

# Forbidden Subgraphs Generating Almost the Same Sets

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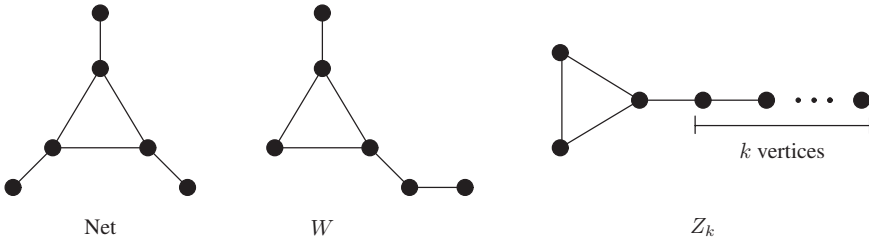
Let  $\mathcal{H}$  be a set of connected graphs. A graph  $G$  is said to be  $\mathcal{H}$ -free if  $G$  does not contain any element of  $\mathcal{H}$  as an induced subgraph. Let  $\mathcal{F}_k(\mathcal{H})$  be the set of  $k$ -connected  $\mathcal{H}$ -free graphs. When we study the relationship between forbidden subgraphs and a certain graph property, we often allow a finite exceptional set of graphs. But if the symmetric difference of  $\mathcal{F}_k(\mathcal{H}_1)$  and  $\mathcal{F}_k(\mathcal{H}_2)$  is finite and we allow a finite number of exceptions, no graph property can distinguish them. Motivated by this observation, we study when we obtain a finite symmetric difference. In this paper, our main aim is the following. If  $|\mathcal{H}| \leq 3$  and the symmetric difference of  $\mathcal{F}_1(\{H\})$  and  $\mathcal{F}_1(\mathcal{H})$  is finite, then either  $H \in \mathcal{H}$  or  $|\mathcal{H}| = 3$  and  $H = C_3$ . Furthermore, we prove that if the symmetric difference of  $\mathcal{F}_k(\{H_1\})$  and  $\mathcal{F}_k(\{H_2\})$  is finite, then  $H_1 = H_2$ .

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## 1. Introduction

In this paper, all graphs are finite, simple, and undirected. For a set  $\mathcal{H}$  of connected graphs, a graph  $G$  is said to be  $\mathcal{H}$ -free if  $G$  does not contain any element of  $\mathcal{H}$  as an induced subgraph. We also say that the elements of  $\mathcal{H}$  are *forbidden subgraphs*. If  $G$  is  $\{H\}$ -free,  $G$  is simply said to be  $H$ -free.

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Figure 1. Net,  $W$  and  $Z_k$ .

If we appropriately choose a set  $\mathcal{H}$ ,  $\mathcal{H}$ -free graphs may satisfy a certain graph property. For example, Cockayne, Ko and Shepherd [6] proved that every connected  $\{K_{1,3}, \text{Net}\}$ -free graph  $G$  has domination number at most  $\lceil \frac{1}{3}|V(G)| \rceil$ , where Net is the unique graph having degree sequence  $(3, 3, 3, 1, 1, 1)$  (Figure 1). Duffus, Gould and Jacobson [7] proved that every connected  $\{K_{1,3}, \text{Net}\}$ -free graph has a Hamiltonian path, and that if it is 2-connected, it has a Hamiltonian cycle. Forbidden subgraphs have appeared in many other topics of graph theory (see, for example, [2, 4, 11, 14]).

Since the result of Duffus, Gould and Jacobson [7], several other pairs of forbidden subgraphs implying the existence of a Hamiltonian cycle have been found. Finally, Bedrossian [3] characterized all such pairs. The graph  $W$  in the following theorem is the one depicted in Figure 1, and we denote the path of order  $k$  by  $P_k$ . For two sets  $\mathcal{H}_1$  and  $\mathcal{H}_2$  of forbidden subgraphs, we write  $\mathcal{H}_1 \leq \mathcal{H}_2$  if, for every  $H_2 \in \mathcal{H}_2$ , there exists  $H_1 \in \mathcal{H}_1$  such that  $H_1$  is an induced subgraph of  $H_2$ . It is not difficult to see that if  $\mathcal{H}_1 \leq \mathcal{H}_2$ , then every  $\mathcal{H}_1$ -free graph is  $\mathcal{H}_2$ -free (see [13]).

**Theorem A ([3]).** *Let  $H_1$  and  $H_2$  be connected graphs of order at least three. Then every 2-connected  $\{H_1, H_2\}$ -free graph has a Hamiltonian cycle if and only if  $\{H_1, H_2\} \leq \{K_{1,3}, \text{Net}\}$ ,  $\{H_1, H_2\} \leq \{K_{1,3}, W\}$  or  $\{H_1, H_2\} \leq \{K_{1,3}, P_6\}$ .*

Let  $Z_k$  be the graph obtained from  $K_3$  and  $P_k$  by joining one vertex in  $K_3$  with one endvertex of  $P_k$  by an edge (see Figure 1). Faudree, Gould, Ryjáček and Schiermeyer [9] proved that every 2-connected  $\{K_{1,3}, Z_3\}$ -free graph of order at least ten has a Hamiltonian cycle. Since there exists a 2-connected  $\{K_{1,3}, Z_3\}$ -free non-Hamiltonian graph of order nine, the assumption on the order cannot be removed. Because of this exception, the pair  $\{K_{1,3}, Z_3\}$  does not appear in Theorem A.

