Shinji Tomiyama¹ • Masayo Minami^{2*} • Toshio Nakamura² • Koichi Mimura¹ • Hiroyuki Kagi³

¹Graduate School of Environmental Studies, Nagoya University, Nagoya 464-8601, Japan.
²Institute for Space-Earth Environmental Research, Nagoya University, Nagoya 464-8601, Japan.
³Geochemical Research Center, Graduate School of Science, The University of Tokyo, Tokyo 113-0033, Japan.

ABSTRACT. Charcoal is widely used for radiocarbon dating in archaeological and paleoenvironmental studies. Reliable 14 C dating requires appropriate chemical treatment to remove postdeposition contamination from the charcoal samples. This study assesses two pretreatments: acid-base-acid (ABA) and acid-base-oxidation with stepped combustion (ABOx-SC). In addition to 14 C, the effects of the treatments on the chemical structure and composition of charcoal were studied using Fourier transform infrared spectroscopy (FTIR) and C/H/O elemental analysis. Samples of pine wood charred in the laboratory at 270, 300, 400, 500, and 600°C, and environmental samples of charred pine wood from pyroclastic flow deposits in southern Kyushu, Japan, were tested. The laboratory-charred samples showed that NaOH treatment removed highly hydrophilic organic components derived from endogenous and exogenous organic materials in the samples and that oxidation treatment caused the oxidative degradation of molecules in samples starting from its edges. The ABA-treated environmental charcoal yielded younger 14 C dates than the ABOx-treated samples, probably owing to the effects of pyrolysis. Meanwhile, it was found that ABA-SC treatment can reduce contaminants as effectively as ABOx-SC treatment. This implies that the stepped combustion (SC), not the chemical oxidation, is the key to reduce contaminant residue left after ABA and ABOx treatments. The results in this study indicate that the investigation of the structural and compositional changes of charcoal during its pretreatment is useful for assessment of the reliability of the 14 C ages.

KEYWORDS: charcoal, radiocarbon dating, chemical pretreatment, ABA, ABOx-SC.

INTRODUCTION

Plant-derived charcoal is formed by exposure of lignocellulosic biomass to high temperatures under restricted oxygen conditions. The lignocellulosic biomass is composed of carbohydrate polymers (cellulose, hemicellulose) and an aromatic polymer (lignin). The high temperatures and restricted oxygen cause the structure of lignocellulosic biomass to degrade, and chemically stable aromatic rings are formed by pyrolysis. As the pyrolysis temperature increases, aromatic rings are polymerized and chemically stabilized to become resistant to postdepositional chemical alternation and diagenesis (Preston and Schmidt 2006). Therefore, charcoal can remain well preserved for long periods in archaeological and geological deposits, making it useful for radiocarbon dating.

However, the aromaticity and reactivity of charcoal depend on the combustion temperature: low temperatures lead to charcoal with low aromaticity and high reactivity, while high temperatures give high aromaticity and low reactivity (Bird and Ascough 2012). Naturally charred material can be produced over a wide temperature range from 300 to 600°C (Swift et al. 1993), and its aromaticity varies with its production temperature. Especially at lower temperatures, insufficient pyrolysis of lignocellulosic biomass produces charcoal with various components, including aromatic components, reaction-intermediate components with low molecular weight, and aliphatic components such as degraded cellulose and lignin. Therefore, naturally produced charcoal is not homogeneous (Franklin 1951).

Furthermore, charcoal suffers alteration and degradation once buried, a process called self-humification (Cohen-Ofri et al. 2006; Ascough et al. 2011). This process alters the chemical structure of the charred material, increasing its oxygen content (wt.%) due to the presence of carboxylic groups. Among the various components of environmental charcoal, the highly aromatic

^{*}Corresponding author. Email: minami@isee.nagoya-u.ac.jp.

components are the most chemically stable endogenous components of the original material due to pyrolysis. These components have high molecular stability and low hydrophilicity, and are generally influenced little by exogenous carbon, leading them to generate reliable ¹⁴C data. Therefore, removing all components other than the chemically stable aromatic components is very important in the pretreatment of charcoal for ¹⁴C analysis.

Buried charcoal often alters and degrades and can become contaminated by exogenous materials containing carbon. Such exogenous materials are mostly carbonates and humic substances (fulvic acid and humic acid) from the surrounding soil. Humic substances are produced by the degradation of organic material, and contain many hydrophilic substituents such as carboxylic groups (–COOH and –COO[–]), hydroxyl groups (–OH), and amorphous polymers. Humic acid contaminants move up and down the deposition with the groundwater. The contaminants have a different ¹⁴C age from that of the charred material, and this can adversely affect ¹⁴C dating.

Organic contaminants are generally removed from charcoal samples by acid-base-acid (ABA) treatment, an established technique used from the early days of ¹⁴C measurement (Olson and Broecker 1958). Initial acid treatment with HCl can remove carbonate contaminants from charcoal samples, and subsequent base treatment with NaOH can remove humic acid contaminants derived from soil during burial. A further treatment with HCl can remove atmospheric CO2 absorbed during the NaOH treatment. This treatment sequence produces reliable ¹⁴C age measurement of charcoal samples, although some studies have reported that for "old" charcoal samples it does not remove contaminants sufficiently (Wood et al. 2012). An alternative chemical method proposed by Bird et al. (1999) involves acid-base-oxidation with stepped combustion (ABOx-SC). Higham et al. (2009) reported that this method removed contaminants from charcoal samples more efficiently than ABA treatment. Indeed, after ABOx-SC some charcoal samples older than 20 ka were found to be thousands of years older than after ABA treatment, which cannot remove newer organic contaminants (Turney et al. 2001; Bird et al. 2002; Brock and Higham 2009; Douka et al. 2010; Wood et al. 2012). Therefore, ABOx-SC is regarded as the best pretreatment method for "old" charcoal samples, especially samples with ages close to the ¹⁴C dating limit (Bird et al. 2014). However, the effectiveness of the method has been proved by the ¹⁴C results, not by a rigorous chemical assessment of the processes during decontamination. Therefore, it is necessary to elucidate the effectiveness of decontamination by ABOx-SC by monitoring the changes of the chemical structure and composition of charcoal samples. Recent studies have chemically analyzed archaeological charcoal to investigate the diagenetic alteration and degradation of charcoal during burial and the effect of decontamination by chemical pretreatment. For example, Alon et al. (2002) used Raman spectroscopy to monitor the removal of humic substances from charcoal during ABA treatment. Rebollo et al. (2008) characterized the structure of charcoal during ABA treatment using Fourier transform infrared spectroscopy (FTIR) to assess sample contamination and charcoal preservation. Rebollo et al. (2011) reported that charred samples with C contents lower than 50% after pretreatment should be not used for ¹⁴C dating because they cannot produce reliable ¹⁴C dates, even if treated by ABOx-SC. Styring et al. (2013) used elemental composition and FTIR data to analyze the changes in chemical structure and composition of charred crop samples (not charcoal) during charring, postdeposition, and ABA treatment.

