i(b) is the identity morphism:  $\alpha(i(b)) = \beta(i(b)) = b$ .
- 4 Each  $g \in \mathcal{G}$  has an inverse  $g^{-1}$  satisfying  $g^{-1}g = i(\beta(g)), gg^{-1} = i(\alpha(g)), \alpha(g^{-1}) = \beta(g)$  and  $\beta(g^{-1}) = \alpha(g)$ .

An element  $g \in \mathcal{G}$  is often written as an arrow  $g : \alpha(g) \to \beta(g)$ .

Groups are particular cases of groupoids, namely those where the base  $\mathcal{B}$  contains only a single element. In this case, we get a universal identity, the left and right inverse of any  $g \in \mathcal{G}$  coincide, and the composition is defined for any two elements g and h.

**Example 5 (Groups).** Any group  $(G, \bullet)$  with identity e and typical elements g, h... defines a groupoid  $\mathcal{G}$  as follows: take  $\mathcal{G} = G$  and as base any one element set  $\mathcal{B} = \{*\}$ ; define  $\alpha(g) = *$  and  $\beta(g) = *$  for all  $g \in G$ . The group operation ' $\bullet$ ' is translated in the obvious

way into the groupoid operation '·' via  $g \cdot h = g \bullet h$ . In particular, composition is defined in this situation for any two elements g and h in  $\mathscr{G} = G$  as  $\alpha(g) = * = \beta(h)$ . We also get a universal identity  $e \in \mathscr{G} = G$ , and the inverse  $g^{-1} \in \mathscr{G}$  and  $g^{-1} \in G$  coincide.

The prototypical example of a groupoid that is not a group is the 'path space' groupoid.

**Example 6 (Paths).** Consider any (finite) directed graph  $\Gamma = (E,V)$  and use s(e) and d(e) to denote the source and destination vertices of an edge  $e \in E$ . Let P be the set of finite paths on  $\Gamma$ , that is, the finite sequences  $\pi = e_0e_1 \dots e_n$  of edges  $e_i \in E$  such that two successive edges share a common vertex, that is,  $d(e_i) = s(e_{i+1})$  for  $i = 0, \dots, n-1$ . We use  $\varepsilon$  to denote the path of length zero. We interpret an *undirected* graph as a directed graph where every edge  $e \in E$  also has a *reverse* edge  $e^* \in E$  such that  $s(e) = d(e^*)$  and  $d(e) = s(e^*)$ . We call an edge  $e_v \in E$  with s(e) = d(e) = v a *self-loop*. Furthermore, we define an equivalence relation between paths that have the same start and end points, that is,  $\pi_1 \sim \pi_2$  with  $\pi_1 = e_0^1 e_1^1 \dots e_n^1$  and  $\pi_2 = e_0^2 e_1^2 \dots e_m^2$  iff  $s(e_0^1) = s(e_0^2)$  and  $d(e_n^1) = d(e_m^2)$ . As usual, we use  $P/_{\sim}$  to denote the set of equivalence classes.

We can then define a groupoid structure on the equivalence classes of paths on any undirected graph  $\Gamma$  as follows: take  $\mathscr{G} = P/_{\sim}$  and  $\mathscr{B} = V$ , that is, all vertices of  $\Gamma$ . Furthermore, define  $\alpha(\pi) = s(e_0)$  and  $\beta(\pi) = d(e_n)$  for any path  $\pi = e_0e_1 \dots e_n \in P$ . We can 'compose' any two paths  $\pi_1 = e_0^1e_1^1 \dots e_n^1$  and  $\pi_2 = e_0^2e_1^2 \dots e_m^2$  if and only if the ending and beginning match, that is, iff  $\beta(\pi_1) = d(e_n^1) = s(e_0^2) = \alpha(\pi_2)$ ; in which case we obtain the path  $\pi_1 \cdot \pi_2 = e_0^1e_1^1 \dots e_n^1e_0^2e_1^2 \dots e_m^2$ . Clearly, this product is associative. The empty path  $\varepsilon$  (or equivalently a self-loop  $e_v$ ) defines the identity i(v) on any vertex v. Since every edge in an undirected graph has a reverse, we can define the inverse of  $\pi = e_0e_1 \dots e_n$  as  $\pi^{-1} = e_n^* \dots e_1^* e_0^*$ .