The above observation suggests that if we allow a finite number of exceptions, or equivalently, if we confine ourselves to graphs of sufficiently large order, we may be able to enhance the set of pairs in Theorem A. Faudree and Gould [8] actually conducted this line of research, and found that even if we allow a finite number of exceptions, essentially  $\{K_{1,3}, Z_3\}$  is the only pair that can be added to Bedrossian's pairs.

**Theorem B ([8]).** *Let  $H_1$  and  $H_2$  be connected graphs of order at least three. Then every 2-connected  $\{H_1, H_2\}$ -free graph of sufficiently large order has a Hamiltonian cycle if and*

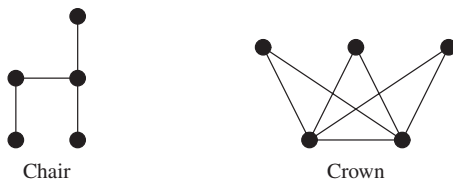


Figure 2. Chair and Crown.

only if  $\{H_1, H_2\} \leq \{K_{1,3}, \text{Net}\}$ ,  $\{H_1, H_2\} \leq \{K_{1,3}, W\}$ ,  $\{H_1, H_2\} \leq \{K_{1,3}, P_6\}$  or  $\{H_1, H_2\} \leq \{K_{1,3}, Z_3\}$ .

As the above example suggests, in the study of forbidden subgraphs we often allow a finite number of exceptions in the hope of obtaining a deeper insight.

However, this approach poses a new problem. Aldred, Fujisawa and Saito [1] studied sets of forbidden subgraphs which imply the existence of a 2-factor. Let  $\mathcal{H}$  be a set of connected graphs having at least two vertices, and suppose every connected  $\mathcal{H}$ -free graph of minimum degree at least two and sufficiently large order has a 2-factor. They proved that if  $|\mathcal{H}| \leq 3$ , then  $\mathcal{H}$  contains a star. They also proved that every connected  $\{\text{Chair}, \text{Crown}, K_{2,3}, Z_1\}$ -free graph of order at least nine and minimum degree at least two has a 2-factor, where Chair and Crown are the graphs depicted in Figure 2. By this result, they claimed that they could forbid four graphs, without using a star, to guarantee the existence of a 2-factor in a connected graph of minimum degree at least two and sufficiently large order. However, in the proof, they actually proved that every connected  $\{\text{Chair}, \text{Crown}, K_{2,3}, Z_1\}$ -free graph of order at least nine and minimum degree at least two is  $K_{1,3}$ -free. In [10], Fujisawa and Saito proved that every connected  $\{K_{1,3}, Z_2\}$ -free graph of minimum degree at least two and sufficiently large order has a 2-factor. This yields the result of [1] for graphs of sufficiently large order as a corollary. This phenomenon suggests that if we forbid graphs of a set  $\mathcal{H}$ , we may implicitly (and essentially) forbid graphs which do not belong to  $\mathcal{H}$ .

Now we formalize the problem. For a set of connected graphs  $\mathcal{H}$ , let  $\mathcal{F}(\mathcal{H})$  denote the set of connected  $\mathcal{H}$ -free graphs. If  $\mathcal{H}$  consists of one graph  $H$ , we write  $\mathcal{F}(H)$  instead of  $\mathcal{F}(\{H\})$ . Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be sets of connected graphs. Recall that if  $\mathcal{H}_1 \leq \mathcal{H}_2$ , then  $\mathcal{F}(\mathcal{H}_1) \subseteq \mathcal{F}(\mathcal{H}_2)$  holds. However, even if  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are not comparable with respect to the relation ' $\leq$ ',  $\mathcal{F}(\mathcal{H}_1) - \mathcal{F}(\mathcal{H}_2)$  can be a finite set (see Section 2). And if  $\mathcal{F}(\mathcal{H}_1) - \mathcal{F}(\mathcal{H}_2)$  is a finite set and every connected  $\mathcal{H}_2$ -free graph of sufficiently large order satisfies a certain graph property  $P$ , then every connected  $\mathcal{H}_1$ -free graph of sufficiently large order also satisfies  $P$ . If this occurs, the study of the property  $P$  of connected  $\mathcal{H}_1$ -free graphs only involves a finite number of graphs in  $\mathcal{F}(\mathcal{H}_1) - \mathcal{F}(\mathcal{H}_2)$ .