The changes in chemical structure and composition that ABA and ABOx treatments produce in charcoal as they remove humic substances have not been compared alongside the differences in ¹⁴C dating after the treatments. Therefore, in this work, we examined the changes in chemical structure and elemental composition of the charred material during chemical treatment. We also elucidated the differences between ABA and ABOx decontamination processes and their mechanisms. To understand the two decontamination processes and the diagenesis, we

investigated the chemical characteristics of two types of charcoal samples during their chemical treatment: laboratory-produced charcoal and samples from a geological site exposed to environmental conditions for a long time. The chemical changes in the charcoal were measured using FTIR and elemental analysis (C/H/O) at each stage of the chemical treatments.

SAMPLES AND EXPERIMENTAL METHODS

Samples

Laboratory Charcoal

Charcoal samples were produced in the laboratory from pine wood (*Pinaceae densiflora*; sample code: P-; Figure 1) grown in Nagoya University, Japan, and felled in 2001. Samples were charred for 1 hr at 270, 300, 400, 500, and 600°C in a muffle furnace. The loss on ignition (LOI, wt.%) was calculated as the difference in sample mass before and after heating.

Environmental Charcoal

Environmental samples of charred pine (*Pinaceae larix*; sample code: Env-; Figure 2) were obtained from deposits of a pyroclastic flow in southern Kyushu, Japan. In this study, three samples were collected from the central and outer parts of a charred branch and also a peeled fragment considered to be the outermost part of the branch, which had soil deposits on its surface. The samples are considered to have various levels of postdepositional addition of exogenous materials, with such addition more likely in the samples taken from the outer parts of the branch. After the physical removal of soil using a stainless steel spatula, the samples were subjected to the following analysis.

Chemical Treatment

Each sample was roughly crushed to less than about 5 mm. The environmental samples were ultrasonicated in Milli-QTM water to remove contaminants such as roots and sediment from the sample surface, and then dried at 80°C. Five different pretreatments were conducted on samples of both types: no treatment, only acid (A) treatment, acid-base-acid (ABA) treatment, acid-base-oxidation (ABOx) treatment, and acid-oxidation (AOx) treatment. The A treatment

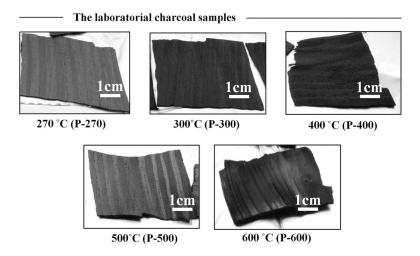


Figure 1 Photos of laboratory charcoal samples

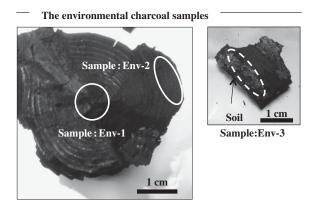


Figure 2 Photos of environmental charcoal samples. Samples Env-1 and -2 were collected from the inner and outer parts of the same branch. Sample Env-3 showed soil deposits on its outer surface.

was with 1.0M HCl overnight at 60°C. The ABA treatment involved an A treatment step with 1.0M HCl for 12 hr at 60°C, a subsequent base treatment with 1.0M NaOH for 3 hr at 60°C, and a repeat A treatment with 1.0M HCl overnight at 60°C. The ABOx treatment had three steps: an A treatment and then a base treatment, followed by an oxidation treatment using 0.1M K₂Cr₂O₇/2.0M H₂SO₄ for 20 hr at 60°C (Brock et al. 2010). The AOx treatment consists of an A treatment step with 1.0M HCl overnight at 60°C followed by an oxidation treatment.

Fourier Transform Infrared Spectroscopy (FTIR)

Absorption spectra of the laboratory and environmental samples were obtained to study the structural changes in their chemical compounds during treatment. A KBr pellet was prepared from a powdered sample and infrared (IR) spectra were obtained with an FTIR spectrometer (Spectrum 2000, Perkin Elmer) in the range 350–7800 cm⁻¹ with a spectral resolution of 4 cm⁻¹, and data were averaged from 50 scans.

Spectra assignments were made according to previous reports by Ishimaru et al. (2007), Ascough et al. (2011), and Yizhaq et al. (2005). The main information obtained from the IR spectra in this study was in the spectral region below 2000 cm^{-1} because it contains the fingerprint region.

Elemental Analysis

The abundances of carbon, hydrogen, and oxygen in the charred samples were measured with an elemental analyzer (vario EL cube, Elementar Inc.). We used sulfanilamide $(NH_2C_6H_4SO_2NH_2)$ as a standard for the measurement of C and H contents (wt.%), and benzoic acid (C_6H_5COOH) for the measurement of O content (wt.%). We calculated the H/C and O/C atomic ratios from the C, H, and O contents of the samples and plotted them in van Krevelen diagrams.

¹⁴C Dating

Each of the dried samples (about 3–7 mg) was placed in a quartz tube (350 mm long, 9 mm diameter) together with CuO, Ag thread, and Cu. The quartz tube and CuO were reused during

the experiments and were preheated before each use. The tube was evacuated and sealed, and then heated by either fixed combustion or stepped combustion (SC). During fixed combustion, the sealed tube was heated at 900°C for 4 hr to oxidize the sample carbon into CO_2 gas. The SC program was as follows: the sample in the sealed tube was precombusted at 630°C for 2 hr to remove the least stable organic components and any air or CO₂ adsorbed during the other procedures; the tube with the sample residue and CuO after precombustion was evacuated and resealed; and the sealed tube was heated at 900°C for 4 hr to oxidize sample carbon into CO₂. The CO₂ obtained by the two methods was purified in a vacuum line using refrigerants of ethanol/liquid N₂, n-pentane/liquid N₂, and liquid N₂. The purified CO₂ gas (about 1.5 mg C) was introduced into a quartz tube with an Fe catalyst (about 3 mg) and H₂ gas, and the tube was sealed. The sealed tube was heated at 620°C for 6 hr to convert the CO₂ gas into graphite. The graphite was packed in an aluminum holder and ¹⁴C dated with a Tandetron AMS (4130-AMS by HVEE) at the Institute for Space-Earth Environmental Research, Nagoya University. For ¹⁴C dating, NIST HOx-II served as a ¹⁴C standard, and charred wood fragments in pyroclastic flow deposits derived from Aso-3, which is a 120-ka caldera in Kyushu, Japan (Matsumoto et al. 1991), and Kishida[®] oxalic acid containing ¹⁴C-free carbon served as ¹⁴C background samples. The Aso-3 standard was pretreated by the ABA method, same as the samples. The ABA-treated Aso-3 and the Kishida oxalic acid were heated to produce CO_2 gas, and converted the CO_2 gas into graphite.

