

Effects of early life stress on depression, cognitive performance and brain morphology

A. Saleh¹, G. G. Potter², D. R. McQuoid², B. Boyd¹, R. Turner¹, J. R. MacFall³ and W. D. Taylor^{1,4*}

¹The Center for Cognitive Medicine, Department of Psychiatry, Vanderbilt University Medical Center, Nashville, TN 37212, USA

²Department of Psychiatry and Behavioral Sciences, Duke University Medical Center, Durham, NC 27710, USA

³Department of Radiology, Duke University Medical Center, Durham, NC 27710, USA

⁴The Geriatric Research, Education, and Clinical Center (GRECC), Department of Veterans Affairs Medical Center, Tennessee Valley Healthcare System, Nashville, TN 37212, USA

Background. Childhood early life stress (ELS) increases risk of adulthood major depressive disorder (MDD) and is associated with altered brain structure and function. It is unclear whether specific ELSs affect depression risk, cognitive function and brain structure.

Method. This cross-sectional study included 64 antidepressant-free depressed and 65 never-depressed individuals. Both groups reported a range of ELSs on the Early Life Stress Questionnaire, completed neuropsychological testing and 3T magnetic resonance imaging (MRI). Neuropsychological testing assessed domains of episodic memory, working memory, processing speed and executive function. MRI measures included cortical thickness and regional gray matter volumes, with *a priori* focus on the cingulate cortex, orbitofrontal cortex (OFC), amygdala, caudate and hippocampus.

Results. Of 19 ELSs, only emotional abuse, sexual abuse and severe family conflict independently predicted adulthood MDD diagnosis. The effect of total ELS score differed between groups. Greater ELS exposure was associated with slower processing speed and smaller OFC volumes in depressed subjects, but faster speed and larger volumes in non-depressed subjects. In contrast, exposure to ELSs predictive of depression had similar effects in both diagnostic groups. Individuals reporting predictive ELSs exhibited poorer processing speed and working memory performance, smaller volumes of the lateral OFC and caudate, and decreased cortical thickness in multiple areas including the insula bilaterally. Predictive ELS exposure was also associated with smaller left hippocampal volume in depressed subjects.

Conclusions. Findings suggest an association between childhood trauma exposure and adulthood cognitive function and brain structure. These relationships appear to differ between individuals who do and do not develop depression.

Received 3 December 2015; Revised 15 August 2016; Accepted 17 August 2016; First published online 29 September 2016

Key words: Brain volumes, depression, magnetic resonance imaging, neuropsychological testing, trauma.

Introduction

Childhood stress and trauma significantly influence the risk of adult psychopathology. Early life stress (ELS) exposure lowers the threshold for depressive reactions to stressors later in life (Harkness *et al.* 2006), while the intensity of ELS predicts symptom severity of mood episodes (Martins *et al.* 2014). ELS exposure can have long-lasting effects on hypothalamic–pituitary–adrenal (HPA) axis regulation (McEwen, 2003), which may in part explain the relationship between ELSs and depression. It is currently unclear whether depression is

associated with exposure to specific stressors or whether any childhood trauma could increase vulnerability to depression. Although stressors in childhood or adolescence contribute to a wide range of adulthood psychopathology, some studies associate major depressive disorder (MDD) with exposure to certain types of ELSs including sexual abuse (Kaplow & Widom, 2007), emotional abuse (Martins *et al.* 2014) and family conflict (Kessler & Magee, 1994). In contrast, others concluded there is insufficient evidence associating specific childhood adversities with specific psychiatric disorders (Gershon *et al.* 2013). Such potential ELS–disorder specificity is probably related to more than just the occurrence of the stressor and may be related to the intensity, duration, developmental stage of the victim and physiological stress response at the time of the stressor. Presumably only stressors resulting in significant or sustained stress responses characterized by HPA axis or other immune system activity would influence vulnerability to psychiatric illnesses. Such

* Address for correspondence: W. D. Taylor, M.D., M.H.Sc., Vanderbilt University, 1601 23rd Avenue South, Nashville, TN 37212, USA.

(Email: warren.d.taylor@vanderbilt.edu)

This research was previously presented as a poster at the American Psychiatric Association's 168th annual meeting in Toronto, ON, Canada, in March 2015.

stressors would also be expected to be associated with cognitive performance and magnetic resonance imaging (MRI) differences.

Poorer cognitive performance is increasingly recognized as an important aspect of MDD, characterized by poor performance on measures of executive function, processing speed and episodic memory (Snyder, 2013). ELS exposure is associated with poorer adult cognitive function in memory domains and executive function in populations with and without psychopathology (Navalta *et al.* 2006). However, not all studies associate ELS exposure with poorer cognitive performance and much of this work focuses on post-traumatic stress disorder. It is thus unclear whether ELS exposure influences cognitive performance in MDD.

More consistently, even in individuals without psychiatric disorders, neuroimaging studies associate ELS exposure with volumetric and functional alterations in brain regions including the anterior cingulate cortex (ACC) (Cohen *et al.* 2006; Udo *et al.* 2012), medial prefrontal cortex (van Harmelen *et al.* 2010), caudate (Cohen *et al.* 2006) and insula (Baker *et al.* 2013). In contrast, depressed patients exposed to ELSs exhibit smaller volumes of the orbitofrontal cortex (OFC) and prefrontal cortex (Frodl *et al.* 2010; Udo *et al.* 2012) and the hippocampus (Cohen *et al.* 2006; Udo *et al.* 2012). Jointly, these findings suggest that ELS effects on brain structure may differ between healthy and depressed populations. Although it is challenging to disentangle the effects of ELS *v.* the effects of depression itself, such population-specific findings may provide clues related to depression vulnerability or resilience.

We hypothesized that specific ELSs are associated with a diagnosis of MDD in adulthood. We further hypothesized that those ELSs associated with MDD would also be associated with poorer performance on cognitive tests and structural alterations in brain regions involved in mood regulation. As those ELSs by definition would increase the risk of MDD, we also tested for statistical interactions between ELS and MDD diagnosis to determine whether the effect of ELS exposure on cognition and brain structure differed between depressed and non-depressed groups.