We face a more serious problem if the symmetric difference is finite. Again let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two sets of connected graphs, and suppose their symmetric difference, denoted by  $\mathcal{H}_1 \triangle \mathcal{H}_2$  in this paper, is finite. Then for every graph property  $P$ , every connected  $\mathcal{H}_1$ -free graph of sufficiently large order satisfies  $P$  if and only if every connected  $\mathcal{H}_2$ -free graph of

sufficiently large order satisfies  $P$ . In other words, as long as we allow a finite number of exceptions, we cannot distinguish  $\mathcal{F}(\mathcal{H}_1)$  and  $\mathcal{F}(\mathcal{H}_2)$ , whatever graph property we choose.

In fact it is not difficult to construct an example with infinitely many graphs. Let  $H$  be a connected graph of order  $k$ , and let  $\mathcal{H}$  be the set of all connected graphs of order  $k+1$  that contain  $H$  as an induced subgraph. Then  $H \notin \mathcal{H}$  and  $\mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H}) = \{H\}$ . Although these are trivial examples, there is a more complicated pair (with additional condition); see Section 7 in this paper and [1].

Motivated by the above background, we study the difference and the symmetric difference of two sets of forbidden subgraphs. Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two sets of connected graphs. We study the relationship between  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , assuming that  $\mathcal{F}(\mathcal{H}_1) - \mathcal{F}(\mathcal{H}_2)$  or  $\mathcal{F}(\mathcal{H}_1) \Delta \mathcal{F}(\mathcal{H}_2)$  is a finite set. We focus on the cases in which both  $\mathcal{H}_1$  and  $\mathcal{H}_2$  consist of a small number of graphs. One extreme case is that both of them are singleton sets, and even in this simple case, we observe some complications. As mentioned above, we cannot judge whether  $\{H_1\} \leq \{H_2\}$  holds (i.e.,  $H_2$  contains  $H_1$  as an induced subgraph) under the assumption that  $\mathcal{F}(H_1) - \mathcal{F}(H_2)$  is finite. In contrast, if  $\mathcal{F}(H_1) \Delta \mathcal{F}(H_2)$  is finite, then we will see  $H_1 = H_2$ . And this is true even if we restrict ourselves to graphs of higher connectivity. We will also investigate the case in which only one of  $\mathcal{H}_1$  and  $\mathcal{H}_2$  is a singleton set and a special case of  $|\mathcal{H}_1| = |\mathcal{H}_2| = 2$ .

The structure of the subsequent sections is as follows. In the next section, in order to demonstrate the complexity of the problem, we present an example in which  $H_1$  and  $H_2$  are connected graphs, neither of which is an induced subgraph of the other, but  $\mathcal{F}(H_1) - \mathcal{F}(H_2)$  is finite. In Section 3, we prove several necessary conditions for  $\mathcal{F}(\mathcal{H}_1) - \mathcal{F}(\mathcal{H}_2)$  to be finite. These conditions will be used in the arguments of the subsequent sections. In Sections 4–6, we study the problem of finite  $\mathcal{F}(\mathcal{H}_1) \Delta \mathcal{F}(\mathcal{H}_2)$ . In Section 4, we consider the case in which either  $\mathcal{H}_1$  or  $\mathcal{H}_2$  is a singleton set. In Section 5, we assume  $|\mathcal{H}_1| = |\mathcal{H}_2| = 2$  and  $\mathcal{H}_1 \cap \mathcal{H}_2 \neq \emptyset$ , and see what happens. And in Section 6, we consider the problem in the class of higher connectivity. We provide concluding remarks in Section 7.

For terms and symbols not defined in this paper, we refer the reader to [5]. Let  $\mathcal{H}$  be a set of graphs. For  $k \geq 1$ , let  $\mathcal{F}_k(\mathcal{H}) = \{G \mid G \text{ is a } k\text{-connected } \mathcal{H}\text{-free graph}\}$ . Hence  $\mathcal{F}_1(\mathcal{H}) = \mathcal{F}(\mathcal{H})$ . If  $\mathcal{H} = \{H_1, \dots, H_m\}$ , we write  $\mathcal{F}_k(H_1, \dots, H_m)$  and  $\mathcal{F}(H_1, \dots, H_m)$  in place of  $\mathcal{F}_k(\{H_1, \dots, H_m\})$  and  $\mathcal{F}(\{H_1, \dots, H_m\})$ , respectively. For graphs  $H_1$  and  $H_2$ , we write  $H_1 < H_2$  if  $H_2$  contains  $H_1$  as an induced subgraph. If  $\mathcal{H}$  is a finite set, we write  $|\mathcal{H}| < \infty$ .

For graphs  $H_1$  and  $H_2$  with  $V(H_1) \cap V(H_2) = \emptyset$ , let  $H_1 + H_2$  be the graph obtained from  $H_1 \cup H_2$  by joining every vertex of  $V(H_1)$  to every vertex of  $V(H_2)$ . Let  $H$  be a graph. Take a set  $U \subseteq V(H)$ . Let  $G_1^n(H; U)$  be the graph obtained from  $H \cup K_n$  by joining every vertex of  $U$  to every vertex of  $V(K_n)$ . Let  $G_2^n(H; U)$  be the graph obtained from  $H \cup nK_1$  by joining every vertex of  $U$  to every vertex of  $V(nK_1)$ . Note that  $G_1^n(H; V(H)) = H + K_n$  and  $G_2^n(H; V(H)) = H + nK_1$ . Take a vertex  $u \in V(H)$ . Let  $G_3^n(H; u)$  be the graph obtained from  $H \cup P_n$  by joining  $u$  to one endvertex of  $P_n$ .

