

Integration of numerical and field-theoretical techniques in the design of single- and multi-band rectennas for micro-power generation

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We introduce an integrated design methodology for the optimization of RF-to-DC conversion efficiency of multi-band rectennas (rectifying antennas), with the aim of harvesting the RF energy available in humanized environments. Existing RF sources can either operate at known frequencies, power budgets, and locations, or can be ubiquitously available at different frequency bands, and with unknown directions of incidence and polarizations. In all cases, the RF link power budget may be extremely low. In order to harvest a significant quantity of energy, it is thus mandatory to place a very special care in the design of each part of the receiving/storing system. For this purpose, the receiving antenna must be optimized together with the rectifying circuit and the load. In our work, this is accomplished by a rigorous design tool based on the concurrent use of nonlinear/electromagnetic (EM) CAD tools and EM theory. The effectiveness of the method is demonstrated by comparing the computed and measured performance of single- and multi-band rectennas, both linearly and circularly polarized. Such antennas are designed to harvest RF energy from a variety of cellular and WiFi systems that are normally present in civil environments.

Keywords: RF energy harvesting, co-design, EM theory, EM simulation, RF to DC conversion efficiency

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I. INTRODUCTION

An intense research activity is currently being devoted to the development of technologies allowing low-power devices, possibly wearable or implantable to operate with no need for battery feed. This may be accomplished by extracting the required power from many sources that are present in the environment, such as propagating radio waves, sunlight or vibrations. Devices powered by radio waves have been widely used in passive radio identification (RFID) [1]. In this case, battery-free transceivers (tags) extract the power needed to turn on the active devices from the field radiated by a reader of known position. The received field is usually narrow band, of medium intensity, and has known polarization and direction of arrival [2]. The goal is to provide a rectified DC voltage to bias the active devices, irrespective of the received power level. Different design configurations of charge-pump voltage multipliers have been proposed in recent years [3], based on both Schottky diodes and CMOS technology. In almost all the realizations reported, the power conversion efficiency is rather poor. One of the main purposes of our work is to show that this is primarily due to the lack of an adequate system simulation approach. Section II of the paper tries to bridge this gap. We start from the

realization that the true receiver input is the radiated field incident on the receiver antenna, from which the circuit excitation of the receiver can be exactly derived by electromagnetic (EM) theory. The rectified power may then be accurately computed by a full nonlinear analysis of the receiver including the antenna described by EM simulation. In this way, we can define an overall figure of merit, conventionally called the EM conversion efficiency, which can be successfully used as the design goal of a comprehensive system optimization.

The work on RF power scavenging and rectification reported until now has been mostly dedicated to narrow-band (essentially single-frequency) incident microwave radiation with relatively high power densities [3]. For validation purposes, in sections III.A and III.B our design approach is first applied to these kinds of circuit–antenna assemblies in ultra-low power budget conditions. In more general, RF harvesting applications, frequency, position, and polarization of the source may not be *a priori* known. In such cases, the antenna and rectifier design are much more complex, so that the use of an integrated design platform of the kind presented in this paper becomes even more critical. To address this kind of application, in section III C, we propose a novel-integrated antenna consisting of a suitable combination of resonant patches for multi-frequency harvesting purposes [4]. This solution is shown to offer significant advantages with respect to a broadband one [5], because it allows the conversion efficiency to be effectively maximized at those operating frequencies where RF sources are most likely to be present. We show that a nonlinear/EM co-design of the entire rectenna based on the ideas introduced in section II may be simultaneously carried out at all frequencies of interest, to achieve

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the best trade-off among possible incident power levels and frequency values. This overcomes simplifying assumptions based on lumped-element equivalent circuit approaches [6]. In the latter case, a lumped-element equivalent circuit needs to be generated for each harvesting frequency and related harmonics.

II. COUPLED NUMERICAL AND FIELD-THEORETICAL RECTENNA DESIGN

The RF harvesting scenario we refer to is depicted in Fig. 1. Several RF sources may exist and are described in terms of their respective radiated fields incident on the rectenna. The frequency, direction of arrival, and polarization of each incident field may be arbitrary. Assuming that the RF sources are located in the far-field region of the harvester, a k th incident field at the harvester location may be described as a uniform plane wave and thus by a constant complex vector \mathbf{E}_{inc_k} .

For each source, we use the reciprocity theorem to exactly compute the RF excitation of the rectifier circuit. For this purpose, two EM configurations of the harvester antenna, labelled “a” and “b” in Fig. 2, are considered, corresponding to the receiving and transmitting modes of operation, respectively. The corresponding equivalent circuits are also shown in Fig. 2.

Let us denote by $\mathbf{E}_A(r, \theta, \phi, \omega)$ the far field radiated by the harvesting antenna operating in the transmitting mode when powered by a voltage source of known amplitude U , internal resistance R_o , and angular frequency ω , as in Fig. 2b. r, θ, ϕ are spherical coordinates in a reference frame having origin in the antenna phase center O_1 . We may write

$$\mathbf{E}_A(r, \theta, \phi, \omega) = U \frac{e^{-j\beta r}}{r} \mathbf{e}_A(\theta, \phi, \omega), \quad (1)$$

where the normalized field \mathbf{e}_A does not depend on the distance r or on the source voltage U . It is now possible to rigorously compute the Norton current source equivalent to the k th RF incident field. Indeed, by a straightforward application of

the reciprocity theorem, we obtain

$$\left[\frac{1}{Y_A(\omega_k)} + R_o \right] I_k^a I_k^b = j U \frac{2\lambda_k}{\eta} \mathbf{E}_{inc_k} \bullet \mathbf{e}_A(\theta_{Dk}, \phi_{Dk}, \omega_k), \quad (2)$$

where θ_{Dk}, ϕ_{Dk} define the direction of arrival of the field radiated by the k th environmental RF source, ω_k is its angular frequency, and η is the free-space wave impedance. $Y_A(\omega)$ is the frequency-dependent antenna admittance computed by EM analysis. From (1) and Fig. 2, we obtain

$$J_k(\omega_k) = j [1 + R_o Y_A(\omega_k)] \times \frac{2\lambda_k}{\eta} \mathbf{E}_{inc_k} \bullet \mathbf{e}_A(\theta_{Dk}, \phi_{Dk}, \omega_k). \quad (3)$$

Taking advantage of the linearity of the excitation mechanism, the contributions of all ambient sources may be simply combined in the way shown in Fig. 3 to give a comprehensive circuit description of the rectifier excitation.

