

Direct numerical simulation of open-channel flow over smooth-to-rough and rough-to-smooth step changes

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Direct numerical simulations (DNS) are reported for open-channel flow over streamwise-alternating patches of smooth and fully rough walls. The rough patch is a three-dimensional sinusoidal surface. Owing to the streamwise periodicity, the flow configuration consists of a step change from smooth to rough, and a step change from rough to smooth. The friction Reynolds number varies from 437 over the smooth patch to 704 over the rough patch. Through the fully resolved DNS dataset it is possible to explore many detailed aspects of this flow. Two aspects motivate this work. The first one is the equilibrium assumption that has been widely used in both experiments and computations. However, it is not clear where this assumption is valid. The detailed DNS data reveal a significant departure from equilibrium, in particular over the smooth patch. Over this patch, the mean velocity is recovered up to the beginning of the log layer after a fetch of five times the channel height. However, over the rough patch, the same recovery level is reached after a fetch of two times the channel height. This conclusion is arrived at by assuming that an error of up to 5% is acceptable and the log layer, classically, starts from 30 wall units above the wall. The second aspect is the reported internal boundary-layer (IBL) growth rates in the literature, which are inconsistent with each other. This is conjectured to be partly caused by the diverse IBL definitions. Five common definitions are applied for the same DNS dataset. The resulting IBL thicknesses are different by 100%, and their apparent power-law exponents are different by 50%. The IBL concept, as a layer within which the flow feels the surface underneath, is taken as the basis to search for the proper definition. The definition based on the logarithmic slope of the velocity profile, as proposed by Elliot (*Trans. Am. Geophys. Union*, vol. 39, 1958, pp. 1048–1054), yields better consistency with this concept based on turbulence characteristics.

Key words: turbulence simulation, turbulent boundary layers

1. Introduction

Changes in surface roughness occur in many fabricated or natural applications. Examples include the edges of forests, wind farms or the bio-fouled patches on a

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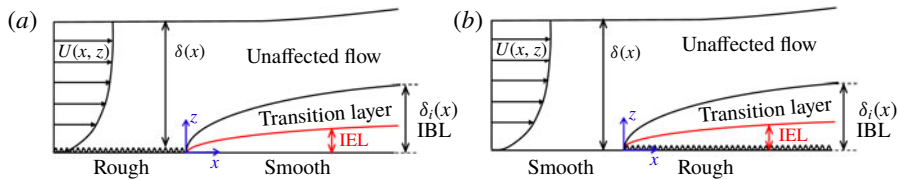


FIGURE 1. (Colour online) Schematic representation of the IBL, IEL and transition layer, adopted from Savelyev & Taylor (2005), for a boundary layer over (a) a rough-to-smooth step change and (b) a smooth-to-rough step change. Here $U(x, z)$ denotes the streamwise velocity, averaged over time and spanwise direction, and $\delta(x)$ and $\delta_i(x)$ are the boundary layer and IBL thicknesses, respectively.

ship hull. Surface change may occur in the streamwise direction (Antonia & Luxton 1971), spanwise direction (Anderson *et al.* 2015) or oblique to the flow direction. In more complex cases, a combination of these surface changes may occur (Bou-Zeid, Parlange & Meneveau 2007; Yang & Meneveau 2017). This study investigates the streamwise step change from a smooth surface to a rough surface, and *vice versa*, collectively referred to as streamwise-varying roughness.

Streamwise-varying roughness triggers various flow phenomena. Following the surface change the near-wall flow deviates from equilibrium. Depending on the surface change from smooth to rough or rough to smooth the surface drag increases or decreases. Consequently, the near-wall flow decelerates during smooth-to-rough surface change (Antonia & Luxton 1971; Efros & Krogstad 2011) and accelerates during rough-to-smooth surface change (Antonia & Luxton 1972; Mulhearn 1978). While the near-wall flow is affected by the new surface, the flow away from the wall still carries the history from the upstream surface (figure 1). The near-wall layer that is influenced by, but not necessarily in equilibrium with, the new surface is known as the internal boundary layer (IBL) (Kaimal & Finnigan 1994; Brutsaert 1998; Savelyev & Taylor 2005). The IBL thickness δ_i is the maximum height up to which the new surface effect is present, and separates the affected and unaffected regions. The lower part of the IBL that has reached equilibrium with the new surface is referred to as the internal equilibrium layer (IEL). The flow is still transitioning above the IEL and below δ_i (figure 1). The IEL is not the focus of this study and only the IBL is discussed. The IBL grows until it reaches the boundary-layer edge. At that point the flow recovers to a new equilibrium across the whole boundary layer. The recovery length depends on various factors including the surface properties, the Reynolds number and the quantity of interest (Antonia & Luxton 1971).

Streamwise-varying roughness has been investigated theoretically, numerically and experimentally (wind tunnel or field measurements). Here, only the numerical and wind tunnel experimental studies are reviewed, as being within the scope of this article. For interested readers some theoretical studies are those of Elliott (1958), Panofsky & Townsend (1964) and Calaf, Meneveau & Meyers (2010), and some field experiments are those of Miyake (1965), Bradley (1968) and Munro & Oke (1975).

The wind tunnel experiments were conducted over a fabricated rough-to-smooth surface change, or *vice versa*. The roughness geometries were composed of square bars (Antonia & Luxton 1971, 1972; Efros & Krogstad 2011; Jacobi & McKeon 2011), grit roughness (Hanson & Ganapathisubramani 2016) or mesh roughness (Carper & Porté-Agel 2008; Chamorro & Porté-Agel 2009; Hanson & Ganapathisubramani 2016). The measuring devices varied depending on the parameter

of interest. For the mean or root-mean-square (r.m.s.) velocity, studies used hot-wire anemometry (Antonia & Luxton 1971, 1972; Cheng & Castro 2002; Chamorro & Porté-Agel 2009; Hanson & Ganapathisubramani 2016), laser Doppler anemometry (Loureiro *et al.* 2010; Efros & Krogstad 2011) or particle image velocimetry (Carper & Porté-Agel 2008; Jacobi & McKeon 2011). To measure the wall shear stress, due to the measurement difficulties over rough surfaces, the smooth surface following the rough-to-smooth step change was mostly emphasised (figure 1*a*). Studies have used the Preston tube (Antonia & Luxton 1972; Hanson & Ganapathisubramani 2016), Clauser fitting (Carper & Porté-Agel 2008) or velocity gradient at the nearest measured point to the wall (Chamorro & Porté-Agel 2009; Jacobi & McKeon 2011).

The computational studies have mostly used wall-modelled large-eddy simulation (WMLES) or Reynolds-averaged Navier–Stokes. For WMLES, the near-wall region and hence the rough surface are modelled with a wall model. The commonly used wall model is the equilibrium logarithmic law of the wall (Bou-Zeid, Meneveau & Parlange 2004; Silva-Lopes, Palma & Piomelli 2015), and its extension to non-neutral flow using Monin–Obukhov similarity theory (Albertson & Parlange 1999*a,b*; Lin & Glendening 2002; Stoll & Porté-Agel 2006). The only fully resolved studies were the direct numerical simulations (DNS) by Lee (2015) and Ismail, Zaki & Durbin (2018). In both studies, the rough surface was composed of square bars. However, Lee (2015) considered a smooth-to-rough step change in a boundary layer, while Ismail *et al.* (2018) considered a rough-to-smooth step change in a channel flow.

The computational studies differ from the wind tunnel experiments in two aspects. First is the flow configuration, which is boundary layer in the experiments, while it is typically full-channel or open-channel flow in the computations. Second is Re_τ , which is of the order of 10^3 in the experiments (Antonia & Luxton 1971; Hanson & Ganapathisubramani 2016), while it is of the order of 10^5 – 10^6 in the WMLES studies (Miller & Stoll 2013; Silva-Lopes *et al.* 2015) and about 200–1000 in the DNS studies (Lee 2015; Ismail *et al.* 2018).

All the previous studies are invaluable in understanding the physics of the streamwise-varying roughness. Some aspects of this flow demand high-fidelity three-dimensional datasets. Two of these aspects that motivate this article are outlined below.

(i) *Equilibrium assumption.* In most of the experimental or numerical studies, the measurements/calculations are performed from a certain height z^+ (in wall units) above the wall. Consequently, the missing near-wall region is modelled mostly with an equilibrium assumption. For instance, Carper & Porté-Agel (2008) carried out a particle image velocimetry study of the rough-to-smooth surface change at $Re_\tau \simeq 8800$. The first measured point was at $z^+ \simeq 88$. Therefore, a Clauser fit was used to estimate the wall shear stress. Antonia & Luxton (1972) studied a rough-to-smooth step change at $Re_\tau \simeq 1700$. They used a Preston tube to measure the wall shear stress. The tube diameter was $D^+ \simeq 95$, implying that the equilibrium assumption was used up to $z^+ \simeq 95$. They noticed a 25% difference between the Preston tube wall shear stress and the Clauser fit wall shear stress. Hanson & Ganapathisubramani (2016) studied a rough-to-smooth step change with Re_τ close to that of Antonia & Luxton (1972). They also used a Preston tube with D^+ close to that of Antonia & Luxton (1972). They obtained wall shear stress close to that of Antonia & Luxton (1972). Jacobi & McKeon (2011) studied a perturbed boundary layer by a short rough patch at $Re_\tau \simeq 970$ – 1200 . They measured the flow over the downstream smooth surface down to $z^+ \simeq 3$. They conjectured that the viscous sublayer departed from equilibrium. Therefore, they instead used the wall shear stress of a canonical boundary layer for

inner scaling. In the computational studies with WMLES, Reynolds number is high. Therefore, equilibrium is assumed for a larger extent of the wall layer. For instance, in Saito & Pullin (2014) at $Re_\tau \simeq 2 \times 10^4 - 2 \times 10^6$ the first grid point was at $z^+ \simeq 410$. In Silva-Lopes *et al.* (2015) at $Re_\tau = 1.5 \times 10^5$ the first grid point was at $z^+ \simeq 260$. Despite the extensive use of the equilibrium assumption, it is not clear where this assumption is valid.

(ii) *Internal boundary layer.* The IBL thickness δ_i has been quantified based on many definitions (table 1 of Savelyev & Taylor 2005). Thickness δ_i and its growth rate (mostly described with a power law $\delta_i \propto x^\alpha$) appear to depend on the definition of δ_i . The studies that adopted the same definition obtained close α . However, those that used different definitions, despite the similar flow conditions, obtained different α . For instance, Cheng & Castro (2002) and Lee (2015) studied a smooth-to-rough step change at $Re_\tau = 2500$ and 180. They used the same definition (Pendergrass & Arya 1984) and obtained close α (0.33, 0.22). Antonia & Luxton (1971) and Win, Mochizuki & Kameda (2010) studied a smooth-to-rough step change at $Re_\tau = 2200$ and 2600. They used the same definition (Antonia & Luxton 1971) and obtained close α (0.72, 0.8). From these two pairs of studies, comparing Antonia & Luxton (1971) with Cheng & Castro (2002), the reported α differ by more than two times. However, both considered a smooth-to-rough step change at close Re_τ . It is conjectured that the definition of δ_i is a major cause of discrepancy. A separate study that investigates this possibility is still missing.

This article aims to address the two above-mentioned aspects. For this purpose, DNS of open-channel flow are performed with a bottom wall equally paved with smooth and rough patches. The presented DNS differ from Lee (2015) and Ismail *et al.* (2018) in two aspects. First, the roughness here is a three-dimensional sinusoidal wall with the mean roughness height aligned with the smooth patch (figure 2). In Lee (2015) and Ismail *et al.* (2018) roughness is made of square bars with the mean height above the smooth patch. Second, here with the streamwise periodicity both rough-to-smooth and smooth-to-rough step changes are studied simultaneously. In contrast, Lee (2015) only considered a smooth-to-rough step change, and Ismail *et al.* (2018) only considered a rough-to-smooth step change. After describing the DNS set-up (§ 2), the results section starts with the domain-length study (§ 3.1). Then, the equilibrium assumption is investigated (§ 3.2). Finally, the definitions of δ_i are thoroughly studied to search for the most physically consistent choice (§ 3.3).

2. Direct numerical simulation

The continuity and Navier–Stokes equations are solved in this study:

$$\frac{\partial u_i}{\partial x_i} = 0, \quad \frac{\partial u_i}{\partial t} + \frac{\partial u_i u_j}{\partial x_j} = G\delta_{i1} - \frac{1}{\rho} \frac{\partial \tilde{p}}{\partial x_i} + \nu \frac{\partial^2 u_i}{\partial x_j^2}, \quad (2.1a,b)$$

where x_1, x_2 and x_3 (or x, y and z) are the streamwise, spanwise and wall-normal directions corresponding to the velocity components u_1, u_2 and u_3 (or u, v and w), respectively. The pressure gradient $\partial p / \partial x_i$ has been decomposed into the constant volume and time-averaged driving part $-\rho G$, and the periodic part $\partial \tilde{p} / \partial x_i$.

Open-channel flow is the computational domain (figure 2). The bottom surface is equally divided between the smooth and rough patches. The smooth surface is aligned with the mean roughness height (figure 2a), and the z -coordinate origin is placed at

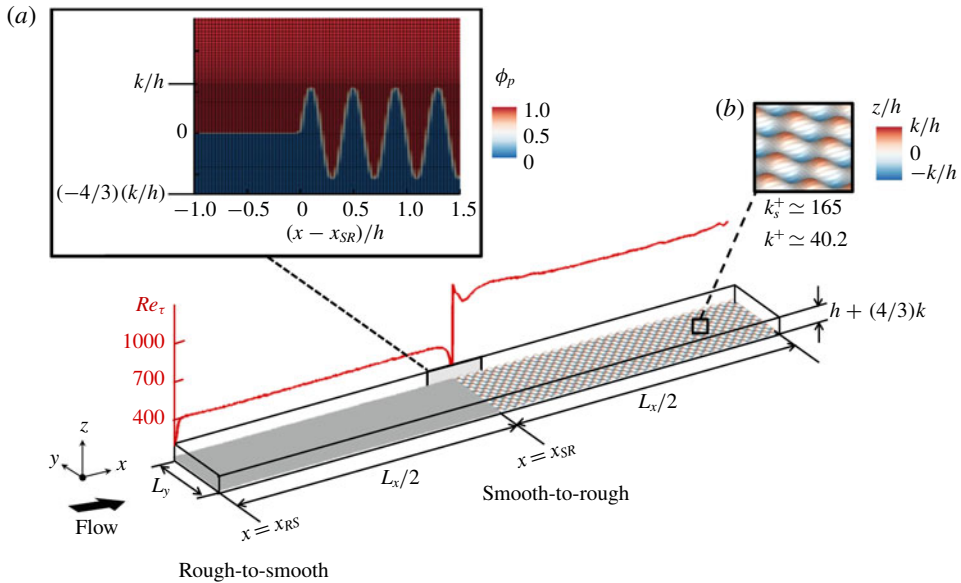


FIGURE 2. (Colour online) Computational domain equally divided between the smooth and rough patches. The bottom solid surface is identified with iso-surface of $\phi_p = 0.5$. Here ϕ_p is the volume of fluid for the pressure cells (appendix A). (a) Side view of the domain, at the smooth-to-rough surface change overlaid by the grid. (b) The roughness elements, coloured by z/h . The red curve is the friction Reynolds number $Re_\tau \equiv u_\tau h/\nu$ based on the local u_τ .

the aligned height. The distance between the aligned height and the top boundary is denoted by h . Periodic boundary conditions are imposed in the streamwise and spanwise directions. No-slip boundary condition is imposed on the bottom surface through an immersed boundary method (IBM; appendix A) and free-slip boundary condition is imposed on the top boundary. Parameter G in (2.1b) is chosen such that the global Reynolds number $Re_{\tau_0} \equiv u_{\tau_0} h/\nu = 590$, where u_{τ_0} is the friction velocity based on the total bottom wall drag, averaged over time and the entire bottom surface. Similar to a homogeneous channel flow, $G = u_{\tau_0}^2/h$. However, local $Re_\tau \equiv u_\tau h/\nu$ (based on local u_τ) varies from about 700 over the rough patch to about 430 over the smooth patch (figure 2). The local u_τ accounts for both the viscous and form (pressure) drags, which is calculated by integrating the IBM force (appendix A). The bulk velocity is constant in each streamwise location. Therefore, the rough patch exerts a larger drag (larger u_τ) than the smooth patch. In other words, $Re_\tau > 590$ over the rough patch and $Re_\tau < 590$ over the smooth patch.