Let  $H$  be a graph. For  $v \in V(H)$ , we let  $d_H(v)$  the degree of  $v$  in  $H$ , i.e.,  $d_H(v) = |N_H(v)|$ . For  $l \geq 0$ , let  $V_l(H) = \{v \in V(H) \mid d_H(v) = l\}$  and  $V_{\geq l}(H) = \{v \in V(H) \mid d_H(v) \geq l\}$ .

A graph  $H$  is called *special* if  $\delta(H) = 1$ ,  $\Delta(H) = |V(H)| - 1$ ; there exist two vertices  $c_1, c_2 \in V(H)$  such that  $N_H[c_1] = N_H[c_2]$  and there exist non-adjacent vertices  $c'_1, c'_2 \in V(H)$  such that  $N_H(c'_1) = N_H(c'_2)$ . Note that every special graph has order at least five.

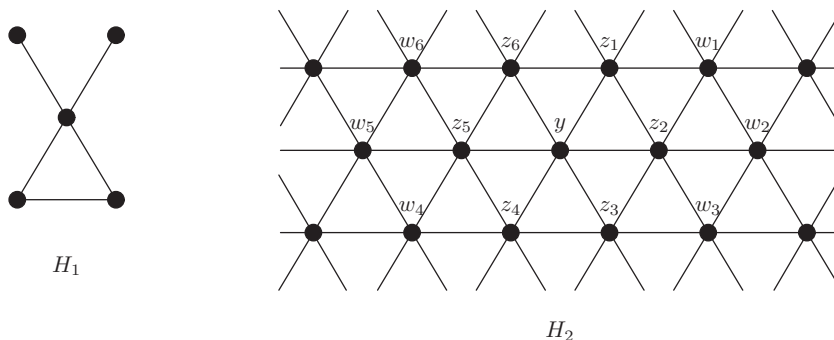


Figure 3. The graphs  $H_1$  and  $H_2$ .

### 2. An example of finite $\mathcal{F}(H_1) - \mathcal{F}(H_2)$

In this section, in order to demonstrate the complexity of the problem, we construct an example in which neither  $H_1$  nor  $H_2$  is an induced subgraph of the other, but  $\mathcal{F}(H_1) - \mathcal{F}(H_2)$  is finite. We will also use this example in Section 7 to show that some of the conditions we obtain in the subsequent sections are essential.

Let  $H_1$  be the graph obtained from the triangle by attaching two pendant edges to a vertex. Let  $H_2$  be a 6-regular triangulation of the torus. To simplify the argument, we assume that the length of the shortest non-contractible cycle of  $H_2$  with each homotopy type is the same and large enough. See Figure 3. We show the following.

**Proposition 2.1.**  $\mathcal{F}(H_1) - \mathcal{F}(H_2) = \{H_2\}$ .

**Proof.** It is easy to see that  $H_2 \in \mathcal{F}(H_1) - \mathcal{F}(H_2)$ . We will show the converse.

Suppose that  $H \in \mathcal{F}(H_1) - \mathcal{F}(H_2)$ , and  $H \neq H_2$ . Note that  $H_2 < H$ , and we fix  $H_2$  as an induced subgraph of  $H$ . Since  $H \neq H_2$  and  $H$  is connected, we can find a vertex  $x \in V(H) - V(H_2)$  with  $N_H(x) \cap V(H_2) \neq \emptyset$ . Recall that  $H_1 \not< H$ .

**Claim 2.2.** Let  $a \in N_H(x) \cap V(H_2)$ , and let  $b_1 b_2 \cdots b_6 b_1$  be the cycle of length 6 in  $N_{H_2}(a)$ . Then we have the following.

- (i) For each  $1 \leq i \leq 6$ , at least one of  $b_i, b_{i+1}$  and  $b_{i+2}$  is a neighbour of  $x$ , where the index is taken modulo 6.
- (ii) For some  $i$  with  $1 \leq i \leq 3$ , both  $b_i$  and  $b_{i+3}$  are neighbours of  $x$ , unless  $\{b_j, b_{j+2}, b_{j+4}\} = N_H(x) \cap \{b_1, \dots, b_6\}$  for some  $j = 1, 2$ .

**Proof.** (i) Suppose not, that is, there exists an integer  $i$  such that none of  $b_i, b_{i+1}$  and  $b_{i+2}$  are neighbours of  $x$ . By symmetry, we may assume that  $i = 1$ . If  $b_5$  is not a neighbour of  $x$ , then  $\{a, b_1, b_2, x, b_5\}$  induces an  $H_1$ , a contradiction. Hence  $b_5$  is a neighbour of  $x$ . However,  $\{a, b_5, x, b_1, b_3\}$  induces an  $H_1$ , a contradiction again.