Since the rectifying circuit is passive, due to energy conservation in the circuit depicted in Fig. 3, the power actually delivered to the load cannot exceed the sum of the maximum powers that can be delivered by the individual current sources under a conjugate match, which is given by

$$P_{av} = \sum_k \frac{|J_k(\omega_k)|^2}{8 \operatorname{Re}[Y_A(\omega_k)]}. \quad (4)$$

The theoretical upper bound established by (4) will be referred to in the following as the *RF available power* and will be used as a reference for the definition of the system efficiency. On the other hand, in order to correctly compute the rectified power, the circuit must be analyzed by nonlinear techniques such as harmonic balance, as a nonlinear circuit under multitone excitation. The electrical regime has a discrete spectrum with spectral lines located at all the intermodulation products of the exciting fundamental frequencies ω_k up

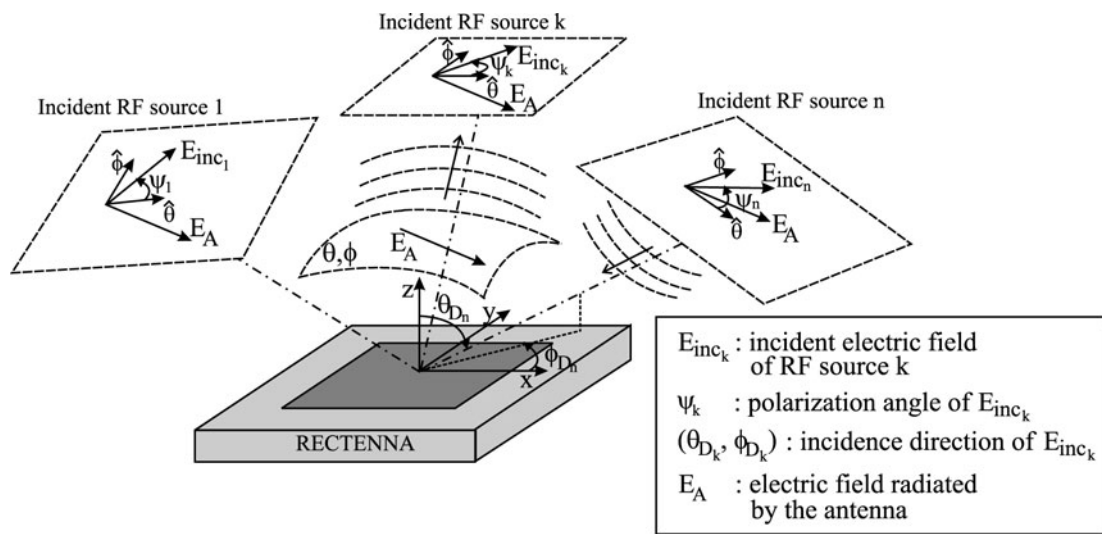


Fig. 1. General scenario of a rectenna in the presence of several radiating sources.

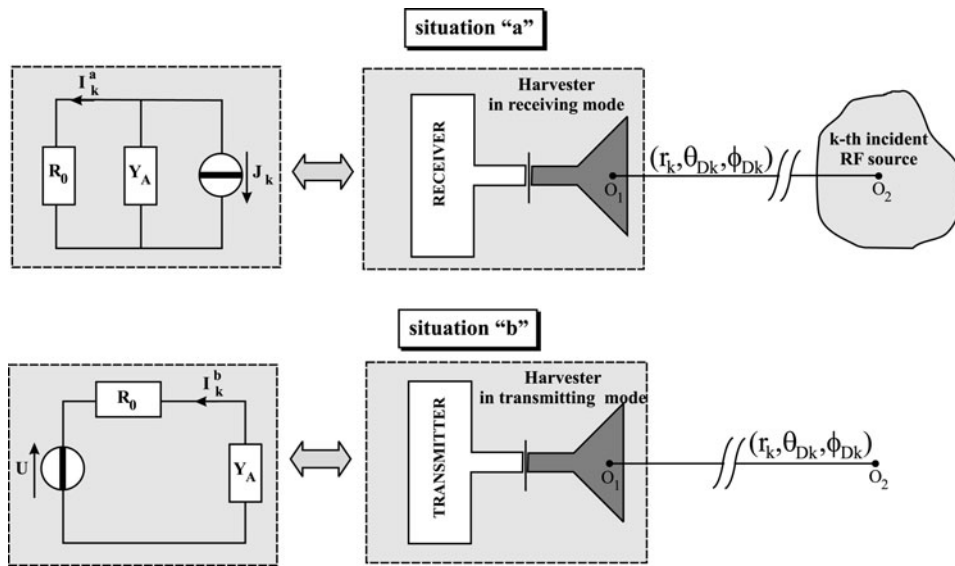


Fig. 2. Situations “a” and “b” considered for the application of the reciprocity theorem.

to a prescribed order. After performing such an analysis by well-established algorithms [7, 8], the DC power P_{out} actually delivered to the load is known, so that the EM conversion efficiency can be rigorously defined as

$$\eta_{RF-DC}^{EM} = \frac{P_{out}}{P_{av}} \tag{5}$$

This makes it possible to define simultaneous design goals on the conversion efficiency across the frequency bands of interest for the actual power levels pertaining to different wireless standards [8]. In this way, several crucial phenomena, such as power mismatch between antenna and receiver front end, polarization conflicts between incident electric fields and harvester antenna, and the simultaneous presence of different RF sources, are automatically included in all the evaluations. An important step of the design procedure is the selection of the rectifier topology, which is crucial for ultra-low power budgets. One degree of freedom is the number of stages. Our choice, in agreement with [9], is a single-stage full-wave peak-to-peak RF-DC power converter. For the sake of clarity, a possible layout of the blocks appearing in Fig. 3 is shown in Fig. 4 together with the rectifier topology.