The rough patch (figure 2b) is made of ‘egg-carton’ roughness (Chan *et al.* 2015; Chung *et al.* 2015). The roughness surface z_r is the following sinusoidal function:

$$z_r = k \cos(2\pi x/\lambda) \cos(2\pi y/\lambda), \quad (2.2)$$

where $k = 0.056h$ and $\lambda = 7.1k$ are the roughness height and wavelength, respectively. For the ‘egg-carton’ roughness, Chan *et al.* (2015) found that the mean roughness height is an appropriate choice for the virtual origin. Furthermore, Chan *et al.* (2015) and Chung *et al.* (2015), by fitting the data of this roughness geometry in the fully rough regime, obtained the equivalent sand-grain roughness $k_s \simeq 4.1k$. Therefore, with

| Case | L_x/h | $N_x \times N_y \times N_z$ | $\Delta_{x_s}^+, \Delta_{x_r}^+$ | $\Delta_{y_s}^+, \Delta_{y_r}^+$ | $\Delta_{z_s}^+ _0, \Delta_{z_r}^+ _0$ | λ/Δ_x | λ/Δ_y |
|------|---------|------------------------------|----------------------------------|----------------------------------|--|--------------------|--------------------|
| 6h | 6.06 | $384 \times 384 \times 400$ | 6.9, 11.9 | 3.6, 6.3 | 0.3, 0.5 | 25.2 | 48.0 |
| 12h | 12.03 | $768 \times 384 \times 400$ | 6.7, 11.5 | 3.5, 6.1 | 0.2, 0.4 | 25.4 | 48.0 |
| 24h | 23.96 | $1536 \times 384 \times 400$ | 6.7, 11.9 | 3.5, 6.3 | 0.2, 0.5 | 25.5 | 48.0 |

TABLE 1. Domain size and grid resolution information. For all cases $Re_{\tau_0} = 590$ (based on the global u_{τ_0} and h) and $L_y/h = 3.1808$. Parameters $\Delta_{x_s}^+, \Delta_{y_s}^+$ and $\Delta_{z_s}^+|_0$ are scaled by u_τ at a fetch of $2h$ over the smooth patch. Parameters $\Delta_{x_r}^+, \Delta_{y_r}^+$ and $\Delta_{z_r}^+|_0$ are scaled by u_τ at a fetch of $2h$ over the rough patch. Here $\Delta_{z_s}^+|_0, \Delta_{z_r}^+|_0$ are the near-wall Δz^+ at $z=0$. Parameters λ/Δ_x and λ/Δ_y indicate the number of grid points per roughness wavelength in the streamwise and spanwise directions, respectively.

the current set-up, the flow falls into the fully rough regime over the rough patch, $k_s^+ \simeq 165$. For further information on the geometric characteristics of this type of roughness, the reader is referred to table 2 of Chan *et al.* (2015).

Equations (2.1a,b) are integrated in time using the fractional-step algorithm (Perot 1993). The time-marching scheme is the third-order Runge–Kutta (Spalart, Moser & Rogers 1991). Spatial discretisation is the fully conservative fourth-order symmetry-preserving scheme of Verstappen & Veldman (2003). Appendix A contains details of the numerical scheme, IBM and verification against a body-conforming grid solver.

Three cases are considered whose domain sizes and grid resolutions are listed in table 1. For these cases all the input parameters are the same except the domain lengths (6h, 12h and 24h). Uniform grid spacing is used in the streamwise and spanwise directions. For the wall-normal grid, a uniform distribution with $\Delta_z u_{\tau_0}/\nu = 0.35$ is generated up to the roughness crest, and then is stretched up to the top boundary in a tangent-hyperbolic mapping (figure 2a). The grid sizes are normalised by the local u_τ at a fetch of $2h$ over the smooth patch ($\Delta_{x_s}^+, \Delta_{y_s}^+, \Delta_{z_s}^+|_0$) and at a fetch of $2h$ over the rough patch ($\Delta_{x_r}^+, \Delta_{y_r}^+, \Delta_{z_r}^+|_0$). The reason for measuring the resolution at a distance of $2h$ is the small variation in the local u_τ (less than 6%) beyond a fetch of $2h$. The choices of the resolutions in table 1 are from various verification studies (appendix A).

To ease the discussion, the x -coordinate at the rough-to-smooth step change is x_{RS} and at the smooth-to-rough step change is x_{SR} (figure 2). The statistics over the smooth patch are averaged over time and spanwise directions. Over the rough patch, first the statistics are averaged over time and spanwise directions, considering only the in-fluid cells. Then they are streamwise-averaged from a distance of $\lambda/2$ upstream to $\lambda/2$ downstream. For locations with distances less than $\lambda/2$ to x_{SR} or x_{RS} , the averaging window is constrained by the distance to x_{SR} or x_{RS} . Throughout this article U, W and P denote the streamwise and wall-normal mean velocities and mean pressure, respectively. Parameters u_{rms}, v_{rms} and w_{rms} are the r.m.s. of streamwise, spanwise and wall-normal fluctuating velocities, respectively. All these statistics are averaged following the described procedure. Also $\langle \cdot \rangle$ by default denotes the same averaging procedure (i.e. $\langle u \rangle = U$), unless it appears with a subscript (i.e. $\langle u \rangle_t$ is time-averaged u). All the parameters in plus units $(\cdot)^+$ are normalised by the local u_τ and ν (where u_τ is averaged following the averaging procedure described).

3. Results

The results are presented in three subsections. In § 3.1 the parameters of interest are shown insensitive to the domain length and streamwise periodicity. In § 3.2

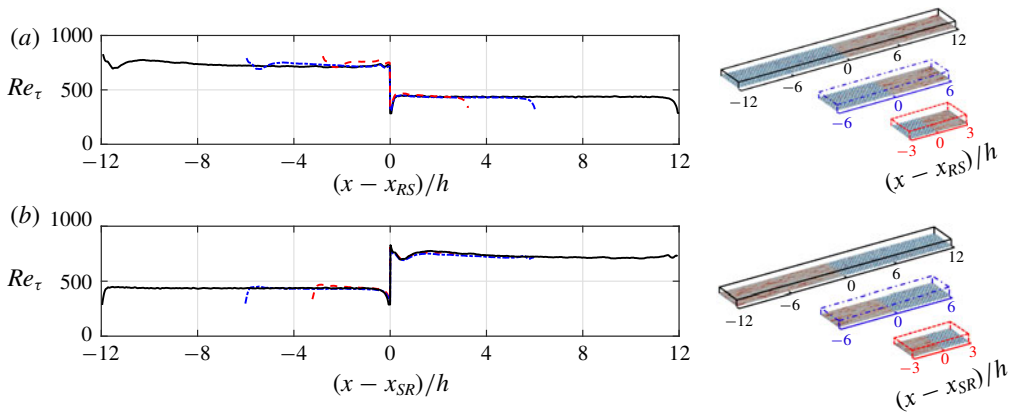


FIGURE 3. (Colour online) Comparison of the local Re_τ between cases $6h$ (---), $12h$ (-·-·-) and $24h$ (—), the cases being shown on the right. Comparison over (a) the smooth patch and (b) the rough patch. The x -origin is placed at (a) x_{RS} and (b) x_{SR} .

the equilibrium assumption and its range of validity are studied. Finally, in § 3.3 definitions of δ_i are studied to find the most physical choice.

3.1. Domain-length effect

In the streamwise-varying roughness, flow recovery is slow (§ 3.2). Full recovery is reached after a fetch of $64h$ (Saito & Pullin 2014). Consequently, the previous wind tunnel experiments (Antonia & Luxton 1971; Hanson & Ganapathisubramani 2016) or DNS studies (Lee 2015; Ismail *et al.* 2018) do not reach full recovery due to development lengths that are less than 20δ (or $20h$). However, full recovery is not the focus of this study. The focus here is on the flow within the IBL in the near field of the surface transition. Given the finite patch length and streamwise periodicity, the unrecovered flow prior to the surface change will in general influence the downstream flow. However, Bou-Zeid, Meneveau & Parlange (2005) also simulated step changes in a periodic open-channel set-up to replicate the measurements of Bradley (1968). They argued that the near-wall flow (within the IBL) was insensitive to domain periodicity. Here, this insensitivity is verified by comparing the three domain lengths of table 1. Additionally, in appendix B the case of $12h$ is compared with a non-periodic rough-to-smooth case, where fully recovered flow over a rough wall is imposed to the inlet, at the beginning of the smooth patch.

The patch-length effect on Re_τ is studied over the smooth patch (figure 3a) and the rough patch (figure 3b). The origin has been placed at the beginning of the corresponding patch, to better isolate the domain-length effect. Except the shortest domain length (case $6h$), the two longer cases yield almost identical Re_τ over both the smooth patch (figure 3a) and the rough patch (figure 3b). Even the maximum difference between case $6h$ and the two longer cases is only 6.7% (near x_{RS}).

The patch-length effect on U^+ and u_{rms}^+ is studied over the smooth patch (figure 4) and the rough patch (figure 5). The IBL thickness δ_E (—○—) (defined by Elliott (1958) and discussed in § 3.3) has been overlaid on the contour lines. Within the IBL, cases $12h$ and $24h$ yield almost identical U^+ and u_{rms}^+ . This is better demonstrated by comparing the U^+ and u_{rms}^+ profiles up to a fetch of $2.5h$ over the smooth patch (figure 4b,d) and over the rough patch (figure 5b,d). Within the IBL, the maximum

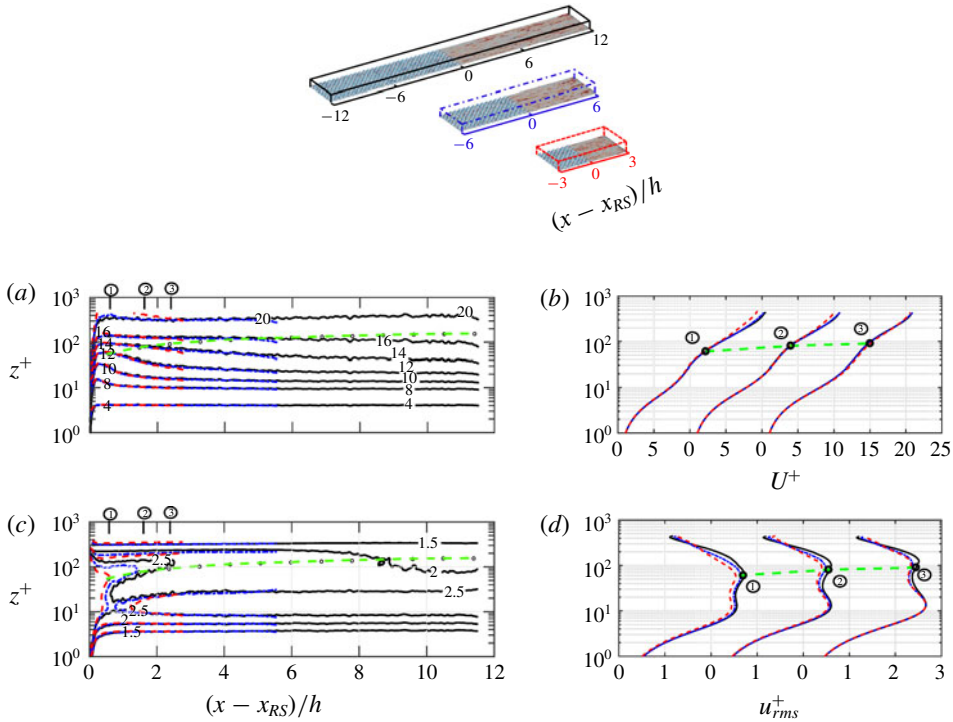


FIGURE 4. (Colour online) Contour lines of (a) U^+ and (c) u_{rms}^+ for the three domain lengths over the smooth patch. The x -origin is placed at x_{RS} (consider the top domains). Profiles of (b) U^+ and (d) u_{rms}^+ at several $(x - x_{RS})/h$: ① $0.5h$, ② $1.5h$ and ③ $2.5h$. Legends are consistent with those of figure 3. Quantities in plus units are scaled by the local u_τ and v . The IBL thickness, defined by Elliott (1958), is overlaid on the contour lines and profiles (—○—).

difference between cases $12h$ and $24h$ in the U^+ profiles is 1% over both the smooth patch (figure 4b) and the rough patch (figure 5b). Within the IBL, the maximum difference in the u_{rms}^+ profiles is 4% over the smooth patch (figure 4d) and 1% over the rough patch (figure 5d). As a further support for the small dependence on the domain length, the IBL thicknesses are compared in figure 6. The maximum difference between cases $12h$ and $24h$ is 5% over the smooth patch (figure 6a) and 3% over the rough patch (figure 6b). Similar to the findings here, in appendix B negligible difference within the IBL is seen between case $12h$ and the non-periodic case; the difference is less than 1% in U^+ , and 4% in u_{rms}^+ and Re_τ .

The identical statistics below δ_i and the differences above δ_i are justifiable through the IBL concept: a layer that is influenced by the surface underneath. Below δ_i , the flow ignores its history from the upstream surfaces. Therefore, it has minimal dependence on the patch length. Above δ_i , however, the flow carries its history from upstream surfaces. Therefore, it depends on the patch length. This section and appendix B show that with domain lengths of at least $12h$ ($6h$ for each patch), the flow inside the IBL remains insensitive to the patch length and streamwise periodicity. The results reported in the rest of this paper are from the longest case (case $24h$).

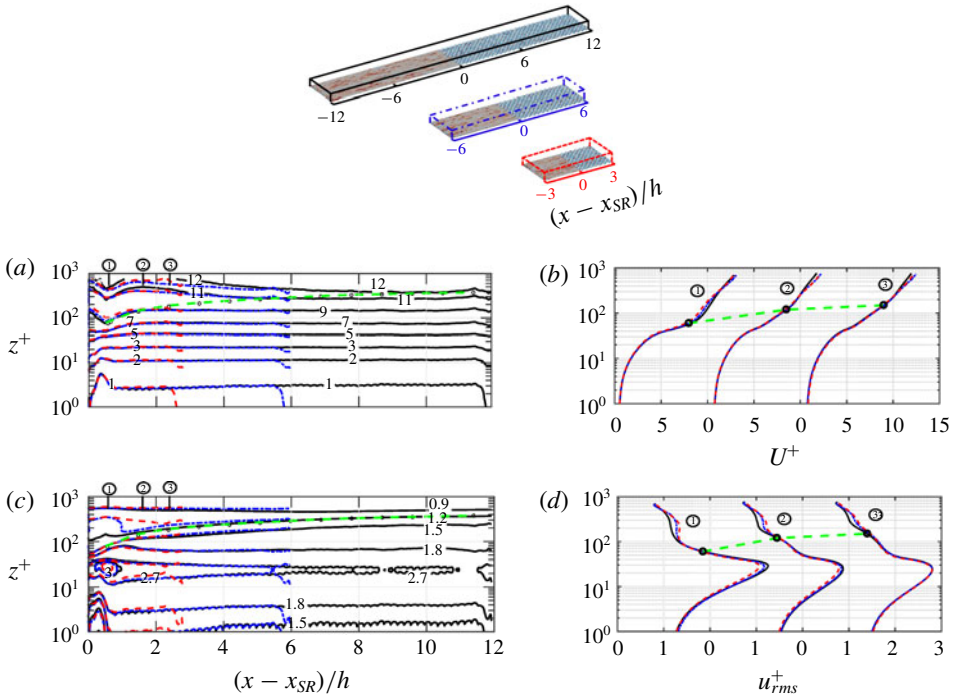


FIGURE 5. (Colour online) Contour lines of (a) U^+ and (c) u_{rms}^+ for the three domain lengths over the rough patch. The x -origin is placed at x_{SR} (consider the top domains). Profiles of (b) U^+ and (d) u_{rms}^+ at several $(x - x_{SR})/h$: ① $0.5h$, ② $1.5h$ and ③ $2.5h$. Legends are consistent with those of figure 3. Quantities in plus units are normalised by the local u_τ and ν . The IBL thickness, defined by Elliott (1958), is overlaid on the contour lines and profiles (---○---).

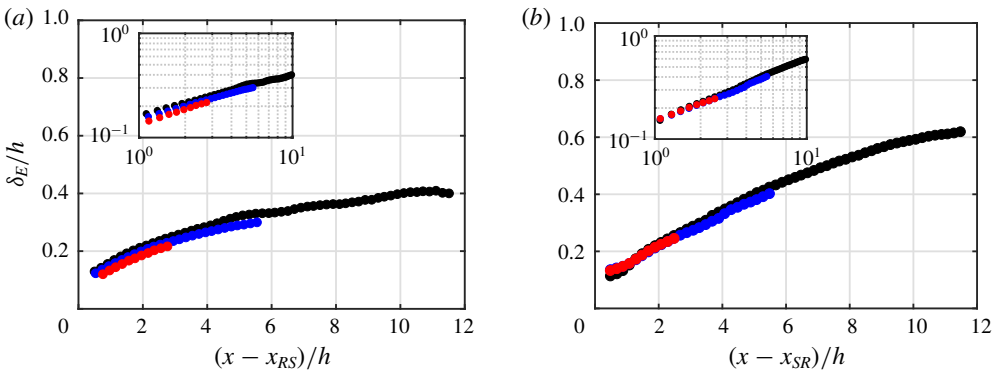


FIGURE 6. (Colour online) IBL thickness defined by Elliott (1958) (δ_E , discussed in § 3.3) over (a) the smooth patch and (b) the rough patch. Cases $6h$ (●, red), $12h$ (●, blue) and $24h$ (●, black). The insets are the same plots using log–log scales.