Method

Subjects

Subjects were between 20 and 50 years of age and enrolled at Duke University ($n=112$) and Vanderbilt University ($n=17$) between April 2008 and December 2013. Depressed subjects had a Diagnostic and Statistical Manual of Mental Disorders, 4th edition (DSM-IV) diagnosis of recurrent MDD, as assessed by the Mini-International Neuropsychiatric Interview

(M.I.N.I., version 5.0) (Sheehan *et al.* 1998) and interview with a psychiatrist. Additional inclusion criteria included onset of first depressive episode before age 35 years and a Montgomery-Åsberg Depression Rating Scale (MADRS) (Montgomery & Åsberg, 1979) score of 15 or greater. Inclusion criteria specified no psychotropic medication use in the last month; most subjects reported no use for at least 3 months or longer. Eligible control subjects had neither a history of psychiatric disorders nor a history of psychotropic medication use. Although not an entry criterion, medical co-morbidity was quantified using the Cumulative Illness Rating Scale (CIRS) (Miller *et al.* 1992).

Exclusion criteria included other lifetime DSM-IV Axis I disorders including substance abuse or dependence, although co-morbid anxiety symptoms occurring in context of depressive episodes were allowable. Subjects were excluded for Axis II disorders assessed by the Structured Clinical Interview for DSM-IV Axis II Personality Disorders (SCID-II) (First *et al.* 1997). Additional exclusion criteria included: history of psychosis, acute suicidality, use of illicit substances in the last month, electroconvulsive therapy in the last 6 months, a family history of bipolar disorder, any unstable medical condition, any history of neurological illness or head injury, or MRI contraindications.

Both the Duke University and the Vanderbilt University Institutional Review Boards approved this study. All subjects provided written informed consent.

Assessment of ELS and estimation of total time depressed

Exposure to childhood stressors was assessed using the self-report Early Life Stress Questionnaire (ELSQ). The ELSQ was developed from the Child Abuse and Trauma Scale (Sanders & Becker-Lausen, 1995), which has strong internal consistency, validity, and test-retest reliability. The ELSQ consists of 19 traumatic items answered yes or no occurring during childhood and adolescent ages up to 17 years. We modified the ELSQ to ask about the ages during the time exposed for each stressor, allowing us to estimate the duration of exposure in years.

Following procedures similar to past reports (Sheline *et al.* 1999), duration of lifetime depression was assessed through detailed clinical interview and acquisition of medical records. We used a life-charting approach to anchor each episode, applying diagnostic criteria to each episode.

Neuropsychological testing

A trained psychometric technician supervised by a licensed neuropsychologist administered neuropsychological testing. Similar to our approach in geriatric

depression (Sheline *et al.* 2010), we created rationally constructed composite domain variables from a broad test battery. To combine tasks, we created Z-scores for each measure based on the performance of all subjects, then averaged the Z-scores for all tests within each domain. Internal consistency for each domain was assessed using Cronbach's coefficient α (CoA). This resulted in four composite neuropsychological measures: (a) episodic memory (logical memory 1 and 2; Benton Visual Retention Test, number correct; Rey's Verbal Learning Test, total I-V and total VII; CoA=0.87); (b) executive function (Controlled Oral Word Association Test, total score; Trail Making B time, reverse scored time to completion; verbal fluency, total phonological and semantic; Stroop color-word interference condition, number completed; CoA=0.75); (c) processing speed (symbol-digit modality, number completed; Trail Making A, reverse scored time to completion; Stroop color naming condition, number completed; CoA=0.70); and (d) working memory (digit span forward, number of trials correctly completed; digit span backward, number of trials correctly completed; CoA=0.75).

MRI acquisition

Due to differences in MRI manufacturers, only MRI data acquired at Duke University were included in analyses. Cranial MRI was performed using the eight-channel parallel imaging head coil on a whole-body MRI system (Trio, Siemens Medical Systems, USA). Parallel imaging was employed with an acceleration factor of 2. Duplicate T1-weighted image sets were acquired during the scan session using a sagittal MPRAGE sequence with repetition time/echo time = 2300/3.46 ms, a 240 Hz/pixel bandwidth, a 256 × 256 matrix, a 240 mm diameter field of view, 160 slices with a 1.2 mm slice thickness, yielding an image with voxel sizes of 0.9 × 0.9 × 1.2 mm. In eight cases, subjects did not complete MRI or scan quality was not suitable for image processing.

Structural MRI analyses

Volumetric MRI analyses

Regional volumes and cortical thickness were calculated using FreeSurfer (version 5.1) software. The FreeSurfer methods used to derive cortical and subcortical brain volumes have been previously described (Dale *et al.* 1999; Fischl *et al.* 2002, 2004a, b). Cortical parcellation used an anatomical mask derived from the Desikan-Killiany Atlas (Desikan *et al.* 2006); in each hemisphere, this method identified 33 cortical and seven subcortical gray matter regions (nucleus accumbens, amygdala, caudate, hippocampus,

pallidum, putamen and thalamus). Intracranial volume was assessed using the method implemented in FreeSurfer. We visually inspected the data by overlaying the surfaces and subcortical segmentations over the T1 data. Individual slices in each orientation were assessed for errors. No manual corrections were needed.

Thickness analyses

This was an exploratory approach to test for differences not captured using our atlas-based comparisons. We tested for differences in cortical thickness using FreeSurfer's QDEC module. We used a general linear model (GLM) to test for differences in cortical thickness between groups exposed or not exposed to ELSs, including age as a nuisance variable. In this method, cortical thickness is computed as the shortest distance between any point on the pial surface and the gray/white boundary and vice versa; these two values are averaged (Fischl & Dale, 2000). Maps were smoothed using the standard Gaussian kernel of 10 mm. We used a GLM to test for differences in cortical thickness between diagnostic groups, including age as a nuisance variable. Correction for multiple comparisons was carried out using the Monte Carlo simulation method using an initial cluster threshold set at $p < 0.01$. Data were tested against an empirical null distribution of maximum cluster size by running 10 000 synthesized Gaussian noise simulations, producing clusters fully corrected for multiple comparisons. Right and left hemispheres were tested separately.