RESULTS

Mass Loss of Samples by Chemical Treatments

Laboratory charcoal samples produced at \geq 400°C (P-400, -500, -600) showed lower mass loss after all pretreatments than those produced at \leq 300°C (P-270 and -300), and their LOI was much higher than that of the charcoal samples at \leq 300°C (Table 1). This suggests that the chemical resistance of the charred pine depends on the charring temperature and increases greatly when the charring temperature is \geq 400°C.

The environmental samples (Env-1, -2, -3) collected from different positions in a charred wood branch showed no significant differences in mass loss after pretreatment (Table 2). The first A treatment led to a sample loss of 30–40%. The ABA and ABOx treatments led to losses of 50–70%.

FTIR Analysis

Laboratory Samples (P-270, -300, -400, -500, -600)

Infrared absorption spectra of the laboratory samples are shown in Figure 3. IR spectral assignments are listed in Table 3. The spectra of samples P-270 and -300 are dominated by signals at 1030–1160 and 1450 cm^{-1} corresponding to aliphatic material with C–O–C and alcohol OH groups, $1420-1430 \text{ cm}^{-1}$ corresponding to cellulose, and 1510 cm^{-1} corresponding to lignin (Kuriyama 1979; Ascough et al. 2011). They also contain bands with low absorbance at 1600 and 1700 cm⁻¹ for aromatic carboxyl groups, aromatic C = C ring structures, and aromatic carbonyl groups with C = O stretching (Ascough et al. 2011). The spectra of these samples showed significant changes after A and ABA treatments. After ABOx and AOX treatments, which include an oxidation procedure, the absorption bands at 1200–1220 cm⁻¹ for aromatic C–O, and at 1270 and 1700 cm⁻¹ for carboxylic acids (Rebollo et al. 2008; Ascough et al. 2011) increased.

Samples charred at $\geq 400^{\circ}$ C showed significant spectral changes. The spectra of P-400 are dominated by signals at 1200–1220 cm⁻¹ for aromatic C–O, 1270 and 1700 cm⁻¹ for carboxylic acids, and bands for lignin and cellulosic materials disappeared. Aromatic absorption bands

570 S Tomiyama et al.

			Elemental composition				
Sample	Chemical treatment	Weight loss (%)	C (%) H (%		O (%)	H/C	O/C
P-0							
	Nil	_	49.7	7.0	43.6	1.67	0.66
P-270							
(LOI 13%)	Nil	_	52.2	6.2	41.3	1.42	0.59
	А	11	54.1	6.5	40.6	1.43	0.56
	ABA	28	51.5	6.6	42.1	1.53	0.61
	ABOx	24	47.7	5.9	44.3	1.46	0.70
	AOx	12	51.2	6.1	41.5	1.41	0.61
NaOH extract		_	21.9	2.8	19.3	1.55	0.66
P-300							
(LOI 23%)	Nil	—	55.3	6.2	40.7	1.32	0.55
	А	3	56.9	6.5	37.9	1.35	0.50
	ABA	16	56.0	6.4	38.6	1.36	0.52
	ABOx	9	49.3	5.6	43.6	1.35	0.66
	AOx	9	51.0	5.7	43.8	1.34	0.65
NaOH extract P-400			16.2	2.1	12.3	1.55	0.57
(LOI 62%)	Nil	_	71.9	4.6	24.2	0.75	0.25
	А	2	71.6	4.9	24.9	0.82	0.26
	ABA	8	68.4	5.1	28.1	0.88	0.31
	ABOx	2	63.7	4.5	35.0	0.83	0.41
	AOx	7	69.7	4.6	27.0	0.79	0.29
NaOH extract P-500		_	N/A	N/A	N/A	N/A	N/A
(LOI 71%)	Nil		78.1	4.3	19.7	0.65	0.19
	A	0	74.4	4.2	19.1	0.67	0.19
	ABA	11	74.8	4.2	21.1	0.66	0.21
	ABOx	5	73.1	3.7	26.1	0.60	0.27
	AOx	4	72.6	3.9	22.5	0.64	0.23
NaOH extract P-600		_	N/A	N/A	N/A	N/A	N/A
(LOI 80%)	Nil	_	85.0	2.9	15.2	0.41	0.13
(=0100/0)	A	1	82.7	3.9	15.0	0.56	0.13
	ABA	-1	83.1	3.0	14.1	0.43	0.13
		-12	71.5	3.1	23.1	0.51	0.24
		-31	65.7	3.4	30.9	0.62	0.35
NaOH extract		-	N/A	N/A	N/A	N/A	N/A

Table 1 Elemental composition of laboratory charcoal samples before and after chemical treatments.

Nil = untreated sample; N/A = NaOH extract was not obtained for analysis.

were observed at $700-900 \text{ cm}^{-1}$ for aromatic C–H deformation (Ishimaru et al. 2007; Ascough et al. 2011) and at 1600 and 1700 cm^{-1} for aromatic carboxyl groups. After A and ABA treatments, the spectra of these samples were not changed significantly, whereas ABOx and AOx treatments caused an increase in the intensity of the absorption bands

