

Bihomogeneous symmetric functions

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Abstract. We consider two natural gradings on the space of symmetric functions: by degree and by length. We introduce a differential operator T that leaves the components of this double grading invariant and exhibit a basis of bihomogeneous symmetric functions in which this operator is triangular. This allows us to compute the eigenvalues of T, which turn out to be nonnegative integers.

Consider the following second-order differential operator:

$$T = \frac{1}{2} \sum_{\substack{a+b=p+q\\a,b,p,q \ge 1}} x_a x_b \frac{\partial}{\partial x_p} \frac{\partial}{\partial x_q}$$

acting on the Fock space $\mathcal{F} = \mathbb{R}[x_1, x_2, x_3, ...]$. Similar higher-order operators occur in the study of vertex operator algebras, soliton PDEs, and conformal field theory [1–3, 8].

The Fock space \mathcal{F} has two natural \mathbb{Z} -gradings, by degree, with deg $(x_k) = k$, and by length, with len $(x_k) = 1$. Note that the two gradings are given by the eigenspaces of two operators, whose eigenvalues are degree and length, respectively:

$$\sum_{k\geq 1} k x_k \frac{\partial}{\partial x_k} \quad \text{and} \quad \sum_{k\geq 1} x_k \frac{\partial}{\partial x_k}.$$

We can decompose \mathcal{F} into a direct sum according to these gradings:

$$\mathcal{F} = \bigoplus_{d \ge \ell \ge 0} \mathcal{F}(d, \ell),$$

where $\mathcal{F}(d, \ell)$ is the span of all monomials of degree *d* and length ℓ .

Because operator *T* preserves both gradings, the subspaces $\mathcal{F}(d, \ell)$ are *T*-invariant. Rather surprisingly, the eigenvalues of *T* on $\mathcal{F}(d, \ell)$ appear to be nonnegative integers. For example, the spectrum of *T* on $\mathcal{F}(12, 4)$ is

[1, 3, 3, 5, 6, 7, 7, 10, 10, 10, 13, 15, 17, 19, 30].

The goal of this note is to shed light on the pattern of the eigenvalues of *T*. Even though this work began as a purely curiosity-driven research, we are going to see that it led to



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new interesting results on bihomogeneous symmetric functions. We determine algebraic relations between elementary bihomogeneous symmetric functions (Lemma 4) and construct bases in the spaces of such functions (Theorem 3).

The space \mathcal{F} may be viewed as the space of symmetric functions in variables t_1, t_2, t_3, \ldots . Recall that power symmetric functions are

$$p_k = \sum_{i \ge 1} t_i^k, \quad k \ge 1.$$

Then, the algebra of symmetric functions is freely generated by $p_1, p_2, p_3, ...,$ and may be identified with \mathcal{F} via $x_k = \frac{p_k}{k}$. We refer to [5] for the basic properties of symmetric functions that we review here.

Recall also the definitions of the elementary symmetric functions e_k and complete symmetric functions h_k :

$$e_k = \sum_{i_1 < i_2 < \ldots < i_k} t_{i_1} t_{i_2} \ldots t_{i_k}, \quad h_k = \sum_{i_1 \le i_2 \le \ldots \le i_k} t_{i_1} t_{i_2} \ldots t_{i_k}.$$

Introducing the generating series

$$e(z) = 1 + \sum_{k=1}^{\infty} e_k z^k, \quad h(z) = 1 + \sum_{k=1}^{\infty} h_k z^k,$$

one can relate elementary and complete symmetric functions to power symmetric functions via

(1)
$$h(z) = \exp\left(\sum_{k=1}^{\infty} \frac{p_k}{k} z^k\right) = \exp\left(\sum_{k=1}^{\infty} x_k z^k\right)$$

(2)
$$e(z) = \exp\left(\sum_{k=1}^{\infty} (-1)^{k+1} \frac{p_k}{k} z^k\right) = h(-z)^{-1}.$$

With respect to the two gradings on the Fock space, the power symmetric function p_k has degree k and length 1. It follows from (1) and (2) that h_k and e_k have both degree k, but these functions are not homogeneous with respect to the grading given by length. Let us consider decompositions of elementary and complete symmetric functions into bihomogeneous components. In order to do that, we introduce the generating series