Statistical analyses

All analyses were conducted using SAS 9.4 (USA). We tested for univariate differences between diagnostic groups in demographic and clinical variables using χ^2 tests for categorical variables and two-tailed t tests for continuous variables. Initial tests for differences in the report of ELSs between depressed and non-depressed cohorts were conducted using χ^2 tests, or Fisher's exact test when cell sizes were low.

ELs that differed between groups in univariate tests were incorporated into GLMs predicting diagnosis (MDD or non-depressed) while controlling for age, sex, education and medical morbidity (CIRS score). Retaining these demographic variables, we conducted backward regression to develop a parsimonious model, removing each ELS item based on its statistical significance level of $p = 0.05$. The remaining ELS items that subsequently predicted a MDD diagnosis were termed 'predictive ELSs'.

We next planned a hypothesis-driven approach to reduce the number of comparisons. For neuropsychological analyses, we consolidated individual test

Table 1. Demographics and cognitive function^a

Variable	Depressed (<i>n</i> = 64)	Control (<i>n</i> = 65)	Test statistic	df	<i>p</i>
Age, years	35.1 (8.9)	29.7 (9.2)	<i>t</i> = 3.40	127	0.0009
Sex, women, % (<i>n</i>)	60.9 (39)	66.2 (43)	$\chi^2 = 0.38$	1	0.5382
Education, years	15.2 (2.4)	16.0 (1.9)	<i>t</i> = 1.94	127	0.0550
CIRS total	0.6 (1.0)	0.022 (0.5)	<i>t</i> = 2.89	127	0.0043
MADRS total score	25.1 (4.5)	0.7 (0.9)	<i>t</i> = 41.97	64.15	<0.0001
Depression duration: lifetime, years ^b	5.8 (4.5)	–	–	–	–
ELSQ total score	3.5 (2.7)	1.8 (1.7)	<i>t</i> = 4.36	106.13	<0.0001
Race, white, % (<i>n</i>)	67.2 (43)	55.4 (36)	$\chi^2 = 1.89$	1	0.1689
Processing speed	–0.13 (0.73)	0.31 (0.73)	<i>t</i> = 3.41	127	0.0009
Adjusted			<i>F</i> = 3.50	1,125	0.0639
Working memory	–0.09 (0.86)	0.17 (0.95)	<i>t</i> = 1.60	127	0.1123
Adjusted			<i>F</i> = 0.21	1,125	0.6453
Episodic memory	–0.21 (0.82)	0.26 (0.66)	<i>t</i> = 3.55	127	0.0006
Adjusted			<i>F</i> = 2.82	1,125	0.0954
Executive function	–0.06 (0.78)	0.26 (0.70)	<i>t</i> = 2.45	127	0.0158
Adjusted			<i>F</i> = 0.94	1,125	0.3350

Data are given as mean (standard deviation) unless otherwise indicated.

df, Degrees of freedom; CIRS, Cumulative Illness Rating Scale; MADRS, Montgomery–Åsberg Depression Rating Scale; ELSQ, Early Life Stress Questionnaire.

^a Comparison of demographic variables utilized pooled, two-tailed *t* tests for continuous measures with equal variances and Satterthwaite's *t* tests for unequal variances. Comparison of categorical variables utilized χ^2 tests. Cognitive measures were Z-transformed and presented both as unadjusted (pooled *t* tests) and adjusted for age and education level (general linear models with *F* values).

^b Note *n* = 52 for depression duration as not all participants could provide data for past episodes.

results into domain scores as described above. For MRI analyses, we selected *a priori* regions associated with ELS in existent literature: the ACC (Cohen et al. 2006; Udo et al. 2012), OFC (Frodl et al. 2010; Udo et al. 2012), amygdala (Tottenham et al. 2010), hippocampus (Sheline et al. 1996; Cole et al. 2011; Teicher et al. 2012) and caudate (Cohen et al. 2006; Udo et al. 2012). For exploratory analyses of ELS effects on other regions identified by FreeSurfer, we controlled for multiple comparisons using false discovery rate (FDR), implemented within SAS.

We first examined the effect of the total ELS exposure (defined as total ELSQ score) on cognitive domains and brain volumes. For models examining cognitive domains, we controlled for diagnosis, age, sex and education. For models examining MRI variables, we controlled for diagnosis, age, sex and intracranial volume. A similar approach was used for examining the effects of predictive ELSs on cognition and brain structure, with participants dichotomized as exposed or not exposed.

To determine whether ELS effects differed between diagnostic groups, we added a term coding for an interaction between ELS and diagnosis. This was done for analyses of both total ELSQ score and

predictive ELS exposure. If the interaction did not reach statistical significance, this suggested that there was no significant difference in the relationship between ELSs, cognition and brain morphology between diagnostic groups.

Finally, for predictive ELSs, we conducted exploratory analyses using models similar to those described above, but examining the effect of duration of stressor exposure. For these analyses, individuals who denied each stressor were scored as a duration of zero. Due to the high number of people reporting the absence of trauma, we utilized a log transformation of the years of reported duration plus 1.

Results

We examined 129 subjects: 64 with MDD and 65 non-depressed controls. The diagnostic groups differed in age and medical morbidity (Table 1), with the depressed group being older, having more medical illnesses, and higher total ELSQ score (range: depressed 0–11, non-depressed 0–8). In univariate analyses, depressed groups exhibited poorer performance in episodic memory, executive function and processing speed. However, these were no longer statistically

Table 2. Reported ELS exposure between depressed and non-depressed participants^a

Reported trauma	Depressed (n = 64)	Control (n = 65)	p
Emotional trauma	37.5 (24)	7.7 (5)	<0.0001
Physical abuse	18.7 (12)	3.0 (2)	0.0045
Sexual abuse	28.1 (18)	4.6 (3)	0.0003
Domestic violence	9.4 (6)	6.1 (4)	0.5305
Severe family conflict	39.1 (25)	12.3 (8)	0.0006
Neglect	15.6 (10)	1.5 (1)	0.0043
Divorce	21.9 (14)	13.9 (9)	0.2581
Separated	18.8 (12)	12.3 (8)	0.3407
Death in family	39.1 (25)	44.6 (29)	0.5227
Major illness in family	28.1 (18)	13.9 (9)	0.0536
Fire destroyed home	3.1 (2)	1.5 (1)	0.6191
War	3.1 (2)	3.1 (2)	1.0000
Natural disaster	1.6 (1)	3.1 (2)	1.0000
Major personal illness	6.3 (4)	7.7 (5)	1.0000
Hospitalization/surgery	21.9 (14)	18.5 (12)	0.6290
Bullied	37.5 (24)	13.9 (9)	0.0025
Premature birth	10.9 (7)	3.1 (2)	0.0958
Adoption	1.6 (1)	1.5 (1)	1.0000
Other events	9.4 (6)	6.2 (4)	0.5305

Data are given as percentage (number) of subjects exposed to each trauma type.

