SHORTENING CLOPEN GAMES

JUAN P. AGUILERA

Abstract. For every countable wellordering α greater than ω , it is shown that clopen determinacy for games of length α with moves in \mathbb{N} is equivalent to determinacy for a class of shorter games, but with more complicated payoff. In particular, it is shown that clopen determinacy for games of length ω^2 is equivalent to σ -projective determinacy for games of length ω and that clopen determinacy for games of length ω^3 is equivalent to determinacy for games of length ω^2 in the smallest σ -algebra on \mathbb{R} containing all open sets and closed under the real game quantifier.

§1. Introduction. Let us call a subset A of \mathbb{R} *clopen* if $A \setminus \mathbb{Q}$ is clopen in $\mathbb{R} \setminus \mathbb{Q}$. We study games of transfinite length whose payoff set is clopen when viewed as a subset of $\mathbb{R} \setminus \mathbb{Q}$ (henceforth identified with $\mathbb{N}^{\mathbb{N}}$ —the space of infinite sequences of natural numbers, viewed as a product of discrete spaces). More specifically, let α be a countable wellorder and fix a bijection

$$\rho:\mathbb{N}\to\alpha$$

For simplicity, we will assume that ρ is recursive, although this assumption can be dropped by relativizing to a real parameter. Fix $A \subset \mathbb{R}$ (the *payoff* set) and consider a game of length α in which two players, I and II, alternate turns playing natural numbers

After α -many rounds have been played, the game ends. Player I wins if, and only if,

 $(n(\rho(0)), n(\rho(1)), n(\rho(2)), ...) \in A;$

otherwise, Player II wins. We say that the game is *determined* if one of the players has a winning strategy. It is a classical theorem of Gale and Stewart [5] that if A is clopen and $\alpha = \omega$ (the order-type of \mathbb{N}), then the game is determined (in which case we say that A itself is determined). One may also view these games as having payoff set a clopen subset of \mathbb{N}^{α} , with the product topology.

Gale and Stewart's proof in fact shows that clopen games of length $\omega + 1$ are determined. A celebrated result of Martin [7] implies that clopen games of length $\omega + \omega$ are also determined. Moreover, this is the extent of clopen determinacy provable in ZFC, Zermelo–Fraenkel set theory with the Axiom of Choice; i.e., ZFC does not prove that clopen games of length $\omega + \omega + 1$ are determined. This is a

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theorem of Harrington (see [6]). Determinacy for longer clopen games is, however, provable in natural extensions of ZFC by *large cardinal* axioms, and it is natural to ask the extent of clopen determinacy provable in theories stronger than ZFC. For example, clopen determinacy for games of length $\omega^2 + \omega$ is provable in the theory ZFC+ "there are infinitely many Woodin cardinals," but clopen determinacy for games of length $\omega^2 + \omega + 1$ is not.

Our work in this article takes a different direction, however. Namely, by using completely elementary methods, we prove that every long clopen game is reducible to a more complicated, but shorter, game. Some results in this direction are folklore. For example, it is not hard to show that clopen games of length $\omega + \omega$ are determined if, and only if, Borel games of length ω are determined. The argument generalizes to show that clopen games of length $\alpha + \omega$ are determined if, and only if, Borel games of length $\alpha + \omega$ are determined if, and only if, Borel games of length $\alpha + \omega$ are determined if, and only if, Borel games of length $\alpha + \omega$ are determined if, and only if, Borel games of length $\alpha + \omega$ are determined. Similarly, clopen games of even (resp. odd) length $\alpha + 1$ are determined if, and only if, open (resp. closed) games of length α are determined. By combining these two observations, one can shorten clopen games of length $\alpha + \omega + 1$ to analytic games of length α . For similar reasons, the problem of shortening clopen games quickly reduces to the particular case in which the length is additively indecomposable (see Section 5 below). We include the proofs of these folklore results below.

Our first reduction of clopen games is, thus, from length ω^2 to length ω . Below, a set $A \subset \mathbb{R}$ is σ -projective if it belongs to the smallest collection of subsets of \mathbb{R} containing all open sets and closed under continuous images, complements, and countable unions.

THEOREM 1.1. The following are equivalent:

- 1. Determinacy for clopen games of length ω^2 .
- 2. Determinacy for σ -projective games of length ω .

As a consequence of Theorem 1.1 and the main result of [1], one obtains a characterization of clopen determinacy for games of length ω^2 in terms of large-cardinal assumptions. A seemingly stronger form of the implication from (1) to (2) was proved in [3] (as well as a weak form of the implication from (2) to (1)), so we shall only prove the converse. In light of Theorem 1.1, one might conjecture that clopen games of any countable length can be shortened to length $\omega^2 + \omega + 1$ implies that \mathbb{R}^{\sharp} exists (this follows from a theorem of Trang [11], together with the observation on analytic games above). Since it is consistent that every set of reals in $L(\mathbb{R})$ is determinacy of length $\omega^2 + \omega + 1$ (incidentally, $\omega^2 + \omega + 1$ is the least such ordinal).

However, as we will see, long clopen games can still be shortened. We will speak of some *quantifiers* on \mathbb{R} . Projections are usually denoted by the quantifier \exists , i.e., one writes $\exists x A(x, y)$ for " $\{x : A(x, y)\}$ is nonempty." Another example is the *game quantifier* given by

 $\Im x A(x, y)$ if, and only if, Player I has a winning strategy for $\{x : A(x, y)\}$.

Game quantifiers for longer games ∂_{α} , as well as for games on \mathbb{R} , $\partial^{\mathbb{R}}$, are defined similarly.