(3)
$$h(r,z) = \exp\left(r\sum_{j=1}^{\infty} x_j z^j\right) = 1 + \sum_{d \ge \ell \ge 1} g(d,\ell) r^\ell z^d.$$

Then,

$$h_k = \sum_{\ell \leq k} g(k, \ell), \quad e_k = \sum_{\ell \leq k} (-1)^{k+\ell} g(k, \ell).$$

Note that $g(d, \ell) \in \mathcal{F}(d, \ell)$. We shall see below that $g(d, \ell)$ is an eigenfunction for *T* which corresponds to the dominant eigenvalue on $\mathcal{F}(d, \ell)$. Our plan is to calculate the spectrum of *T* by constructing a bihomogeneous basis of the algebra of symmetric functions which consists of the products of functions $g(d, \ell)$.

Another eigenvalue problem for differential operators with origins in invariant theory and representation theory was studied in [4, 6, 7].

We begin by showing that *T* is diagonalizable.

Proposition 1 Operator T is diagonalizable on \mathcal{F} with real nonnegative eigenvalues.

Proof Introduce a positive-definite scalar product on $\mathbb{R}[x]$ with $\langle x^n, x^m \rangle = n! \delta_{n,m}$. It is easy to check that this scalar product satisfies

$$\left(\frac{d}{dx}f(x),g(x)\right) = \left(f(x),xg(x)\right).$$

Viewing \mathcal{F} as a tensor product of infinitely many copies of $\mathbb{R}[x]$, $\mathcal{F} = \mathbb{R}[x_1] \otimes \mathbb{R}[x_2] \otimes \dots$, we obtain a positive-definite scalar product on \mathcal{F} for which $\frac{\partial}{\partial x_k}$ is adjoint to multiplication by x_k . Then, for $f, g \in \mathcal{F}$

$$\left(\sum_{a+b=p+q} x_a x_b \frac{\partial}{\partial x_p} \frac{\partial}{\partial x_q} f, g\right) = \left(f, \sum_{a+b=p+q} x_q x_p \frac{\partial}{\partial x_b} \frac{\partial}{\partial x_a} g\right),$$

and hence, *T* is a self-adjoint operator. Thus, *T* is diagonalizable on each invariant subspace $\mathcal{F}(d, \ell)$ with real eigenvalues.

Because

$$\langle Tf, f \rangle = \frac{1}{2} \sum_{n=2}^{\infty} \left(\sum_{p+q=n} \frac{\partial}{\partial x_p} \frac{\partial}{\partial x_q} f, \sum_{a+b=n} \frac{\partial}{\partial x_b} \frac{\partial}{\partial x_a} f \right) \ge 0,$$

the eigenvalues of *T* are nonnegative.

Corollary 2 There is an orthonormal basis of \mathcal{F} (with respect to the scalar product introduced in the proof of Proposition 1), consisting of the eigenfunctions of *T*.

The dimension of $\mathcal{F}(d, \ell)$ is equal to the number of partitions of *d* with exactly ℓ parts. Each such partition may be presented as a Young diagram Λ ; for example, the following diagram represents a partition 28 = 7 + 7 + 5 + 4 + 3 + 2 with d = 28 and $\ell = 6$. Parameter ℓ is the number of rows in Λ , whereas *d* is the total number of boxes in Λ .

Let *k* be the number of the diagonal boxes in Λ (shaded boxes in Figure 1). For each diagonal box, consider its *hook*, the boxes in its row to the right of the diagonal box, the boxes in its column below the diagonal box, together with the diagonal box itself. In addition, consider the *leg* of a diagonal box, the boxes in its column together with the diagonal box itself.

In Figure 2, we show the hook and the leg corresponding to the second diagonal box in the above Young diagram Λ .

The hook number d_i and the leg number q_i of a diagonal box are the numbers of boxes in its hook and leg, respectively. For the Young diagram Λ above, the hook and the leg numbers (d_i, q_i) , i = 1, ..., k, are (12, 6), (10, 5), (5, 3), (1, 1).

Note that our definition of the leg number is not quite standard, usually the diagonal box is not included in its leg.