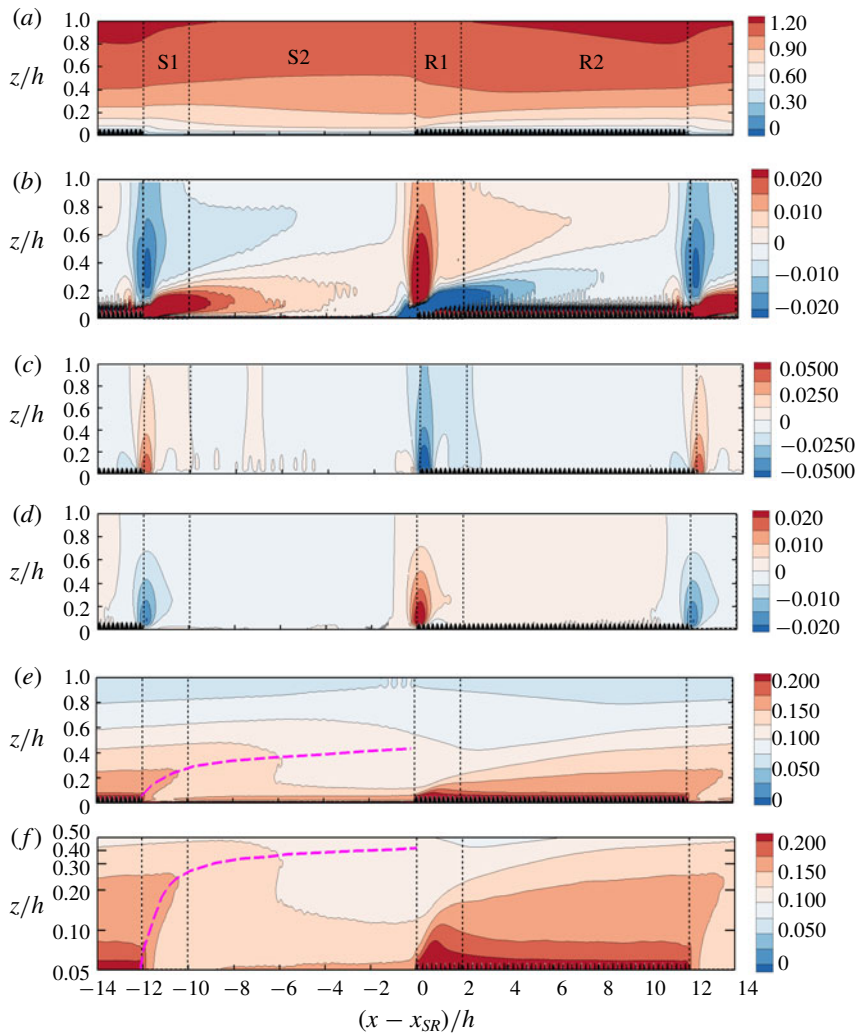


FIGURE 7. Variations of (a) U/U_b , (b) $(h/U_b)(\partial U/\partial x)$, (c) $[h/(\rho U_b^2)](\partial P/\partial x)$, (d) W/U_b and (e,f) u_{rms}/U_b , scaled by the bulk velocity U_b and h . The regions over the smooth patch (S1 + S2) and rough patch (R1 + R2) are separated into zones S1 and R1 that cover a fetch of $2h$, and zones S2 and R2 that cover the remaining portions. The fields are overlaid by the spanwise projection of the roughness, in black colour. In (c) the total pressure gradient $\partial P/\partial x$ includes the driving $-\rho G$ and periodic $\partial \tilde{P}/\partial x$ parts. In (f) the z -axis is in log scale to highlight the near-wall region. The outer peak of u_{rms} over the smooth patch is marked with (---).

3.2. Equilibrium assumption

In this subsection validity of the equilibrium assumption is examined. First, the overall flow behaviour is described (figure 7). The quantities are scaled by the bulk velocity $U_b \simeq 12.78u_{\tau_o}$ and channel height h . For ease of discussion, each patch is divided into two zones: S1 and S2 over the smooth patch, and R1 and R2 over the rough patch. Zones S1 and R1 cover up to a fetch of $2h$, where the flow variations are rapid. Zones S2 and R2 cover the remaining portions, where the flow variations are

more gradual. As a measure of the flow acceleration or deceleration, $(h/U_b)(\partial U/\partial x)$ is plotted in figure 7(b). In figure 7(c) the pressure gradient $\partial P/\partial x$ includes both the driving part ($-\rho G$) and the periodic part ($\partial \tilde{P}/\partial x$). During the step change the periodic $\partial \tilde{P}/\partial x$ becomes an order of magnitude larger than the driving $-\rho G$. In other words, $hG/U_b^2 \simeq 6 \times 10^{-3}$ which is not visible with the colour range in figure 7(c).

In figure 7(b) following the rough-to-smooth step change the near-wall flow accelerates, while away from the wall the flow decelerates. This is because $dU_b/dx = \int_0^h (\partial U/\partial x) dz = 0$, and $\partial U/\partial x > 0$ near the wall must be accompanied by $\partial U/\partial x < 0$ away from the wall. Simultaneously, the flow is exposed to an adverse pressure gradient (APG) ($\partial P/\partial x > 0$), which becomes strong at the beginning of zone S1 (figure 7c). Following the smooth-to-rough step change, the acceleration/deceleration mechanism is reversed: the near-wall flow decelerates while the outer one accelerates, and the flow is exposed to a favourable pressure gradient. In figure 7(d) immediately downstream of the rough-to-smooth step change (zone S1), the wall-normal flow direction is downward ($W < 0$), while immediately downstream of the smooth-to-rough step change (zone R1), the wall-normal flow direction is upward ($W > 0$). This behaviour is justifiable through the continuity equation, $\partial U/\partial x + \partial W/\partial z = 0$. In zone S1, $\partial U/\partial x > 0$ near the wall requires $\partial W/\partial z < 0$, and since $W = 0$ at the wall, W must be negative near the wall. The same analysis justifies positive W in zone R1.

Some interesting phenomena are seen in the u_{rms} field (figure 7e,f). Immediately downstream of the rough-to-smooth step change, there is a locally high u_{rms} region (at $x - x_{SR} \simeq -12h$ and $z \simeq 0.05h$). Along the smooth patch, u_{rms} near the wall ($z \lesssim 0.5h$) is decreased, while away from the wall ($z > 0.5h$) it preserves its intensity. This leads to formation of an outer peak in the u_{rms} field (marked with the dashed magenta curve). Immediately downstream of the smooth-to-rough step change (at $x - x_{SR} \simeq 0$) there is a sudden rise in u_{rms} . Along the rough patch the high-intensity u_{rms} around the roughness elements gradually propagates to higher z distances. These phenomena are further investigated next.

3.2.1. Rough-to-smooth step change

The profiles of U and u_{rms} up to a fetch of $2h$ over the smooth patch are shown in figure 8. The profiles are scaled by U_b and h in figure 8(a,b), local u_τ and v in figure 8(c,d) and u_{τ_0} and v in figure 8(e,f). Over the smooth patch, Re_τ converges to the asymptotic value of 437. Therefore, to measure the flow distance to equilibrium, a separate simulation of fully developed smooth open-channel flow at $Re_\tau = 437$ was conducted ($L_x/h \times L_y/h = 2\pi \times \pi$, $\Delta_x^+ \times \Delta_y^+ \simeq 10.7 \times 5.4$).

In figure 8(c) the U^+ profiles substantially depart from equilibrium. The departure even propagates down to the buffer and viscous sublayer regions ($z^+ \lesssim 30$). Due to the thinner buffer layer, a downshift appears in the U^+ profiles. Similar downshift is seen in the APG boundary layers (Nickels 2004). When the APG strength P_x^+ , $v/(\rho u_\tau^2)(\partial P/\partial x)$, goes beyond 0.005, it breaks the linear viscous sublayer. Here, from the beginning of the smooth patch up to a fetch of $2h$, P_x^+ varies from 0.022 to 0.001 (not shown). Therefore, it is possible that APG is causing the downshift in the U^+ profiles. This possibility was examined by reconstructing the U^+ profiles using the obtained P_x^+ from DNS, substituted in Nickel's formulation for the viscous sublayer ($U^+ = z^+ + 1/2P_x^+ z^{+2} + \text{h.o.t}$) and the log layer ((3.1) in Nickels 2004). At each x -location, P_x^+ is constant for $z^+ \leq 100$. The reconstructed profiles had a much shallower downshift than what is seen in figure 8(c). Therefore, the downshift is not merely caused by APG.

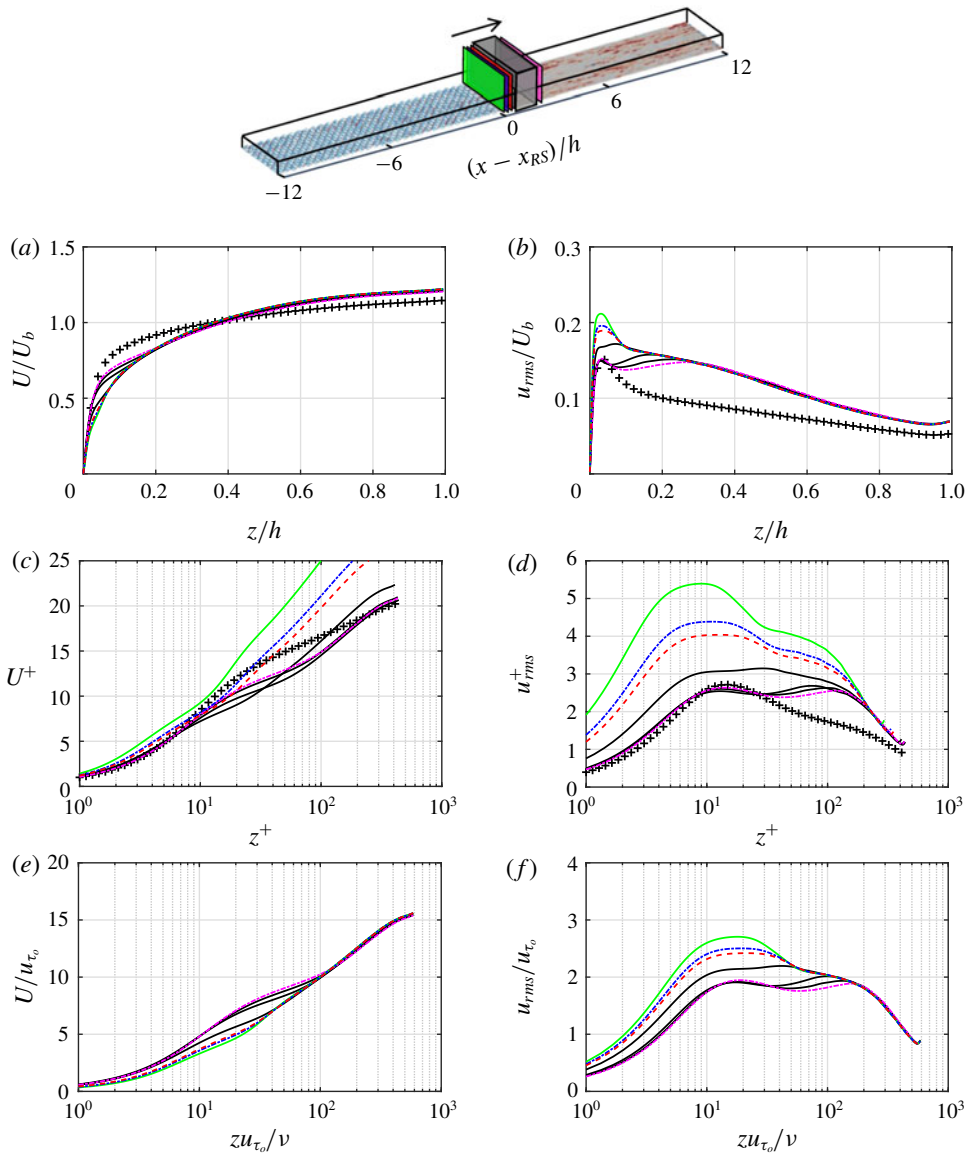


FIGURE 8. (Colour online) Profiles of (a,c,e) U and (b,d,f) u_{rms} up to a fetch of $2h$ over the smooth patch, zone S1. Profiles are normalised by (a,b) U_b, h , (c,d) local u_τ and v and (e,f) u_{τ_o} and v . The black curves are equally spaced in the range $0.2h \leq (x - x_{RS}) \leq 1.8h$ (the shadowed box at the top). Here $(x - x_{RS}) = 0.05h$ (—), $0.08h$ (-----, blue), $0.1h$ (---) and $2h$ (-----, magenta); DNS of fully developed smooth open-channel flow at $Re_\tau = 437$ (+).

One can also see that there is a change in the logarithmic slope of the U^+ profiles across the channel. This is better demonstrated in figure 9(a) showing the U^+ profile at $(x - x_{RS}) = 2h$. To detect the slope change, the slope curve $\partial U^+ / \partial \ln(z^+)$ at $(x - x_{RS}) = 2h$ (—) is compared with the equilibrium counterpart (+) in figure 9(b). For equilibrium open-channel flow, $\partial U^+ / \partial \ln(z^+)$ yields almost a plateau for $40 \lesssim z^+ \lesssim 300$,

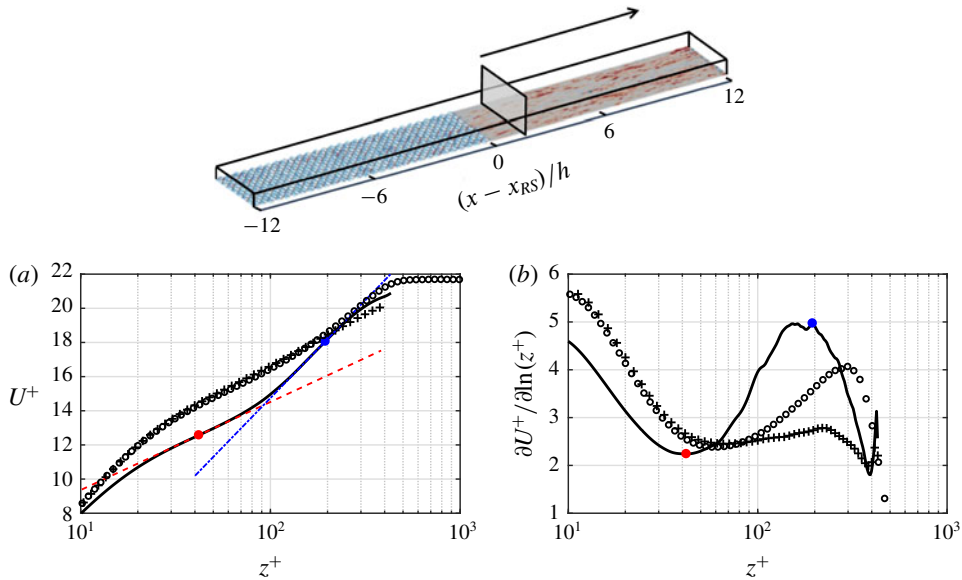


FIGURE 9. (Colour online) Profiles of (a) U^+ and (b) its logarithmic slope $\partial U^+ / \partial \ln(z^+)$ for case $24h$ at $(x - x_{RS}) = 2h$ (—), indicated in the top domain. The inner and outer logarithmic slopes are identified through the extrema of $\partial U^+ / \partial \ln(z^+)$ (●, red; ●, blue). The fitting lines (---) and (- - - -) have the same slopes as the extrema. Fully developed open-channel flow at $Re_\tau = 437$ (+). Canonical boundary layer at $Re_\tau \simeq 445$ (○) by Jiménez *et al.* (2010).

indicating that the logarithmic region dominates the wake in this range. This is clear when compared to a canonical boundary layer (○) at similarly matched $Re_\tau \simeq 445$ (Jiménez *et al.* 2010), which yields a narrow logarithmic region but a strong outer wake. During the rough-to-smooth step change (—) the slope curve yields a local minimum (●, red) and a local maximum (●, blue) at $z^+ \simeq 40$ and $z^+ \simeq 200$, indicating the inner and outer logarithmic slopes, respectively. The inner slope reflects the influence of the new smooth surface, while the outer slope owing to the weak channel wake predominantly reflects the flow history from the upstream rough surface. The new surface effect can also be seen in figure 8(e) comparing the U profiles with their most upstream counterpart (the green curve). The extent up to which each profile departs from the green curve (which also appears as the inner logarithmic slope) is the result of the new surface underneath.