ELS, Early life stress; df, degrees of freedom.

^a Due to small cell sizes, ELSs were compared using Fisher's exact test except χ^2 tests were used for death in family ($\chi^2 = 0.41$, 1 df) and hospitalization/surgery ($\chi^2 = 0.23$, 1 df).

significant after controlling for age and education level (Table 1).

ELSs: predicting MDD diagnosis

Depressed patients reported significantly higher rates of six ELSs (Table 2). While controlling for covariates, we incorporated those ELSs into a model predicting MDD diagnosis. After backwards regression, in the final parsimonious model three ELS variables significantly and independently predicted a diagnosis of MDD: emotional trauma ($F_{1,121} = 6.79$, $p = 0.0103$), sexual abuse ($F_{1,121} = 6.00$, $p = 0.0157$) and severe family conflict ($F_{1,121} = 7.85$, $p = 0.0059$).

We next dichotomized the sample based on whether they reported one or more of those three ELSs: emotional abuse, sexual abuse and severe family conflict ('predictive' ELSs). Of the study population, 40% (approximately 75% of the depressed sample and 33% of the non-depressed sample) reported one or more of these predictive ELSs ($\chi^2 = 24.34$, 1 degree of freedom, $p < 0.0001$). Women were more highly represented in the group reporting predictive ELSs (78.4%, compared with 53.9% of those denying predictive ELSs; $\chi^2 = 8.05$, 1 degree of freedom, $p = 0.0046$). These groups did not otherwise significantly differ on age, education, medical morbidity or duration of depression (online Supplementary Table S1).

Effect of ELS on cognition

We found no significant main effect of total ELSQ score on any Z-transformed cognitive domain. After controlling for covariates of age, sex and education, we observed an interaction between total ELSQ score and diagnosis on processing speed ($F_{1,122} = 5.28$, $p = 0.0232$) and a trend for an effect on working memory ($F_{1,122} = 3.64$, $p = 0.0588$), but not episodic memory or executive function. On analysing the interaction, depressed patients exhibited worsening processing speed with increasing ELSQ score (Fig. 1a), while non-depressed subjects exhibited faster processing speed performance with increased ELSQ score.

In contrast, exposure to predictive ELSs was associated with progressively poorer performance on working memory ($F_{1,123} = 5.08$, $p = 0.0260$) and processing speed ($F_{1,123} = 7.74$, $p = 0.0062$), but not executive function or episodic memory. To better elucidate these relationships, we found that increasing predictive ELS exposure was associated with worsening performance on mean Z-transformed cognitive domain scores of working memory (0 predictive ELS = 0.24, s.d. = 0.93; 1 ELS = -0.13, s.d. = 0.96; 2 ELS = -0.28, s.d. = 0.64; 3 ELS = -0.70, s.d. = 0.50) and processing speed mean (0 predictive ELS = 0.24, s.d. = 0.68; 1 ELS = 0.09, s.d. = 0.77; 2 ELS = -0.26, s.d. = 0.83; 3 ELS = -0.70, s.d. = 0.68). Importantly, we found no statistically significant

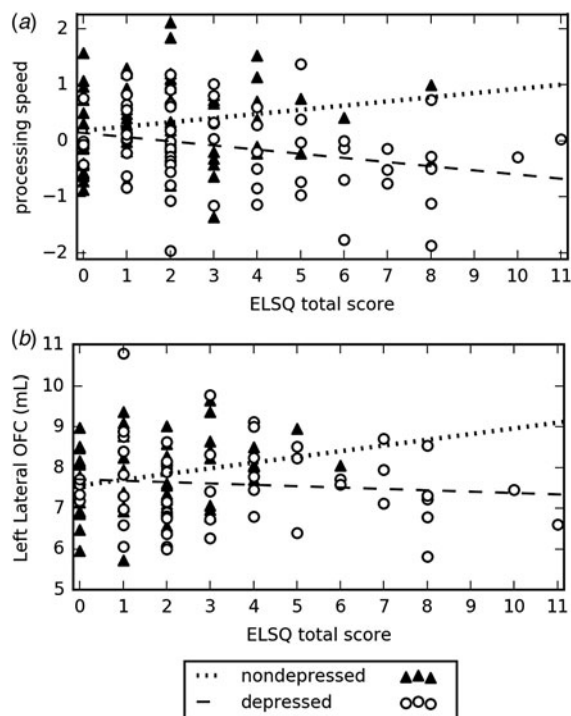


Fig. 1. Relationship of Early Life Stress Questionnaire (ELSQ) total score with processing speed and lateral orbitofrontal cortex (OFC) volume. The figures show how exposure to increasing numbers of early life stressors (ELSs; as total ELSQ score) have different effects on processing speed performance and lateral OFC volume between individuals with and without depression. (a) While an increased number of ELSs resulted in progressively poorer performance in the Z-transformed process speed domain in depressed patients, a greater number of ELSs is associated with better process speed performance in non-depressed controls. (b) With increasing ELS exposure, non-depressed subjects showed relative increases in OFC volume. Conversely, depressed subjects exhibited a slight decline in OFC volume with increasing ELS exposure.

interactions between diagnosis and predictive ELS exposure, suggesting that predictive ELSs affect cognition comparably regardless of depression.

Finally, in exploratory analyses, we examined the relationship between domain scores and log-transformed duration of predictive ELS exposure. Poorer processing speed performance was associated with longer childhood exposure to emotional ($F_{1,121} = 5.74$, $p = 0.0183$) and sexual abuse ($F_{1,121} = 4.98$, $p = 0.0277$) in both cohorts. We did not find statistically significant associations between predictive ELS duration and other cognitive domains.