DEFINITION 1.2. Let Q be a quantifier. We denote by $\sigma(Q)$ the smallest σ -algebra on \mathbb{R} containing the open sets and closed under Q.

Similarly, if $\{Q_i\}_i$ is a collection of quantifiers, we denote by $\sigma(\{Q_i\}_i)$ the smallest σ -algebra on \mathbb{R} containing the open sets and closed under each Q_i .

We remark that $\sigma(\exists) = \sigma(\boxdot)$ is the pointclass of all σ -projective sets. To see this, first observe that $\sigma(\exists) = \sigma(\boxdot)$, because game quantifiers can be simulated by an existential quantifier followed by a universal quantifier. Every set in $\sigma(\exists)$ is σ projective because projection maps are continuous. Conversely, arbitary continuous functions are determined by their values on any countable dense subset of the reals and are thus each coded by single real, so A being a continuous function which maps A to B. The shortening theorem for games of length ω^3 is as follows:

THEOREM 1.3. *The following are equivalent:*

- 1. Determinacy for clopen games of length ω^3 .
- 2. Determinacy for games of length ω^2 with payoff in $\sigma(\partial^{\mathbb{R}})$.

Theorems 1.1 and 1.3 are both instances of a general theorem which is stated and proved in Section 4.

For expository reasons, we have chosen to include the proof of Theorem 1.1 in Section 3; it is similar to the general case, but easier.

§2. Basic shortenings. In this section, we mention some folklore results whose proofs the reader might find helpful. Below, given $A \subset \mathbb{R} \times \mathbb{R}$, we write A_x for the set of all y such that $(x, y) \in A$.

PROPOSITION 2.1. The following are equivalent for an even ordinal α :

- 1. Determinacy for clopen games of length $\alpha + 1$.
- 2. Determinacy for open games of length α .

PROOF. The assumption on α being even is necessary because it guarantees that it is Player I who plays the last move in games of length $\alpha + 1$. Given a clopen game A of length $\alpha + 1$, consider a game B in which players I and II play α -many natural numbers, producing a sequence x, after which Player I wins if and only if there is a move $n \in \mathbb{N}$ such that $x \cap n$ is a winning move in A. Then B is an open game of length α and each player has a winning strategy in A if and only if she has one in B.

Conversely, given an open game A of length α , write $A = \bigcup_{i \in \mathbb{N}} A_i$ as a union of basic open sets. Recall that basic open subsets of the Baire space are clopen. Consider a clopen game B where players I and II have to play α many moves, producing a sequence x, after which Player I plays $i \in \mathbb{N}$. Player I wins if and only if $x \in A_i$. Since each A_i is a clopen game, B is a clopen game as well and, as before, each player has a winning strategy in A if and only if she has one in B.

PROPOSITION 2.2. The following are equivalent :

- 1. Determinacy for clopen games of length $\alpha + \omega + 1$.
- 2. Determinacy for Π_1^1 games of length α .

PROOF. Recall that a subset of \mathbb{R} is Π_1^1 if and only if it is $\partial \Sigma_1^0$ (see Moschovakis [9]). Given a $\partial \Sigma_1^0$ game A, there is an open set $C \subset \mathbb{R} \times \mathbb{R}$ such that A has a payoff condition "Player I wins the run x of the game if and only if Player I has a winning strategy for the game C_x ."

Given such a game A of length α , consider the game B where two players produce a sequence x of length α , after which they play the game C_x , with Player I taking the role of Player I in C_x , and Player II taking the role of Player II in C_x , thus producing a sequence y of length ω . Player I wins B if y is a winning run for her in C_x . Each player has a winning strategy in A if and only if she has one in B. Thus reduces Π_1^1 -determinacy for games of length α to open determinacy for games of length $\alpha + \omega$; however, arguing as in the previous proposition (ω is even), one can further reduce it to clopen determinacy for games of length $\alpha + \omega + 1$.

Conversely, using the previous proposition, we see that clopen determinacy for games of length $\alpha + \omega + 1$ is equivalent to open determinacy for games of length $\alpha + \omega$. Thus, fix a Σ_1^0 game A of length $\alpha + \omega$, say A. We consider a game B where players I and II alternate α -many turns to produce a sequence x after which the game ends. Player I wins if and only if she has a winning strategy to win the rest of the game after x has been played. If Player I does not have such a strategy, then Player II must have one, because there are only ω many moves remaining after x has been played, the payoff of A is open. It follows that each player has a winning strategy in A if and only if she has one in B. Since B is a game of length $\alpha + \omega$ with payoff in $\partial \Sigma_1^0$, the result follows.

PROPOSITION 2.3. The following are equivalent:

- 1. Determinacy for clopen games of length $\alpha + \omega$.
- 2. Determinacy for Borel games of length α .

PROOF. This is proved like before, using the fact that $\Delta_1^1 = \partial \Delta_1^0$ (see Moschovakis [10] or Martin [8, Lemma 1.4.1]).

§3. Shortening games of length ω^2 . Let $A \subset \mathbb{R} \times \mathbb{R}$ be clopen. Hence,

 $\partial^{\mathbb{R}} A = \{x : \text{Player I has a winning strategy in the game on } \mathbb{R} \text{ with payoff } A_x\}.$

We define

$$\partial^{\mathbb{R}} \Delta_1^0 = \{ \partial^{\mathbb{R}} A : A \text{ is clopen} \}.$$

Recall that $L_{\alpha}(\mathbb{R})$ is the α th level of the constructible hierarchy relative to the set of all real numbers. It is given by transfinite recursion, setting $L_0(\mathbb{R})$ equal to $V_{\omega+1}$, $L_{\alpha+1}(\mathbb{R})$ equal to the collection of all subsets of $L_{\alpha}(\mathbb{R})$ definable from finitely many elements of $L_{\alpha}(\mathbb{R})$, and $L_{\lambda}(\mathbb{R}) = \bigcup_{\alpha < \lambda} L_{\alpha}(\mathbb{R})$ at limit stages. In particular, a subset of \mathbb{R} is σ -projective if, and only if, it belongs to $L_{\omega_1}(\mathbb{R})$ (this is a simple, folklore fact, but a proof can be found in [3]).