In figure 8(b,d,f) the u_{rms} profile at the very beginning of the smooth patch (the green curve at $x - x_{RS} = 0.05h$) yields a large inner peak (at $z^+ \simeq 9$). This peak corresponds to the high near-wall u_{rms} appearing immediately downstream of the rough-to-smooth step change, discussed earlier in figure 7(e,f). It is the remnant of the turbulent fluctuations emanated from the upstream rough patch. This peak is different from the inner peak formed further downstream due to the buffer layer formation (the magenta dashed-dotted curve at $z^+ \simeq 14$). This is better shown in figure 10, comparing the u_{rms} profiles immediately upstream of the rough-to-smooth step change ($x - x_{RS} = -0.05h$) with the profiles immediately downstream of the step change ($x - x_{RS} = 0.05h$) and at a fetch of $2h$ ($x - x_{RS} = 2h$). To make the profiles comparable, they are scaled by U_b . As is seen, the inner peak immediately

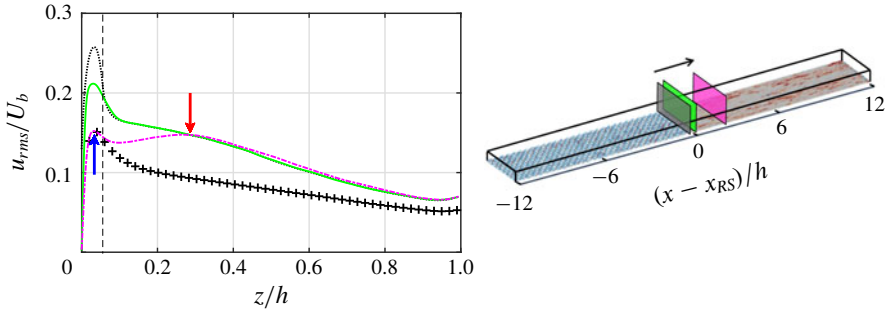


FIGURE 10. (Colour online) Profiles of u_{rms} , normalised by U_b , at $(x - x_{RS}) = -0.05h$ (---), $0.05h$ (—) and $2h$ (----), indicated in the domain on the right. The vertical dashed line locates the roughness crest ($z = k$). The upward and downward arrows indicate the inner and outer peaks of (----).

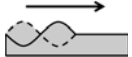

| Study | Re_{τ_r}, Re_{τ_s} | k/h | k_s^+ | Rough/smooth schematic figure |
|-----------------------------|----------------------------|-------|---------|--|
| Case 24h | 715, 443 | 0.056 | 165 | Egg carton  |
| Ismail <i>et al.</i> (2018) | 2220, 1277 | 0.083 | 1540 | Square bars  |

TABLE 2. Summary of flow configuration for case 24h and rough-to-smooth DNS of Ismail *et al.* (2018). The rough patch Reynolds number $Re_{\tau_r} = u_{\tau_r}h/\nu$ is computed at $(x - x_{RS}) = -h$, and the smooth patch Reynolds number $Re_{\tau_s} = u_{\tau_s}h/\nu$ is computed at $(x - x_{RS}) = 7.5h$. The arrow indicates the flow direction.

downstream of the step change (the green solid curve) is a weakened remnant of the inner peak immediately upstream of the step change (the black dotted curve). Further downstream at $(x - x_{RS}) = 2h$ (the magenta dashed-dotted curve), two different peaks appear which are identified by arrows. The inner peak (the upward arrow) is due to the buffer layer formation, and the outer one (the downward arrow) is due to the surface change. The magenta curve matches the most upstream profile (the green solid curve) beyond the outer peak location. Along the smooth patch the outer peak moves to a higher z (figure 8f), locating the maximum height up to which u_{rms} is influenced by the surface underneath. This outer peak is marked with a magenta dashed curve in figure 7(e,f).

The profiles of U and u_{rms} in the remaining portion of the smooth patch, zone S2, are shown in figure 11. In this figure the x -distance between the first and the last profile is four times larger than the one in figure 8. However, the profile variation is much slower. In other words, the recovery for the initial $2h$ fetch length is much faster than that for the remaining portion. By the end of the smooth patch, the flow is still not fully recovered. The effect of the upstream rough patch still persists in the u_{rms} profile (figure 11b,d,f), as well as the U profile (figure 11a,c,e).

Recovery of U^+ over the smooth patch is compared with the rough-to-smooth DNS of Ismail *et al.* (2018) in figure 12 and table 3. The configuration of Ismail *et al.* (2018) differs from the current case (case 24h) in several aspects (table 2). These aspects include: Re_{τ} , roughness shape, roughness size and roughness origin.

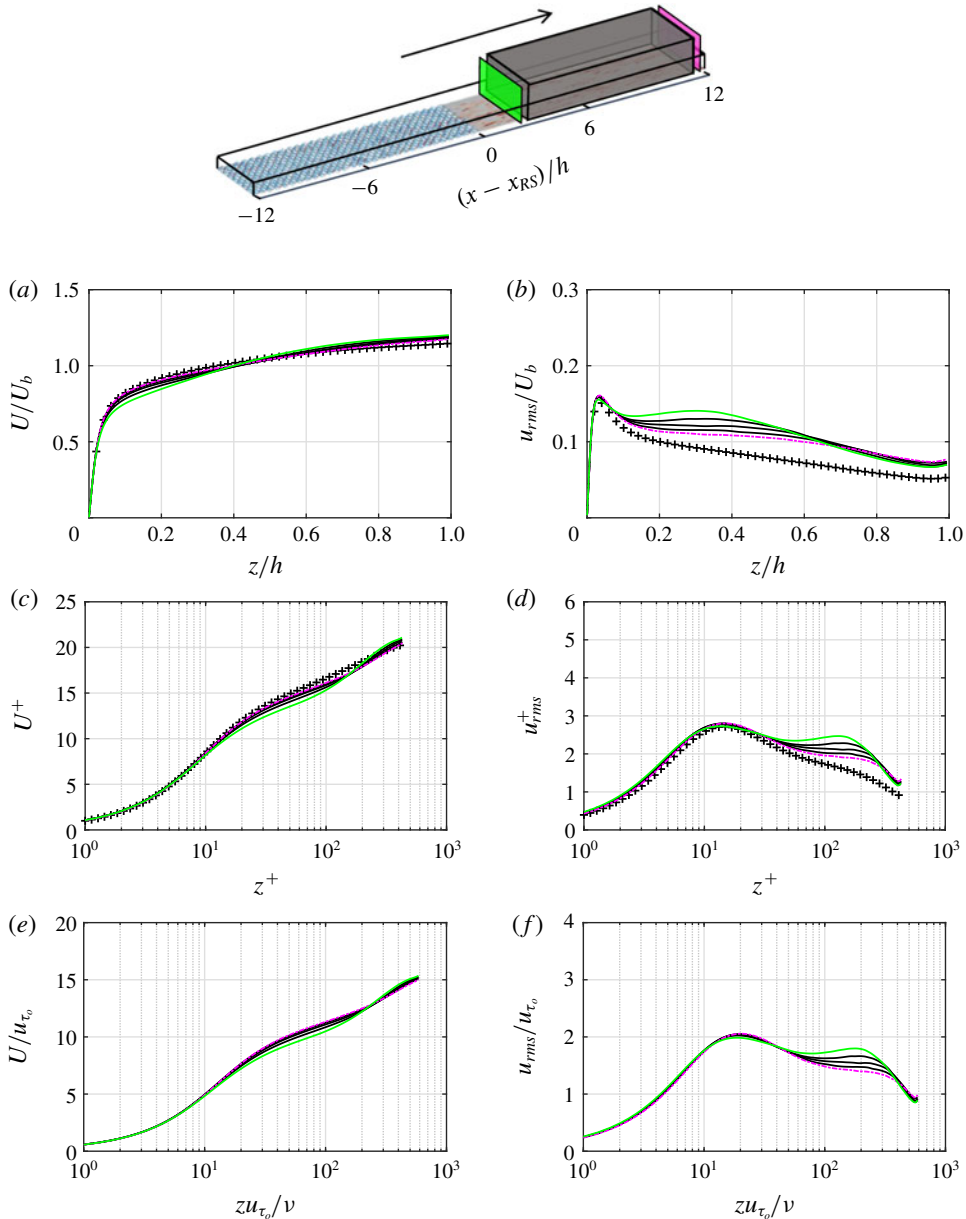


FIGURE 11. (Colour online) Profiles of (a,c,e) U and (b,d,f) u_{rms} for $3h \leq (x-x_{RS}) \leq 11h$, zone S2. Normalisation is consistent with figure 8. The black curves are equally spaced in the range $5h \leq (x-x_{RS}) \leq 9h$ (the shadowed box). Here $(x-x_{RS})=3h$ (—) and $11h$ (----). DNS of fully developed smooth open-channel flow at $Re_{\tau}=437$ (+).

Considering figure 12, initially at $(x-x_{RS})=0.8h$ (figure 12a) the U^+ profile of Ismail *et al.* (2018) yields a larger departure from equilibrium. Nevertheless, after a fetch of $(x-x_{RS})=4.1h$ (figure 12c) the U^+ profile of both datasets reaches the same recovery level. This is better quantified in table 3, which reports the z^+ up to which U^+ differs from the fully developed profile U_S^+ by less than 1%, 2% and 5%.

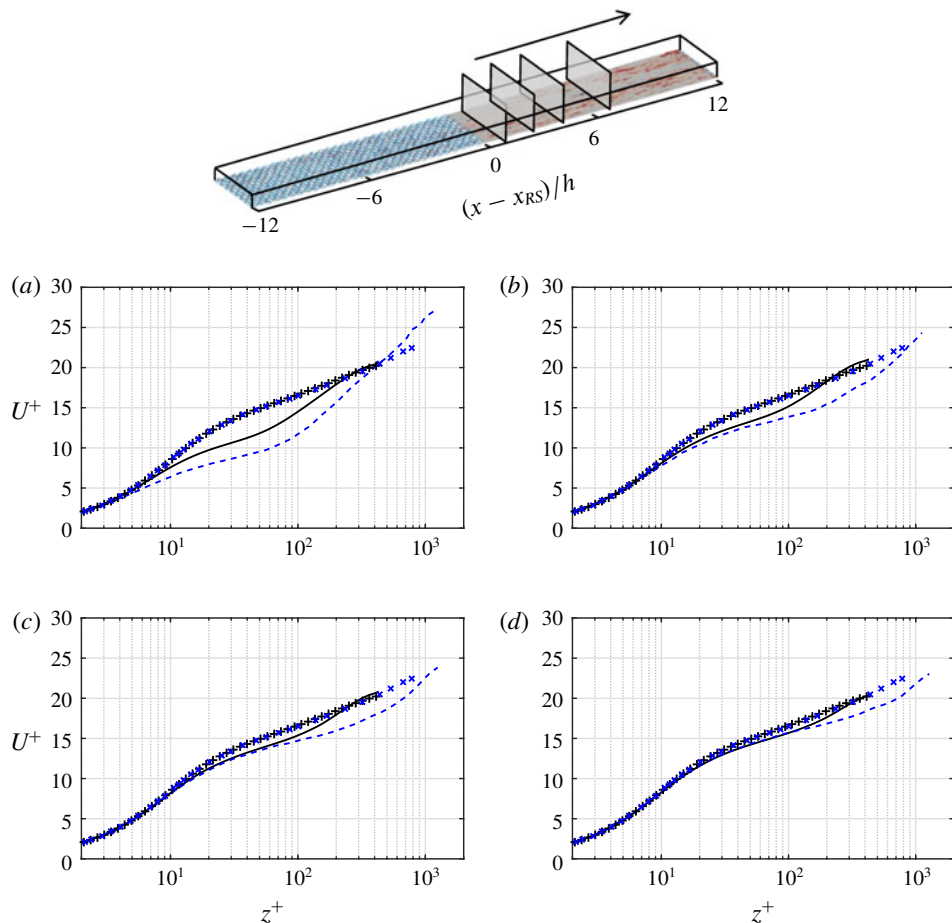


FIGURE 12. (Colour online) Rough-to-smooth comparison of U^+ profiles between case $24h$ (—) and DNS of Ismail *et al.* (2018) (---). Comparison is made at the several $(x - x_{RS})$ locations (shown in the domain): (a) $0.8h$; (b) $2.5h$; (c) $4.1h$; (d) $7.5h$. Fully recovered flow for case $24h$ at $Re_\tau = 437$ (+) and Ismail *et al.* (2018) at $Re_\tau = 1115$ (×).

It is seen that the recovered z^+ between case $24h$ and Ismail *et al.* (2018) does not change beyond a fetch of $(x - x_{RS}) = 4.1h$, despite the differences in Re_τ and roughness geometry. This finding is different from the WMLES of Saito & Pullin (2014) over rough-to-smooth step change, and *vice versa*. They showed that varying Re_τ by two orders of magnitude delays the recovery distance of U^+ by two to three times. This difference might be due to the wider range of Re_τ in Saito & Pullin (2014) or due to the WMLES, which inherently assumes some degree of equilibrium.

Table 3 shows that the equilibrium assumptions must be applied cautiously over the rough-to-smooth step change. For instance, to predict u_τ by at least 5% error from the equilibrium profile, the flow must be resolved down to $z^+ \simeq 9$ at a fetch of $2.5h$, and $z^+ \simeq 69$ at a fetch of $7.5h$. Beyond a fetch of $11h$, fitting at any z^+ yields u_τ with less than 5% error. Note that these findings are based on the processed datasets and may change for other datasets.

| $(x - x_{RS})/h$ | $ U^+ - U_S^+ /U_S^+$ | z^+ (case 24h) | z^+ (Ismail <i>et al.</i> 2018) |
|------------------|-----------------------|------------------|-----------------------------------|
| 2.5 | $\leq 1\%$ | ≤ 5 | ≤ 3 |
| | $\leq 2\%$ | ≤ 6 | ≤ 4 |
| | $\leq 5\%$ | ≤ 9 | ≤ 7 |
| 7.5 | $\leq 1\%$ | ≤ 12 | ≤ 11 |
| | $\leq 2\%$ | ≤ 18 | ≤ 16 |
| | $\leq 5\%$ | ≤ 69 | ≤ 65 |
| 11.0 | $\leq 1\%$ | ≤ 20 | NA |
| | $\leq 2\%$ | ≤ 48 | NA |
| | $\leq 5\%$ | $\leq Re_\tau$ | NA |

TABLE 3. Recovery in U^+ of case 24h and DNS of Ismail *et al.* (2018) after the rough-to-smooth step change. Recovery is measured based on 1%, 2% or 5% difference with the U_S^+ profile of fully developed smooth channel. The fully developed case is at $Re_\tau = 437$ for case 24h and $Re_\tau = 1115$ for Ismail *et al.* (2018).

3.2.2. Smooth-to-rough step change

Figure 13 shows the profiles of U and u_{rms} up to a fetch of $2h$ over the rough patch, zone R1. To measure the flow recovery, the profiles are compared against the DNS of homogeneous ‘egg-carton’ rough open-channel flow, with $k/h = 0.056$ at the expected fully recovered flow condition over the rough patch ($Re_\tau = 704$, $L_x/h \times L_y/h \simeq 5.97 \times 3.18$, $\Delta_x^+ \times \Delta_y^+ \simeq 10.9 \times 5.8$).

The U^+ profiles (figure 13c), similar to the smooth patch, yield two logarithmic slopes with the inner one having a higher slope than the outer one. In figure 13(b,d,f) the u_{rms} profiles yield an inner peak below the roughness crest ($z/h \simeq 0.04$, $z/k \simeq 0.7$). Chan *et al.* (2018) used a triple decomposition over the ‘egg-carton’ roughness. They observed that the inner peak is due to the turbulent wakes behind the roughness elements. In the triple decomposition the fluctuations are decomposed into the coherent or time-averaged spatially varying part $\tilde{u}_i = \langle u_i \rangle_t - U_i$ (where $\langle \cdot \rangle_t$ is averaged over time) and the background turbulence or time-varying part $u'_i = u_i - \langle u_i \rangle_t$. As shown in figure 10 (the green solid curve), the remnant of this inner peak persists in u_{rms} at the beginning of the smooth patch. The u_{rms} inner peak over the rough patch does not change significantly up to a fetch of $2h$ (figure 13b,f). This is not seen in the u_{rms}^+ profiles (figure 13c) because of their scaling by the variable local u_τ . Beyond a fetch of $2h$ (figure 14b,f) the u_{rms} inner peak gradually decreases. Above the roughness crest ($z/k > 1$), on the other hand, u_{rms} gradually increases along the rough patch (figures 13b and 14b). Figure 7(e) shows the decrease of u_{rms} inner peak and its increase above the crest.

Profiles of U and u_{rms} over the remainder of the rough patch, zone R2, are shown in figure 14. Compared to the smooth patch (figure 11) it appears that the profiles are recovered to a higher z^+ after a fetch of $11h$. The recovery over the rough patch is quantified in table 4, which confirms that recovery occurs faster compared to the recovery over the smooth patch (compare table 4 with table 3). Based on the 2% threshold, recovery in U^+ over the rough patch (versus smooth patch) reaches up to $z^+ \simeq 21$ (versus $z^+ \simeq 6$) after a fetch of $2.5h$, $z^+ \simeq 475$ (versus $z^+ \simeq 18$) after a fetch of $7.5h$ and $z^+ \simeq 528$ (versus $z^+ \simeq 48$) after a fetch of $11h$.