(ii) Suppose that for each  $i$  with  $1 \leq i \leq 3$ , at least one of  $b_i$  and  $b_{i+3}$  is not a neighbour of  $x$ . By (i), there exists a neighbour of  $x$  in  $\{b_1, \dots, b_6\}$ , say  $b_1$ . By the assumption,  $b_4 \notin N_H(x)$ .

Applying (i) to  $b_3, b_4, b_5$ , at least one of them is a neighbour of  $x$ . Since  $b_4 \notin N_H(x)$ , we may assume that  $b_3 \in N_H(x)$  by symmetry. Again by the assumption,  $b_6 \notin N_H(x)$ . Then, applying (i) to  $b_4, b_5, b_6$ , we have that  $b_5 \in N_H(x)$ . Again by the assumption,  $b_2 \notin N_H(x)$ . This implies that  $\{b_1, b_3, b_5\} = N_H(x) \cap \{b_1, \dots, b_6\}$ .  $\square$

Now we are ready to prove Proposition 2.1. Let  $y \in N_H(x) \cap V(H_2)$ . Let  $z_1 z_2 \dots z_6$  be the cycle in  $N_H(y) \cap V(H_2)$ . By Claim 2.2(ii) and symmetry, we have that (I)  $\{z_2, z_4, z_6\} = N_H(x) \cap \{z_1, \dots, z_6\}$ , or (II) both  $z_2$  and  $z_5$  are neighbours of  $x$ . Let  $w_1, w_2, w_3$  be the vertices in  $(N_H(z_2) \cap V(H_2)) - \{z_1, y, z_3\}$  with  $w_1 z_1, w_3 z_3 \in E(H)$ . By Claim 2.2(i), at least one of  $w_1, w_2, w_3$  is a neighbour of  $x$ , say  $w_i$ .

**Case I:**  $\{z_2, z_4, z_6\} = N_H(x) \cap \{z_1, \dots, z_6\}$ . In this case,  $\{x, z_2, w_i, z_4, z_6\}$  induces an  $H_1$ , a contradiction.

**Case II:** Both  $z_2$  and  $z_5$  are neighbours of  $x$ . Let  $w_4, w_5, w_6$  be the vertices in  $(N_H(z_5) \cap V(H_2)) - \{z_4, y, z_6\}$  with  $w_4 z_4, w_6 z_6 \in E(H)$ . By Claim 2.2(i), at least one of  $w_4, w_5, w_6$  is a neighbour of  $x$ , say  $w_j$ .

Suppose first that  $w_2 \notin N_H(x)$ . Then by symmetry, we may assume that  $i = 1$ , that is,  $w_1 \in N_H(x)$ . By Claim 2.2(i), at least one of  $w_2, w_3, z_3$  is a neighbour of  $x$ , say  $u$ . Note that  $u \neq w_2$ . However,  $\{x, z_5, w_j, w_1, u\}$  induces an  $H_1$ , a contradiction. Thus, we have that  $w_2 \in N_H(x)$ . By symmetry, we also have that  $w_5 \in N_H(x)$ . If none of  $z_1, z_3, z_4$  are neighbours of  $x$ , then  $\{y, z_3, z_4, x, z_1\}$  induces an  $H_1$ , a contradiction. Thus,  $z_k$  is a neighbour of  $x$  for some  $k = 1, 3, 4$ . However,  $\{x, y, z_k, w_2, w_5\}$  induces an  $H_1$ , a contradiction again. This completes the proof of Proposition 2.1.  $\square$

### 3. $|\mathcal{F}(\mathcal{H}_1) - \mathcal{F}(\mathcal{H}_2)| < \infty$

In this section, we investigate the case in which the difference of two sets defined by forbidden subgraphs is finite. As we mentioned in Section 1, the results in this section will be used as main tools in the subsequent sections.

**Lemma 3.1.** *For each  $1 \leq i \leq 2$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . Let  $\mathcal{H}$  be a set of connected graphs such that  $\Delta(H^*) \leq |V(H^*)| - 2$  and  $\delta(H^*) \geq 2$  for every  $H^* \in \mathcal{H}$ . If  $|\mathcal{F}(\mathcal{H} \cup \{H_1\}) - \mathcal{F}(H_2)| < \infty$  and  $H^* \not\prec H_2$  for every  $H^* \in \mathcal{H} \cup \{H_1\}$ , then*

- (i)  $|V(H_1)| \geq 4$ ,  $\Delta(H_1) = |V(H_1)| - 1$  and  $\delta(H_1) = 1$ , and
- (ii)  $|V(H_2)| \geq 2|V(H_1)| - 3$  and  $\delta(H_2) \geq |V(H_1)| - 2$ .