These two examples clearly show the main difference between groups and groupoids: while composition in groups is always defined, in groupoids there is a 'matching' condition that has to be fulfilled. In this sense groupoids are groups with 'typing'. Moreover, the fact that in a group there is a single base element makes the notion of a reverse path in this structure quite restrictive: it only includes paths that start from point \* and come back to point \* itself. In a groupoid such a notion can be defined between different start and end points provided the path can be 'retraced' or 'reverted'.

Thus, a groupoid model for our reversible **CL** allows us to include reversible reductions like the one in Example 3:

$$\langle \mathsf{W}(\mathsf{B}XYZ)\mathsf{K} \mid \rangle \longrightarrow \langle (\mathsf{B}XYZ)\mathsf{K}\mathsf{K} \mid \mathsf{W}_0^4 \rangle \longrightarrow \langle (X(YZ))\mathsf{K}\mathsf{K} \mid \mathsf{W}_0^4 : \mathsf{B}_0^1 \rangle$$

where, even though the end and start points differ, the computation can be retraced backward. This kind of computation would be excluded from a model based on a group structure; this would only allow us to include reversible reductions like

$$\begin{split} \langle \mathsf{W} \mid \rangle &\longrightarrow \langle \mathsf{KWB} \mid \overline{\mathsf{BK}}_0^1 \rangle &\longrightarrow \langle \mathsf{W} \mid \overline{\mathsf{BK}}_0^1 : \mathsf{BK}_0^1 \rangle = \langle \mathsf{W} \mid \rangle, \text{ or } \\ \langle \mathsf{KWB} \mid \rangle &\longrightarrow \langle \mathsf{W} \mid \mathsf{BK}_0^1 \rangle &\longrightarrow \langle \mathsf{KWB} \mid \mathsf{BK}_0^1 : \overline{\mathsf{BK}}_0^1 \rangle = \langle \mathsf{KWB} \mid \rangle, \end{split}$$

that is, 'computational loops' where the same sequence of steps are first done and then undone in reverse order (cf. Section 3).

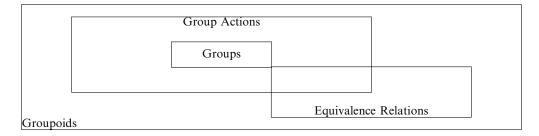


Fig. 1. Groups, group actions and equivalence relations

In our **rCL** model for reversible computations, we cannot talk about the inverse of a term M per se; since  $\langle MN_1 | \rangle$  and  $\langle MN_2 | \rangle$  will in general reduce to different terms  $\langle M_1 | H_1 \rangle$  and  $\langle M_2 | H_2 \rangle$ , an 'inverse' of M would depend on the context. Instead, we have for every computational path (represented by a history  $H_1$  or  $H_2$ ) an inverse computational path (essentially represented by  $\overline{H}_1$  or  $\overline{H}_2$ ). If we consider invertible terms in **CL**, we can ignore the context: if a term M has an inverse term  $M^{-1}$ , then any execution of  $M^{-1}$  will undo the effects of the execution of M in any context. This means that we only need a dummy context N such that  $M^{-1} \cdot MN = N$ , which corresponds to a one-element base, that is, a group instead of a general groupoid.

In other words, while groups are convenient and natural for investigating invertible terms (cf. Dezani-Ciancaglini (1976) and Bergstra and Klop (1980)), reversible computation requires us to reason about (reversible) paths with matching conditions and multiple base points. Groupoids are therefore the natural generalisation of groups that allow us to do this.

Besides being a generalisation of groups, groupoids can also be seen as a generalisation of other mathematical structures, such as *group actions* and *equivalence relations*, as shown in Figure 1 (Ramsay and Renault 2001).