			Elemental composition				
Sample	Chemical treatment	Weight loss (%)	C(%)	H(%)	O(%)	H/C	O/C
Env-1							
Series 1	Nil	—	54.0	3.5	41.7	0.78	0.58
	А	30	65.5	2.8	36.0	0.50	0.41
	ABA	70	67.1	2.9	34.9	0.51	0.39
	ABOx	73	58.4	3.0	41.7	0.60	0.54
	AOx	43	57.8	2.8	40.9	0.58	0.53
	NaOH extract		51.8	2.6	32.6	0.59	0.47
Series 2	Nil	—	54.3	3.7	41.4	0.81	0.57
	А	32	65.7	3.1	36.2	0.56	0.41
	ABA	50	65.7	3.1	33.8	0.56	0.39
	ABOx	69	56.5	2.9	41.6	0.62	0.55
	AOx	37	57.3	3.1	41.8	0.64	0.55
	NaOH extract		39.8	2.0	27.5	0.60	0.52
Env-2							
Series 1	Nil	—	47.3	3.4	39.1	0.86	0.62
	А	33	65.2	3.0	37.2	0.54	0.43
	ABA	69	66.4	3.0	35.9	0.53	0.41
	ABOx	67	58.0	2.8	41.3	0.58	0.53
	AOx	46	58.5	3.0	40.7	0.61	0.52
	NaOH extract		51.6	2.6	33.3	0.60	0.48
Series 2	Nil	_	48.3	3.5	40.2	0.86	0.62
	А	36	64.7	3.0	36.2	0.54	0.42
	ABA	51	64.8	3.2	35.9	0.59	0.42
	ABOx	64	57.2	3.1	41.6	0.64	0.55
	AOx	38	61.7	3.2	41.8	0.61	0.51
	NaOH extract	—	36.2	1.8	24.3	0.60	0.50
Env-3							
Series 1	Nil	—	47.7	3.4	40.0	0.85	0.63
	А	33	63.5	2.9	37.8	0.54	0.45
	ABA	54	65.5	3.1	37.5	0.57	0.43
	ABOx	68	58.9	3.1	41.0	0.62	0.52
	AOx	36	59.3	3.1	41.7	0.62	0.53
	NaOH extract		43.3	2.2	32.5	0.59	0.56
Series 2	Nil		47.3	3.4	40.5	0.85	0.64
	А	34	63.5	3.0	39.0	0.56	0.46
	ABA	49	63.5	2.9	37.7	0.54	0.45
	ABOx	51	57.7	3.1	41.1	0.64	0.53
	AOx	44	51.8	3.0	43.1	0.68	0.62
	NaOH extract	—	38.7	2.0	27.8	0.61	0.54

Table 2Elemental composition of environmental charcoal samples before and after chemicaltreatments.

Nil = untreated sample.

at $1200-1220 \text{ cm}^{-1}$ for aromatic C–O. The spectra of P-500 and -600 are dominated by the aromatic bands at 700–900, 1200-1220, and 1600 cm^{-1} . These spectra were not significantly changed by A and ABA treatments, whereas ABOx and AOX treatments increased the intensity

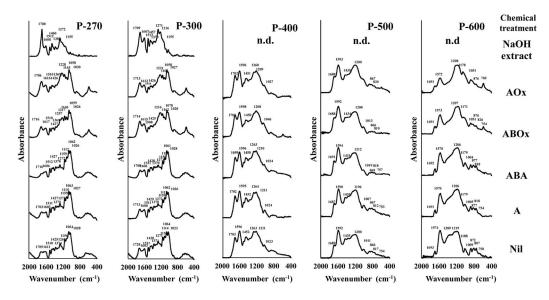


Figure 3 IR absorption spectra of laboratory charcoal samples. Nil = untreated sample; n.d. = not analyzed because NaOH extract was not obtained.

Table 3 Assignment of IR absorption spectra, referred to Ascough et al. (2011), Ishimaru et al. (2007), Yizhaq et al. (2005), and Kuriyama (1979).

Wavenumber (cm ⁻¹)	Assignment		
1700	C = O stretching in COOH		
1600	C = C vibrations of aromatic structures		
1510	Lignin		
1450	C–H deformation of aliphatic material		
1420–1430	Cellulosic CH ₂		
1380	Ionized carboxylate group (COO ⁻)		
1270-1250	O-H deformation in COOH		
1220-1200	Aromatic C–O		
1190	C–O of esters, phenols and ethers		
1050	C–OH vibration		
1030–1160	Aliphatic ether C–O and alcohol C–O		
700–900	Aromatic C-H deformation		

of the bands at 1200–1220 cm⁻¹ and 1050 cm⁻¹ attributed to C–OH vibration (Ishimaru et al. 2007) and weakened the absorption of the bands for aromatic C–H at 700–900 cm⁻¹. Samples P-270 and -300 charred at \leq 300°C yielded NaOH-extracted materials, but the samples charred at \geq 400°C (P-400, -500, -600) did not.

Environmental Samples (Env-1, -2, -3)

The spectra of the environmental samples (Env-1, -2, -3) are shown in Figure 4. The different samples showed similar characteristic spectral changes according to the pretreatment.

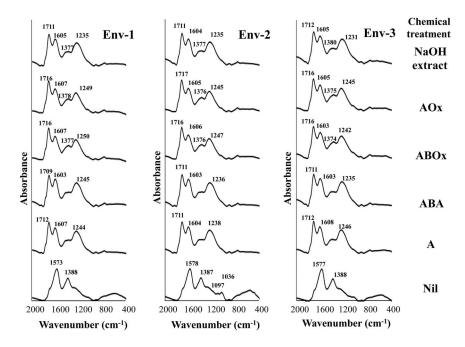


Figure 4 IR absorption spectra of environmental charcoal samples; Nil = untreated sample.

The spectra of the untreated samples are dominated by signals at 1380 and 1570 cm^{-1} for ionized carboxylate groups (COO⁻) and aromatic C = C stretching. These bands disappeared after the first A treatment, and bands at 1250, 1600, and 1700 cm⁻¹ appeared. This change indicates that carboxylate groups (COO⁻) with metal ions lost their counter ions during the first acid treatment to form carboxyl groups (COO⁺) (Rebollo et al. 2008; Styring et al. 2013). Spectra recorded after the ABA, ABOx, and AOx treatments are similarly dominated by signals at 1250, 1600, and 1700 cm⁻¹ for the aromatic carboxyl groups. The two treatment schemes that included oxidation led the absorption bands at 1380 and 1700 cm⁻¹ for carboxylate groups and carboxyl groups (COOH) to increase slightly. The spectra of all of the materials extracted in NaOH are dominated by bands at 1220, 1380, 1600, and 1700 cm⁻¹, which are attributable to aromatic carboxylic groups.

Elemental Analysis

Laboratory Samples (P-270, -300, -400, -500, -600)

The results of elemental analysis for the laboratory charred wood samples are shown in Table 1 and Figure 5. The gray areas in Figure 5 represent the ranges of H/C and O/C ratios of carbohydrate, lignin, and two pyrolysis products of char and black carbon (data from Hammes et al. 2006). Both ratios for the laboratory charcoal samples decreased with increasing charring temperature (dotted regression line, Figure 5). This is similar to the results of previous work (e.g. Kuriyama 1979; Ascough et al. 2010). The decrease might be due to the dehydration of the organic components and the formation of polyaromatic components. The charcoal samples showed H/C and O/C ratios that increased slightly along the regression line according to the treatment. However, the O/C ratio after the ABOx and AOx treatments increased strongly, deviating from the line. The O/C ratio increments after ABOx and AOx treatments were 0.08–0.16 and 0.02–0.22, respectively.