Figure 1: Young diagram.



Figure 2: Hook and leg.

If we denote by a_i the number of boxes in the *i*th row of Λ to the right of the diagonal box, and by b_i the number of boxes in the *i*th column of Λ below the diagonal box, we get the Frobenius presentation of a partition: $(a_1 \dots a_k | b_1 \dots b_k)$.

The hook and leg numbers satisfy $\sum_{i=1}^{k} d_i = d$, $q_1 = \ell$, $d_i - q_i > d_{i+1} - q_{i+1}$, for i < k.

To each diagonal box, we also assign its *leg increment* $\ell_i = q_i - q_{i+1}$, where q_{k+1} is taken to be 0. For the Young diagram in Figure 1, $\ell_1 = 1$, $\ell_2 = 2$, $\ell_3 = 2$, $\ell_4 = 1$. Leg increments satisfy

(4)
$$\sum_{i=1}^{k} \ell_i = \ell, \ d_i > d_{i+1} + \ell_i, \text{ for } i < k, \ d_k \ge \ell_k, \ \ell_1, \dots, \ell_k \ge 1.$$

Note that there is a bijective correspondence between Young diagrams with ℓ rows and sequences $(d_1, \ell_1), \ldots, (d_k, \ell_k)$ satisfying (4).

Theorem 3 The set $S(d, \ell)$ of polynomials $g(d_1, \ell_1)g(d_2, \ell_2) \dots g(d_k, \ell_k)$ satisfying conditions

$$\sum_{i=1}^{k} d_{i} = d, \quad \sum_{i=1}^{k} \ell_{i} = \ell, \quad d_{i} > d_{i+1} + \ell_{i}, \quad for \ i < k, \quad d_{k} \ge \ell_{k}, \quad \ell_{1}, \ldots, \ell_{k} \ge 1,$$

forms a basis of $\mathcal{F}(d, \ell)$, for $d \ge \ell \ge 1$.

Let $\ell', \ell'' \ge 1$. The products $g(d', \ell')g(d'', \ell'')$ with $d'' + \ell' \ge d' \ge d''$ will be called *irregular*, whereas the products with $d' > d'' + \ell'$ will be called *regular*. Here, we set g(0,0) = 1 and consider $g(d, \ell)g(0,0)$ with $d \ge \ell \ge 1$ to be a regular product.

The proof of Theorem 3 will be based on the following lemma.

Lemma 4 Every irregular product $g(d', \ell')g(d'', \ell'')$ with $d'' + \ell' \ge d' \ge d'', \ell', \ell'' \ge 1$, is a linear combination of regular products $g(d_1, \ell_1)g(d_2, \ell_2)$, with $d_1 + d_2 = d' + d''$, $\ell_1 + \ell_2 = \ell' + \ell''$, where either $d_1 > d'$ or $d_1 = d'$ and $\ell_1 < \ell'$.

Proof We will consider the case when d = d' + d'' is odd and $\ell = \ell' + \ell''$ is even, d = 2n + 1, $\ell = 2m$. The cases of other parities are analogous. We can write d' = n + p, d'' = n - p + 1, $2p - 1 \le \ell' \le 2m - 1$, $\ell'' = 2m - \ell'$, $1 \le p \le m$.

We will use a decreasing induction in *p*. As a basis of induction, we may choose p = m + 1, in which case all products are regular and there is nothing to prove. Let us carry out the step of induction. We assume that the claim of the lemma holds for irregular products $g(d_1, \ell_1)g(d_2, \ell_2)$ with $d_1 > d'$.