Lemma 3.1. $\partial^{\mathbb{R}} \Delta_1^0 \subset L_{\omega_1}(\mathbb{R}).$

The converse of the lemma (for sets of reals) follows from the argument of [3].

PROOF OF THE LEMMA. Suppose that a recursive procedure for coding finite and countably infinite sequences of real numbers by real numbers has been fixed, as well

as a recursive relation \Box so that $x \Box y$ if, and only if, x and y code sequences and x is a proper initial segment of y.

Let $A \subset \mathbb{R} \times \mathbb{R}$ be clopen. For each $x \in \mathbb{R}$, there is a game of length ω with moves in \mathbb{R} given by A_x . Let us identify this game with A_x . We shall show that $\partial^{\mathbb{R}} A \in L_{\omega_1}(\mathbb{R})$. For every $x \in \mathbb{R}$, we define

$$T_x = \left\{ t \in \mathbb{R}^{<\mathbb{N}} : \exists y \in \mathbb{R}^{\mathbb{N}} \, \exists z \in \mathbb{R}^{\mathbb{N}} \, \big(t \sqsubset y \land t \sqsubset z \land (x, y) \in A \land (x, z) \notin A \big) \right\}.$$

Thus, T_x is the set of "contested" positions in A_x . We define a binary relation on \mathbb{R}^2 by

$$(x, y) \prec (w, z)$$
 if, and only if, $y \in \mathbb{R}^{<\mathbb{N}} \land x = w \land z \in T_w \land z \sqsubset y$.

Since A is clopen, for every $x \in \mathbb{R}$ and every $y \in \mathbb{R}^{\mathbb{N}}$, there is some $n \in \mathbb{N}$ such that for every $z \in \mathbb{R}^{\mathbb{N}}$,

$$y \upharpoonright n = z \upharpoonright n$$
 implies $(y \in A_x \leftrightarrow z \in A_x)$.

It follows that \prec is wellfounded, so it has a rank function, ρ . The rank function is defined by

$$\rho(w, z) = \sup \{ \rho(x, y) + 1 : (x, y) \prec (w, z) \}.$$

Now, \prec is analytic, so, by e.g., the Kunen–Martin theorem (see, e.g., [10, Theorem 2G.2]), ρ is bounded below ω_1 , say, by η . Let us write

$$y \prec_x z$$
 if, and only if, $(x, y) \prec (x, z)$,

and denote by ρ_x the associated rank function. For every $x \in \mathbb{R}$, ρ_x is bounded by η . If z is a partial play of A_x and $\rho_x(z) = 0$, i.e., if z is \prec_x -minimal, then it does not belong to T_x , so every extension of z to a full play in the game with payoff A_x is won by the same player. As in the effective proof of (short) clopen determinacy, define:

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$$\begin{split} W_0(x) &= \left\{ a \in \mathbb{R}^{<\mathbb{N}} : \exists y \in \mathbb{R} \, \forall z \in \mathbb{R} \, \left(a^{-}y^{-}z \notin T_x \wedge \\ \exists w \in \mathbb{R}^{\mathbb{N}} \, \left(a^{-}y^{-}z \sqsubset w \wedge (x,w) \in A \right) \right) \right\}; \\ W_\alpha(x) &= \left\{ a \in \mathbb{R}^{<\mathbb{N}} : \exists y \in \mathbb{R} \, \forall z \in \mathbb{R} \, \left(a^{-}y^{-}z \in \bigcup_{\xi < \alpha} W_\xi(x) \right) \right\}; \\ W_\infty(x) &= \bigcup_{\alpha \in \mathsf{Ord}} W_\alpha(x). \end{split}$$

It is not hard to see (in V, where the Axiom of Choice holds) that from a partial play a of even length, Player I has a winning strategy in A_x if $a \in W_{\infty}(x)$. Conversely, if $a \notin W_{\infty}(x)$, then Player II has a strategy that prevents Player I from ever reaching a position in $W_{\infty}(x)$ and ultimately wins. It follows that

$$\partial^{\mathbb{R}}A = \{ x \in \mathbb{R} : \langle \rangle \in W_{\infty}(x) \}.$$

Let us refer to the least ξ such that $y \in W_{\xi}(x)$, if any, as the *weight* of y and denote it by $w_x(y)$. The point is that if a has weight ξ , then any extension of a of smaller

weight has smaller rank in \prec_x . It follows that for every $a \in W_{\infty}(x)$, $w_x(a) \le \rho_x(a)$. This is shown by an easy induction on the weight:

Put $\xi = w_x(a)$. Let us assume that $0 < \xi$, so there is $y \in \mathbb{R}$ such that for all $z \in \mathbb{R}$, $a^{\gamma}z^{\gamma}z$ has weight $< \xi$. By minimality of ξ , z can be chosen so that the weight of $a^{\gamma}y^{\gamma}z$ is arbitrarily large below ξ (ξ could be a successor ordinal, in which case this means "equal to the predecessor"). By induction hypothesis,

$$\xi = \sup \left\{ w_x(a^{\gamma}z) + 1 : z \in \mathbb{R} \right\} \le \sup \left\{ \rho_x(a^{\gamma}z) + 1 : z \in \mathbb{R} \right\}.$$

It cannot be that $a \notin T_x$, for this contradicts the assumption that $w_x(a) \neq 0$; thus, $a \in T_x$ and, similarly, $a \uparrow y \in T_x$, in which case we must have

$$\xi \leq \sup \left\{ \rho_x(a^{\gamma}z) + 1 : z \in \mathbb{R} \right\}$$

=
$$\sup \left\{ \rho_x(a^{\gamma}z) + 1 : a^{\gamma}z \prec_x a^{\gamma}y \right\}$$

=
$$\rho_x(a^{\gamma}y).$$

It follows that $\rho_x(a)$ is at least $\xi + 1$. This proves the claim. It follows that

$$W_{\infty}(x) = W_{\eta}(x)$$

for every $x \in \mathbb{R}$.