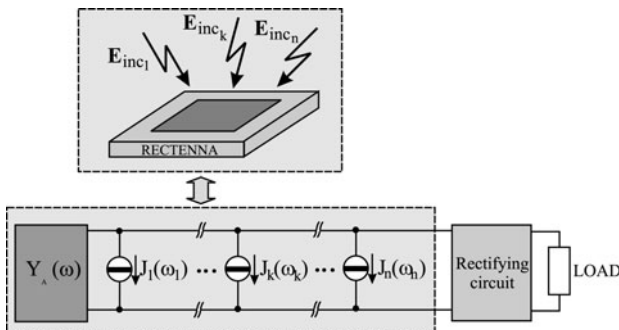


Fig. 3. Norton equivalent circuit of the rectenna system under multiple excitations.

The selection of a proper Schottky diode plays an essential role. The primary objective is to make use of devices whose turn-on is guaranteed at ultra-low incident power levels. In addition, in order to obtain a good conversion efficiency, the lowest possible zero-bias junction capacitance (C_{j0}) and the highest saturation current (I_s) are required. Table 1 lists the Spice equivalent circuit parameters of several diodes available from the market.

The last row of the table refers to the estimated conversion efficiency of a reference one-stage full-wave rectifier powered by a 1.38 dBm source with 50 Ω input and load impedances. The best efficiency reported in this line has led to the choice of the Skyworks SMS7630 diode. A diode package model has also been included in the rectenna design and its accuracy has been experimentally tested.

In the rectenna design problems addressed in the next section, we take into account four harmonics plus DC for the single-frequency design, and intermodulation products up to the fourth order for the multi-source design. In this way, the rectification of higher-order harmonics generated by the nonlinearities may be investigated, as well. The optimum load providing the best trade-off among the possible

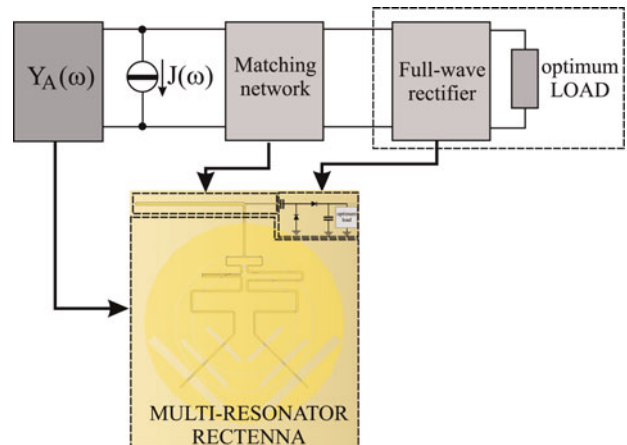


Fig. 4. Rectenna layout and rectifier topology.

Table 1. Diode parameters comparison.

	Skyworks SMS7630	Skyworks SMS7621	Skyworks SMS3922	Skyworks SMS1546	Macom MA4E2054	Agilent HSMS2852	Agilent HSMS8101
I_s (μA)	5	0.04	0.03	0.3	0.03	3	0.046
C_{jo} (pF)	0.14	0.1	0.7	0.38	0.13	0.18	0.18
V_j (V)	0.34	0.51	0.595	0.51	0.4	0.35	0.5
N	1.05	1.05	1.08	1.04	1.05	1.06	1.09
R_s (Ω)	20	12	9	4	11	25	6
I_{bv} (ηA)	100	10	10	10	10	300	100
V_{bv} (V)	2	3	20	3	5	3.8	7.3
η_{RF-DC}^{EM} (%)	13	7.68	3.7	11.5	7.4	10.54	8.25

operating frequencies and power levels is also determined by the same design procedure.

III. RECTENNA OPTIMIZATION AND RESULTS

The design of RF harvesters both for known and unknown RF link properties (θ_{Dk} , ϕ_{Dk} , ω_k) is described in this section. In the former case, the RF source is located in a specific position and operates over a known frequency band so that the harvester can be placed in the maximum radiation direction; in the latter, either the RF source location or the (possibly wearable) harvester orientation are *a priori* unknown. Of course, the main difference among these situations concerns the radiating element design. With increasing complexity of the problem, the following cases can be encountered. (a) A single and completely defined (both location and frequency) RF source is available: the antenna must have high gain and its direction of maximum gain and polarization must match those of the source. (b) The RF source band is fixed, but its location is not: the harvester antenna must have a circular polarization (CP) in the band of interest and low directivity. (c) RF sources radiating in several frequency bands and ubiquitously distributed exist: the antenna should be circularly polarized and either very broadband or a combination of resonant antennas, each one designed for a specific application-dependent frequency band.

A) Harvesting from single-frequency single source

A first design addresses a highly efficient RF harvester operating in the UMTS (Universal Mobile Telecommunication System)/GSM1800 (Global System for Mobile Communication) band. The photograph and dimensions of the entire system layout are shown in Fig. 5. A high gain (13 dB) linearly polarized four-patch array on a Rogers Duroid 5870 substrate has been designed together with the rectifier matching network and the load, to best perform at the RF power levels that are typically available during a single phone call.