The reduction relation  $\longrightarrow$  establishes an equivalence relation on the **rCL** terms. We can therefore define a model for **rCL** by taking the corresponding groupoid. According to Brown (1987), this is given by  $\mathscr{G} = \mathscr{G}(\mathscr{T}, \rightarrowtail)$ , where  $\mathscr{T}$  is the set of all **rCL** terms, and  $\alpha$ ,  $\beta$ , the identity i and the product operation are defined as follows:

```
 -\mathscr{G} \subseteq \mathscr{T} \times \mathscr{T} \text{ with } (T,T') \in \mathscr{G} \text{ iff } T > \longrightarrow T' 
 -\mathscr{B} = \mathscr{T} 
 -\alpha((T,T')) = T \text{ and } \beta((T,T')) = T' 
 -(T,T') \cdot (T',T'') = (T,T'') 
 -i(T) = (T,T) 
 -(T,T')^{-1} = (T',T) .
```

### 4.1. The actor groupoid

We now show that the groupoid  $\mathscr{G}(\mathcal{T}, \succ \rightarrow)$  of reversible computations on **rCL** defined above, can also be introduced via the action of the history group  $\mathscr{H}$  on the set of

**rCL** terms. Intuitively, this means that each history term determines a permutation on **rCL** corresponding to a reversible computation, and *vice versa*.

Given a group G with identity e and a set X, a group action of G on X is defined as a homomorphism  $\pi$  of G into the automorphism group of X, that is,  $\pi(g) \in \operatorname{Aut}(X)$  such that  $\pi(e) = \operatorname{id}$ , where  $\operatorname{id}$  is the identity automorphism, and  $\pi(gh)(x) = \pi(g)(\pi(h)(x))$ . Given a group action  $\pi$  of G on X, we can define a groupoid  $\mathscr{G} = \mathscr{G}(X, G, \pi)$ , as follows:

- $\mathscr{G} \subseteq X \times G \times X \text{ with } (x, g, y) \in \mathscr{G} \text{ iff } \pi(g)(x) = y$
- $--\mathscr{B}=X$
- $\alpha((x, g, y)) = x$  and  $\beta((x, g, y)) = y$
- $--(x,g,y)\cdot(y,h,z)=(x,hg,z)$
- $(x,g,y)^{-1} = (y,g^{-1},x).$

This construction is due to Ehresmann (Ehresmann 1957) and is sometimes called the actor groupoid or semi-direct product groupoid.

Consider the groupoid  $\mathscr{G}$  defined by the action  $\pi$  of  $\mathscr{H}$  on rCL given by

$$\pi(H)(\langle M \mid H' \rangle) = \begin{cases} \langle N \mid H' : H \rangle & \text{if } \langle M \mid H' \rangle > \longrightarrow \langle N \mid H' : H \rangle \\ \langle M \mid H' \rangle & \text{otherwise.} \end{cases}$$

**Proposition 5.** For all  $H \in \mathcal{H}$ ,  $\pi(H)$  is a permutation on rCL.

*Proof.* Given an enumeration of the **rCL** terms, for any  $H \in \mathcal{H}$  the map  $\pi(H)$  realises a shift on **rCL** terms.

It is interesting to note that the structure of the permutation group  $\operatorname{Aut}(\mathbf{rCL}) = \{\pi(H) \mid h \in \mathscr{H}\}\$  is determined by the structure of the history group. In fact, composition, identity and inverse are defined in  $\operatorname{Aut}(\mathbf{rCL})$  as  $\pi(H_1)(\pi(H_2)) = \pi(H_2 : H_1)$ ,  $\pi(\varepsilon)$ , and  $\overline{\pi(H)} = \pi(\overline{H})$ , respectively.

It is easy to verify that the groupoid  $\mathscr{G}(\mathbf{rCL}, \longrightarrow)$  is identical to the group action groupoid  $\mathscr{G}(\mathbf{rCL}, \mathscr{H}, \pi)$  defined above. In fact, we can define a groupoid isomorphism by simply forgetting about the 'connecting history'.

**Proposition 6.** The map  $\delta: \mathcal{G}(\mathbf{rCL}, \mathcal{H}, \pi) \to \mathcal{G}(\mathbf{rCL}, \longrightarrow)$  defined by

$$\delta(\langle T, H, T' \rangle) = \langle T, T' \rangle$$

is a groupoid isomorphism.

*Proof.* The map  $\delta$  is a groupoid morphism since it is compatible with the product, head and tail maps of the two groupoids, that is, we have  $\delta(g_1g_2) = \delta(g_1)\delta(g_2)$ ,  $\delta(\alpha(g)) = \alpha(\delta(g))$  and  $\delta(\beta(g)) = \beta(\delta(g))$ . Thus, we only need to show that it is injective and surjective.