574 S Tomiyama et al.

Environmental Samples (Env-1, -2, -3)

Elemental analysis results for the environmental wood samples are shown in Table 2 and Figure 6. The untreated sample Env-1 showed C content of 54%, while the untreated samples Env-2 and -3 showed C content of 47–48%. The result suggests that sample Env-1, which was collected from the central part of a charred branch, was well preserved, compared with samples

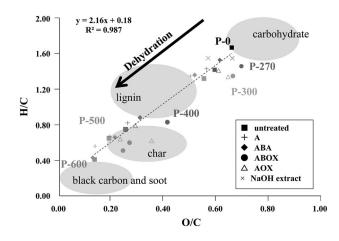


Figure 5 van Krevelen diagram of laboratory charcoal samples. The dotted line shows the liner regression for all untreated samples, representing the process of dehydration due to pyrolysis. The gray area represents ranges of H/C and O/C ratios for various biomolecular components (Hammes et al. 2006).

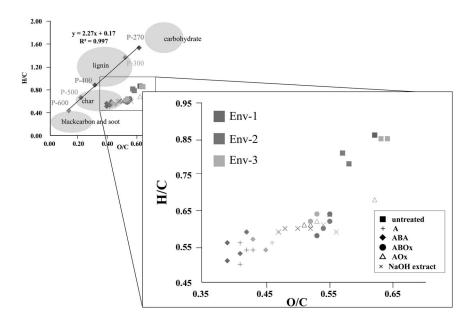


Figure 6 van Krevelen diagram of environmental charcoal samples. In the upper figure, the gray area represents ranges of H/C and O/C ratios for biomolecular components (Hammes et al. 2006), and the line shows the linear regression for the laboratory-produced sample after ABA treatment.

Env-2 and -3. However, all the chemically treated charcoal samples of Env-1, -2, and -3 showed C content more than 50%, and they could produce reliable ${}^{14}C$ dates (Rebollo et al. 2011).

The H/C ratios for the untreated samples lie within the range 0.78–0.86, and their O/C ratios within the range 0.57–0.64. All the environmental samples showed higher O/C ratios than the laboratory samples (Figures 5 and 6). The O/C ratio of the untreated sample Env-3 was higher than the O/C ratios of the other samples, indicating that this sample had more contaminants and components produced by oxidative alteration. The H/C and O/C ratios of the environmental samples were decreased after the first A treatment owing to increases of carbon content and reductions of H and O contents. After ABA treatment, the O/C ratio decreased slightly, whereas the H/C ratio was unchanged. This indicates that the elemental composition was not altered during the NaOH and HCl treatments following the first A treatment. The O/C ratio increased by about 1.0 after ABOx and AOx treatments, whereas it decreased after A and ABA treatments. The H/C ratio of the material extracted with NaOH was 0.59–0.61, which was lower than the H/C ratios for the untreated samples.

¹⁴C Dating of the Environmental Samples (Env-1, -2, -3)

Results for the ¹⁴C dating of the environmental charcoal samples are shown in Table 4 and Figure 7. The ¹⁴C blank values were 47,750 \pm 266 BP (NUTA2-22508) and 48,058 \pm 258 BP (NUTA2-22536) for the Aso-3, and 48,003 \pm 295 BP (NUTA2-22499) for the Kishida oxalic acid. The results show that contamination introduced into samples during the ABA treatment is small and can be ignored. In this study, the value of 48,058 \pm 258 BP for the Aso-3 standard was used for blank correction of sample ¹⁴C ages. The corrected ¹⁴C ages of samples were calibrated with OxCal v 4.2 (Bronk Ramsey 2009) based on the calibration curve data of IntCall3 (Reimer et al. 2013).

The untreated samples showed ¹⁴C ages of 42,540 ± 280 BP for Env-1, 42,000 ± 260 BP for Env-2, and 42,360 ± 360 BP for Env-3. These dates are the youngest recorded for each sample. The first A treatment did not significantly alter these ¹⁴C ages, but older ¹⁴C ages were observed after the ABA treatment, and the oldest ¹⁴C age for each sample was found after the ABOx treatment (Env-1: 43,850 ± 300 BP; Env-2: 43,230 ± 300 BP; and Env-3: 43,670 ± 310 BP). The ¹⁴C age of the material extracted by NaOH from sample Env-3 was younger than the

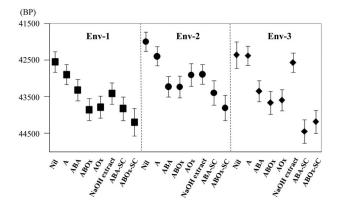


Figure 7 ¹⁴C ages of environmental charcoal samples. Square: sample Env-1; Circle: sample Env-2; Rhombus: sample Env-3; Nil = untreated sample; SC = stepped combustion after ABA or ABOx treatment. Error bars are $\pm 1\sigma$.

576 S Tomiyama et al.

	~	Sample	Carbon	-12 m	14~	Calibrated			
	Chemical	size	yield	$\delta^{13}C_{\text{VPDB}}$	¹⁴ C age ±1σ	age $\pm 2\sigma$ (cal	Lab nr		
Sample	treatment	(mg)	(%)	(‰)	(BP)	BP)	NUTA2-		
Env-1									
	Nil	4.16	46	-26	$42,543 \pm 280$	46,300-45,150	22502		
	А	3.51	59	-23	$42,895 \pm 277$	46,740-45,490	22503		
	ABA	3.31	60	-25	$43,317 \pm 296$	47,260-45,790	22504		
	ABOx	3.29	56	-23	$43,850 \pm 302$	47,910-46,240	22505		
	AOx	3.91	52	-23	$43,780 \pm 299$	47,820-46,180	22506		
	NaOH extract	5.35	45	-24	$43,412 \pm 293$	47,370–45,870	22510		
	ABA-SC	5.43	34	-25	$43,822 \pm 322$	47,920-46,190	22529		
	ABOx-SC	5.17	32	-24	$44,188 \pm 376$	48,480-46,450	22530		
Env-2									
	Nil	4.65	42	-24	$41,994 \pm 260$	45,890-44,820	22511		
	А	3.24	62	-23	$42,395 \pm 263$	46,230-45,120	22512		
	ABA	4.15	60	-23	$43,224 \pm 282$	47,120-45,730	22513		
	ABOx	3.50	54	-24	$43,229 \pm 296$	47,150-45,720	22514		
	AOx	4.10	47	-26	$42,905 \pm 305$	46,800-45,460	22515		
	NaOH extract	4.30	56	-23	$42,887 \pm 273$	46,720–45,490	22519		
	ABA-SC	5.93	53	-22	$43,392 \pm 336$	47,440-45,800	22531		
	ABOx-SC	6.24	35	-20	$43,802 \pm 344$	47,940-46,150	22532		
Env-3									
	Nil	3.62	34	-27	$42,357 \pm 361$	46,360-44,970	22520		
	А	4.41	46	-22	$42,377 \pm 260$	46,210-45,110	22521		
	ABA	4.82	56	-23	$43,350 \pm 289$	47,280-45,830	22522		
	ABOx	4.70	56	-24	$43,665 \pm 306$	47,700-46,070	22523		
	AOx	4.60	53	-21	$43,594 \pm 286$	47,575-46,040	22527		
	NaOH extract	7.37	42	-22	$42,568 \pm 264$	46,390-45,260	22528		
	ABA-SC	5.86	50	-23	$44,442 \pm 321$	48,680-46,780	22537		
	ABOx-SC	6.92	41	-23	44,181 ± 316	48,340-46,530	22538		

Table 4 Radiocarbon dates of environmental charcoal samples.