Fig. 6 shows the computed rectenna performance at one frequency within the UMTS band before and after optimization: an EM conversion efficiency better than 50% is obtained as P_{av} exceeds 100 μW . Fig. 7 shows that the achievable optimum efficiency is frequency-dependent, mostly because of the frequency dispersive properties of the antenna. In order to validate these results, the harvester is positioned in front of a cell phone and the open-circuit voltage at the load



Fig. 5. Photograph of a harvester prototype based on a 2×2 linearly polarized patch antenna array (overall dimensions: $22 \times 19 \text{ cm}^2$).

terminals is recorded during a transmission burst (which lasts approximately 500 μs) at several distances from the source. Fig. 8 shows the comparison between these measurements and the results computed by our system analysis procedure. An excellent agreement is observed down to 50 cm distance between the cell phone and the harvester. At lower distances the predicted DC voltage begins to overestimate the measurements. This is believed to be due to failure of the uniform plane wave approximation used to compute (2) at such short distances. In order to overcome this problem, work is currently in progress to replace (2) with a more

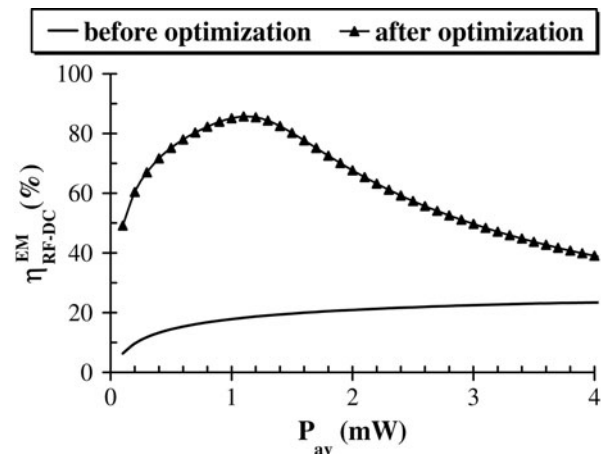


Fig. 6. Starting point and optimized electromagnetic conversion efficiency of the 2×2 patch harvester at 1.95 GHz.

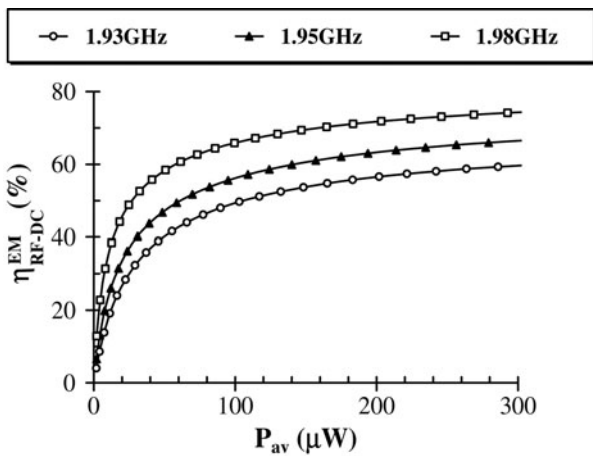


Fig. 7. Frequency dependence of the optimum EM conversion efficiency at very low power levels.

rigorous and general formula for the computation of the equivalent current sources.

B) Harvesting from single-frequency multiple sources

A second design has been focused on harvesting from multiple sources operating in the GSM1800 band. A coplanar slot antenna with a gain of about 2 dB has been used: CP is achieved by protruding a T-shaped metallic strip from the ground plane towards the slot center [10]. A photograph of the rectenna prototype is shown in Fig. 9. The optimized EM conversion efficiency is plotted in Fig. 10 versus P_{av} for several operating frequencies inside the GSM band. The dispersive behavior of the antenna is again responsible for the efficiency spread across the operating band. Fig. 11 provides a comparison between the measured and predicted DC output power as a function of the measured incident power density at several operating frequencies.

Fig. 12 underlines the impact of the receiving antenna polarization. In this figure, the rectified output power is plotted for several directions of incidence under the assumption that the incident field E_{inc} is linearly polarized. The

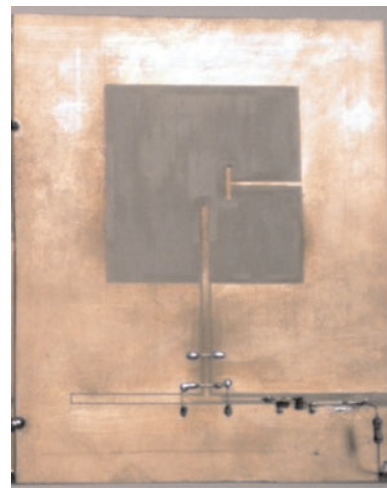


Fig. 9. Photograph of the harvester prototype based on a coplanar-slot circularly polarized antenna (overall dimensions: $9 \times 11 \text{ cm}^2$).

direction of polarization of E_{inc} is defined as follows:

$$E_{inc1} = \begin{cases} |E_{inc1}| (\cos \psi_1 \hat{\theta} + \sin \psi_1 \hat{\phi}) & \text{for } \theta_D \neq 0, \\ |E_{inc1}| (\cos \psi_1 \hat{i} + \sin \psi_1 \hat{j}) & \text{for } \theta_D = 0. \end{cases} \quad (6)$$

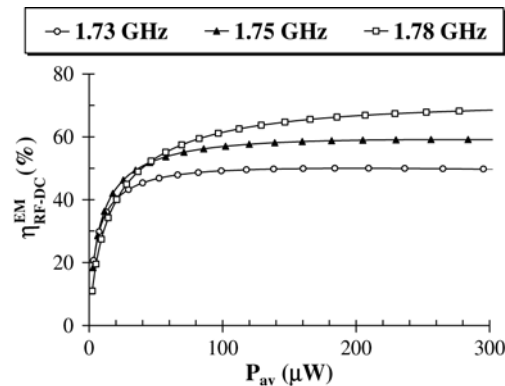


Fig. 10. Frequency dependence of the EM conversion efficiency at low power levels for the circularly polarized harvester.