**Proof.** Suppose that  $H_1 \simeq K_{1,2}$ . Since  $\mathcal{H}$  contains no complete graph by the assumption,  $H_1 \prec H^*$  for every  $H^* \in \mathcal{H}$ , and so  $\mathcal{F}(\mathcal{H} \cup \{H_1\}) = \mathcal{F}(H_1)$ . Hence  $\mathcal{F}(\mathcal{H} \cup \{H_1\}) = \{K_l \mid l \geq 1\}$ . Since  $H_1 \not\prec H_2$ ,  $H_2$  is complete. Write  $H_2 = K_\alpha$ . Then  $\{K_\beta \mid \beta \geq \alpha\} \subseteq \mathcal{F}(\mathcal{H} \cup \{H_1\}) - \mathcal{F}(H_2)$ , which contradicts the assumption that  $|\mathcal{F}(\mathcal{H} \cup \{H_1\}) - \mathcal{F}(H_2)| < \infty$ . Thus

$$H_1 \not\prec K_{1,2}. \tag{3.1}$$

Since  $|\mathcal{F}(\mathcal{H} \cup \{H_1\}) - \mathcal{F}(H_2)| < \infty$  and  $G_1^n(H_2; V(H_2)) \notin \mathcal{F}(H_2)$  for  $n \geq 1$ , we have  $A_1 \prec G_1^{n_1}(H_2; V(H_2))$  for some  $A_1 \in \mathcal{H} \cup \{H_1\}$  and some  $n_1 \geq 1$ . Since  $A_1 \not\prec H_2$ ,  $V(A_1) \cap$

$V(K_{n_1}) \neq \emptyset$ . Hence  $A_1 = H'_2 + K_{m_1}$  for a graph  $H'_2 < H_2$  and  $m_1 \geq 1$ . In particular,  $A_1$  has a vertex of degree  $|V(A_1)| - 1$  (and so  $\Delta(A_1) = |V(A_1)| - 1$ ). By the assumption of the lemma,  $A_1 = H_1$ .

Take a vertex  $x \in V(H_2)$ . Since  $|\mathcal{F}(\mathcal{H} \cup \{H_1\}) - \mathcal{F}(H_2)| < \infty$  and  $G_3^n(H_2; x) \notin \mathcal{F}(H_2)$  for  $n \geq 1$ , we find that  $A_2 < G_3^{n_2}(H_2; x)$  for some  $A_2 \in \mathcal{H} \cup \{H_1\}$  and some  $n_2 \geq 1$ . Since  $A_2 \not< H_2$ ,  $V(A_2) \cap V(P_{n_2}) \neq \emptyset$ . In particular,  $A_2$  has a vertex of degree 1 (and so  $\delta(A_2) = 1$ ). By the assumption of the lemma,  $A_2 = H_1$ . This together with (3.1) implies that  $|V(H_1)| \geq 4$ . If  $H_1$  contains two vertices of  $P_{n_2}$ , then  $H_1 = K_{1,2}$  by the fact that  $\Delta(H_1) = |V(H_1)| - 1$ , which contradicts (3.1). Thus  $H_1$  contains exactly one vertex of  $P_{n_2}$ . This implies that  $d_{H_1}(x) = |V(H_1)| - 1$  and so  $d_{H_2}(x) \geq |V(H_1)| - 2$ . Hence  $\delta(H_2) \geq |V(H_1)| - 2$ .

Let  $y \in V(H'_2)$  be a vertex with  $d_{H'_2+K_{m_1}}(y) = 1$ . Then  $d_{H'_2}(y) = 0$ . Hence there exist  $|V(H_1)| - 2$  vertices of  $H_2$  which are not adjacent to  $y$  in  $H_2$ . Since  $d_{H_2}(y) \geq \delta(H_2) \geq |V(H_1)| - 2$ , we see that  $|V(H_2)| \geq 2|V(H_1)| - 3$ . □

**Lemma 3.2.** *For each  $1 \leq i \leq 2$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}(H_1) - \mathcal{F}(H_2)| < \infty$  and  $H_1 \not< H_2$ , then*

- (i)  $H_1$  is special and
- (ii)  $|V(H_2)| \geq 2|V(H_1)| - 3$  and  $\delta(H_2) \geq |V(H_1)| - 2$ .

**Proof.** Let  $x \in V(H_2)$ . Since  $|\mathcal{F}(H_1) - \mathcal{F}(H_2)| < \infty$  and  $G_1^n(H_2; N_{H_2}[x]) \notin \mathcal{F}(H_2)$  for  $n \geq 1$ , we have  $H_1 < G_1^{n_1}(H_2; N_{H_2}[x])$  for some  $n_1 \geq 1$ . Since  $H_1 \not< H_2$ ,  $|V(H_1) \cap (\{x\} \cup V(K_{n_1}))| \geq 2$ . Then two vertices  $c_1, c_2 \in V(H_1) \cap (\{x\} \cup V(K_{n_1}))$  satisfy  $N_{H_1}[c_1] = N_{H_1}[c_2]$ .

Since  $|\mathcal{F}(H_1) - \mathcal{F}(H_2)| < \infty$  and  $G_2^n(H_2; N_{H_2}(x)) \notin \mathcal{F}(H_2)$  for  $n \geq 1$ , we have  $H_1 < G_2^{n_2}(H_2; N_{H_2}(x))$  for some  $n_2 \geq 1$ . Since  $H_1 \not< H_2$ ,  $|V(H_1) \cap (\{x\} \cup V(n_2K_1))| \geq 2$ . Then two vertices  $c'_1, c'_2 \in V(H_1) \cap (\{x\} \cup V(n_2K_1))$  satisfy  $N_{H_1}(c'_1) = N_{H_1}(c'_2)$ .