Nil = untreated sample; SC = sample with stepped combustion after ABA or ABOx treatment; The $\delta^{13}C_{VPDB}$ values, which were measured with AMS, have errors of $\pm 1\%$.

¹⁴C ages of sample Env-2, and largely younger than those of Env-1. All the ABA-SC and ABOx-SC samples with additional SC, which is also a decontamination process, after ABA and ABOx showed older ¹⁴C ages. Note that the ¹⁴C ages of all samples treated with the ABOx-SC procedure are in agreement within 1 standard deviation.

DISCUSSION

Changes of Chemical Structure and Elemental Composition during Pretreatment

The chemical structures of the laboratory charcoal samples suggest that aromatic compounds were mainly formed at \geq 400°C. Sample P-400 mainly consists of aromatic carboxyl groups, whereas samples P-500 and -600 consist of fewer carboxyl groups and more aromatic C–H at the edges of their molecular structures. Charcoal is chemically more stable when produced at a high temperature (Preston and Schmidt 2006). The changes in chemical structure and elemental composition were not observed in all the laboratory samples during A and ABA treatments, but

the oxidation step in ABOx and AOx treatments caused chemical changes in all the samples. After the oxidation, aromatic C–O bonds between molecules increased, resulting in an increase of O/C ratio. This suggests that oxidative degradation occurred even in the charcoal samples produced at 500 and 600°C. At the same time, aromatic C–H, which is often bound at edge sites, decreased. Therefore, oxidative degradation occurred preferentially from the edge sites of the organic molecules.

The IR spectra of the NaOH-extracted material from the environmental samples appear similar to those of the charcoal samples after ABA and ABOx treatments. In contrast, the NaOH-extracted materials showed younger ¹⁴C ages than the charcoal samples after ABA or ABOx treatment, especially in the sample Env-3, which is supposed to be the most contaminated of the three environmental samples. The NaOH-extracted material and ABOx-treated sample appears larger for Env-3 than for Env-1 and for Env-2. It is noted that the ¹⁴C differences are likely to correspond with the levels of postdepositional addition of exogenous materials. These chemical structure and composition results of charcoal samples suggest that the NaOH-extracted material contains both the younger exogenous material and the charcoal sample dissolved by the NaOH.

The O/C and H/C ratios of the NaOH-extracted material were about 0.1 and 0.05, respectively, which were higher than those of the samples after ABA treatment. Therefore, NaOH treatment can dissolve the more hydrophilic molecules preferentially in the charcoal samples that might contain exogenous material and leave the less hydrophilic and more aromatic components. The high O/C and H/C ratios of the untreated samples relative to the treated samples probably arise from oxidative diagenesis (Cohen-Ofri et al. 2006) as well as from exogenous materials such as humic substances. Consequently, the NaOH-extracted material appears to be mainly composed of the charcoal itself, as Ascough et al. (2011) point out.

The ¹⁴C ages of samples Env-1 and Env-3 after ABOx treatment were older than the ¹⁴C ages measured after ABA treatment, whereas the age of sample Env-2 was not. Furthermore, the H/C and O/C ratios of the environmental samples were higher after ABOx treatment than after ABA treatment. These results show that the oxidation procedure can effectively remove exogenous material, while also degrading aromatic compounds in the charcoal sample. They also show that charcoal samples after ABA treatment could still retain contaminants and that the additional oxidation procedure reduced contaminant residue left after NaOH treatment.

The SC led to older ¹⁴C ages than fixed combustion, suggesting that it removed exogenous carbon contaminants younger than the sample (Figure 7). Sources of the contamination are thought to be copper oxide (Vandeputte et al. 1998), organic remnants after chemical treatment, and atmospheric CO_2 adsorbed during sample loading (Bird et al. 1999) or Milli-Q cleaning. Figure 7 shows ABA-SC treatment could reduce contaminants as effectively as ABOx-SC treatment. This implies that the SC is key to reduce contaminant residue left after NaOH treatment and even after the oxidation procedure.

Interpretation of Decontamination by ABA and ABOx Treatments

Humic substances consist of exogenous organic material present in the environmental charcoal samples, and constitute a source of error in their measured ¹⁴C age. They are highly hydrophilic due to the presence of many carboxyl and hydroxyl groups. In comparison, freshly charred material produced by pyrolysis contains a high proportion of aromatic components, but during burial the proportion of carboxyl groups increases through oxidative attack of the aromatic

centers (Cohen-Ofri et al. 2006). Therefore, environmental charred material is more hydrophilic than freshly charred material. This diagenetic process increases the probability of the charred material being contaminated by exogenous humic acid. When exogenous organic matter (mainly humic acid) contaminates charred material, it is chemically bound to the edges of the molecular structure of the charred material. As described above, NaOH treatment can remove highly hydrophilic components from charred material, and dissolve together both exogenous materials and the degraded components of the charcoal sample. That is, components of relatively low intermolecular stability, which include more organic contaminant (humic acid), can be removed preferentially by the NaOH treatment, whereas the more stable components remain. However, the charcoal residues after NaOH treatment still be contaminated by humic acid bound tightly to the edges of the aromatic molecular structure of charcoal. The oxidation treatment of the laboratory charcoal samples appears to have degraded the compounds from their edge sites, suggesting that it can preferentially remove exogenous materials bound to the edges of an aromatic molecular structure. The oxidative degradation by $K_2Cr_2O_7$ can break the molecular structure of the charcoal into smaller components, isolating the inner parts of the aromatic components. These inner parts are less contaminated than the outer parts, and so could provide a more reliable ¹⁴C age.