Finally, the construction of $W_{\eta}(x)$ can be carried out within $L_{\omega_1}(\mathbb{R})$, uniformly in x, so $\partial^{\mathbb{R}}A \in L_{\omega_1}(\mathbb{R})$, as desired. \dashv

To prove Theorem 1.1, suppose that σ -projective games of length ω are determined. Let A be a clopen set and consider the game of length ω^2 on \mathbb{N} with payoff A. The argument to follow is a clopen version of one of Blass [4]. Unlike the situation in [4], we do not have too much determinacy to work with; however, we do not need much when dealing with clopen games, and we do not need uniformization.

Consider the following game:

Here, players I and II take turns playing reals coding strategies for Gale–Stewart games. Player I wins if

$$(\sigma_0 * \tau_0, \sigma_1 * \tau_1, \ldots) \in A,$$

where $\sigma * \tau$ denotes the result of facing off the strategies σ and τ ; otherwise, Player II wins. Instead of playing the game, the players simply reveal their strategies and use them compute a run of the game. This is a clopen game on reals, so it is determined by the Gale–Stewart Theorem [5]. Clearly, if Player I has a winning strategy in this game, then she has one in the long game with payoff *A*. Suppose instead that Player II has a winning strategy; we claim she has one in the long game.

We will construct a strategy τ for Player II in the long game with the property that every partial play by τ is not a losing play for Player II. Since the game is clopen, there can be no full play in which the winner of the game has not been decided, so τ will be a winning strategy. The strategy is constructed by blocks; first, we define it for plays of finite length. Given $x \in \mathbb{R}$, one may consider the following variant G_x of (1):

Here, Player I wins if, and only if,

$$(x, \sigma_1 * \tau_1, \ldots) \in A;$$

otherwise, Player II wins. This is also a clopen game, so the set

 $W = \{x \in \mathbb{R} : \text{Player I has a winning strategy in } G_x\}$

belongs to $\mathbb{D}^{\mathbb{R}} \Delta_1^0$, and thus to $L_{\omega_1}(\mathbb{R})$, by the lemma. By hypothesis,

$$L_{\omega_1}(\mathbb{R}) \models \mathsf{AD},$$

and so W is determined. Player I cannot have a winning strategy, for otherwise it could have been used as a first move to obtain a winning strategy in (1). Thus, Player II has a winning strategy in W. This will provide the restriction of τ to the first ω -many moves. Given the first ω -many moves, say a, one repeats the argument above to obtain the restriction of τ to moves of length $\omega \cdot 2$ extending a. We describe how to do this. First observe that Player I does not have a winning strategy in G_a by the definition of W. We consider the set

$$W_a = \{x \in \mathbb{R} : \text{Player I has a winning strategy in } G_{a \frown x} \}$$

As before, this is a set in $\mathbb{D}^{\mathbb{R}} \Delta_1^0$ which is determined by hypothesis and by the lemma. Player I cannot have winning strategy for W_a , for this would induce a winning strategy in G_a . Hence, Player II has a winning strategy for W_a . Following this strategy tells Player II what to do in turns ω through $\omega \cdot 2$ of A. By continuing this way, eventually one defines the response of τ to every $b \in \mathbb{N}^{<\omega^2}$, as desired. This completes the proof of Theorem 1.1.

§4. Shortening games of length ω^{α} . In this section we study games of length ω^{α} . We first assume α is a successor ordinal and show:

THEOREM 4.1. Let $2 \le \alpha < \omega_1$. The following are equivalent:

- 1. Determinacy for clopen games of length $\omega^{\alpha+1}$.
- 2. Determinacy for games of length ω^{α} with payoff in $\sigma(\Im_{\omega^{-1+\alpha}}^{\mathbb{R}})$.

We shall only prove that (2) implies (1). As will be pointed out (see the proof of Lemma 4.2), the converse again follows from the argument of [3].

Let $S = \{(B, i) :$ Player I has a winning strategy in the game of length $\omega^{-1+\alpha}$ on \mathbb{R} with payoff B and i = 1, or else Player I does not have a winning strategy in the game of length $\omega^{-1+\alpha}$ on \mathbb{R} with payoff B and i = 0}. Thus, $L(\mathbb{R})[S]$ is the smallest inner model of ZF that "knows" whether each of its games of length $\omega^{-1+\alpha}$ on \mathbb{R} is won by Player I.

LEMMA 4.2. Let $2 \leq \alpha < \omega_1$. The following pointclasses all coincide: 1. $\sigma(\partial_{\omega^{-1+\alpha}}^{\mathbb{R}})$, 2. $\sigma(\partial_{\omega^{\alpha}})$, 3. $\mathcal{P}(\mathbb{R}) \cap L_{\omega_1}(\mathbb{R})[S]$, 4. $\partial_{\omega^{-1+\alpha+1}}^{\mathbb{R}} \mathbf{\Delta}_1^0$.