Applying Lemma 3.1 with  $\mathcal{H} = \emptyset$ , this completes the proof of Lemma 3.2. □

Note that every special graph contains  $K_3$  as an induced subgraph. Thus we have the following corollary from Lemma 3.2.

**Corollary 3.3.** *For each  $1 \leq i \leq 2$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}(H_1) - \mathcal{F}(H_2)| < \infty$  and  $H_1$  is  $K_3$ -free, then  $H_1 < H_2$ .*

#### 4. $|\mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H})| < \infty$

We now investigate the pairs of forbidden subgraphs  $(\mathcal{H}_1, \mathcal{H}_2)$  such that  $\mathcal{F}(\mathcal{H}_1) \Delta \mathcal{F}(\mathcal{H}_2)$  is a finite set. In this section, we discuss the case in which  $\mathcal{H}_1$  is a singleton set and  $\mathcal{H}_2$  contains at most three elements.

Let  $H$  be a graph. For each vertex  $v \in V(H)$ , let  $X(H, v) = \{u \in V(H) \mid \text{there exists an automorphism } \varphi \text{ of } H \text{ such that } \varphi(u) = v\}$ . Let  $\mathcal{X}(H) = \{X(H, v) \mid v \in V(H)\}$  and  $t(H) = |\mathcal{X}(H)|$ .

First, we consider what the condition  $|\mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H})| < \infty$  means.

**Theorem 4.1.** *Let  $H$  be a connected graph with  $|V(H)| \geq 3$ , and let  $\mathcal{H}$  be a set of connected graphs such that  $|V(H^*)| \geq 3$  for every  $H^* \in \mathcal{H}$ . If  $|\mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H})| < \infty$ , then*

- (i)  $H \in \mathcal{H}$  or
- (ii)  $H < H^*$  for every  $H^* \in \mathcal{H}$  or
- (iii)  $H$  is special and

$$|\{H^* \in \mathcal{H} \mid H < H^*\}| \geq \begin{cases} t(H) & (V_{|V(H)|-2}(H) = \emptyset), \\ t(H) + \min\{|V_{|V(H)|-2}(H)|, |V(H)| - 3\} - 2 & (V_{|V(H)|-2}(H) \neq \emptyset). \end{cases}$$

**Proof.** Let  $\mathcal{H}_1 = \{H^* \in \mathcal{H} \mid H < H^*\}$ . If  $H \in \mathcal{H}$  or  $\mathcal{H}_1 = \mathcal{H}$ , then we have the desired result. Thus we may assume that  $H \notin \mathcal{H}$  and  $\mathcal{H} - \mathcal{H}_1 \neq \emptyset$ .

**Claim 4.2.** *For every  $H^* \in \mathcal{H}$ ,*

- (i)  $|\mathcal{F}(H) - \mathcal{F}(H^*)| < \infty$  and
- (ii)  $H^* \not\prec H$ .

**Proof.** (i) Since  $\mathcal{F}(H) - \mathcal{F}(H^*) \subseteq \mathcal{F}(H) - \mathcal{F}(\mathcal{H}) \subseteq \mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H})$  and  $|\mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H})| < \infty$ , we have  $|\mathcal{F}(H) - \mathcal{F}(H^*)| < \infty$ .

(ii) Suppose that  $H^* < H$ . Since  $H \neq H^*$ ,  $H \not\prec H^*$ . Hence  $|V(H^*)| > |V(H)|$  by (i) and Lemma 3.2, which contradicts the assumption that  $H^* < H$ . □

**Claim 4.3.** *The following statements hold:*

- (i)  $H$  is special,
- (ii)  $|V(H^*)| \geq 2|V(H)| - 3$  and  $\delta(H^*) \geq |V(H)| - 2 (> 1)$  for each  $H^* \in \mathcal{H} - \mathcal{H}_1$ .

**Proof.** Take  $H^* \in \mathcal{H} - \mathcal{H}_1$ . By Claim 4.2,  $|\mathcal{F}(H) - \mathcal{F}(H^*)| < \infty$ . Since  $H \not\prec H^*$ , we get the desired results by Lemma 3.2. □

Let  $a \in V(H)$  be the unique vertex of degree  $|V(H)| - 1$ . For each  $X \in \mathcal{X}(H)$ , fix a vertex  $w_X \in X$ . Let  $W = \{w_X \mid X \in \mathcal{X}(H), d_H(w_X) \leq |V(H)| - 3\}$ .