Assessment of Diagenesis and decontamination in Charcoal Samples

The assessment of diagenesis and decontamination in charred material is important for discussing the reliability of ¹⁴C dates. Cohen-Ofri et al. (2006) suggested that oxidative processes cause charcoal to degrade. In this case, the carboxylation of the charcoal components is a primary factor, and leads to a higher O/C ratio relative to that of the fresh charcoal (Ascough et al. 2010, 2011). The charcoal residue after ABA treatment contained highly aromatic components that probably resulted from pyrolysis. These components were more resistant to diagenesis compared with degradation components, although they were not more resistant than the residue after ABOx treatment because of the chemical changes caused by the oxidation procedure. Therefore, it is possible that the elemental composition of the residue reflects that of the freshly charred material. The H/C and O/C ratios of the environmental charcoal samples (Env-1, -2, and -3) did not fall on the regression line of data for the laboratory charcoal treated by ABA (Figure 6). This means that the environmental wood samples after ABA treatment still contain contaminants acquired during burial that decrease the reliability of ¹⁴C ages and/or consist of degraded components by oxidative alteration (Table 4 and Figure 7). If the H/C and O/C ratios of the charcoal samples after ABA treatment fell close to the regression line of Figure 6, it would imply their effective decontamination and thus that their ¹⁴C ages are reliable.

Furthermore, the C content of a charcoal sample is a potential parameter for indicating the reliability of ¹⁴C dating. A high C content after chemical treatment would suggest a sample high in aromatic components, which are supposed to be less contaminated. Rebollo et al. (2011) noted that charcoal with a C content lower than 50% after ABA or ABOx-SC treatment should not be used for ¹⁴C dating because a charred wood sample should have 50–70% C content (Braadbaart and Poole 2008; Braadbaart et al. 2009). They also reported that charcoal samples lose 10–20% more C content after ABOx-SC treatment than after ABA treatment due to the increased mass proportion of other materials such as silica aggregates. However, we found that oxidation of the charcoal samples increased the O content and decreased the C content (Tables 1 and 2). The environmental samples showed C mass proportions after ABOx treatment that were about 9% lower than those after ABA treatment (Table 2). Lower C proportions after ABOx treatment than after ABA treatment than after ABA treatment than after ABOX treatment than after ABA treatment than after ABOX treatment than after ABA treatment than after ABA treatment than after ABOX treatment than after ABA treatment (Table 2). Lower C proportions after ABOX treatment than after ABA treatment the laboratory samples

(Table 1). The ABOx treatment could reduce the C mass proportion to below the 50% reference value for the suitability of a sample for ¹⁴C dating. Therefore, this reduction of C content should not lead to erroneous ¹⁴C data because it is not caused by an increase in exogenous C material but rather by the degradation of the original C components (particularly contaminants). Consequently, attention should be paid to whether a sample has a low relative C content (around 50–60%) before its chemical treatment because the C content after ABOx treatment might be below 50%. The low C content might be attributed to the presence of other materials, such as silica aggregates, which have no carbon. Silica aggregates are not dissolved during chemical treatment, whereas the organic components are dissolved. Then, the content of silica aggregates in the charcoal sample after chemical treatment would increase and the C content would decrease.

From the results, O/C and H/C ratios together with C content of chemically treated charcoal samples can become potential indicators for assessment of diagenesis and decontamination in the samples to obtain reliable ¹⁴C dates. Further study is needed to examine the effectiveness of the indicators by more analysis of charcoals of various ¹⁴C ages and with various levels of contamination.

CONCLUSION

The chemical changes in laboratory-made and environmental charcoal during ABA and ABOx treatments were studied to compare decontamination processes. Laboratory samples produced by charring at 270–600°C, which represents the temperature of natural fires (Swift et al. 1993), were degraded via oxidization with $K_2Cr_2O_7$ solution after either acid or acid-base pretreatments. The IR spectra and measured O/C ratios of samples P-500 and P-600 suggest that the oxidative degradation commenced at the edge sites of their aromatic molecular structures and reduced the structure's original molecular weight. Therefore, the oxidation could preferentially degrade exogenous organic material bound to the edges of the original molecular structure, leaving the inner parts of the aromatic compounds, which are more likely to be components produced by the initial pyrolysis. This study shows that ABOx treatment can remove organic contaminants more efficiently than ABA treatment, as supported by previous work (Bird et al. 1999, 2014; Douka et al. 2010; Wood et al. 2012), and that SC is key to reduce contaminant residue left after ABA and ABOx treatments.

The assessment of diagenesis and decontamination in charcoal is important for discussing the reliability of ¹⁴C dating. Elemental analysis (C/H/O) of charcoal samples is a potential method for identifying the presence of diagenetic alteration and contaminants. The results of this study suggest that contamination may remain in the environmental charcoal samples after ABA treatment. We also propose that the H/C and O/C ratios (plotted as a van Krevelen diagram) of charcoal samples after ABA treatment can be used to assess the possibility of residual contamination or diagenetic alternation. However, it is not clear how the burial diagenesis (carboxylation) of charcoal affects its ¹⁴C date. Understanding this is necessary for assessing the decontamination and reliability of charcoal ¹⁴C dates.

ACKNOWLEDGMENTS

We thank Dr Hakozaki of the National Museum of Japanese History, Japan, for identifying the wood in the environmental charcoal samples, and Prof Okuno of Fukuoka University, for providing the environmental samples used in this study. This study was supported by JSPS KAKENHI Grant Number 15H02947.