Consider the generating function

(5)
$$\left[\prod_{i=1}^{2p-1} \left(z\frac{d}{dz} + p - n - i\right) \prod_{\substack{j=2p-1\\ j\neq \ell'}}^{2m-1} \left(r\frac{d}{dr} - j\right) h(r,z)\right] h(-r,z).$$

Because

$$r\frac{d}{dr}h(r,z) = r\left(\sum_{j=1}^{\infty} x_j z^j\right)h(r,z), \quad z\frac{d}{dz}h(r,z) = r\left(\sum_{j=1}^{\infty} j x_j z^j\right)h(r,z)$$

and $h(-r, z) = h(r, z)^{-1}$, we see that (5) is a polynomial in *r*. Because the total number of derivatives is $\ell - 1$, it is in fact a polynomial in *r* of degree $\ell - 1$. Hence, the coefficient at $z^d r^\ell$ in (5) is equal to 0. Expanding h(r, z) and h(-r, z) as in (3), and extracting the coefficient at $z^d r^\ell$, we get an identity

(6)
$$\sum_{\substack{d_1+d_2=d\\\ell_1+\ell_2=\ell}} (-1)^{\ell_2} \prod_{i=1}^{2p-1} (d_1+p-n-i) \prod_{\substack{j=2p-1\\j\neq\ell'}}^{2m-1} (\ell_1-j) g(d_1,\ell_1) g(d_2,\ell_2) = 0.$$

Note that the terms in (6) with $n - p + 1 \le d_1 \le n + p - 1$ vanish. If $d_1 > n + p - 1$, then $d_1 \ge d'$, and in case when $d_1 < n - p + 1$, we get $d_2 > d'$. If we look at the terms in (6) with $d_1 = d'$, all such irregular terms will vanish due to factors $(\ell_1 - j)$, except for $g(d', \ell')g(d'', \ell'')$. Thus, we can use (6) to express $g(d', \ell')g(d'', \ell'')$ as a linear combination of regular products and those irregular products for which the claim of the lemma holds by the induction assumption. All regular products in the expansion of $g(d', \ell')g(d'', \ell'')$ will have $d_1 > d'$ or $d_1 = d'$ and $\ell_1 < \ell'$. This completes the proof of the lemma.

Let us order the set of pairs (d, ℓ) as follows: $(d_1, \ell_1) > (d_2, \ell_2)$ if either $d_1 > d_2$ or $d_1 = d_2$ and $\ell_1 < \ell_2$. Consider the set $\widehat{S}(d, \ell)$ of ordered products

$$g(d_1, \ell_1)g(d_2, \ell_2) \dots g(d_k, \ell_k) \quad \text{with} \quad (d_1, \ell_1) \ge (d_2, \ell_2) \ge \dots \ge (d_k, \ell_k), \quad \sum_{i=1}^k d_i = d_i$$
$$\sum_{i=1}^k \ell_i = \ell, \, \ell_i \ge 1. \text{ Introduce a lexicographic order on } \widehat{S}(d, \ell):$$

$$g(d'_1, \ell'_1)g(d'_2, \ell'_2) \dots g(d'_k, \ell'_k) \succ g(d''_1, \ell''_1)g(d''_2, \ell''_2) \dots g(d''_k, \ell''_k)$$

if for some m, $(d'_i, \ell'_i) = (d''_i, \ell''_i)$, for i = 1, ..., m - 1, and $(d'_m, \ell'_m) > (d''_m, \ell''_m)$.

Now, we can prove Theorem 3. The set $\widehat{S}(d, \ell)$ clearly spans the space $\mathcal{F}(d, \ell)$, because $g(p, 1) = x_p$ and $\mathcal{F}(d, \ell)$ is spanned by monomials. It follows from Lemma 4 that every product from $\widehat{S}(d, \ell)$, which is not in $S(d, \ell)$ may be expressed as a linear combination of the elements of $\widehat{S}(d, \ell)$ which are greater in the lexicographic order. By induction with respect to this ordering, we conclude that

$$\mathcal{F}(d,\ell) = \operatorname{Span} S(d,\ell) = \operatorname{Span} S(d,\ell).$$

However, elements of $S(d, \ell)$ are parameterized by Young diagrams with *d* boxes and ℓ rows. Hence, $|S(d, \ell)| = \dim \mathcal{F}(d, \ell)$, and $S(d, \ell)$ is a basis of $\mathcal{F}(d, \ell)$. This completes the proof of Theorem 3.

Let us compute the eigenvalues of the differential operator *T*.