#### **Surjective:**

Let  $\langle T, T' \rangle \in \mathscr{G}(\mathbf{rCL}, \longrightarrow)$ , and let  $T = \langle M \mid H' \rangle$  and  $T' = \langle N \mid H'' \rangle$ . Then there exists a reversible reduction  $T \rightarrowtail T'$ . By Proposition 2, we have H'' = H' : H for some  $H \in \mathscr{H}$ . Therefore,  $\langle T, H, \pi(H)(T) \rangle = \langle T, H, T' \rangle$  is the element in  $\mathscr{G}(\mathbf{rCL}, \mathscr{H}, \pi)$  such that  $\delta(\langle T, H, T' \rangle) = \langle T, T' \rangle$ .

#### **Injective:**

$$T_1' = \pi(H_1)(T_1) = \langle N \mid H' : H_1 \rangle \neq \langle N \mid H' : H_2 \rangle = \pi(H_2)(T_2) = T_2'.$$

### 5. Conclusion

We have introduced a reversible version **rCL** of combinatory logic in which terms are enriched with a history part that allows us to replay every computational step uniquely. We have taken an 'application-oriented' approach and given prominence to the computation features of the  $\lambda$ -calculus and the related theory of combinatory logic rather than other important aspects such as as a foundation of mathematics and in their pure form.

Given the well-known relationship between **CL** and the  $\lambda$ -calculus, we can, in principle, define a reversible version of the  $\lambda$ -calculus by exploiting the encoding of the  $\lambda$ -calculus in **CL**. However, the variable-freeness of **CL** requires only a relatively simple kind of history term, as we can avoid recording details of (multiple) variable substitution, and so on.

The definition of the formal semantics of **rCL** does not change the *non-deterministic* nature of classical **CL**: depending on the particular reduction strategy, we may get different computational paths starting from the same term. However, as the history term not only records 'which' kind of reduction has happened, but also 'where', we are able to define a converse transition relation (which 'goes back in time') that is *deterministic*, and thus allows us to reconstruct reduction sequences uniquely.

We have also established a clear distinction between the closely related concepts of invertibility of terms and the reversibility of computations. A term M, for example, in CL, is invertible if a(nother) term  $M^{-1}$  exists that is always able to compensate for the effects of the first one, and vice versa. In order to introduce this notion, we need concepts like an identity term I and term composition '.' A computation is reversible, if it can be 'replayed', that is, it is possible to reconstruct the computational steps given the outcome. While invertible terms form a group, we need the more general notion of a groupoid to describe reversible computations, as we can 'compose' two computations only if terminal and initial terms coincide. We have shown that the computational paths of our reversible calculus can be seen as the orbits of the history group acting on the space of rCL terms. On the other hand, the reduction rules of the rCL calculus introduce an equivalence relation on the terms with an associated groupoid. We have shown that the two definitions essentially identify the same groupoid as a model for the computational paths in rCL.

Reversibility is an essential requirement for the embedding of classical computation in quantum mechanics, as quantum computing devices are essentially represented by *unitary*, that is invertible, transformations. The reversible combinatory logic we have presented offers a universal model for classical reversible computation, in the sense that every classical reversible computation corresponds to a **rCL** reduction, and *vice versa*. In the field of quantum computation this result provides an alternative high-level way to look

at reversible classical computation; this is usually described in terms of circuits built out of a particular universal gate, namely the Toffoli gate (Nielsen and Chuang 2000). The universality of **rCL** for classical reversible computation comes from the fact that, as shown by the actor groupoid model, an **rCL** reduction effectively corresponds to a permutation of the **rCL** terms. However, **rCL** is an extremely wasteful way to provide reversibility, and hence of no practical use as a basis for any plausible implementation of classical (irreversible) computation in a quantum mechanical setting – much more efficient approaches have been devised to this end (Bennet 1973).

A more promising direction for further work is related to the definition of a model for **rCL** that is more denotational in nature. For this we aim to clarify the relationship between reversible reductions and a particular class of linear operators, namely unitary operators, which may serve as a base for a fixpoint semantics of **rCL** and similar reversible extensions of the  $\lambda$ -calculus, as well as for the semantics of more concrete quantum programming languages such as those recently proposed in the literature (Gay 2005). For this we hope to exploit well-established results on the relationship between operator algebras (in particular C\* algebras) and groupoids (Renault 1980).

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