The study in this section yields a higher reliability of equilibrium assumptions over the rough patch than the smooth patch. If an error up to 5% were considered

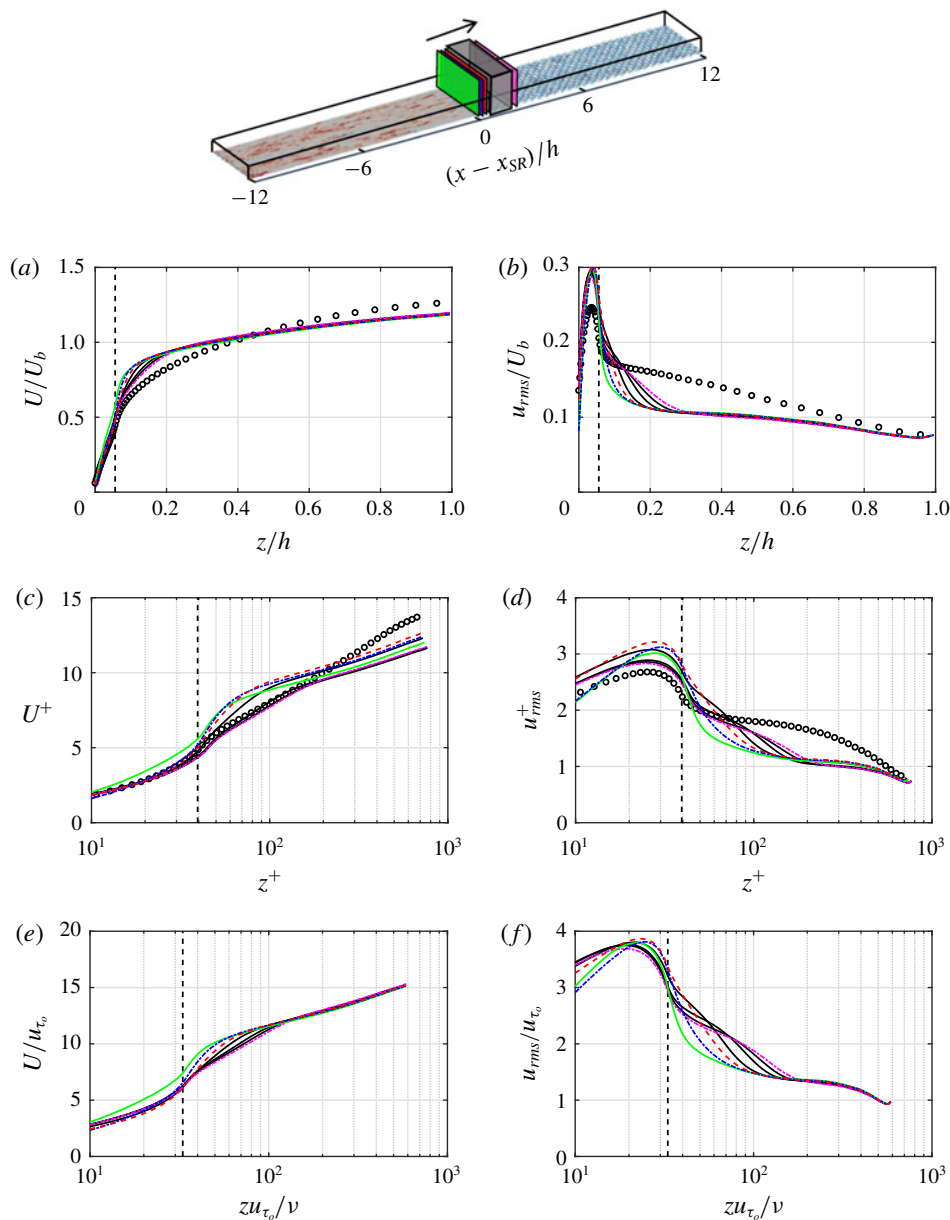


FIGURE 13. (Colour online) Profiles of (a,c,e) U and (b,d,f) u_{rms} up to a fetch of $2h$ over the rough patch, zone R1. Normalisation is consistent with figure 8. The vertical dashed line locates the roughness crest. The black curves are equally spaced in the range $0.8h \leq (x - x_{SR}) \leq 1.8h$, $(x - x_{SR}) = 0.2h$ (—), $0.4h$ (---), blue), $0.6h$ (---), magenta). Fully developed open channel over homogeneous ‘egg-carton’ roughness (○), with $k/h = 0.056$ at $Re_{\tau} = 704$.

acceptable and if the beginning of the log layer is classically noted as 30 wall units above the wall, over the rough patch the log-law assumption becomes valid (i.e. recovery reaches the beginning of the log layer) after a fetch of $2.5h$.

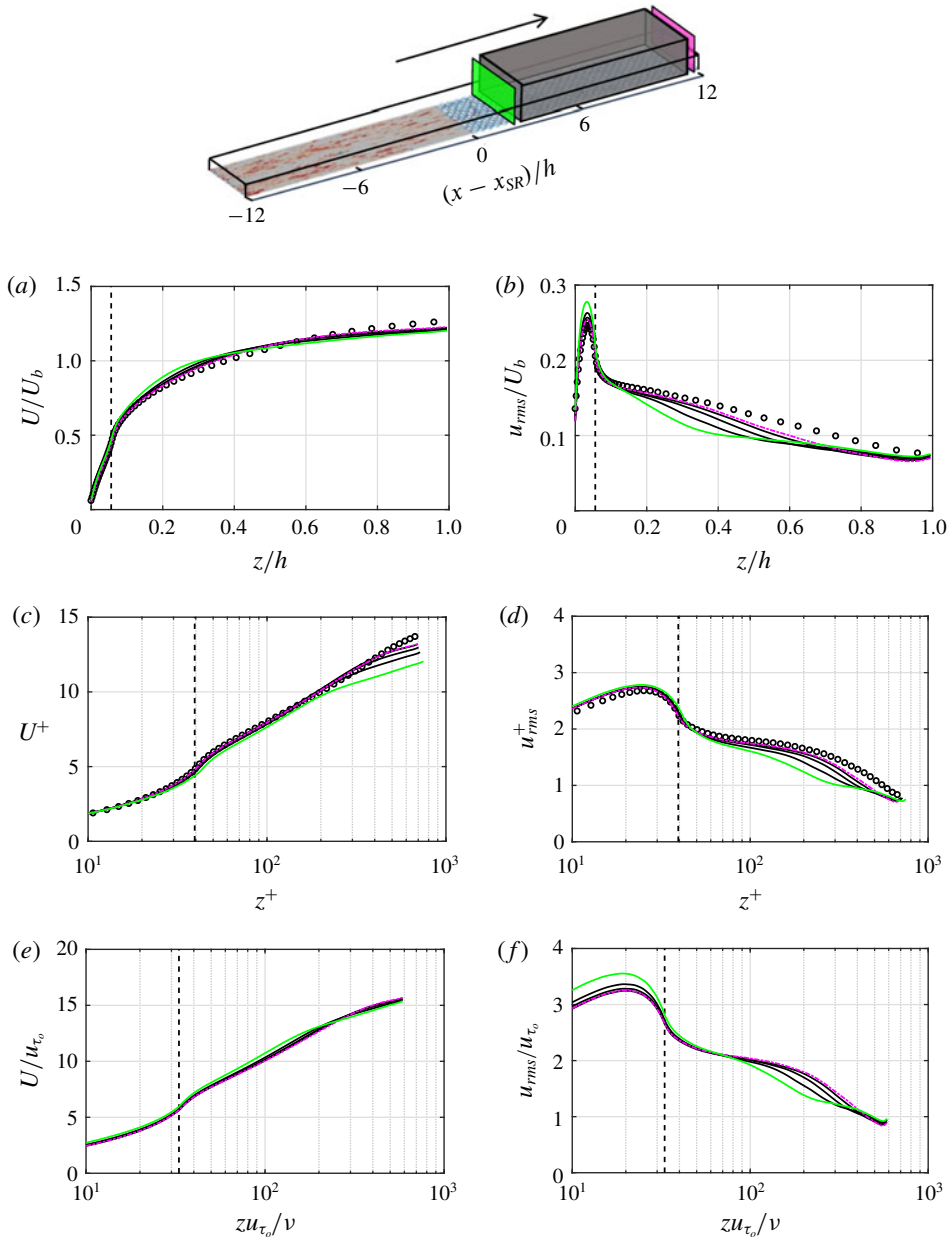


FIGURE 14. (Colour online) Profiles of (a,c,e) U and (b,d,f) u_{rms} for $3h \leq (x - x_{SR}) \leq 11h$, zone R2. Normalisation is consistent with figure 8. The black curves are equally spaced in the range $5h \leq (x - x_{SR}) \leq 10h$, $(x - x_{SR}) = 3h$ (—) and $11h$ (----). Fully developed open channel over homogeneous ‘egg-carton’ roughness (O), with $k/h = 0.056$ at $Re_\tau = 704$.

However, over the smooth patch the same assumption is valid only after a fetch of $5h$. This conclusion is consistent with the results of Bou-Zeid *et al.* (2005) who compared WMLES of rougher-to-smoother transition (and *vice versa*) with the field

| $(x - x_{SR})/h$ | $ U^+ - U_R^+ /U_R^+$ | z^+ | z/k_s |
|------------------|-----------------------|----------------|-------------|
| 2.5 | $\leq 1\%$ | ≤ 19 | ≤ 0.11 |
| | $\leq 2\%$ | ≤ 21 | ≤ 0.12 |
| | $\leq 5\%$ | ≤ 28 | ≤ 0.16 |
| 7.5 | $\leq 1\%$ | ≤ 125 | ≤ 0.78 |
| | $\leq 2\%$ | ≤ 163 | ≤ 1.01 |
| | $\leq 5\%$ | $\leq Re_\tau$ | ≤ 4.35 |
| 11.0 | $\leq 1\%$ | ≤ 169 | ≤ 1.05 |
| | $\leq 2\%$ | ≤ 528 | ≤ 3.28 |
| | $\leq 5\%$ | $\leq Re_\tau$ | ≤ 4.35 |

TABLE 4. Recovery in U^+ of case 24h after the smooth-to-rough step change based on the 1%, 2% or 5% difference with the U_R^+ profile of fully developed homogeneous rough wall open-channel flow. The fully developed case is at $Re_\tau = 704$ with the same roughness properties and channel height as the smooth-to-rough case. Here $k_s \approx 30z_0 \approx 4.1k$.

measurements of Bradley (1968). They observed large discrepancy with the field measurements at the initial 5h distance after each step change. The discrepancy was decreased by further refining the grid and resolving the flow to a lower z^+ .

3.3. Internal boundary layer

This section studies the IBL and attempts to find a proper definition for its thickness δ_i . The literature has not converged on a unified definition of δ_i , and this consequently hinders a systematic comparison of the IBL growth rates. To demonstrate this divergence of views, some of the common definitions and the previous studies that have adopted these definitions are outlined in table 5. The corresponding Re_τ in each study as well as the obtained power-law exponents α for the IBL growth rate ($\delta_i \propto x^\alpha$) are added to the table.

The obtained power-law exponents in table 5 are compiled in figure 15. To ease the interpretation, the studies that have adopted the same definition are shown with the same symbol (and the same colour). Additionally, the results of some of the definitions that were applied to case 24h are added to figure 15, and are highlighted with circled symbols. At a fixed Reynolds number over either the smooth or the rough patch, the obtained values of α from different definitions are substantially different from each other. This is also supported by the 50% variance seen in the resulting values of α , obtained from case 24h. Thus, it appears that part of the scatter seen in figure 15 stems from the different definitions. Note that the studies highlighted with asterisks in table 5 considered transitions from a rougher to a smoother surface. Disregarding these studies from figure 15 (which correspond to some symbols for $Re_\tau > 6 \times 10^4$) does not reduce the scatter caused by the IBL definition. In this section, the definitions of δ_i arranged in table 5 are discussed further, through their application to case 24h. Eventually, a reliable definition is proposed according to the physical justifications.

Figure 16 shows the application of the definitions of δ_i (table 5) to case 24h. Each field in figure 16 shows the characteristic parameter (e.g. $\partial U/\partial x$, $\partial u_{rms}/\partial x$) to quantify δ_i based on each definition. All the definitions are invariant to the normalising velocity or length scale. The markers on each panel locate δ_i based on the corresponding definition. However, for definition AL (figure 16e) it is not trivial to identify δ_i from

| Definition | Description | Used by | Re_τ | α_{smooth} | α_{rough} |
|-----------------------------------|---|---|---|---------------------------------------|---------------------------------------|
| AW (Andreopoulos & Wood 1982) | Where $\partial U/\partial x = 0$ | Andreopoulos & Wood (1982) | 1600 | 0.2 | 0.48 |
| BMP (Bou-Zeid <i>et al.</i> 2004) | Where $\partial U/\partial z = (\partial U/\partial z)_x$ | Bou-Zeid <i>et al.</i> (2004)* Dupont & Brunet (2009) Miller & Stoll (2013)* Silva-Lopes <i>et al.</i> (2015)* | ∞ 3.6×10^6 2.6×10^6 1.5×10^5 | 0.75 NA 0.67 0.51 | 0.75 0.86 0.67 0.51 |
| SP (Saito & Pullin 2014) | Where $\partial u_{rms}^2/\partial x = 0$ | Saito & Pullin (2014) | $2 \times 10^4 - 2 \times 10^6$ | 0.55–0.62 | 0.68–0.72 |
| E (Elliott 1958) | Where the slope of U^+ versus $\ln(z^+)$ changes | Jegade & Foken (1999)* | 6.7×10^4 | 0.5 | NA |
| AL (Antonia & Luxton 1971) | Where the slope of U/U_∞ versus $z^{1/2}$ changes | Antonia & Luxton (1971, 1972) Win <i>et al.</i> (2010) Hanson & Ganapathisubramani (2016) | 970–2200 2600 1800 | 0.43 NA 0.36 | 0.72–0.79 0.8 NA |
| PA (Pendergrass & Arya 1984) | Where U/U_∞ reaches 99% of its undisturbed upstream value at the same height | Rao, Wyngaard & Coté (1974)* Mulhearn (1978) Cheng & Castro (2002) Carper & Porté-Agel (2008) Lee (2015) Ismail <i>et al.</i> (2018) | ∞ 6500 2500 8800 180 284, 1160 | NA 0.72 NA 0.6 NA 0.41 | 0.8 NA 0.33 NA 0.22 NA |

TABLE 5. Summary of the definitions of δ_i , commonly used in the literature, and the previous studies that have adopted these definitions. For each study, the corresponding Re_τ , as well as the obtained power-law exponent (α in $\delta_i \propto x^\alpha$), is reported for rough-to-smooth (α_{smooth}) and smooth-to-rough (α_{rough}) step changes. The studies highlighted by asterisks are numerical simulations which considered transitions from rougher surfaces to smoother surfaces (or *vice versa*), as imposed by different roughness heights z_0 . Thus, α_{smooth} and α_{rough} for these studies imply the IBL power-law exponents over the smoother surface and rougher surface, respectively.

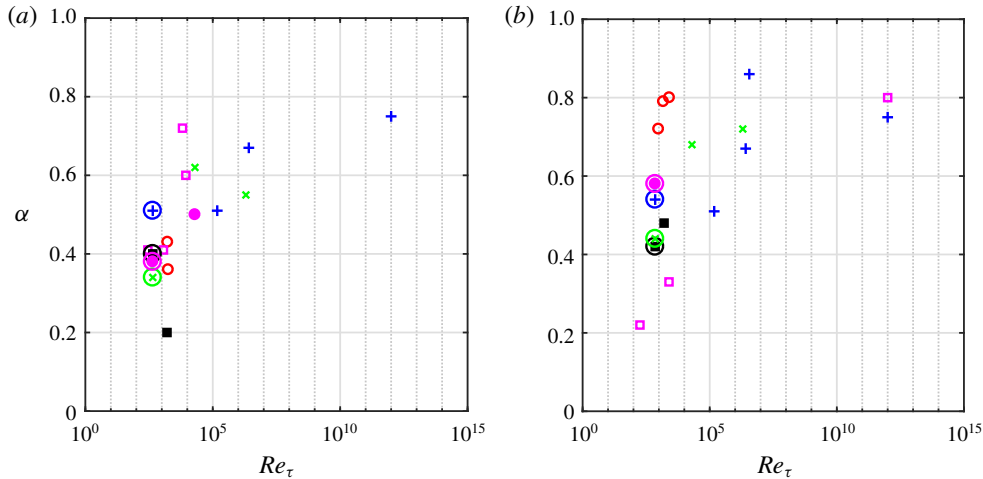


FIGURE 15. (Colour online) Values of the power-law exponent α for δ_i from the previous studies in table 5. Studies that have adopted the same definition of δ_i are indicated with the same symbol. (a) Rough-to-smooth and (b) smooth-to-rough step change. AW (■); AL (○); BMP (+); SP (×); E (●); PA (□). The circled symbols at $Re_\tau = 443$ (a) and $Re_\tau = 715$ (b) are obtained from application of different definitions of δ_i to case 24h.

the current data. To recognise the different definitions, the subscript of δ_i shows the definition used from table 5 (e.g. δ_{BMP} is obtained from BMP, Bou-Zeid *et al.* 2004).