PROOF. First, notice that for any adequate¹ pointclass Γ , the fact that

$$\partial_{\omega^{-1+\alpha}}^{\mathbb{R}}\Gamma=\partial_{\omega^{\alpha}}\Gamma$$

can be shown easily by considering games like the one in the previous section.² The equality between (1) and (2) now follows by a simple transfinite induction on the construction of the σ -algebras.

Let us prove the equality between (1) and (3). Clearly, $L_{\omega_1}(\mathbb{R})[S]$ contains all reals and contains surjections

$$\pi_{\xi}: \mathbb{R} \twoheadrightarrow L_{\xi}(\mathbb{R})[S],$$

for each $\xi < \omega_1$. It follows that it is closed under countable sequences and in particular $\mathcal{P}(\mathbb{R}) \cap L_{\omega_1}(\mathbb{R})[S]$ is closed under countable unions. It is clearly closed under complements and ∂_{ω_n} .

For the converse, we need to verify that every set of reals in $L_{\omega_1}(\mathbb{R})[S]$ belongs to $\sigma(\partial_{\omega^{\alpha}})$. For this, it suffices to show that for each $\xi < \omega_1$, there is a prewellordering \leq_{ξ} of a subset of \mathbb{R} , with \leq_{ξ} in $\sigma(\partial_{\omega^{\alpha}})$, such that if \equiv_{ξ} denotes the induced equivalence relation and

$$x \prec_{\xi} y \leftrightarrow x \preceq_{\xi} y \wedge y \not\preceq_{\xi} x$$

denotes the strict part of \leq_{ξ} , then

$$(\text{field}(\preceq_{\xi}), \prec_{\xi}, \equiv_{\xi}) / \equiv_{\xi} \cong (L_{\xi}(\mathbb{R})[S], \in, =).$$

This is easily done by induction: for the successor case, suppose \leq_{ξ} has been defined and let i_{ξ} : field $(\leq_{\xi}) \to L_{\xi}(\mathbb{R})[S]$ be the isomorphism. Suppose moreover that $i_{\xi}^{-1}[S \cap L_{\xi}(\mathbb{R})[S]]$ is in $\sigma(\supseteq_{\omega})$. We first define the prewellordering $\leq_{\xi+1}^*$ as an extension of

$$\left\{ \left((\xi, x), (\xi, y) \right) : (x, y) \in \mathbf{I}_{\xi} \right\}$$

by setting $(\xi, x) \preceq_{\xi+1}^* (\xi + 1, y)$ if, and only if, the following hold:³

- 1. *y* is a tuple of the form (ϕ, \vec{b}) , where $\vec{b} = b_1, ..., b_n$ is a finite collection of reals in field (\leq_{ξ}) and ϕ is a formula of arity *n* in the language of set theory extended with a predicate symbol \dot{S} ;
- The structure (field(≤_ξ), ≺_ξ) satisfies the formula φ(x, b₁, ..., b_n) if equality is interpreted as ≤_ξ-equivalence and S is interpreted as i⁻¹_ξ[S ∩ L_ξ(ℝ)[S]].

¹These are pointclasses which contain all recursive sets and are closed under recursive substitution, conjunction, disjunction, and bounded quantification over natural numbers.

²This is despite the fact that the two determinacy hypotheses associated to these quantifiers are in general not equivalent. For example, although $\partial^{\mathbb{R}} \Delta_1^0 = \partial_{\omega^2} \Delta_1^0$, clopen determinacy for games of length ω on \mathbb{R} is provable in ZFC, but clopen determinacy for games of length ω^2 on \mathbb{N} is not.

³By " ξ ," we mean some (every) real number coding the ordinal ξ .

Then, $\leq_{\xi+1}$ is defined to be the extensional closure of $\leq_{\xi+1}^*$, i.e., writing $x \sim y$ if x and y have exactly the same $\prec_{\xi+1}^*$ -predecessors, one also sets $x \leq_{\xi+1} y$ if $x \sim y$ or there are u, v such that $u \sim x, v \sim y$, and $u \leq_{\xi+1}^* v$. It is not hard to verify that this prewellordering is as required. Moreover, if one writes

$$i_{\xi+1}$$
: field $(\leq_{\xi+1}) \to L_{\xi+1}(\mathbb{R})[S]$

for the isomorphism, the set $i_{\xi+1}^{-1}[S \cap L_{\xi+1}(\mathbb{R})[S]]$ is definable from $\leq_{\xi+1}$ using the quantifier ∂_{ω} and thus belongs to $\sigma(\partial_{\omega})$.

It remains to verify the equality between (3) and (4). We shall only prove that

$$interpret} \Theta_{\omega^{-1+\alpha+1}}^{\mathbb{R}} \Delta_1^0 \subset \mathcal{P}(\mathbb{R}) \cap L_{\omega_1}(\mathbb{R})[S].$$

The converse can be proved by an argument very similar to the one in [3, Section 3], using the equivalence of (2) and (3).