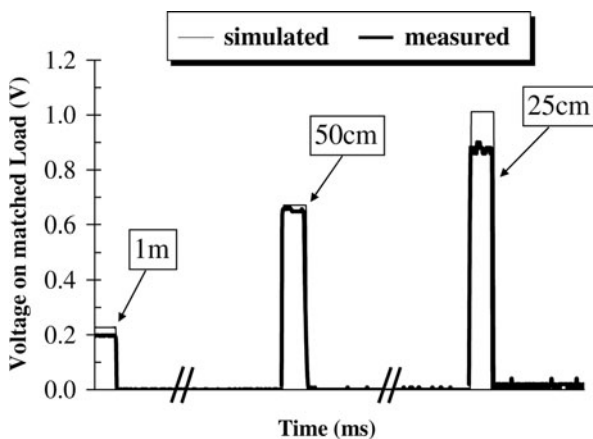


Fig. 8. Predicted and measured DC output voltage of the 2×2 patch harvester produced by a cell phone transmitting from different distances.

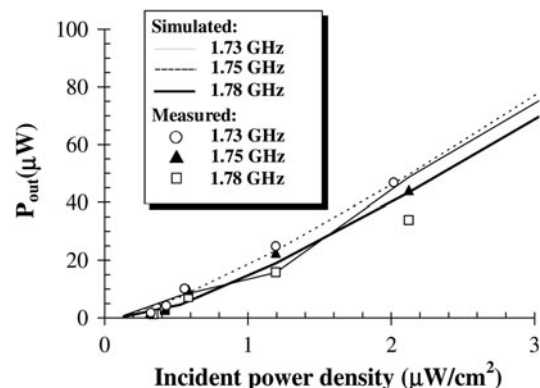


Fig. 11. Computed and measured DC output power for the circularly polarized harvester.

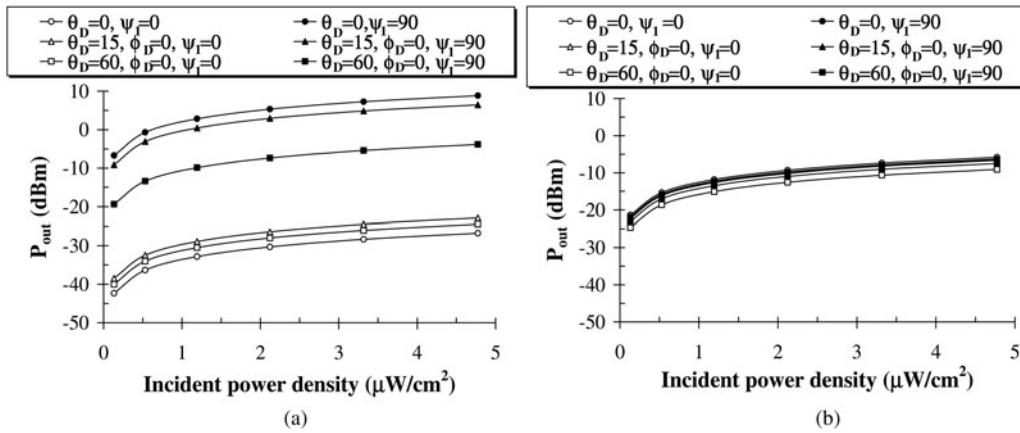


Fig. 12. Output DC power of the linearly (a) and circularly (b) polarized harvester for several directions of arrival of a linearly polarized incident RF field.

Referring to Fig. 1, in (6) $\hat{\theta}, \hat{\phi}$ are the unit vectors of the θ, ϕ coordinate directions, \hat{i}, \hat{j} are the unit vectors of the x, y directions, and ψ_I is the angle between the incident field direction of polarization and the θ -direction (for $\theta_D \neq 0$) or the x -direction (for $\theta_D = 0$). The receiving antenna is oriented in such a way that its broadside direction ($\theta = 0$) is parallel to the ground, and its position is held fixed. Figure 12a shows the results obtained for a rectenna linearly polarized in the vertical direction, which is chosen to coincide with the y -axis (see Fig. 1). For $\theta_D = 0, \psi_I = 90^\circ$ the rectified DC power is highest due to the perfect polarization match between incident field and rectenna. However, the harvested power rapidly drops as the polarization mismatch increases. On the contrary, the rectified power in case of a circularly polarized rectenna (Fig. 12b) is almost independent of the direction of arrival.

C) Harvesting from multi-frequency sources

A more challenging RF energy scavenging system will now be considered. In order to be useful in typical humanized environments the system is required to simultaneously handle several RF incident fields, such as those radiated by cellular systems and WiFi equipment. Such sources have unknown and extremely variable power budgets, mainly due to an unpredictable distance between the harvester and the transmitting antennas. Even the operating frequency may be arbitrarily allocated within a specified range, according to multiple-access policies and traffic block probability. In this sense, multi-band performance and CP should be considered as almost compulsory requirements for a high-quality antenna operating over the bands of interest. In view of a wearable realization, the additional need for good integration properties, robustness, and minimum thickness, led to the choice of a multilayer aperture-coupled printed antenna. One advantage of this solution is a full decoupling between the rectifier and the receiving antenna. This allows the use of different kinds of substrates, each suitable for different purposes, specifically, circuit miniaturization and radiation efficiency. The antenna layout and its feeding circuitry in the multilayer arrangement, together with the top and bottom view of the prototype, are shown in Fig. 13 and are described top-down in the following. A three-band operation is achieved by exploiting the three structures on the top layer, i.e. the inner