Figure 16(a) corresponds to δ_{AW} based on $\partial U/\partial x$. As was discussed in § 3.2, $\partial U/\partial x$ is a measure of flow acceleration/deceleration. Originally this method was applied to boundary layer data, and a threshold was necessary for $\partial U/\partial x \simeq 0$. This is due to the unbounded nature of the boundary layer, in which the flow acceleration/deceleration near the wall is gradually decreased to zero away from the wall (Hanson & Ganapathisubramani 2016). For the channel flow, since $\partial U/\partial x$ changes its sign at some distance away from the wall (§ 3.2), detecting $\partial U/\partial x = 0$ is straightforward. Figure 16(b,c) corresponds to δ_{BMP} and δ_{SP} , respectively. Parameter δ_{BMP} is defined as the height where the local $\partial U/\partial z$ is equal to its x -averaged value, $\langle \cdot \rangle_x$, and δ_{SP} is based on $\partial u_{rms}^2/\partial x = 0$.

Figure 16(d) shows the characterising parameter to identify δ_E , defined based on the observation made in § 3.2. The mean velocity profile after a surface change yields two logarithmic slopes. The inner slope is the result of the new surface and the outer slope is the imprint of the previous surface. Elliott (1958) defines δ_E as the intersection point of inner and outer slopes. To detect the slopes in each x -location, the slope curve $\partial U^+/\partial \ln(z^+)$ is plotted for the velocity profile at that location. This is demonstrated in figure 17 for a profile in the middle of the smooth patch (figure 17a,c) and for a profile in the middle of the rough patch (figure 17b,d). Note that the choice for scaling the profiles (here u_τ and v) does not affect the obtained δ_E . The inner and outer logarithmic slopes appear as extrema in $\partial U^+/\partial \ln(z^+)$. Once the slopes (extrema) are found, two fitting lines with the same slopes are passed through the velocity profile at the located extrema. Here δ_E is identified by intersecting the two fitted lines. Application of this approach to the whole field is shown in figure 16(d). The inner and outer slopes can be recognised as the two distinct (blue and red) regions. In addition to Elliott (1958), Panofsky & Townsend (1964)

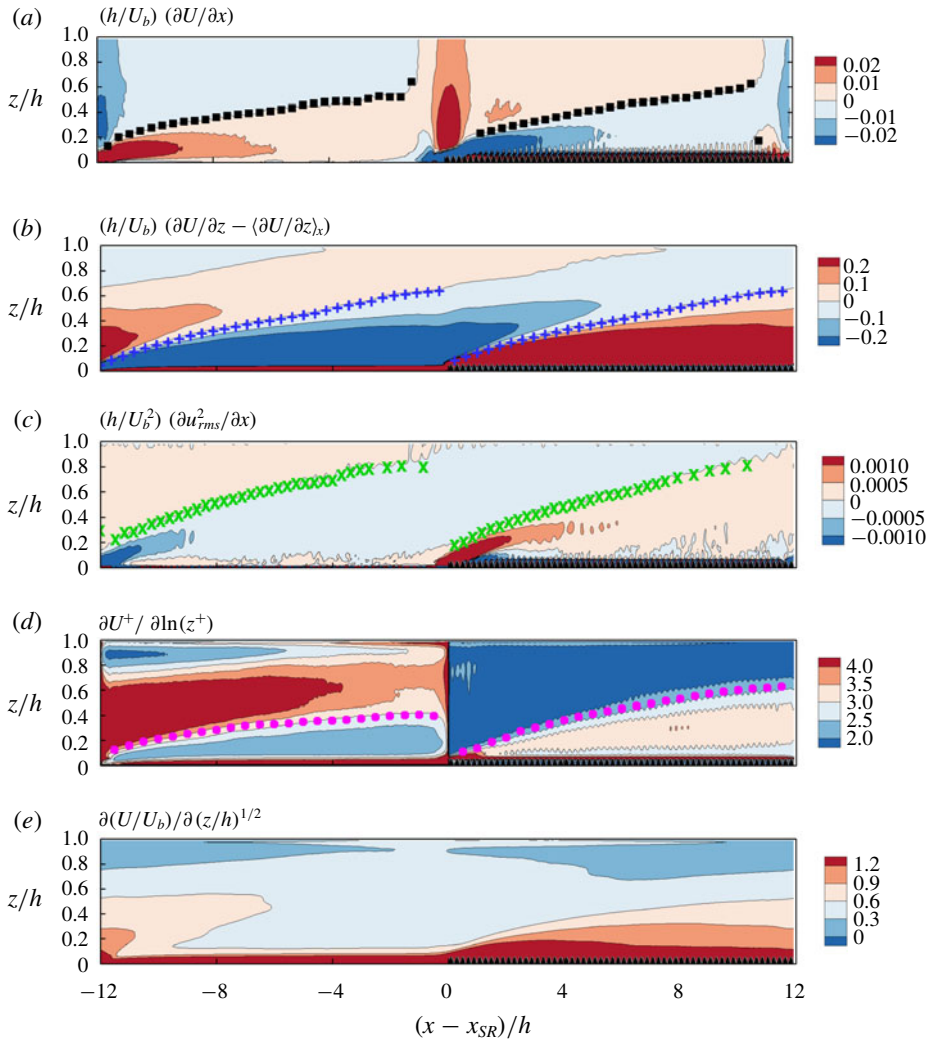


FIGURE 16. (Colour online) Application of the definitions of δ_i in table 5 to case 24h. (a) AW, (b) BMP, (c) SP, (d) E, (e) AL. In each panel the contour plot shows the characteristic parameter to identify δ_i , and the symbols locate δ_i based on the corresponding definition (δ_{AW} (■), δ_{BMP} (+), δ_{SP} (×), δ_E (●)). In (e) detecting δ_i from AL was not straightforward (refer to text). The fields are overlaid by the spanwise projection of the roughness, in black colour.

proposed a variant of δ_E where δ_i was placed higher up, at the beginning of the upper logarithmic region. Here, the definition by Elliott (1958) is preferred as Panofsky & Townsend's (1964) definition requires a threshold for the upper logarithmic region, while Elliott's (1958) definition, based on the intersection of the two logarithmic lines, is not dependent on a threshold.

The same slope-based approach was followed to calculate δ_{AL} (Antonia & Luxton 1971). According to this definition, if the mean velocity is plotted against $z^{1/2}$, it yields two distinct straight-line slopes and δ_{AL} falls at their intersection. The profiles of U/U_b versus $(z/h)^{1/2}$, over both the smooth and rough patches, are shown in

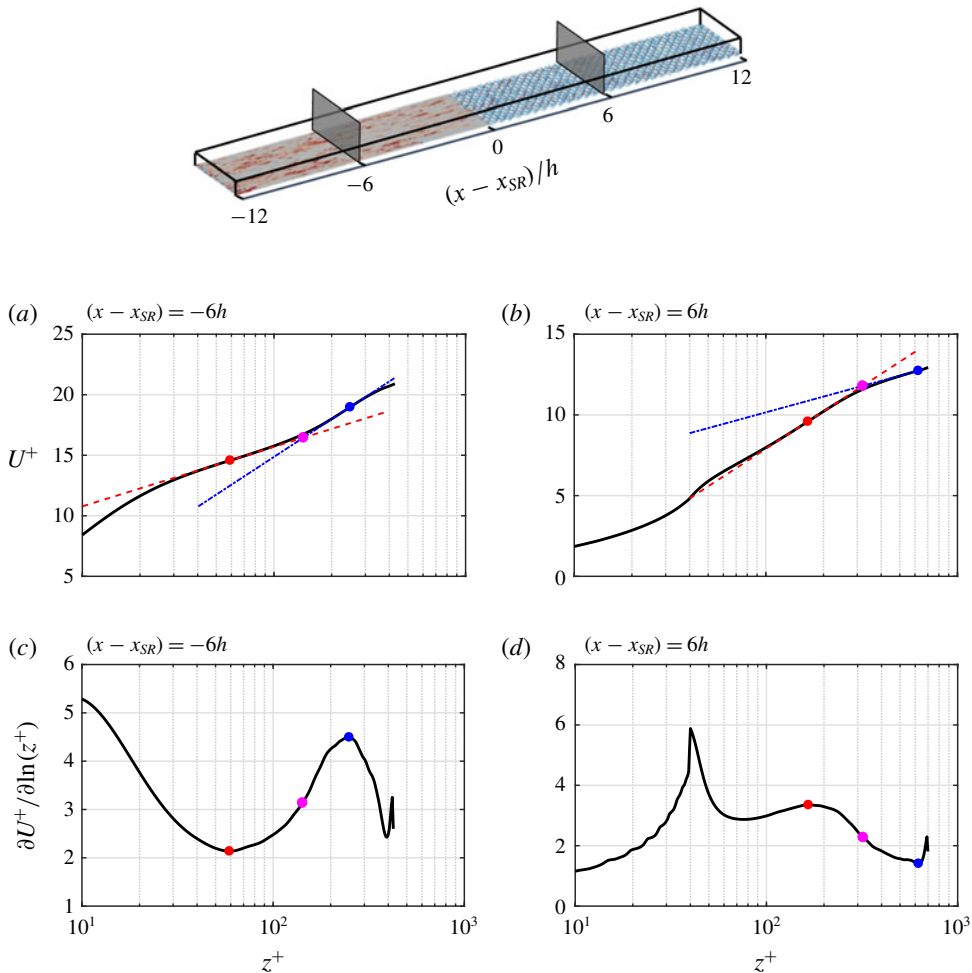


FIGURE 17. (Colour online) Identifying δ_E from logarithmic slope change. The quantities are normalised by the local u_τ and v . Profiles of (a,b) U^+ at $(x - x_{SR}) = -6h$ and $6h$, indicated in the computational domain. Profiles of (c,d) $\partial U^+ / \partial \ln(z^+)$ corresponding to the profiles in (a,b). The inner and outer slopes are the extrema of $\partial U^+ / \partial \ln(z^+)$ (●, red; ●, blue). Here δ_E (●, magenta) is located by intersecting the inner (---) and outer (-----) logarithmic fitting lines.

figure 18. The profiles do not show two slopes. This is supported by the slope curves $\partial(U/U_b)/\partial(z/h)^{1/2}$ (figure 18c,d). Other than distinct peaks close to the wall, there are no clear extrema and no signs of the two distinct slopes that should be yielded by this technique. Figure 16(e) shows the characteristic parameter $\partial(U/U_b)/\partial(z/h)^{1/2}$ over the entire domain. Due to the gradual variation of this quantity, δ_{AL} is difficult to detect. The problem in applying this technique may lie with the lower Reynolds number of the current simulation. The experiments where this technique was applied extended up to and beyond $Re_\tau \simeq 2000$.

The method proposed by Pendergrass & Arya (1984) is not applicable to channel flow as is quantified based on the velocity deviation from its undisturbed profile upstream of the surface change. In a channel flow there is a strong

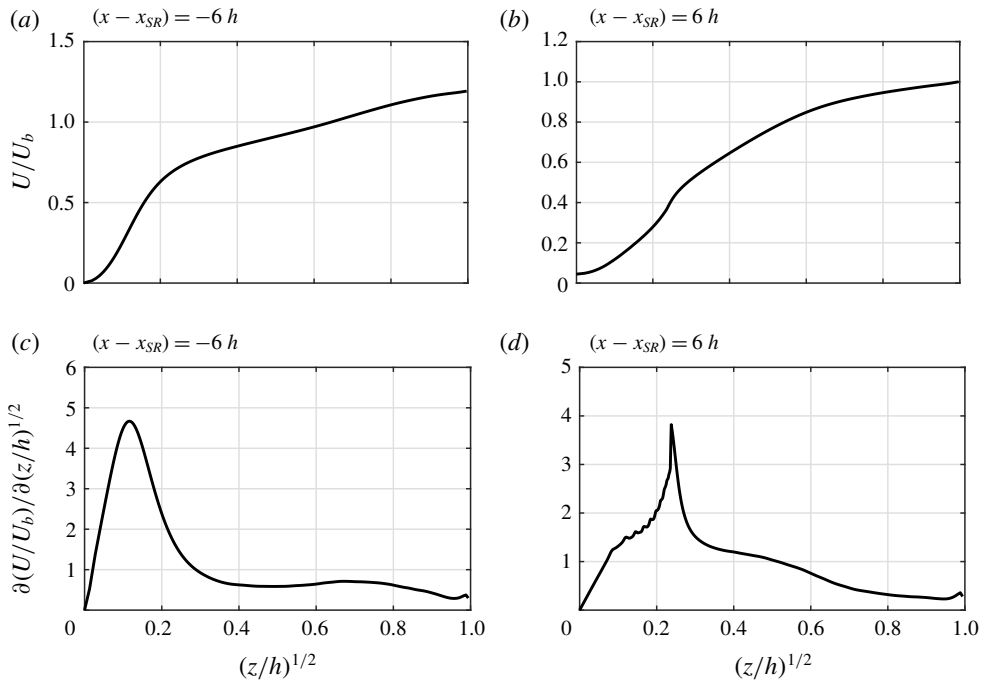


FIGURE 18. Profiles of (a,b) U/U_b versus $(z/h)^{1/2}$ at the same locations indicated in figure 17. Profiles of (c,d) the slope curves, $\partial(U/U_b)/\partial(z/h)^{1/2}$, corresponding to the profiles in (a,b).

acceleration/deceleration during each surface change (figure 7b) that substantially modifies the U profile across the entire channel. Hence the new profile is no longer comparable to the one upstream.

All δ_i values calculated from the different definitions are plotted in figure 19. Over the rough patch (figure 19c,e), with the exception of δ_{SP} (\times), the three other definitions yield almost identical growth rates, especially for $(x - x_{SR}) \geq 4h$. However, over the smooth patch, a discrepancy of up to 100% is seen among the definitions. Assessment of the obtained values of α (figure 19d,e) reveals their sensitivity to definition, which was earlier conjectured as one possible cause of scatter in the literature (figure 15). One notable behaviour in δ_{BMP} (+) is its almost identical growth rate over the smooth and rough patches, an observation that was earlier discussed by Bou-Zeid *et al.* (2004) and Silva-Lopes *et al.* (2015). Both Bou-Zeid *et al.* (2004) and Silva-Lopes *et al.* (2015) considered transitions from a rougher surface to a smoother surface (and *vice versa*), with roughness height ratios of $z_{01}/z_{02} = 10^{-1}$ and $z_{01}/z_{02} \approx 10^{-3}$, respectively. Despite the differences between the current DNS and these two studies, in each study δ_{BMP} yields the same power-law scaling over both smooth (or smoother) and rough (or rougher) surfaces, albeit with different power-law scaling α between the studies.

One key finding from this section is the sensitivity of δ_i to its definition. This explains some of the discrepancies in the literature. The remainder of this section attempts to arrive at a physically motivated definition. The IBL definition must be consistent with the IBL concept, a layer that is influenced by the new surface and above which the flow does not feel the surface underneath. This concept also includes

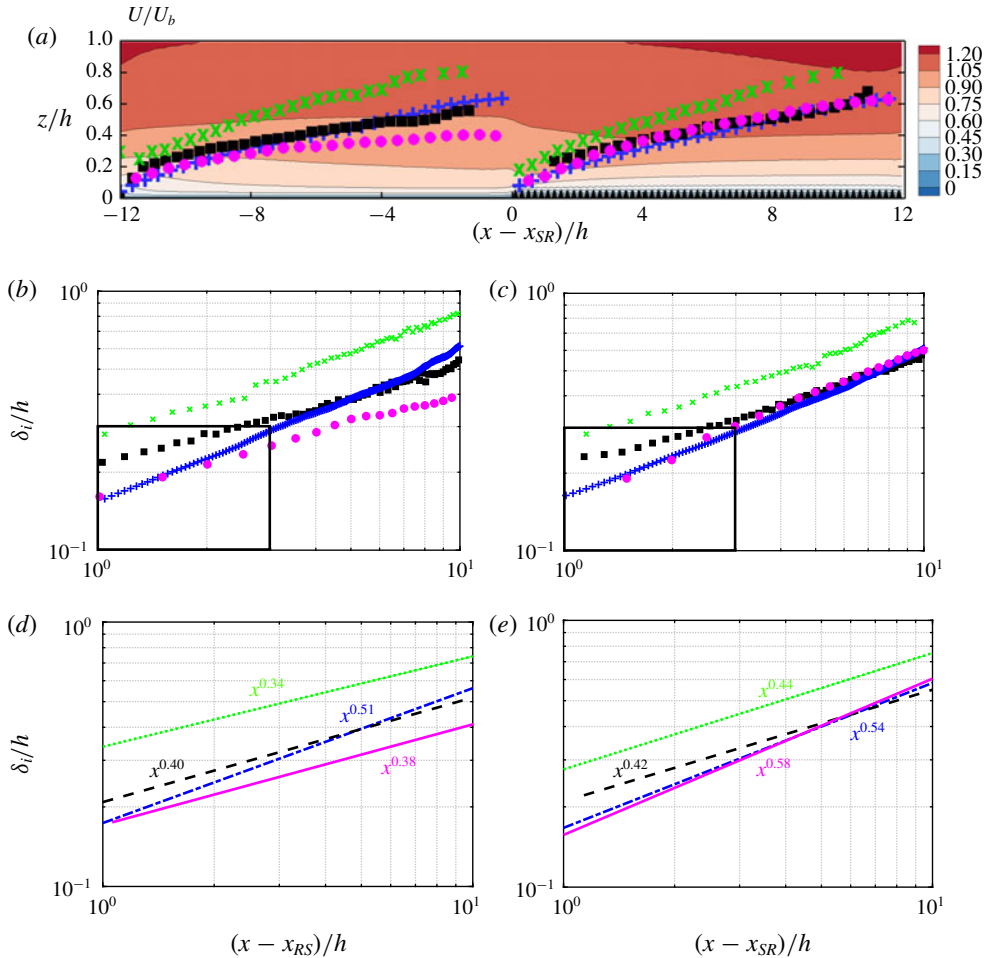


FIGURE 19. (Colour online) Comparison between the definitions of δ_i throughout the domain in linear scale (a). The resulting δ_i plotted in log scale over (b) the smooth patch and (c) the rough patch. Note that the origin has been shifted to the beginning of the smooth patch (x_{RS}) in (b,d). Power-law fitting the resulting δ_i over (d) the smooth patch and (e) the rough patch. Definitions: δ_{AW} (■, ---); δ_{BMP} (+, - - - -); δ_{SP} (×, - - - -); δ_E (●, —). The framed regions in (b,c) highlight the close behaviour of δ_E and δ_{BMP} within a fetch of $3h$.

turbulence characteristics, i.e. turbulence characteristics within the IBL differ from those above. However, all the definitions of δ_i in table 5 (except δ_{SP}) are derived from the mean velocity. Therefore, a fair examination of consistency between the IBL concept and the IBL definitions would be through the turbulence characteristics.