The proof is much like that of Lemma 3.1. We repeat it with the necessary changes. For notational simplicity, let us assume that α is infinite, so that $-1 + \alpha = \alpha$. Let $A \subset \mathbb{R} \times \mathbb{R}$ be clopen. For each $x \in \mathbb{R}$, there is a game of length $\omega^{\alpha+1}$ with moves in \mathbb{R} given by A_x . We need to show that $\partial_{\omega^{\alpha+1}}^{\mathbb{R}} A \in L_{\omega_1}(\mathbb{R})[S]$. Again, assuming some suitable coding of sequences of reals by reals, we define for every $x \in \mathbb{R}$:

$$T_{x} = \bigcup_{n \in \mathbb{N}} \left\{ t \in \mathbb{R}^{\omega^{\alpha} \cdot n} : \exists y \in \mathbb{R}^{\omega^{\alpha+1}} \exists z \in \mathbb{R}^{\omega^{\alpha+1}} (t \sqsubset y \land t \sqsubset z \land (x, y) \in A \land (x, z) \notin A) \right\}.$$

Define a binary relation on \mathbb{R}^2 by

$$(x, y) \prec (w, z)$$
 if, and only if, $y \in \bigcup_{n \in \mathbb{N}} \mathbb{R}^{\omega^{\alpha} \cdot n} \land x = w \land z \in T_w \land z \sqsubset y.$

As before, the fact that A is clopen implies that \prec is wellfounded, so it has a rank function, ρ , which is bounded by some $\eta < \omega_1$, by the analyticity of \prec . Define the relation \prec_x and its rank function ρ_x as before and set

$$\begin{split} W_0(x) &= \bigcup_{n \in \mathbb{N}} \left\{ a \in \mathbb{R}^{\omega^{\alpha} \cdot n} : \Im_{\omega^{\alpha}}^{\mathbb{R}} \vec{y} \left(a^{\gamma} \vec{y} \notin T_x \wedge \right. \\ &\quad \exists w \in \mathbb{R}^{\omega^{\alpha+1}} \left(a^{\gamma} \vec{y} \sqsubseteq w \wedge (x, w) \in A \right) \right) \right\}; \\ W_\alpha(x) &= \bigcup_{n \in \mathbb{N}} \left\{ a \in \mathbb{R}^{\omega^{\alpha} \cdot n} : \Im_{\omega^{\alpha}}^{\mathbb{R}} \vec{y} \left(a^{\gamma} \vec{y} \in \bigcup_{\xi < \alpha} W_{\xi}(x) \right) \right\}; \\ W_\infty(x) &= \bigcup_{\alpha \in \text{Ord}} W_\alpha(x). \end{split}$$

An inductive argument like the one in Lemma 3.1 shows that for each $x \in \mathbb{R}$ and each partial play *a*, the least ξ such that $y \in W_{\xi}(x)$, if it exists, is at most $\rho_x(a)$: as before, denote the least such ξ by $w_x(a)$ and call it the *weight* of *a*. Inductively, suppose that $w_x(a)$ is defined and for all *b* weight smaller than *a* we have $w_x(b) \le \rho_x(b)$. Since $w_x(a) = \xi$ is defined, we have

$$\Im_{\omega^{\alpha}}^{\mathbb{R}} \vec{y} \left(a^{\gamma} \vec{y} \in \bigcup_{\xi < \alpha} W_{\xi}(x) \right) \tag{2}$$

as witnessed by a winning strategy Σ for a game of length ω^{α} on \mathbb{R} . Moreover, by the minimality of $w_x(a)$, Σ is necessarily such that as one considers all \vec{y} consistent with Σ as in (2), the weight of $a \uparrow \vec{y}$ is arbitrarily large below $w_x(a)$, i.e.,

$$w_x(a) = \sup \{ w_x(a^{\frown} \vec{y}) + 1 : \vec{y} \in \mathbb{R}^{\omega^{\alpha}} \text{ and } \vec{y} = \Sigma(\vec{b}) \text{ for some } \vec{b} \}.$$

Without loss of generality we may assume $\xi \neq 0$, so that $a \in T_x$. Then, we have

$$w_x(a) = \sup \left\{ w_x(a^{\frown}\vec{y}) + 1 : \vec{y} \in \mathbb{R}^{\omega^{\alpha}} \text{ and } \vec{y} = \Sigma(\vec{b}) \text{ for some } \vec{b} \right\}$$

$$\leq \sup \left\{ \rho_x(a^{\frown}\vec{y}) + 1 : \vec{y} \in \mathbb{R}^{\omega^{\alpha}} \text{ and } \vec{y} = \Sigma(\vec{b}) \text{ for some } \vec{b} \right\}$$

$$\leq \sup \left\{ \rho_x(a^{\frown}\vec{y}) + 1 : a^{\frown}\vec{y} \prec_x a \right\}$$

$$= \rho_x(a),$$

where the inequality going from the second line to the third follows from the fact that $a \notin T_x$. This implies that

$$W_{\infty}(x) = W_{\eta}(x),$$

for every $x \in \mathbb{R}$.

It is easy to see that for every partial play a with length $\omega^{\alpha} \cdot n$, for some $n \in \mathbb{N}$, Player I has a winning strategy from a in A_x if $a \in W_{\infty}(x)$. Conversely, if $a \notin W_{\infty}(x)$, then Player I cannot have a winning strategy from a, for such a strategy would guarantee that every sufficiently long partial play consistent with it belongs to some $W_{\alpha}(x)$ and thus that $a \in W_{\infty}(x)$ (here, we do not conclude that Player II has a winning strategy, but we do not need to). Now, the set $S_{\eta} = S \cap L_{\eta}(\mathbb{R})[S]$ belongs to $L_{\omega_1}(\mathbb{R})[S]$. Using S_{η} as a parameter, the construction of $W_{\eta}(x)$ can be carried out within $L_{\omega_1}(\mathbb{R})[S]$, uniformly in x, whereby $\bigcup_{\omega^{\alpha+1}}^{\mathbb{R}} A$ belongs to $L_{\omega_1}(\mathbb{R})[S]$, as desired.

In the proof of Lemma 4.3 and below throughout, we use diagrams of the form

$$\begin{array}{c|cccc} I & x_0 & x_1 & \dots (< \omega^{\alpha}) \\ II & y_0 & y_1 & \dots (< \omega^{\alpha}) \end{array}$$

to describe games as before. The notation " $(< \omega^{\alpha})$ " indicates that the game is to last ω^{α} -many turns.