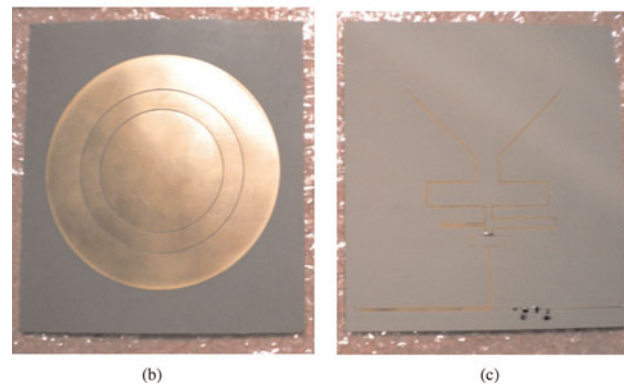
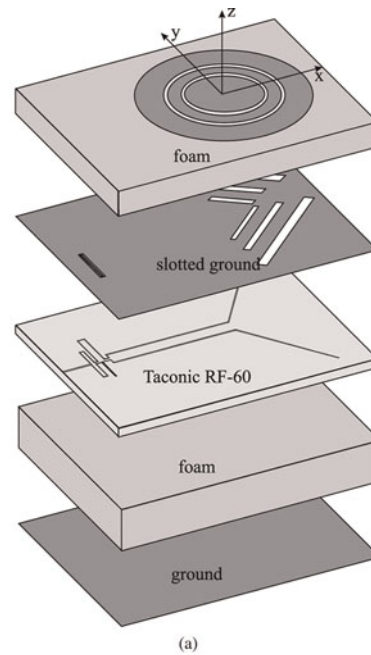


Fig. 13. Exploded layout of the multi-layer, multi-resonator rectenna (a), photograph of the multi-resonator layer (b), and of the rectifier layer (c) (overall dimensions: $14 \times 13 \times 1.3 \text{ cm}^3$).

circular patch and the two outer rings, and the near-field coupling among them. The basic design idea is to have one circular resonating patch for each operating band. At the highest

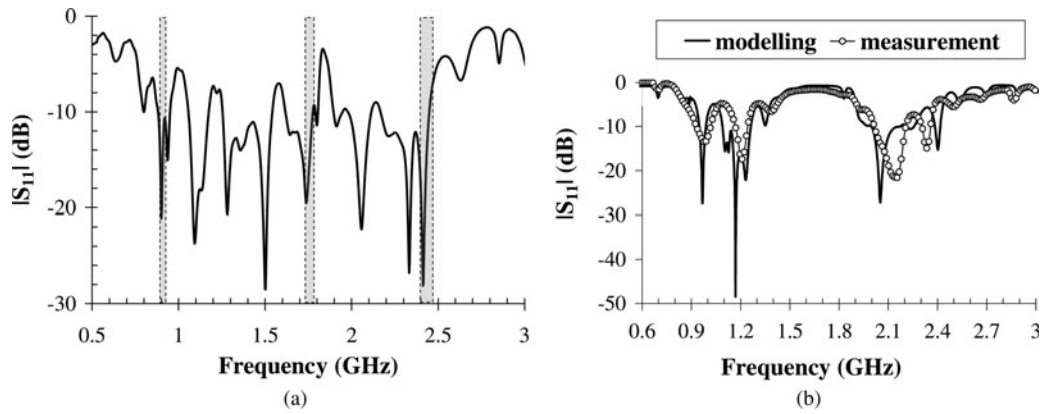


Fig. 14. Reflection coefficient of the stand-alone antenna (a) and of the antenna matched to the rectifier (b).

frequency, the inner patch is active; in the intermediate frequency band, the active patch is obtained by coupling the inner patch with the inner ring; at the lowest frequency, the three structures make up an approximately circular resonating patch. For each frequency band, the metallization not belonging to the resonant structure plays the role of a parasitic element and must be accounted for during the design process in order to check that it does not significantly affect the current distribution on the active patch or its radiating properties. To achieve this goal, the radius of the circular patch and those of the inner and outer rings are optimized together with the inter-element gaps by means of EM simulation all over the bands of interest.

On the ground plane of the circular patches (the slotted ground in Fig. 13), two identical sets of three slots are etched to aperture-couple, the bottom layer to the radiating patches. The two sets are orthogonal to each other in order to provide the antenna CP. The slot dimensions and relative positions are designed to simultaneously provide the tightest coupling and the smallest back radiation in each band of interest. This radiating structure, only a portion of which is active in each specific frequency band, may be conceptually related to the basic design concept of log-periodic antennas. Two orthogonal modes are excited by two symmetrical microstrip feed lines, printed on the Taconic substrate (Fig. 13). These lines depart from a 90° hybrid coupler whose topology, derived from [11],

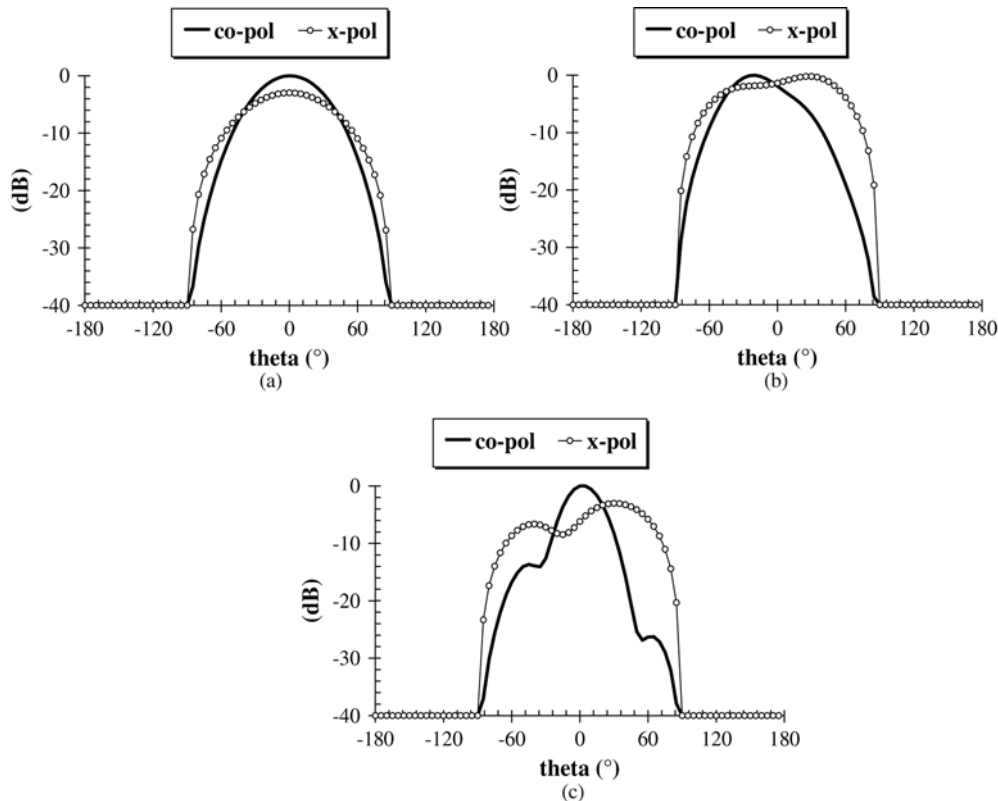


Fig. 15. Antenna normalized radiation patterns in *x-z*-plane: (a) 900 MHz, (b) 1.76 GHz, and (c) 2.45 GHz.