REFERENCES

- Alon D, Mintz G, Cohen I, Weiner S, Boaretto E. 2002. The use of Raman spectroscopy to monitor the removal of humic substances from charcoal: quality control for ¹⁴C dating of charcoal. *Radiocarbon* 44(1):1–11.
- Ascough PL, Bird MI, Scott AC, Collinson ME, Cohen-Ofri I, Snape CE, Le Manquais K. 2010. Charcoal reflectance measurements: implications for structural characterization and assessment of diagenetic alteration. *Journal of Archaeological Science* 37(7):1590–9.
- Ascough PL, Bird MI, Francis SM, Lebl T. 2011. Alkali extraction of environmental charcoal: evidence for diagenetic degradation and formation of humic acids. *Journal of Archaeological Science* 38(1):69–78.
- Bird MI, Ascough PL. 2012. Isotopes in pyrogenic carbon: a review. Organic Geochemistry 42(12):1529–39.
- Bird MI, Ayliffe LK, Fifield LK, Turney CSM, Cresswell RG, Barrows TT, David B. 1999. Radiocarbon dating of "old" charcoal using a wet oxidation, stepped-combustion procedure. *Radiocarbon* 41(2):127–40.
- Bird MI, Turney CSM, Fifield LK, Jones R, Ayliffe LK, Palmer A, Cresswell R, Robertson S. 2002. Radiocarbon analysis of the early archaeological site of Nauwalabila I, Arnhem Land, Australia: implications for sample suitability and stratigraphic integrity. *Quaternary Science Reviews* 21(8–9): 1061–75.
- Bird MI, Levchenko V, Ascough PL, Meredith W, Wurster CM, Williams A, Tilston EL, Snape CE, Apperley DC. 2014. The efficiency of charcoal decontamination for radiocarbon dating by three pre-treatments- ABOX, ABA and hypy. *Quaternary Geochronology* 22:25–32.
- Braadbaart F, Poole I. 2008. Morphological, chemical and physical changes during charcoalification of wood and its relevance to archaeological contexts. *Journal of Archaeological Science* 35(9):2434–45.
- Braadbaart F, Poole I, van Brussel AA. 2009. Preservation potential of charcoal in alkaline environments: an experimental approach and implications for the archaeological record. *Journal* of Archaeological Science 36(8):1672–9.
- Brock F, Higham TFG. 2009. AMS radiocarbon dating of Paleolithic-aged charcoal from Europe and the Mediterranean Rim using ABOx-SC. *Radiocarbon* 51(2):839–46.
- Brock F, Higham TFG, Ditchfield P, Bronk Ramsey C. 2010. Current pretreatment methods for AMS radiocarbon dating at the Oxford Radiocarbon Accelerator Unit (ORAU). *Radiocarbon* 52(1):103–12.
- Bronk Ramsey C. 2009. Bayesian analysis of radiocarbon dates. *Radiocarbon* 51(1):337–60.
- Cohen-Ofri I, Weiner L, Boaretto E, Mintz G, Weiner S. 2006. Modern and fossil charcoal: aspects of structure and diagenesis. *Journal of Archaeological Science* 33(3):428–39.

- Douka K, Higham T, Sinitsyn A. 2010. The influence of pre-treatment chemistry on the radiocarbon dating of Campanian ignimbrite-aged charcoal from Kostenki 14 (Russia). *Quaternary Research* 73(3):1021–7.
- Franklin RE. 1951. Crystallite growth in graphitizing and non-graphitizing carbons. *Proceedings of the Royal Society A* 209:196–218.
- Hammes K, Smernik RJ, Skjemstad JO, Herzog A, Vogt UF, Schmidt MWI. 2006. Synthesis and characterization of laboratory-charred grass straw (*Oryza sativa*) and chestnut wood (*Castanea sativa*) as reference materials for black carbon quantification. *Organic Geochemistry* 37:1629–33.
- Higham T, Brock F, Peresani M, Broglio A, Wood R, Douka K. 2009. Problems with radiocarbon dating the Middle to Upper Palaeolithic transition in Italy. *Quaternary Science Reviews* 28(13–14):1257–67.
- Ishimaru K, Hata T, Bronsveld P, Meier D, Imamura Y. 2007. Spectroscopic analysis of carbonization behavior of wood, cellulose and lignin. *Journal of Materials Science* 42(1):122–9.
- Kuriyama A. 1979. A study on the carbonization process of wood. Bulletin of the Forestry and Forest Products Research Institute 304:7–76.
- Matsumoto T, Uto K, Ono K, Watanabe K. 1991. K-Ar age determinations for Aso volcanic rocks: concordance with volcanostratigraphy and application flow. In: *Proceedings of the Fall Meeting of the Volcanological Society, Japan.* p 73. In Japanese.
- Olson EA, Broecker WS. 1958. Sample contamination and reliability of radiocarbon dates. *Transactions* of the New York Academy of Science Series II 20:593–604.
- Preston CM, Schmidt MWI. 2006. Black (pyrogenic) carbon: a synthesis of current knowledge and uncertainties with special consideration of boreal regions. *Biogeosciences* 3:397–420.
- Rebollo NR, Cohen-Ofri I, Popovitz-Biro R, Bar-Yosef O, Meignen L, Goldberg P, Weiner S, Boaretto E. 2008. Structural characterization of charcoal exposed to high and low pH: implication for ¹⁴C sample preparation and charcoal preservation. *Radiocarbon* 50(2):289–307.
- Rebollo NR, Weiner S, Brock F, Meignen L, Goldberg P, Belfer-Cohen A, Bar-Yosef O, Boaretto E. 2011. New radiocarbon dating of the transition from Middle to the Upper Paleolithic in Kebara Cave, Israel. *Journal of Archaeological Science* 38(9):2424–33.
- Reimer PJ, Bard E, Bayliss A, Beck JW, Blackwell PG, Bronk Ramsey C, Buck CE, Cheng H, Edwards RL, Friedrich M, Grootes PM, Guilderson TP, Hajdas I, Hatté C, Heaton TJ, Hoffmannm DI, Hogg AG, Hughen KA, Kaiser KF, Kromer B, Manning SW, Niu M, Reimer RW, Richards DA, Scott EM, Southon JR, Staff RA, Turney CSM, van der Plicht J. 2013. IntCall3 and Marine13 radiocarbon age calibration curves, 0–50,000 years cal BP. *Radiocarbon* 55(4):1869–87.

- Styring AK, Manning H, Fraser RA, Wallace M, Jones G, Charles M, Heaton THE, Bogaard A, Evershed RP. 2013. The effect of charring and burial on the biochemical composition of cereal grains: investigating the integrity of archaeological plant material. *Journal of Archaeological Science* 40(12):4767–79.
- Swift LW, Elliott KJ, Ottmar RD, Vihnanek RE. 1993. Site preparation burning to improve southern Appalachian pine-hardwood stands: fire characteristics and soil erosion, moisture, and temperature. *Canadian Journal of Forest Research* 23:2242–54.
- Turney CSM, Bird MI, Fifield LK, Roberts RG, Smith M, Dortch CE, Grün R, Lawson E, Ayliffe LK, Miller GH, Dortch J, Cresswell RG. 2001.

Early human occupation at Devil's Lair, southwestern Australia 50,000 years ago. *Quaternary Research* 55(1):3–13.

- Vandeputte K, Moen L, Dams R. 1998. Study of the ¹⁴C-contamination potential of C-impurities in CuO and Fe. *Radiocarbon* 40(1):103–10.
- Wood RE, Douka K, Boscato P, Haesaerts P, Sintisyn A, Higham TFG. 2012. Testing the ABOx-SC method: dating known-age charcoals associated with the Campanian Ignimbrite. *Quaternary Geochronology* 9:16–21.
- Yizhaq M, Mintz G, Cohen I, Khalaily H, Weiner S, Boaretto E. 2005. Quality controlled radiocarbon dating of bones and charcoal from the early pre-Pottery Neolithic B (PPNB) of Motza (Israel). *Radiocarbon* 47(2):193–206.