LEMMA 4.3. Let $2 \leq \alpha < \omega_1$. Suppose that all games of length $\omega^{-1+\alpha}$ on \mathbb{R} with payoff in $\sigma(\partial_{\omega^{-1+\alpha}}^{\mathbb{R}})$ are determined. Then, clopen games of length $\omega^{-1+\alpha+1}$ on \mathbb{R} are determined.

PROOF. Let A be a clopen game of length $\omega^{-1+\alpha+1}$ on \mathbb{R} (so A is clopen when viewed as a set of reals). For every partial play p of A, let A_p denote the game A after p has been played. This is also a clopen game of length $\omega^{-1+\alpha+1}$ on \mathbb{R} .

We consider the following game, which we denote by G:

At the end of the game, a sequence

$$p = (x_0, y_0, x_1, \ldots) \in \mathbb{R}^{\omega^{-1+\alpha}}$$

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has been produced. Player I wins if she has a winning strategy in A_p . If Player I has a winning strategy in G, then she clearly has one in A.

Suppose Player I does not have a winning strategy in *G*. We describe a winning strategy for Player II in *A*. Note that *G* is a game of length $\omega^{-1+\alpha}$ on \mathbb{R} with payoff in $\partial_{\omega^{-1+\alpha+1}}^{\mathbb{R}} \mathbf{\Delta}_{1}^{0} = \sigma(\partial_{\omega^{-1+\alpha}}^{\mathbb{R}})$, so *G* is determined. Thus, Player II has a winning strategy in *G*, say Σ . Any play consistent with Σ produces an infinite sequence p_0 such that Player I does not have a winning strategy in A_{p_0} .

Let p_0 be any sequence produced this way. Consider the following game, $G(p_0)$:

At the end of the game, a sequence

$$p = (x_0, y_0, x_1, ...) \in \mathbb{R}^{\omega^{-1+\alpha}}$$

has been produced. Player I wins if she has a winning strategy in $A_{p_0^-p}$. Player I cannot have a winning strategy in this game, for it would yield a winning strategy in A_{p_0} . As before, this game belongs to $\sigma(\partial_{\omega^{-1+\alpha}}^{\mathbb{R}})$ and is thus determined. It follows that there is a winning strategy $\Sigma(p_0)$ such that any play p_1 consistent with it has the property that Player I does not have a winning strategy in $A_{p_0^-p_1}$.

The game A is clopen, so any full play won by Player I is won at a bounded stage. It follows that by repeating the above procedure one obtains a winning strategy for Player II in A.

If α is an infinite ordinal, then determinacy for games of length ω^{α} on \mathbb{N} with payoff in $\sigma(\partial_{\omega^{\alpha}}^{\mathbb{R}})$ implies determinacy for games of length ω^{α} on \mathbb{R} with payoff in $\sigma(\partial_{\omega^{\alpha}}^{\mathbb{R}})$ (this is simply because $\omega^{\alpha} = \omega \cdot \omega^{\alpha}$ for infinite α), and so the theorem follows from the preceding lemma. Oddly, if α is finite, the proof requires an additional argument:

LEMMA 4.4. Let $2 \le n < \omega$. Suppose that games of length ω^n on \mathbb{N} with payoff in $\sigma(\partial_{\omega^{n-1}}^{\mathbb{R}})$ are determined. Then, clopen games of length ω^{n+1} are determined.

PROOF. Let us assume n = 2 for simplicity, so we assume that games of length ω^2 on \mathbb{N} with payoff in $\sigma(\partial^{\mathbb{R}})$ are determined and show that clopen games of length ω^3 are determined. In this proof, unlike before, it will be convenient to maintain the distinction between games and their payoff sets.

Let A be a clopen set and let G(A) be the clopen game of length ω^3 on A. We want to show that G(A) is determined. Let G be the following game on \mathbb{R} :

$$\begin{array}{c|cccc} I & \sigma_0 & \sigma_1 & \dots (<\omega^2) \\ II & \tau_0 & \tau_1 & \dots (<\omega^2) \end{array}$$

Player I wins if, and only if,

$$(\sigma_0 * \tau_0, \sigma_1 * \tau_1, \ldots) \in A.$$

This is a clopen game on \mathbb{R} of length ω^2 . Clearly, if I wins G, then I wins G(A). By hypothesis, games of length ω on \mathbb{R} with payoff in $\sigma(\mathbb{D}^{\mathbb{R}})$ are determined, so Lemma 4.3 applies, i.e., clopen games of length ω^2 on \mathbb{R} are determined. Hence, if Player I does not win G, then Player II does. If so, we need to construct a strategy for Player

II in G(A). As usual, it will be given by blocks, this time of length ω^2 each. Given $p \in \mathbb{R}^{\mathbb{N}}$ or $p \in \mathbb{N}^{\omega^2}$, we define the game G(p) of length ω^2 with moves in \mathbb{R} by

$$\begin{array}{c|c} I \\ II \end{array} \begin{vmatrix} \sigma_0 & \sigma_1 & \dots (< \omega^2) \\ \tau_0 & \tau_1 & \dots (< \omega^2) \end{array}$$

Player I wins if, and only if,

$$(p, \sigma_0 * \tau_0, \sigma_1 * \tau_1, \ldots) \in A$$

Let $W = \{p : \text{Player I} \text{ has a winning strategy in } G(p)\}$. Since G(p) is a clopen game on \mathbb{R} of length ω^2 for each p (uniformly), W is a set in $\partial_{\omega^2}^{\mathbb{R}} \Delta_1^0$. Let H be the game on \mathbb{R} of length ω given by:

$$\begin{array}{c|cccc} I & \sigma_0 & \sigma_1 & \dots (<\omega) \\ II & \tau_0 & \tau_1 & \dots (<\omega) \end{array}$$