Effect of ELS on brain structure

Due to differences in MRI manufacturers, MRI analyses included only data gathered at Duke University,

resulting in a sample of 51 depressed and 53 non-depressed individuals. After controlling for age, sex and intracranial volume, we found no significant differences between diagnostic groups in *a priori* brain regions (online Supplementary Table S2). We also found no direct effects of total ELSQ score on *a priori* brain regions. However, we observed an interactive effect between total ELSQ score and diagnosis on the left lateral OFC ($F_{1,97} = 4.05$, $p = 0.0469$). Greater numbers of ELSs are associated with increasing OFC volumes in non-depressed subjects, but minimal differences in depressed subjects (Fig. 1b). In exploratory analyses, after controlling for multiple comparisons we did not observe significant direct or interactive effects of total ELSQ score on other brain regions.

Of the MRI sample, 40% (32 depressed and 10 non-depressed subjects) reported one or more predictive ELSs. In analyses of *a priori* regions, predictive ELSs were associated with smaller left lateral OFC ($F_{1,98} = 5.11$, $p = 0.0260$) and smaller right caudate volumes ($F_{1,98} = 6.19$, $p = 0.0145$). We found a significant interaction between diagnosis and predictive ELS exposure only for the hippocampus, with predictive ELSs being associated with smaller left hippocampus volume ($F_{1,98} = 4.98$, $p = 0.0280$) and a trend for smaller right hippocampus volume ($F_{1,98} = 3.35$, $p = 0.0705$), but only in the depressed group. After controlling for multiple comparisons there were no statistically significant findings in other brain regions.

In exploratory analyses, we examined the relationship between the volumes of the *a priori* regions and duration of exposure to the predictive ELSs. A statistically significant relationship was only observed between exposure to sexual abuse and caudate volume, wherein a greater log-transformed duration of exposure was associated with smaller caudate volumes (left: $F_{1,96} = 4.92$, $p = 0.0292$; right: $F_{1,96} = 5.02$, $p = 0.0276$).

Finally, we tested for relationships between predictive ELS exposure and cortical thickness. After controlling for sex and diagnosis, predictive ELS exposure was associated with reduced cortical thickness in several regions, including the bilateral insula, frontal and parietal lobes (Fig. 2 and online Supplementary Table S3). We found no association between predictive ELS exposure and increased cortical thickness.

Discussion

Similar to past literature (Wise *et al.* 2001), depressed individuals report more ELSs than non-depressed individuals. However, only emotional abuse, sexual abuse and severe family conflict significantly predicted adult MDD. Exposure to these childhood stressors is associated with poorer cognitive performance and alterations in brain morphology that did not differ

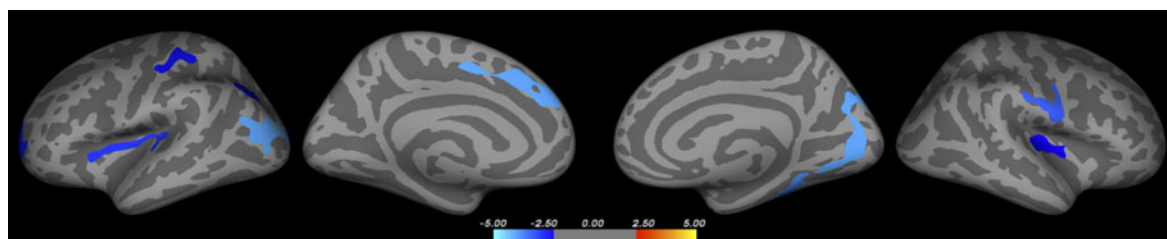


Fig. 2. Cortical thickness differences related to predictive early life stress (ELS) exposure. Whole-brain vertex-wise display shows the direct effect of reported predictive ELSs (emotional abuse, sexual abuse or severe family strife) on cortical thickness. Analyses controlled for diagnosis (major depressive disorder or non-depressed) and sex. Lighter blue color reflects areas where ELS exposure is associated with thinner cortex. ELS exposure was not significantly associated with increased cortical thickness in any region.

between diagnostic groups. Additionally, we found that exposure to predictive ELSs was associated with smaller hippocampal volumes, but only in depressed individuals. In contrast, when defined broadly, overall ELS exposure has a different relationship with processing speed and OFC volumes between depressed and non-depressed adults.

Childhood trauma has prominent effects on adult mental health (Navalta *et al.* 2006). Past work shows altered HPA axis regulation and secondary regional brain structure changes in children exposed to emotional abuse, sexual abuse and aggressive families (McEwen, 2003). Emotional abuse is common in childhood and adversely affects self-esteem, interpersonal skills and personal autonomy and integrity (Vietze *et al.* 1980). Childhood sexual abuse has a worldwide prevalence of 20% (Jakubczyk *et al.* 2014) and is associated with multiple psychiatric disorders including MDD, addictions and increased suicide risk (Jakubczyk *et al.* 2014). Significant family strife also interferes with normal development and creates a vulnerability to maladjustment and internalizing personal problems (Luebbe & Bell, 2014). Admittedly, while these specific stresses predicted adulthood MDD, others propose that any significant childhood stress may increase the risk of depression (Brown & Harris, 1978). Such effects may depend on stressor severity and chronicity, age of exposure and positive support (Brown & Harris, 1978).

Effects of ELS on cognition

ELS exposure also affected cognitive performance. When defined broadly using the total ELSQ score, ELS exhibited different effects between depressed and non-depressed subjects on processing speed (Fig. 1a). We propose that these group differences reflect different long-term adaptations to stress that are related to either vulnerability to or resilience to developing later depression. In contrast, the effect of predictive ELS exposure on processing speed and

working memory was independent of diagnosis. Similarly, duration of emotional and sexual abuse exposure was associated with slower processing speed.