Theorem 5 Eigenvalues of the operator

$$T = \frac{1}{2} \sum_{\substack{a+b=p+q\\a,b,p,q \ge 1}} x_a x_b \frac{\partial}{\partial x_p} \frac{\partial}{\partial x_q}$$

on $\mathfrak{F}(d, \ell), \ell \geq 1$, are parameterized by sequences $(d_1, \ell_1), (d_2, \ell_2), \ldots, (d_k, \ell_k)$ with

$$d_i > d_{i+1} + \ell_i, \ i = 1, \dots, k-1, \ d_k \ge \ell_k, \ \sum_{i=1}^k d_i = d, \ \sum_{i=1}^k \ell_i = \ell, \ \ell_1, \dots, \ell_k \ge 1.$$

The corresponding eigenvalue is

$$\lambda = \frac{1}{2} \sum_{i=1}^{k} (\ell_i - 1) (2d_i - \ell_i).$$

Proof We are going to show that the matrix of the operator *T* is upper-triangular in the basis $S(d, \ell)$ ordered by >. Then, the spectrum of *T* is given by the diagonal of this matrix.

Consider the generating functions

$$X_i = r_i \sum_{j=1}^{\infty} x_j z_i^j$$

and

$$E = \exp\left(\sum_{i=1}^{\infty} X_i\right) = \exp\left(\sum_{i=1}^{\infty} r_i \sum_{j=1}^{\infty} x_j z_i^j\right).$$

The product $g(d_1, \ell_1) \dots g(d_k, \ell_k)$ is the coefficient at $z_1^{d_1} \dots z_k^{d_k} r_1^{\ell_1} \dots r_k^{\ell_k}$ in *E*. Let us apply operator *T* to the generating function *E*:

$$\begin{split} TE &= \frac{1}{2} \sum_{n=2}^{\infty} \left(\sum_{a+b=n} x_a x_b \right) \sum_{p+q=n} \frac{\partial}{\partial x_p} \frac{\partial}{\partial x_q} E \\ &= \frac{1}{2} \sum_{n=2}^{\infty} \left(\sum_{a+b=n} x_a x_b \right) \sum_{p+q=n} \left(\sum_{i=1}^{\infty} r_i z_i^p \right) \left(\sum_{j=1}^{\infty} r_j z_j^q \right) E \\ &= \frac{1}{2} \sum_{i=1}^{\infty} r_i^2 \sum_{n=2}^{\infty} \left(\sum_{a+b=n} x_a x_b \right) \sum_{p+q=n} z_i^{p+q} E \\ &+ \sum_{i$$

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	d, ℓ	Partition	Eigenfunction	Eigenvalue
İ	4, 2	3, 1	$2x_1x_3 + x_2^2$	3
		2, 2	$x_1x_3 - x_2^2$	0
	5, 2	4,1	$x_1x_4 + x_2x_3$	4
		3, 2	$x_1x_4 - x_2x_3$	0
	5, 3	3, 1, 1	$x_1^2 x_3 + x_1 x_2^2$	7
		2, 2, 1	$x_1^2 x_3 - x_1 x_2^2$	3
	6,2	5,1	$2x_1x_5 + 2x_2x_4 + x_3^2$	5
		4, 2	$3x_1x_5 - 2x_2x_4 - x_3^2$	0
		3, 3	$x_2x_4 - x_3^2$	0
	6,3	4, 1, 1	$3x_1^2x_4 + 6x_1x_2x_3 + x_2^3$	9
		3, 2, 1	$2x_1^2x_4 - x_1x_2x_3 - x_2^3$	4
		2, 2, 2	$x_1^2 x_4 - 2x_1 x_2 x_3 + x_2^3$	1
	6,4	3, 1, 1, 1	$2x_1^3x_3 + 3x_1^2x_2^2$	12
		2, 2, 1, 1	$x_1^3 x_3 - x_1^2 x_2^2$	7
	7, 2	6,1	$x_1x_6 + x_2x_5 + x_3x_4$	6
		5, 2	$2x_1x_6 - x_2x_5 - x_3x_4$	0
		4, 3	$x_2x_5 - x_3x_4$	0
	7, 3	5, 1, 1	$x_1^2 x_5 + 2x_1 x_2 x_4 + x_1 x_3^2 + x_2^2 x_3$	11
		4, 2, 1	$x_1^2 x_5 - x_2^2 x_3$	5
		3, 2, 2	$3x_1^2x_5 - 4x_1x_2x_4 - 2x_1x_3^2 + 3x_2^2x_3$	1
		3, 3, 1	$x_1x_2x_4 - x_1x_3^2$	4
	7, 4	4, 1, 1, 1	$x_1^3 x_4 + 3x_1^2 x_2 x_3 + x_1 x_2^3$	15
		3, 2, 1, 1	$x_1^3 x_4 - x_1 x_2^3$	9
		2, 2, 2, 1	$x_1^3 x_4 - 2x_1^2 x_2 x_3 + x_1 x_2^3$	5
	7, 5	3, 1, 1, 1, 1	$x_1^4 x_3 + 2x_1^3 x_2^2$	18
		2, 2, 1, 1, 1	$x_1^4 x_3 - x_1^3 x_2^2$	12