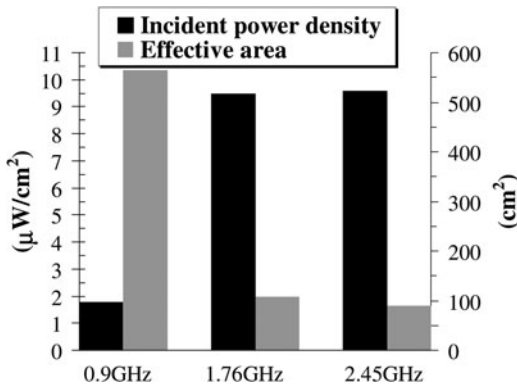


Fig. 16. Incident power densities required to provide a 500 μW RF available power and effective area at each operating frequency.

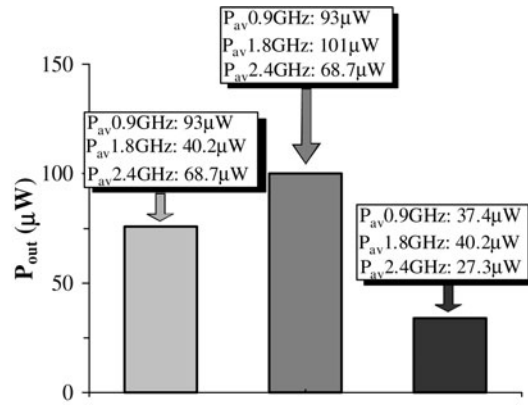


Fig. 18. Actual harvested power in the simultaneous presence of three RF sources for several combinations of RF available power levels.

has been optimized to ensure acceptable return loss, equal power division between the output ports, and constant phase difference between the output ports over each frequency band of interest. The annular rings have outer radii of 57 and 41 mm, respectively, while the circular central patch has a 30-mm radius. The coupling gap width is 0.5 mm. The three resonant slots obey the well-known relationship between working frequencies and physical lengths. Their 2D sizes are 2.8×28 , 3×38 , and 5.5×65 mm², respectively, in order to approximately preserve a 1/10 ratio between length and width. The total thickness of the rectenna is approximately 1.3 cm. The dielectric supporting the patches consists of a 4 mm thick polyurethaneic foam ($\epsilon_r = 1.25$, $\tan\delta = 0.0012$).

The 0.635 mm thick feeding circuit substrate under the ground plane is a Taconic RF-60 ($\epsilon_r = 6.15$, $\tan\delta = 0.0028$). The bottom of the whole assembly is shielded by a ground plane separated from the feeding circuit by an 8-mm-thick foam layer. Such shielding guarantees the isolation of the harvester in view of wearable applications. The simulated amplitude of the reflection coefficient at the antenna port is plotted in Fig. 14(a): a reflection better than -10 dB is observed across each frequency band of interest. A broadband design of the antenna-rectifier matching network has been carried out together with the other design parameters described above; the measured and modeled reflection coefficients of the matched multi-resonator antenna are plotted in Fig. 14(b).

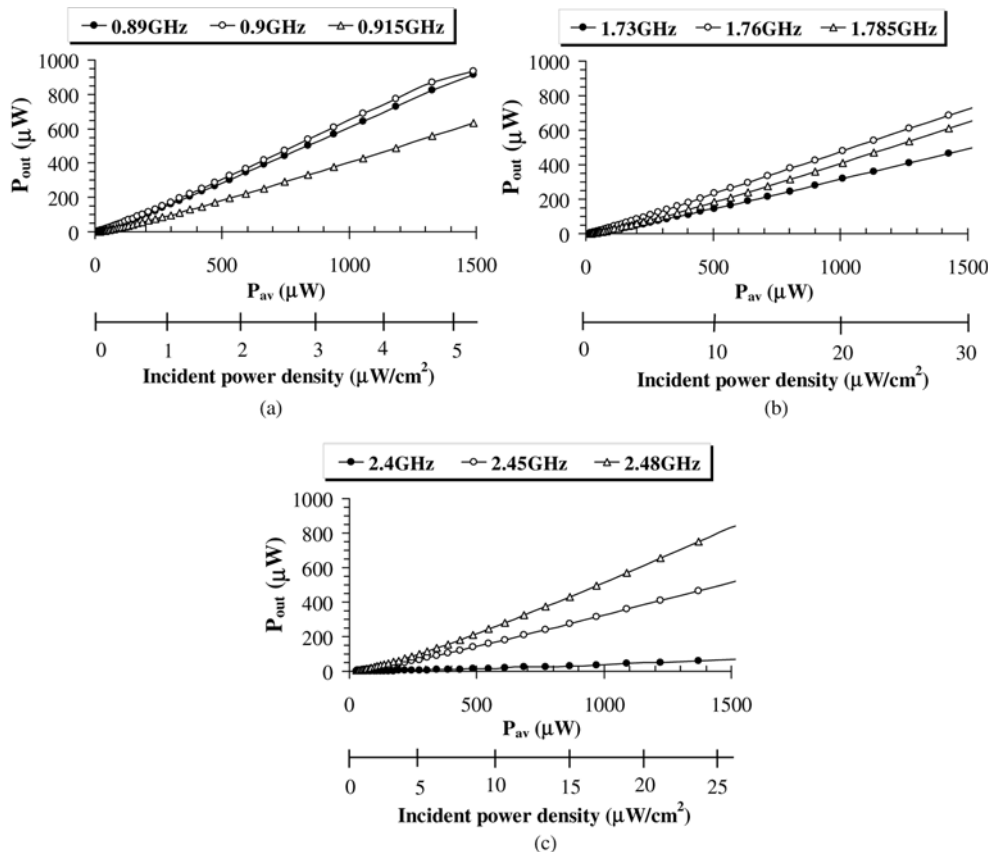


Fig. 17. Harvested power versus incident power density and RF available power: (a) in the GSM900 band, (b) in the GSM1800 band, and (c) in the WiFi band.