Our results did not support prior literature associating poorer performance on executive function measure or episodic memory with ELSs (Polak *et al.* 2012; Brewin, 2014). The difference between our results and past work associating ELS with episodic and semantic memory impairment (Parks & Balon, 1995) could be related to heterogeneity in samples or measures used to examine episodic memory. While prior studies used the word-cueing technique and the Logical Memory test (Parks & Balon, 1995), we additionally used the Benton Visual Retention and Rey's Verbal Learning Tests.

When considering the relationship between ELS and processing speed, we may be observing an inverted U-shaped curve. In this model, stress exposure broadly defined is associated with improved processing speed, but exposure to more severe (and potentially more chronic) ELSs results in impaired performance and vulnerability to depression. This is concordant with studies in older adults associating childhood trauma with better processing speed (Feeney *et al.* 2013). In our non-depressed population, it is possible that less severe stresses result in improved processing speed and contribute to a resiliency mechanism (Wu *et al.* 2013). However, subjects predisposed to depression may have pre-existing circuit dysfunction where neurobiological changes related to even milder stresses may result in poorer cognitive performance.

A similar model may apply to working memory, although we observed a relationship only with the more severe predictive stressors that did not differ between diagnostic groups. Past work supports negative effects of childhood stressors on working memory (Navalta *et al.* 2006). This may be related to altered function of stress-sensitive systems, as working memory deteriorates with increased allostatic stress load (Evans & Schamberg, 2009).

Effects of ELS on brain structure

ELS exposure was also associated with altered volumes of several regions involved in emotional regulation (Udo *et al.* 2012). In parallel with our observations on processing speed, we observed diagnostic group differences on the relationship between total ELSQ score and lateral OFC volume (Fig. 1b). A similar inverted U-shaped model may also apply to this relationship. This theory is concordant with a primate study examining early-life maternal separation (Parker *et al.* 2005). This study associated separation with increased adult OFC volume, a finding thought to be related to stress resiliency by learning extinction of fear through top-down regulation (Lyons *et al.* 2009). Although the underlying mechanism is unclear, the localization of our OFC finding to the left hemisphere is supported by prior studies suggesting higher left hemisphere sensitivity to emotional neglect during brain development (Frodil *et al.* 2010).

Exposure to more severe predictive ELSs was associated with smaller OFC and caudate volumes in both cohorts. This is concordant with past work showing that physically and emotionally abused children exhibit smaller OFC volumes (De Brito *et al.* 2013) while domestic violence and sexual abuse are associated with smaller caudate volumes (Cohen *et al.* 2006). Our results from cortical thickness analyses are in line with a study associating decreased insula thickness with ELS exposure (Baker *et al.* 2013). As the insula plays a role in salience network regulation, reported abnormalities may explain deterioration in working memory and processing speed (Krishnadas *et al.* 2014).

Smaller hippocampal volumes are reported in MDD (MacQueen & Frodil, 2011). We found that predictive ELSs were associated with hippocampal volume, but only in depressed individuals. Animal models demonstrate that controlled maternal separation results in decreased hippocampal volumes; however, those volumes may normalize in adulthood (Herpfer *et al.* 2012). Extending that finding to our data, we may be observing a vulnerability mechanism wherein subjects who do not experience recovery of hippocampal neurogenesis are at increased risk of adult depression. This theory is concordant with past work demonstrating that depression itself contributes to hippocampal volume reduction (Sheline *et al.* 1996) while smaller hippocampal volumes are also a risk factor for depression (Cole *et al.* 2011). Moreover, our finding is consistent with past observations that the left hippocampus is more sensitive to stressful events than the right hippocampus (Teicher *et al.* 2012). Although the underlying cause for this difference is unclear, it is hypothesized that it is related to cortisol's effect on

N-methyl-D-aspartate (NMDA) receptor function and hemispheric differences in NMDA subunit distributions (Teicher *et al.* 2012).

Limitations and conclusions

Despite the strength of a large, well-characterized sample, the study also has weaknesses. These include using a retrospective, self-report scale for ELS, which does not measure severity, chronicity, or include stressful events with positive connotations. Self-report is subject to a memory bias, which may be significant in depressed individuals, although others report consistency between retrospective accounts and documented events (Martins *et al.* 2014). Further, our study is cross-sectional so cannot address longitudinal developmental effects of ELS exposure on brain volume or cognition. It also does not inform us if the observed cognitive and volumetric differences observed in the MDD population persist with successful depression treatment.

There are additional limitations specifically related to the depressed group. The depressed group is older than the never-depressed group, which is important as increased age is associated with changes on MRI and neuropsychological testing. Although this concern is ameliorated by controlling for age in statistical analyses and the lack of an observed age difference between individuals who were and were not exposed to predictive ELSs, study findings do need replication in a truly age-matched sample. Finally, it can be challenging to disentangle the effects of ELS from the occurrence of depression. As we did not observe significant differences in cognitive or MRI measures between groups after controlling for demographic variables (Table 1, online Supplementary Table S2), the predictive ELSs do not appear to be serving as a surrogate marker for depression diagnosis. However, it is possible that predictive ELS exposure may influence the duration or recurrence of depressive episodes, or be related to early antidepressant treatment. The complexity of this relationship may require prospective longitudinal studies to disentangle these effects and identify potential benefits for early intervention.

It is important to note that analyses included numerous comparisons. This included analyses of four *Z*-transformed cognitive domains, seven *a priori* brain regions measured bilaterally, and numerous other regions examined in exploratory analyses. For analyses of exploratory brain regions, we controlled for multiple comparisons using the FDR method. However, as examination of cognitive domains and our *a priori* regions were based on specific hypotheses and past literature, we did not control for multiple comparisons in those analyses. Despite this hypothesis-driven

approach, this does increase the risk for false-positive findings. Adjusting those analyses for multiple comparisons would have limited cognitive findings to processing speed with no *a priori* brain region surviving correction. Thus our findings should be viewed cautiously and need replication in context of the broader literature.

This study supports that not all childhood stressors increase risk of depression in adulthood. We found a complex relationship between ELSs, cognitive function and regional brain structures that in some cases differed between diagnostic groups. As we defined predictive ELSs based on their relationship with MDD, these findings require replication in independent populations. Future longitudinal human studies are required to incorporate physiological measures of stress reactivity, investigate what factors contribute to the cognitive deficits observed with exposure to ELS, and to clarify the association with volumetric brain changes. Such studies should also examine the effect of emotional processes on cognitive performance, and how ELS exposure may influence those relationships.