Various definitions may be chosen to characterise turbulence. Here, the ratio of the turbulent time scale over the mean time scale $S^* \equiv |S|\mathcal{K}/\varepsilon$ (Pope 2000, §7.1.7) is selected, where \mathcal{K} and ε are the turbulent kinetic energy and its dissipation rate, respectively. Parameter $|S| = \sqrt{2S_{ij}S_{ij}}$ is the mean strain-rate magnitude. In an equilibrium smooth-channel flow at $Re_\tau \simeq 395$, S^* is almost constant for $0.1 \leq z/h \leq 0.7$ (less than $\pm 10\%$ variation). This range covers the heights above the buffer region up to the outer wake region. The constant S^* is linked to the

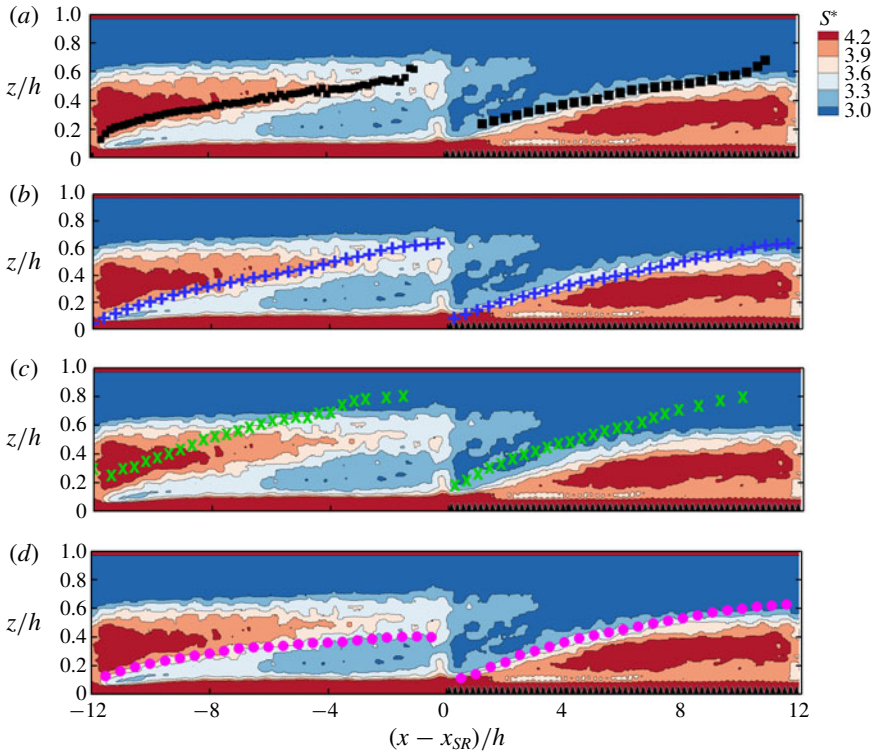


FIGURE 20. (Colour online) The normalised mean shear rate $S^* = |S|\mathcal{K}/\varepsilon$, overlaid with the IBL definitions: (a) δ_{AW} (■), (b) δ_{BMP} (+), (c) δ_{SP} (×) and (d) δ_E (●). The fields are overlaid by the spanwise projection of the roughness, in black colour.

production–dissipation balance and constancy of the normalised Reynolds shear stress by \mathcal{K} (Pope 2000). In figure 20, S^* is plotted, overlaid by the four IBL definitions. The region very close to the bottom surface (the red region for $z/h \lesssim 0.1$) corresponds to the viscous and buffer regions, and is disregarded. Considering $0.1 \leq z/h \leq 0.6$, S^* highlights two distinct regions, which differ in turbulence characteristics. The region closer to the wall is influenced by the new surface, while the region away from the wall preserves the characteristics associated with the previous surface. Among all the IBL definitions, δ_E appears to behave more consistently with the distinct regions created by S^* . Over the rough patch, all the IBL definitions, except δ_{SP} , behave in a manner consistent with the distinct regions. However, over the smooth patch, only δ_E captures the sharp gradient in S^* that marks the edge of the IBL. As a support to the latter argument, the gradient magnitude of S^* , $|\nabla S^*| = \sqrt{(\partial S^*/\partial x)^2 + (\partial S^*/\partial z)^2}$, is plotted in figure 21. The regions where this quantity is maximum correspond to the regions where S^* has the largest variation (i.e. turbulence characteristics are changing). As is seen in figure 21(a), the regions of maximum $|\nabla S^*|$ appear as layers that are emanated from the leading edges of the smooth and rough patches. In figure 21(b) it is seen that over each patch, δ_E is coincident with this newly formed layer of maximum $|\nabla S^*|$ that is emanated from the leading edge of each patch.

Consistency of the IBL definitions with the IBL concept is examined through the r.m.s. quantities over the smooth patch in figure 22. Profiles of u_{rms} (figure 22b), v_{rms} (figure 22c) and w_{rms} (figure 22d), at a fetch of $2h$, $5h$, $8h$ and $11h$ over the

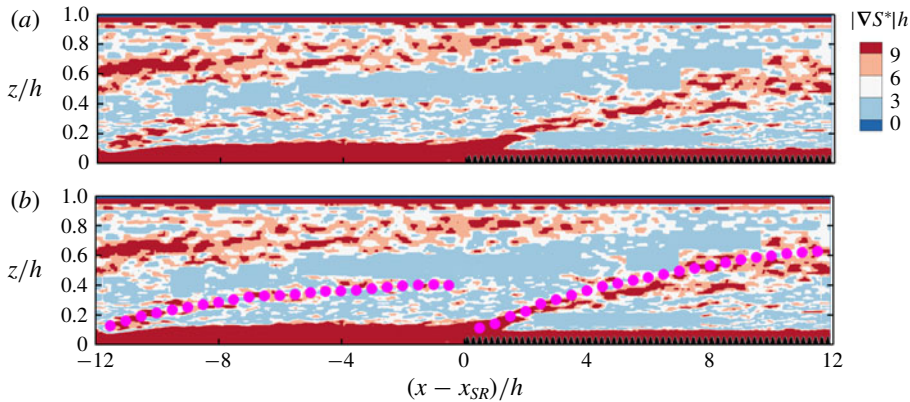


FIGURE 21. (Colour online) The gradient magnitude of S^* ($|\nabla S^*|$) corresponding to figure 20 is shown in (a) and (b). In (b) $|\nabla S^*|$ is overlaid with δ_E (●). The fields are overlaid by the spanwise projection of the roughness, in black colour.

smooth patch (---) are compared with their upstream counterparts immediately downstream of the rough-to-smooth step change, at a fetch of $0.1h$ (—). At each downstream location, the height up to which the r.m.s. profile departs from its upstream counterpart indicates the maximum height to which the new surface influence has reached (i.e. IBL concept based on r.m.s. quantities). Figure 22 shows that among the four overlaid δ_i definitions, δ_E (●) coincides better with the departure point for all three r.m.s. quantities; this is more evident in the most downstream profile at $(x - x_{RS}) = 11h$. The same study was conducted over the rough patch (not shown), and with the exception of δ_{SP} the three other definitions agreed well with the departure point. Therefore, from turbulence characteristics and r.m.s. quantities it is concluded that δ_E is more consistent with the IBL concept. Based on either turbulence characteristics S^* (figures 20 and 21) or any of the r.m.s. quantities (figure 22), Elliott's (1958) definition (δ_E , ●) is consistent with the IBL concept. Therefore, any new IBL definition that is derived from S^* or Reynolds stresses would be no different from δ_E .

This section represents the first to analyse the IBL definitions so extensively, highlighting the large discrepancy in IBL growth rates from the various definitions for the same flow. We can thus propose the most physically consistent IBL definition.

4. Conclusions

In this study, DNS of open-channel flow over streamwise-alternating patches of smooth and fully rough walls were investigated. The computational domain was equally divided between the smooth patch and the rough patch. Owing to the streamwise periodicity, both rough-to-smooth and smooth-to-rough step changes were studied. With the detailed information provided by DNS, some aspects of this flow were investigated that were hard to explore through either experimental techniques or computational models. These aspects included: (1) the validity of the equilibrium assumptions and (2) a thorough study of the IBL definitions.

Before studying the above-mentioned aspects, it was ensured that the parameters of interest are invariant to the finite domain size, and its periodicity. For this aim, three cases with domain lengths varying from 6 to 24 times the channel height h as well

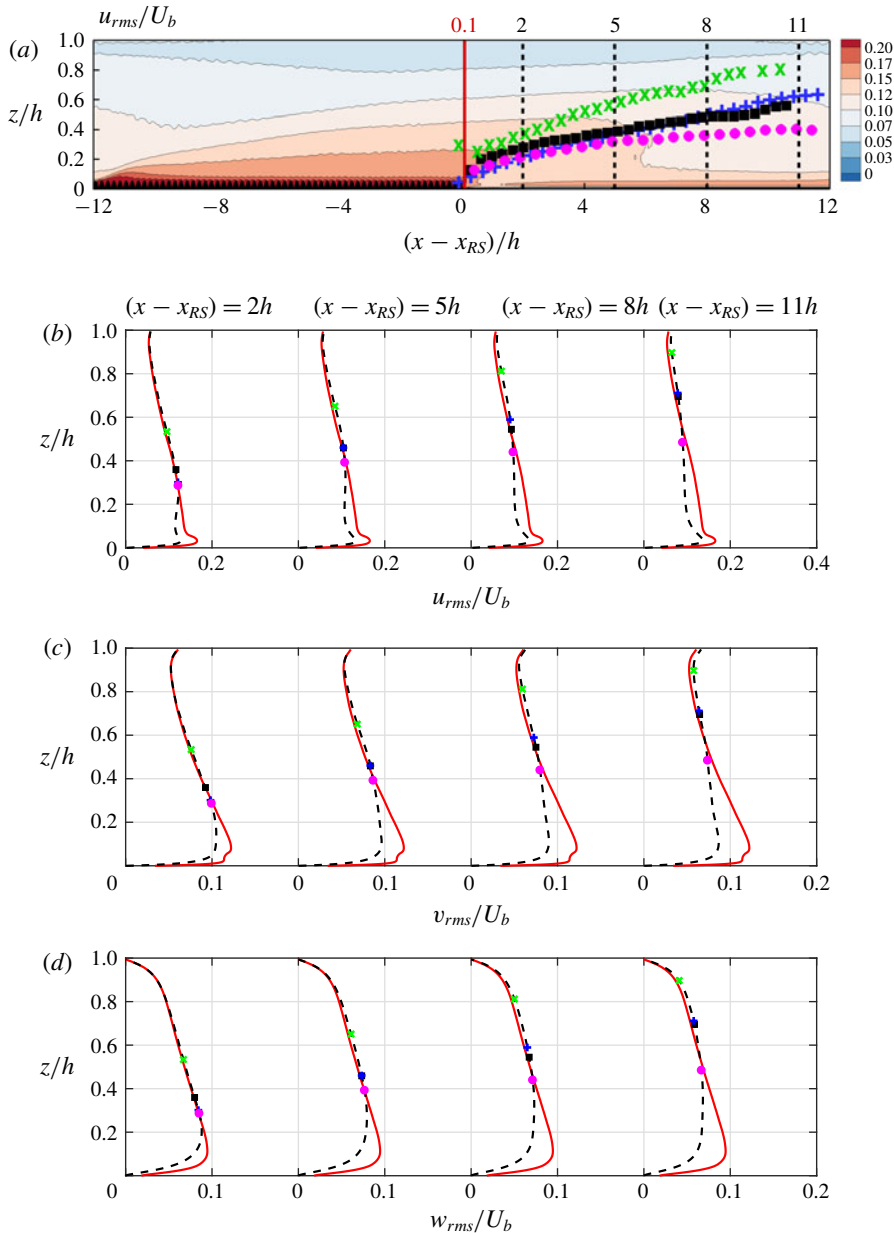


FIGURE 22. (Colour online) (a) The u_{rms} field overlaid with the IBL definitions. Comparison of profiles of (b) u_{rms} , (c) v_{rms} and (d) w_{rms} , after a fetch of $2h$, $5h$, $8h$ and $11h$ over the smooth patch (---), with their upstream counterparts after a fetch of $0.1h$ (—). The extracted locations are indicated in the u_{rms} field in (a). The four overlaid IBL definitions are: δ_{AW} (■), δ_{BMP} (+), δ_{SP} (×) and δ_E (●). The spanwise projection of the ‘egg-carton’ roughness is indicated with black colour.

as a non-periodic rough-to-smooth case with fully developed inflow were simulated. The results showed that with a domain length of at least $12h$ (assigning $6h$ to each patch), the flow quantities within the IBL are not influenced by the domain length

and periodicity. Above the IBL, due to the history effects, the flow remains sensitive. Nevertheless, the physics of interest occur within the IBL or at its edge, including the wall shear stress, the IBL thickness and the flow recovery.

Assessment of the mean velocity profiles revealed that the equilibrium assumptions are not entirely valid, in particular over the smooth patch. If an error of up to 5% is noted acceptable and if the beginning of the log layer is classically noted as 30 wall units above the wall, over the rough patch the log-law assumption becomes valid after a fetch of $2.5h$, while over the smooth patch it is valid after a fetch of $5h$.

An extensive study was conducted of the IBL. Most commonly used definitions of the IBL thickness were tested with the current DNS. It was noticed that for the same dataset, depending on the definition, the resulting IBL thickness may differ by up to 100%. To choose the proper definition, the authors started from the fundamental perception of the IBL, as a layer that separates the region influenced by the surface underneath from the uninfluenced one. Then, they applied this concept to the turbulence characteristics and r.m.s. quantities. Results showed that the definition by Elliott (1958), which is based on the logarithmic slope change of the velocity profile, is more consistent with this perception of the IBL.

Acknowledgements

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Appendix A. Numerical scheme and the IBM

In this appendix details of the numerical scheme, the IBM and verification of the numerical set-up against a body-conforming grid solver are presented.

Equation (2.1) in § 2 is integrated in time using the fractional-step algorithm (Perot 1993). The time-marching scheme is the third-order Runge–Kutta (Spalart *et al.* 1991), which divides each time step into three sub-steps. During each sub-step, the fractional-step algorithm consists of the following three steps to update the velocity from the current sub-step (u_i^n) to the next sub-step (u_i^{n+1}).

(1) Predicting the intermediate velocity (u_i^*):

$$\frac{u_i^* - u_i^n}{\Delta_t} = \text{Explicit} + \nu \left(\frac{\partial^2 u_i}{\partial x_3^2} \right)^{n,*} + F_i^m, \tag{A 1}$$

$$\text{Explicit} = \xi_m \left[-\frac{1}{\rho} \left\langle \frac{dP}{dx} \right\rangle \phi_u \delta_{i1} - \frac{1}{\rho} \left(\frac{\partial \tilde{p}}{\partial x_i} \right)^n \right] + \left[\nu \left(\frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2} \right) u_i - \frac{\partial u_i u_j}{\partial x_j} \right]^{n,n-1}. \tag{A 2}$$

(2) Solving the Poisson equation:

$$\frac{1}{\rho} \frac{\partial^2 [\delta \tilde{p}]}{\partial x_j^2} = \frac{1}{\xi_m \Delta_t} \frac{\partial u_i^*}{\partial x_i}. \tag{A 3}$$

(3) Updating the velocity (u_i^{n+1}) and periodic pressure (\tilde{p}^{n+1}) for the next sub-step:

$$u_i^{n+1} = u_i^* - \frac{\xi_m \Delta_t}{\rho} \frac{\partial[\delta\tilde{p}]}{\partial x_i}, \quad \tilde{p}^{n+1} = \tilde{p}^n + \phi_p[\delta\tilde{p}]. \quad (\text{A } 4a,b)$$

The spatial discretisation is the fully conservative fourth-order, staggered, finite-difference scheme (Morinishi *et al.* 1998; Verstappen & Veldman 2003). Parameter $\xi_m \Delta_t$ is one sub-step size, i.e. $\Delta_t = \xi_1 \Delta_t + \xi_2 \Delta_t + \xi_3 \Delta_t$. The advection and the wall-parallel diffusion terms are advanced explicitly using the low-storage third-order Runge–Kutta, $(\cdot)^{n,n-1} = \gamma_m(\cdot)^n + \zeta_m(\cdot)^{n-1}$, while the wall-normal diffusion term is advanced implicitly, $(\cdot)^{n,*} = \alpha_m(\cdot)^n + \beta_m(\cdot)^*$, where α_m , β_m , γ_m and ζ_m depend on the sub-step (Spalart *et al.* 1991, its appendix).