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To get the formula for the action of *T* on the elements of $S(d, \ell)$, we extract the coefficient at $z_1^{d_1} \dots z_k^{d_k} r_1^{\ell_1} \dots r_k^{\ell_k}$ in *TE*:

$$Tg(d_{1}, \ell_{1})g(d_{2}, \ell_{2}) \dots g(d_{k}, \ell_{k})$$

$$= \sum_{i=1}^{k} (\ell_{i} - 1) \left(d_{i} - \frac{\ell_{i}}{2} \right) g(d_{1}, \ell_{1}) g(d_{2}, \ell_{2}) \dots g(d_{k}, \ell_{k})$$

$$- \sum_{i < j} \sum_{p=0}^{\infty} \ell_{j}(\ell_{j} + 1) g(d_{1}, \ell_{1}) \dots g(d_{i} + p, \ell_{i} - 1) \dots g(d_{j} - p, \ell_{j} + 1) \dots g(d_{k}, \ell_{k})$$

$$+ \sum_{i < j} \sum_{p=1}^{\infty} \ell_{i}(\ell_{i} + 1) g(d_{1}, \ell_{1}) \dots g(d_{i} + p, \ell_{i} + 1) \dots g(d_{j} - p, \ell_{j} - 1) \dots g(d_{k}, \ell_{k}).$$

The first part in the above expression yields the diagonal part of *T* with the eigenvalue $\lambda = \frac{1}{2} \sum_{i=1}^{k} (\ell_i - 1)(2d_i - \ell_i)$, while the last two sums, when expanded in the basis $S(d, \ell)$ applying Lemma 4 whenever necessary, only contain terms that are

strictly greater than $g(d_1, \ell_1)g(d_2, \ell_2) \dots g(d_k, \ell_k)$ with respect to the lexicographic order >. This completes the proof of the theorem.

It follows from the proof of Theorem 5 that $g(d, \ell)$ is the eigenfunction for the operator *T* with the eigenvalue $\lambda = \frac{1}{2}(\ell - 1)(2d - \ell)$, which is the dominant eigenvalue on $\mathcal{F}(d, \ell)$.

We observe that 0 is an eigenvalue of *T* on $\mathcal{F}(d, \ell)$ if and only if $d \ge \ell^2$.

We can obtain an orthogonal basis of eigenfunctions for *T* in $\mathcal{F}(d, \ell)$ from the ordered basis $S(d, \ell)$ using the Gram–Schmidt procedure.

It was pointed out by the referee of this paper that the eigenvalue of T corresponding to a given partition may be written using its Frobenius presentation in the following form:

$$\lambda = \frac{\ell(\ell-1)}{2} + \sum_{i=1}^{k} a_i b_i - \sum_{i=1}^{k-1} (a_i+1)(b_{i+1}+1).$$

In conclusion, we list in Table 1 eigenfunctions of *T* corresponding to partitions with $d \le 7$. We only present these for spaces $\mathcal{F}(d, \ell)$ of dimension greater than 1. We normalize the eigenfunctions in a way to make the coefficients to be relatively prime integers. As a result, listed symmetric functions are orthogonal to each other but do not have norm 1. For each pair (d, ℓ) , partitions are listed in a decreasing order with respect to linear order >.

We recall that, in our notations, $x_k = p_k/k$, where p_k is the power symmetric function.

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