Supplementary material

The supplementary material for this article can be found at <http://dx.doi.org/10.1017/S0033291716002403>

Acknowledgements

This project was supported by the National Institute of Mental Health (NIMH) grants R01 MH077745 and K24 MH110598; and the National Center for Advancing Translational Sciences (NCATS) award UL1TR000445. The authors would like to acknowledge Dr Hakmook Kang for guidance on statistical analyses. This project was conducted using the resources of the Advanced Computing Center for Research and Education at Vanderbilt University, Nashville, TN.

Declaration of Interest

None.

References

Baker LM, Williams LM, Korgaonkar MS, Cohen RA, Heaps JM, Paul RH (2013). Impact of early vs. late childhood early life stress on brain morphometrics. *Brain Imaging and Behavior* **7**, 196–203.

Brewin CR (2014). Episodic memory, perceptual memory, and their interaction: foundations for a theory of posttraumatic stress disorder. *Psychological Bulletin* **140**, 69–97.

Brown WG, Harris TO (1978). *Social Origins of Depression: a Study of Psychiatric Disorder in Women*. The Free Press: New York.

Cohen RA, Grieve S, Hoth KF, Paul RH, Sweet L, Tate D, Gunstad J, Stroud L, McCaffery J, Hitsman B, Niaura R, Clark CR, McFarlane A, Bryant R, Gordon E, Williams LM (2006). Early life stress and morphometry of the adult anterior cingulate cortex and caudate nuclei. *Biological Psychiatry* **59**, 975–982.

Cole J, Costafreda SG, McGuffin P, Fu CH (2011). Hippocampal atrophy in first episode depression: a meta-analysis of magnetic resonance imaging studies. *Journal of Affective Disorders* **134**, 483–487.

Dale AM, Fischl B, Sereno MI (1999). Cortical surface-based analysis. I. Segmentation and surface reconstruction. *NeuroImage* **9**, 179–194.

De Brito SA, Viding E, Sebastian CL, Kelly PA, Mechelli A, Maris H, McCrory EJ (2013). Reduced orbitofrontal and temporal grey matter in a community sample of maltreated children. *Journal of Child Psychology and Psychiatry* **54**, 105–112.

Desikan RS, Ségonne F, Fischl B, Quinn BT, Dickerson BC, Blacker D, Buckner RL, Dale AM, Maguire RP, Hyman BT, Albert MS, Killiany RJ (2006). An automated labeling system for subdividing the human cerebral cortex on MRI scans into gyral based regions of interest. *NeuroImage* **31**, 968–980.

Evans GW, Schamberg MA (2009). Childhood poverty, chronic stress, and adult working memory. *Proceedings of the National Academy of Sciences USA* **106**, 6545–6549.

Feeney J, Kamiya Y, Robertson IH, Kenny RA (2013). Cognitive function is preserved in older adults with a reported history of childhood sexual abuse. *Journal of Traumatic Stress* **26**, 735–743.

First MB, Gibbon M, Spitzer RL, Williams JB, Benjamin LS (1997). *Structured Clinical Interview for DSM-IV Axis II Personality Disorders (SCID-II)*. American Psychiatric Press, Inc.: Washington, DC.

Fischl B, Dale AM (2000). Measuring the thickness of the human cerebral cortex from magnetic resonance images. *Proceedings of the National Academy of Sciences USA* **97**, 11050–11055.

Fischl B, Salat DH, Busa E, Albert M, Dieterich M, Haselgrove C, van der Kouwe A, Killiany R, Kennedy D, Klaveness S, Montillo A, Makris N, Rosen B, Dale AM (2002). Whole brain segmentation: automated labeling of neuroanatomical structures in the human brain. *Neuron* **33**, 341–355.

Fischl B, Salat DH, van der Kouwe AJ, Makris N, Segonne F, Quinn BT, Dale AM (2004a). Sequence-independent segmentation of magnetic resonance images. *NeuroImage* **23** (Suppl. 1), S69–S84.

Fischl B, van der Kouwe A, Destrieux C, Halgren E, Ségonne F, Salat DH, Busa E, Seidman LJ, Goldstein J, Kennedy D, Caviness V, Makris N, Rosen B, Dale AM (2004b). Automatically parcellating the human cerebral cortex. *Cerebral Cortex* **14**, 11–22.

Frodl T, Reinhold E, Koutsouleris N, Reiser M, Meisenzahl EM (2010). Interaction of childhood stress with