Player I wins if, and only if,

$$(\sigma_0 * \tau_0, \sigma_1 * \tau_1, \ldots) \in W.$$

Note that Player I cannot have a winning strategy in H, since it would easily yield a winning strategy for G. Let H' be the game of length ω^2 with moves in \mathbb{N} and payoff W. Player I cannot have a winning strategy in H', for it would induce a winning strategy in H. Since H' is a game of length ω^2 with moves in \mathbb{N} and payoff in $\partial_{\omega^2}^{\mathbb{R}} \Delta_1^0$, it is determined, so Player II has a winning strategy in H'. This strategy may act as a non-losing strategy for Player II to use throughout the first ω^2 -many moves of G(A). An argument as before completes the proof. \dashv

This completes the proof of Theorem 4.1. The analogue for limit ordinals is:

THEOREM 4.5. Let λ be a countable limit ordinal. The following are equivalent:

- 1. Determinacy for clopen games of length ω^{λ} .
- 2. Determinacy for games of length ω^{β} with payoff in $\sigma(\{\partial_{\omega^{i}}^{\mathbb{R}}\}_{i < \lambda})$ for all $\beta < \lambda$.

Theorem 4.5 is proved much like Theorem 4.1. The key observation is that, if $\{\lambda_i : i \in \mathbb{N}\}\$ is a sequence cofinal in λ , then games of length ω^{λ} can be decomposed into ω -many blocks the *i*th of which has length ω^{λ_i} . We note that, in the process of proving Theorem 4.5, one shows the following analogue of Lemma 4.2, where $S_{\lambda} = \{(\alpha, B, i) : \alpha < \lambda \text{ and either Player I has a winning strategy in the game of length <math>\omega^{\alpha}$ on \mathbb{R} with payoff *B* and i = 1, or else Player I does not have a winning strategy in the game of length ω^{α} on \mathbb{R} with payoff *B* and i = 0.

LEMMA 4.6. Let λ be a countable limit ordinal. The following pointclasses all coincide:

1. $\sigma(\{ \supset_{\omega^{l}}^{\mathbb{R}} \}_{l < \lambda}),$ 2. $\sigma(\{ \supset_{\omega^{l}} \}_{l < \lambda}),$

3. $\mathcal{P}(\mathbb{R}) \cap L_{\omega_1}(\mathbb{R})[S_{\lambda}],$ 4. $\partial_{\omega^{\lambda}}^{\mathbb{R}} \Delta_1^0.$

§5. Shortening games of decomposable length. The proof from the last section (as well as the argument from [3]) adapts to shorten games of *any* countable length. For games of length $\theta + 1$ and $\theta + \omega$, this was mentioned already. Meanwhile, for games of other forms, we obtain the following analogues of Theorems 1.1, 4.1, and 4.1:

THEOREM 5.1. Let $\omega \leq \theta < \omega_1$. The following are equivalent:

- 1. Determinacy for clopen games of length $\theta + \omega^2$.
- 2. Determinacy for σ -projective games of length θ .

THEOREM 5.2. Let $2 \le \alpha < \omega_1$ and $\theta < \omega_1$. The following are equivalent:

- 1. Determinacy for clopen games of length $\theta + \omega^{\alpha+1}$.
- 2. Determinacy for games of length max{ θ, ω^{α} } with payoff in $\sigma(\partial_{\omega^{-1+\alpha}}^{\mathbb{R}})$.

THEOREM 5.3. Let λ be a countable limit ordinal and $\theta < \omega_1$. The following are equivalent:

- 1. Determinacy for clopen games of length $\theta + \omega^{\lambda}$.
- 2. Determinacy for games of length γ with payoff in $\sigma(\{ \Im_{\omega^i}^{\mathbb{R}}\}_{i < \lambda})$ for every $\gamma \in \lambda \cup \{\theta\}$.

The proofs of these theorems are very similar to the ones presented so far. They use (the statements of) Lemmata 3.1, 4.2, and 4.6, as well as the proofs of Theorem 1.1 and Lemmata 4.3 and 4.4. The key observation is that games of length $\theta + \omega^{\alpha}$ can be divided into ω -many rounds, the first of which has length θ and the rest of which have lengths that add up to ω^{α} .

§6. Open questions. Let us finish by mentioning some open problems that relate to the topic of this article. The main motivating question for this work is the following:

QUESTION 6.1. Let α be a countable ordinal. What is the consistency strength of determinacy for clopen games of length α ?

The answer is known in many cases, but not nearly all. An upper bound for ordinals of the form $\omega^2 \cdot \beta$ (which is likely optimal) can be found in [2]. The proof relies on the results of this article. A particularly interesting case is the following:

QUESTION 6.2. What is the consistency strength of clopen determinacy for games of length $\omega \cdot 2 + 2$?

Recall that clopen determinacy for games of length $\omega \cdot 2 + 1$ is simply Π_1^1 -determinacy (Proposition 2.2). It follows from work of Welch [12] that clopen determinacy for games of length $\omega \cdot 2 + 2$ implies the existence of inner models with strong cardinals.

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DEPARTMENT OF MATHEMATICS

GHENT UNIVERSITY KRIJGSLAAN 281-S8, 9000 GHENT, BELGIUM

and

INSTITUTE OF DISCRETE MATHEMATICS AND GEOMETRY VIENNA UNIVERSITY OF TECHNOLOGY WIEDNER HAUPTSTRASSE 8–10, 1040 VIENNA, AUSTRIA

E-mail: aguilera@logic.at