To impose the no-slip condition on the bottom smooth and rough surfaces, the IBM force

$$F_i^m = -(1 - \phi_i)[\text{Explicit} + \nu(\partial^2 u_i / \partial x_3^2)^{n,*} + u_i^n / \Delta_t] \quad (\text{A } 5)$$

is added such that $u_i^* = 0$ when $\phi_i = 0$, as written in (A 1). Here ϕ_i is the fraction of each computational cell occupied by the fluid, computed during pre-processing for the staggered velocity components and pressure (ϕ_u , ϕ_v , ϕ_w and ϕ_p). Through substitution for F_i^m in (A 1), step (1) can be recast into the following equation:

$$\left(1 - \nu\phi_i\beta_m\Delta_t\frac{\partial^2}{\partial x_3^2}\right)u_i^* = \phi_i\left(1 + \nu\alpha_m\Delta_t\frac{\partial^2}{\partial x_3^2}\right)u_i^n + \Delta_t\phi_i\text{Explicit}. \quad (\text{A } 6)$$

The term in the brackets on the left-hand side of (A 6) is a heptadiagonal matrix (owing to the fourth-order discretisation), which is solved directly for u_i^* .

The wall shear stress at each time step at each (x, y) location can be obtained through integration of the streamwise IBM force term F_1^m over the z -direction:

$$\frac{\tau_w}{\rho} = -\sum_{m=1}^3 \left[\int_{z_{\min}}^{z_{\max}} F_1^m dz \right], \quad (\text{A } 7)$$

where the integrals are summed over the three sub-steps of Runge–Kutta (i.e. $m = 1, 2, 3$), and z_{\min} and z_{\max} are the minimum and maximum z -coordinates of the computational domain (here $-4/3k$ and h , respectively). The friction velocity $u_\tau(x) = \sqrt{\langle \tau_w \rangle} / \rho$ is obtained through averaging τ_w over time and spanwise direction. Over the rough patch $\langle \cdot \rangle$ also indicates averaging over a finite streamwise window size, following the procedure described in § 2.

This IBM is of the direct-forcing category with the volume of fluid interpolation (Fadlun *et al.* 2000) suitable for implementing solid geometries in Cartesian codes. In this method the computational domain includes both the solid and fluid regions (figure 2a), from $z_{\min} = (-4/3)k$ to $z_{\max} = h$, placing a $(1/3)k$ solid gap between the roughness trough and the bottom computational boundary, with the no-slip condition imposed on the bottom boundary. To drive only the fluid zone, $\langle dP/dx \rangle$ has been multiplied by ϕ_u in (A 1). The direct-forcing IBM with the volume of fluid interpolation has been adopted in previous rough-wall simulations (Scotti 2006; Yuan & Piomelli 2014). However, the IBM adopted here has been slightly modified compared to the one adopted by Scotti (2006) and Yuan & Piomelli (2014) by adding (A 4b) in step (3), which corrects the pressure by ϕ_p . With this modification, F_i^m is non-zero only in the computational cells that intersect the solid–fluid interface.

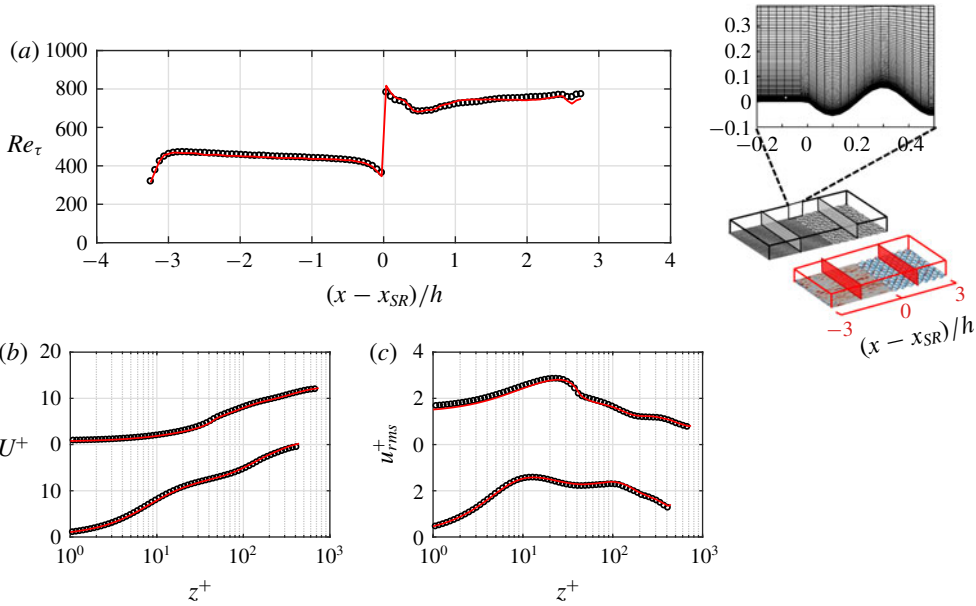


FIGURE 23. (Colour online) Comparison between cases 6h (—) and 6h verification (○). (a) Reynolds number Re_τ based on local u_τ and h . Profiles of (b) U and (c) u_{rms} . The quantities in plus units are normalised by the local u_τ and v . Comparison is made in the middle of the smooth patch (lower curves in b,c) and in the middle of the rough patch (upper curves in b,c), demonstrated in the domains on the right-hand side.

| Case | L_x/h | L_y/h | N_x | N_y | N_z | $\Delta_{x_s}^+, \Delta_{x_r}^+$ | $\Delta_{y_s}^+, \Delta_{y_r}^+$ | λ/Δ_x | λ/Δ_y |
|-----------------|---------|---------|-------|-------|-------|----------------------------------|----------------------------------|--------------------|--------------------|
| 6h verification | 6.06 | 3.18 | 384 | 384 | 192 | 6.8, 12.3 | 3.7, 6.2 | 24.0 | 48.0 |

TABLE 6. Summary of the verification case using the body-conforming grid solver (Cascade Technologies, Inc.; Ham, Mattsson & Iaccarino 2006) for comparison with case 6h in table 1 using the IBM. The global Reynolds number $Re_{\tau_o} = 590$, the same as case 6h.

However, in the uncorrected approach F_i^m is also non-zero in the non-intersecting in-solid cells. The modified approach yields u_τ more directly in heterogeneous flows.

The code that adopts the numerical schemes described above, without the IBM, has been verified in previous DNS studies (Chung, Monty & Ooi 2014; Chung *et al.* 2015). To verify the IBM, a grid-refinement study and a comparison against a body-conforming grid solver were carried out. The grid-refinement study was conducted for homogeneous ‘egg-carton’ roughness (implemented with the IBM), at $Re_\tau = 590$, and grid convergence was reached when $\lambda/\Delta_x = 25.6$ and $\lambda/\Delta_y = 48.0$. Then case 6h (table 1) was repeated using a body-conforming grid solver, from Cascade Technologies, Inc. (Ham *et al.* 2006), and is denoted as case 6h verification with the grid and domain size listed in table 6. All the physical parameters are identical to those of case 6h except the wall-normal grid (right-hand side of figure 23). For the body-conforming grid, the hyperbolic grid distribution starts from the bottom surface (as opposed to the roughness crest in case 6h). Despite the earlier grid stretching above the rough surface, Δ_z^+ (based on the local u_τ) is maintained below

| Case | L_x/h | $N_x \times N_y \times N_z$ | $\Delta_{x_s}^+, \Delta_{x_r}^+$ | $\Delta_{y_s}^+, \Delta_{y_r}^+$ | $\Delta_{z_s}^+ _0, \Delta_{z_r}^+ _0$ | λ/Δ_x | λ/Δ_y |
|--------------|---------|-----------------------------|----------------------------------|----------------------------------|--|--------------------|--------------------|
| Non-periodic | 7.95 | $512 \times 384 \times 400$ | 6.6, 10.9 | 3.5, 5.8 | 0.2, 0.4 | 25.6 | 48.0 |

TABLE 7. Summary of the non-periodic case for the main simulation with $L_y/h = 3.1808$. For the precursor simulation, the domain size and number of grid points are the same as those of the main simulation, and the resolution is the same as that of the main simulation over the rough (fringe) region.

unity up to the roughness crest. Figure 23 shows the comparison between cases $6h$ and $6h$ verification for the parameters of interest (including local Re_τ , and profiles of U and u_{rms}), and good agreement (less than 3% difference) is obtained between the two cases.

With the chosen grid resolution in table 6, one repeatable tile of ‘egg-carton’ roughness with an area of $\lambda \times \lambda$ is resolved by $\lambda/\Delta_x \times \lambda/\Delta_y \simeq 25 \times 48 = 1200$ grid points in the xy -plane. Scotti (2006), who used IBM to implement the sand-grain roughness, resolved each roughness element by a maximum of 66 grid points in the xy -plane. Yuan & Piomelli (2014), who also adopted Scotti’s IBM and considered sand-grain roughness, resolved each roughness element by 16 grid points in the xy -plane.

Appendix B. Periodic versus a rough-to-smooth non-periodic case

In this appendix the periodic case $12h$ (table 1) is compared with a non-periodic case with fully recovered inflow. Here, only the rough-to-smooth step change is considered due to the slow flow recovery over the smooth patch. For the non-periodic case, the concurrent precursor method (Stevens, Graham & Meneveau 2014; Munters, Meneveau & Meyers 2016) was adopted to simulate a non-periodic flow with a periodic code (figure 24). This method consists of a precursor simulation, which here is a fully recovered flow over homogeneous rough surface with a domain length of about $8h$ (figure 24c), in addition to the main simulation, which here is a rough-to-smooth step change with a domain length of about $6h$ smooth and $2h$ rough (figure 24b). Both simulations are run synchronously with the same time steps, domain sizes and number of grid points in each direction. The precursor simulation is driven by a pressure gradient, which here is adjusted such that $Re_{\tau_0} = 704$, the asymptotic Reynolds number downstream of the smooth-to-rough step change (figure 3b). The main simulation is driven by the imposed flow ($u_{prec,i}$) from the precursor simulation (shaded area in figure 24c) through the fringe force $f_{fr,i} = -\lambda_f(u_i^n - u_{prec,i}^n)$, added to the right-hand side of (A 1). The masking function $\lambda_f = \lambda_{max}\{S_f[(x - x_s)/\Delta_s] - S_f[(x - x_e)/\Delta_e + 1]\}$ (figure 24a) is non-zero only in the fringe region (shaded area in figure 24b). For S_f the reader may refer to equation (4c) in Munters *et al.* (2016). With this forcing technique, the flow over the precursor homogeneous rough-wall simulation is copied to the end of the main simulation over its rough patch (consider the arrow from figure 24c to figure 24b). The periodic boundary condition in the main simulation (figure 24b) recycles the fully developed flow over the rough patch to the beginning of the smooth patch, and hence we simulate a rough-to-smooth step change with fully developed oncoming flow over the rough surface.

The smooth patch length and resolution of the non-periodic case (table 7) are almost identical to those of case $12h$ (table 1). A domain length of 20 roughness wavelengths

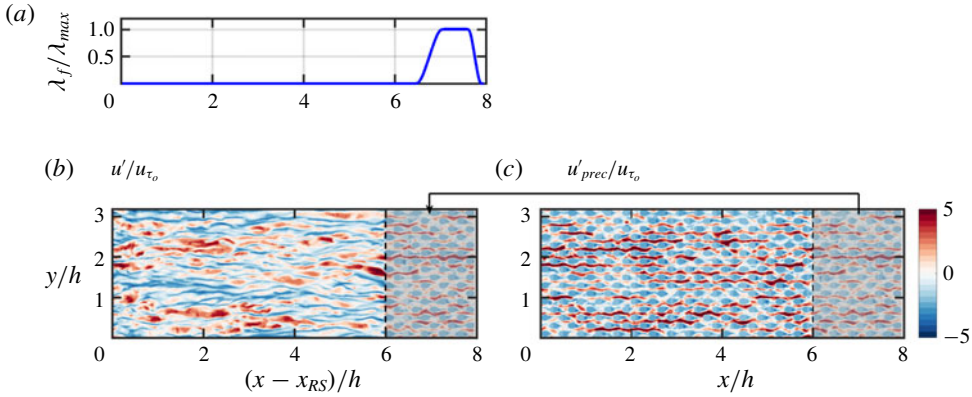


FIGURE 24. (Colour online) Illustration of concurrent precursor method (Stevens *et al.* 2014; Munters *et al.* 2016) for the rough-to-smooth non-periodic set-up with fully recovered inflow. (b) The main domain and (c) the precursor domain at $z u_{\tau_0}/\nu = 15$. The shaded regions indicate the data extraction region in (c) and the fringe forcing region in (b). (a) The fringe masking function λ_f normalised by $\lambda_{max} = 3000$.

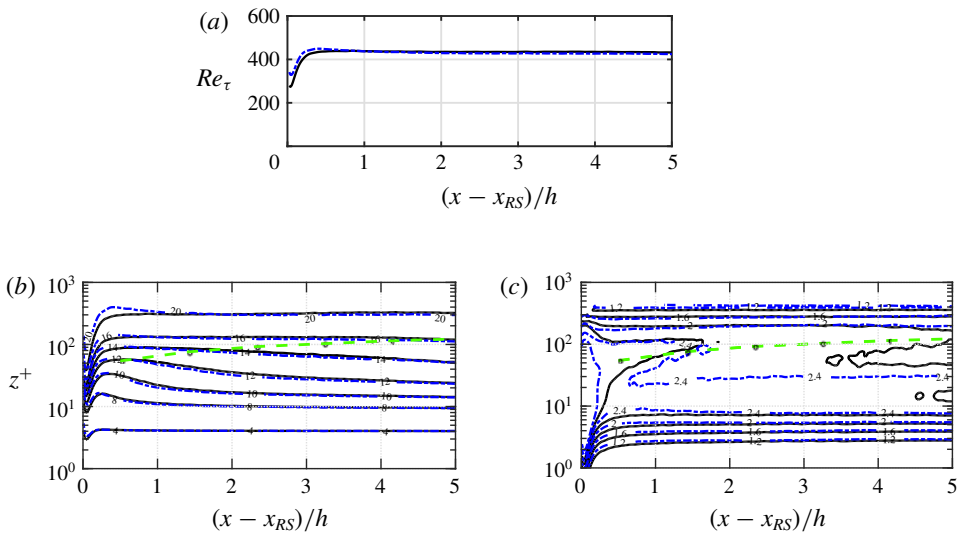


FIGURE 25. (Colour online) Comparison of statistics between case 12h (-----) and non-periodic case (—) during rough-to-smooth step change. (a) Reynolds number Re_τ ; and contour lines of (b) U^+ and (c) u_{rms}^+ . Quantities in plus units are normalised by the local u_τ and ν . The IBL thickness, defined by Elliott (1958), is overlaid on the contour lines (—○—).

($20\lambda \approx 8h$) is considered, which for the precursor simulation is homogeneously rough, and for the main simulation is partially smooth ($15\lambda \approx 6h$) and partially rough ($5\lambda \approx 2h$), in its fringe region. The input parameters for λ_f are $\lambda_{max} = 3000$, $x_s = 0.8L_x$, $x_e = L_x$, $\Delta_s = 0.1L_x$ and $\Delta_e = 0.05L_x$; these parameters are adjusted according to Munters *et al.* (2016) to sufficiently damp the terms in (A 1) except $f_{fr,i}$, yet low enough for numerical stability.

Comparison of the statistics between the non-periodic case and the periodic case $12h$ (figure 25) shows that the difference between these two cases in terms of Re_τ (figure 25a) is less than 1% after a fetch of $0.8h$. The difference in terms of U^+ and u_{rms}^+ (figure 25b,c) is less than 1% and 4%, respectively, after a fetch of $0.3h$ within the IBL (—○—, region of interest). The discrepancy up to a fetch of $0.8h$ could be due to the forcing up to the very end of the fringe region (figure 24a). Nevertheless, the conclusions drawn from the analysis of U^+ do not depend on this minor discrepancy: § 3.2 on equilibrium assumption and § 3.3 on the suitable IBL definition. Also, 4% difference in u_{rms}^+ does not have an impact on the conclusions drawn in § 3.2. This appendix reinforces the domain length study in § 3.1.

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