- hippocampus and prefrontal cortex volume reduction in major depression. *Journal of Psychiatric Research* **44**, 799–807.
- Gershon A, Sudheimer K, Tirouvanziam R, Williams LM, O'Hara R** (2013). The long-term impact of early adversity on late-life psychiatric disorders. *Current Psychiatry Reports* **15**, 352.
- Harkness KL, Bruce AE, Lumley MN** (2006). The role of childhood abuse and neglect in the sensitization to stressful life events in adolescent depression. *Journal of Abnormal Psychology* **115**, 730–741.
- Herpfer I, Hezel H, Reichardt W, Clark K, Geiger J, Gross CM, Heyer A, Neagu V, Bhatia H, Atas HC, Fiebich BL, Bischofberger J, Haas CA, Lieb K, Normann C** (2012). Early life stress differentially modulates distinct forms of brain plasticity in young and adult mice. *PLOS ONE* **7**, e46004.
- Jakubczyk A, Klimkiewicz A, Krasowska A, Kopera M, Slawinska-Ceran A, Brower KJ, Wojnar M** (2014). History of sexual abuse and suicide attempts in alcohol-dependent patients. *Child Abuse and Neglect* **38**, 1560–1568.
- Kaplow JB, Widom CS** (2007). Age of onset of child maltreatment predicts long-term mental health outcomes. *Journal of Abnormal Psychology* **116**, 176–187.
- Kessler RC, Magee WJ** (1994). Childhood family violence and adult recurrent depression. *Journal of Health and Social Behavior* **35**, 13–27.
- Krishnadas R, Palaniyappan L, Lang J, McLean J, Cavanagh J** (2014). Psychoticism and salience network morphology. *Personality and Individual Differences* **57**, 37–42.
- Luebbe AM, Bell DJ** (2014). Positive and negative family emotional climate differentially predict youth anxiety and depression via distinct affective pathways. *Journal of Abnormal Child Psychology* **42**, 897–911.
- Lyons DM, Parker KJ, Katz M, Schatzberg AF** (2009). Developmental cascades linking stress inoculation, arousal regulation, and resilience. *Frontiers in Behavioral Neuroscience* **3**, 32.
- MacQueen G, Frodl T** (2011). The hippocampus in major depression: evidence for the convergence of the bench and bedside in psychiatric research? *Molecular Psychiatry* **16**, 252–264.
- Martins CM, Von Werne Baes C, Tofoli SM, Juruena MF** (2014). Emotional abuse in childhood is a differential factor for the development of depression in adults. *Journal of Nervous and Mental Disease* **202**, 774–782.
- McEwen BS** (2003). Early life influences on life-long patterns of behavior and health. *Mental Retardation and Developmental Disabilities Research Reviews* **9**, 149–154.
- Miller MD, Paradis CF, Houck PR, Mazumdar S, Stack JA, Rifai AH, Mulsant B, Reynolds CF** (1992). Rating chronic medical illness burden in geropsychiatric practice and research: application of the Cumulative Illness Rating Scale. *Psychiatry Research* **41**, 237–248.
- Montgomery SA, Åsberg M** (1979). A new depression scale designed to be sensitive to change. *British Journal of Psychiatry* **134**, 382–389.
- Navalta CP, Polcari A, Webster DM, Boghossian A, Teicher MH** (2006). Effects of childhood sexual abuse on neuropsychological and cognitive function in college women. *Journal of Neuropsychiatry and Clinical Neurosciences* **18**, 45–53.
- Parker KJ, Buckmaster CL, Justus KR, Schatzberg AF, Lyons DM** (2005). Mild early life stress enhances prefrontal-dependent response inhibition in monkeys. *Biological Psychiatry* **57**, 848–855.
- Parks ED, Balon R** (1995). Autobiographical memory for childhood events: patterns of recall in psychiatric patients with a history of alleged trauma. *Psychiatry* **58**, 199–208.
- Polak AR, Witteveen AB, Reitsma JB, Olf M** (2012). The role of executive function in posttraumatic stress disorder: a systematic review. *Journal of Affective Disorders* **141**, 11–21.
- Sanders B, Becker-Lausen E** (1995). The measurement of psychological maltreatment: early data on the Child Abuse and Trauma Scale. *Child Abuse and Neglect* **19**, 315–323.
- Sheehan DV, Lecrubier Y, Sheehan KH, Amorim P, Janavs J, Weiller E, Hergueta T, Baker RW, Dunbar GC** (1998). The Mini-International Neuropsychiatric Inventory (M.I.N.I.): the development and validation of a structured diagnostic interview for DSM-IV and ICD-10. *Journal of Clinical Psychiatry* **59** (Suppl. 20), 22–33.
- Sheline YI, Pieper CF, Barch DM, Welsh-Bohmer K, McKinsty RC, MacFall JR, D'Angelo G, Garcia KS, Gersing K, Wilkins C, Taylor W, Steffens DC, Krishnan RR, Doraiswamy PM** (2010). Support for the vascular depression hypothesis in late-life depression: results of a 2-site, prospective, antidepressant treatment trial. *Archives of General Psychiatry* **67**, 277–285.
- Sheline YI, Sanghavi M, Mintun MA, Gado MH** (1999). Depression duration but not age predicts hippocampal volume loss in medically healthy women with recurrent major depression. *Journal of Neuroscience* **19**, 5034–5043.
- Sheline YI, Wang PW, Gado MH, Csernansky JG, Vannier MW** (1996). Hippocampal atrophy in recurrent major depression. *Proceedings of the National Academy of Sciences USA* **93**, 3908–3913.
- Snyder HR** (2013). Major depressive disorder is associated with broad impairments on neuropsychological measures of executive function: a meta-analysis and review. *Psychological Bulletin* **139**, 81–132.
- Teicher MH, Anderson CM, Polcari A** (2012). Childhood maltreatment is associated with reduced volume in the hippocampal subfields CA3, dentate gyrus, and subiculum. *Proceedings of the National Academy of Sciences USA* **109**, E563–E572.
- Tottenham N, Hare TA, Quinn BT, McCarry TW, Nurse M, Gilhooly T, Millner A, Galvan A, Davidson MC, Eigsti IM, Thomas KM, Freed PJ, Booma ES, Gunnar MR, Altemus M, Aronson J, Casey BJ** (2010). Prolonged institutional rearing is associated with atypically large amygdala volume and difficulties in emotion regulation. *Developmental Science* **13**, 46–61.
- Udo D, Anja S, Victoria B, Peter Z, Thomas L, Dominik G, Katharina D, Christa H, Patricia O, Jochen B, Christian L, Christian P, Carsten K, Volker A, Walter H, Thomas S, Harald K** (2012). Limbic scars: long-term consequences of childhood maltreatment revealed by functional and structural magnetic resonance imaging. *Biological Psychiatry* **71**, 286–293.

- van Harmelen AL, van Tol MJ, van der Wee NJ, Veltman DJ, Aleman A, Spinhoven P, van Buchem MA, Zitman FG, Penninx BW, Elzinga BM** (2010). Reduced medial prefrontal cortex volume in adults reporting childhood emotional maltreatment. *Biological Psychiatry* **68**, 832–838.
- Vietze P, Falsey S, Sandler H, O'Connor S, Altemier WA** (1980). Transactional approach to prediction of child maltreatment. *Infant Mental Health Journal* **1**, 248–261.
- Wise LA, Zierler S, Krieger N, Harlow BL** (2001). Adult onset of major depressive disorder in relation to early life violent victimisation: a case–control study. *Lancet* **358**, 881–887.
- Wu G, Feder A, Cohen H, Kim JJ, Calderon S, Charney DS, Mathe AA** (2013). Understanding resilience. *Frontiers in Behavioral Neuroscience* **7**, 10.