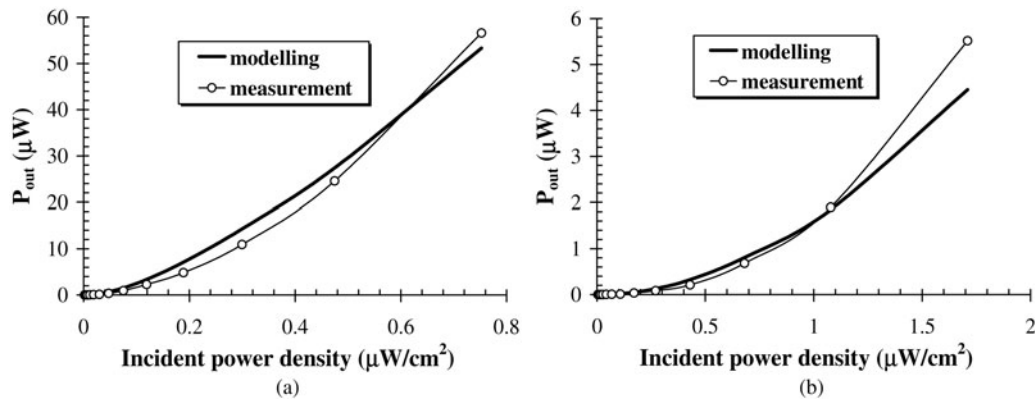


Fig. 19. Measured and simulated converted DC power at (a) 900 MHz and (b) 2450 MHz.

The normalized co-polarized and cross-polarized radiation patterns in the x - z -plane at the center frequencies of each band are plotted in Fig. 15 showing good CP performance. An axial ratio better than 4 dB has been obtained all over the related bands. Similar behaviors are obtained for the y - z -plane and are not reported here for the sake of brevity.

The antenna directivity is roughly constant (3.6 dB, 2.3 dB, and 3.4 dB, in the GSM900, GSM1800, and WiFi bands, respectively), which implies that the effective area is strongly dependent on frequency, as shown in Fig. 16. As a consequence, the incident field power density that is required in order to produce a constant RF available power is strongly frequency dependent, as well. These power densities are also reported in Fig. 16 for $P_{av} = 500 \mu\text{W}$ at the center frequency of each band of interest.

The design of the whole rectenna is carried out for a $[-16 \text{ dBm } +8 \text{ dBm}]$ RF available power range. The large upper bound is chosen in order to guarantee that the rectenna can handle high power levels, which may be encountered in some practical cases, e.g. when it is placed in the close proximity of a radio base station. This choice corresponds to a maximum incident field intensity of 20 V/m. The load resistance R_L that plays a crucial role in achieving the best conversion efficiency is determined inside the same procedure. It is worth noting that $R_L = 6.3 \text{ k}\Omega$ – the optimal value in this case – is meant to be comprehensive of the whole load. A new dynamic-switching conversion scheme based on active control for harvesting energy has been developed and is discussed in detail elsewhere [12].

The optimization results are summarized in Fig. 17, where the converted DC power is plotted against the incident power density and the corresponding available RF power. Efficiency is better than 60% at the center frequency of each band.

The integrated procedure developed in this paper allows the harvesting capabilities under multi-source excitation to be accurately evaluated. Some results are plotted in Fig. 18 for three possible combinations of RF available powers in the three frequency bands. A comparison with the results of Fig. 17 puts into evidence the usefulness of our approach. Indeed, three-tone excitation determines a frequency spectrum with intermodulation products whose effects cannot be *a priori* established, and the multi-source operation cannot be simply predicted as a superposition of three single-tone ones. Furthermore, the complex frequency dependence of the antenna impedance is directly accounted for in this way.

Finally, the multi-source RF harvesting design procedure has been validated by measuring its performance at ultra-low incident power densities. Fig. 19(a) and 19(b) compare the predicted and measured DC output power when harvesting from a GSM900 and a WiFi source, respectively.

IV. CONCLUSIONS

In this paper, we have introduced an integrated numerical approach to the design of single- and multi-band RF power harvesters based on modern non-linear analysis techniques coupled with full-wave EM analysis. The key aspect of our method is that the receiving antenna is described by an exact Norton equivalent circuit consisting of the antenna admittance computed by EM simulation, connected in parallel with a current source evaluated by the reciprocity theorem. This avoids the use of roughly approximate lumped equivalent circuits which are normally unable to describe the antenna performance over broad frequency bands. On the contrary, the new technique allows the antenna-rectifier assembly to be accurately analyzed by non-linear CAD techniques such as multi-tone harmonic balance. This is the only way to rigorously account for the generation of harmonics or intermodulation products in the rectifier nonlinearities, which is particularly relevant in case of simultaneous harvesting from different environmental sources. In addition, this leads in a systematic way to the determination of the optimum supply and load conditions, which is essential when dealing with extremely low power budgets. The procedure has been successfully applied to the design of a number of systems harvesting RF energy from humanized environments. The final goal is to investigate the feasibility of energy-autonomous applications such as sensor nodes or RFID tags that may require power consumption few times per day during readout operation.

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