Take a vertex  $w \in W$ . Since  $|\mathcal{F}(\mathcal{H}) - \mathcal{F}(H)| < \infty$  and  $G_3^n(H; w) \notin \mathcal{F}(H)$  for  $n \geq 1$ , we find that  $H_w < G_3^{n_1}(H; w)$  for some  $H_w \in \mathcal{H}$  and some  $n_1 \geq 1$ . Since  $H_w \not\prec H$  by Claim 4.2(ii),  $V(H_w) \cap V(P_{n_1}) \neq \emptyset$ . In particular,  $\delta(H_w) = 1$ . By Claim 4.3(ii), this leads to  $H_w \in \mathcal{H}_1$ . By the definition of  $W$ ,  $d_H(w) \leq |V(H)| - 3$ , and hence  $\Delta(H_w) \leq \Delta(H) = |V(H)| - 1$ . Since  $H < H_w$ ,  $H_w$  has a vertex of degree at least  $|V(H)| - 1$ . Since  $w \in W$ , this implies that  $a$  is the unique vertex of degree  $|V(H)| - 1$  in  $H_w$  and so  $V(H) = N_H[a] \subseteq V(H_w)$ . Hence  $H_w \simeq G_3^{n_w}(H; w)$  for some  $n_w \geq 1$ . Note that  $w$  is the unique vertex of  $N_{H_w}(a)$  which is adjacent to a vertex in  $V(H_w) - N_{H_w}[a]$ . This together with the definition of  $W$  implies that if  $w \neq w'$ , then  $H_w \not\prec H_{w'}$ .

Let  $p = \min\{\max\{0, |V_{|V(H)|-2}(H)| - 1\}, |V(H)| - 4\}$ . For each  $0 \leq i \leq p$ , let  $U_i \subseteq V_{|V(H)|-2}(H)$  be a set with  $|U_i| = i$ . Since  $|\mathcal{F}(\mathcal{H}) - \mathcal{F}(H)| < \infty$  and  $G_2^n(H; \{a\} \cup U_i) \notin \mathcal{F}(H)$



for  $n \geq 1$ , we have  $H'_{U_i} < G_2^{n_2}(H; \{a\} \cup U_i)$  for some  $H'_{U_i} \in \mathcal{H}$  and some  $n_2 \geq 1$ . Since  $H'_{U_i} \not< H$  by Claim 4.2(ii),  $V(H'_{U_i}) \cap V(n_2K_1) \neq \emptyset$ . Note that  $d_{H'_{U_i}}(x) \leq |U_i| + 1 \leq |V(H)| - 3$  for  $x \in V(H'_{U_i}) \cap V(n_2K_1)$ . By Claim 4.3(ii), this leads to  $H'_{U_i} \in \mathcal{H}_1$ .

**Claim 4.4.** *The following statements hold:*

- (i)  $V_{\geq |V(H)|-2}(H) \subseteq V(H'_{U_i})$  and  $d_{H'_{U_i}}(x) \geq |V(H)| - 2$  for every  $x \in V_{\geq |V(H)|-2}(H)$ ,
- (ii)  $d_{H'_{U_i}}(x) = i + 1$  for every  $x \in V(H'_{U_i}) - V(H)$ ,
- (iii)  $d_{H'_{U_i}}(x) \geq |V_{\geq |V(H)|-2}(H)|$  or  $d_{H'_{U_i}}(x) = 1$  for every  $x \in V(H'_{U_i}) \cap V(H)$ ,
- (iv) for each  $l \neq i$ ,  $H'_{U_l} \not< H'_{U_i}$ .

**Proof.** (i) Since  $|V_{\geq |V(H)|-2}(H'_{U_i})| \leq |V_{\geq |V(H)|-2}(H)|$  and  $H < H'_{U_i}$ , we see that

$$V_{\geq |V(H)|-2}(H) \subseteq V(H'_{U_i})$$

and  $d_{H'_{U_i}}(x) \geq |V(H)| - 2$  for each  $x \in V_{\geq |V(H)|-2}(H)$ .

(ii) By (i), we get the desired result.

(iii) Take a vertex  $y \in V(H'_{U_i}) \cap (V(H) - (V_{\geq |V(H)|-2}(H) \cup V_1(H)))$ . Then  $y$  is adjacent to every vertex of  $V_{\geq |V(H)|-2}(H)$  in  $H'_{U_i}$ . By (i), this implies that  $d_{H'_{U_i}}(y) \geq |V_{\geq |V(H)|-2}(H)|$ . Take a vertex  $y' \in V_{\geq |V(H)|-2}(H)$ . By (i),  $d_{H'_{U_i}}(y') \geq |V(H)| - 2$ . Since  $H$  is special, we see that  $|V(H)| - 2 \geq |V_{\geq |V(H)|-2}(H)|$ . Hence  $d_{H'_{U_i}}(y') \geq |V_{\geq |V(H)|-2}(H)|$ . Consequently, we get the desired result.

(iv) By the definition of  $p$ ,  $2 \leq i + 1 \leq |V_{|V(H)|-2}(H)| = |V_{\geq |V(H)|-2}(H)| - 1$  for each  $1 \leq i \leq p$ . Hence, for each  $1 \leq j \leq p$ ,  $H'_{U_j}$  has a vertex of degree  $i + 1$  if and only if  $j = i$  by (ii) and (iii). This implies  $H'_{U_l} \not< H'_{U_i}$  for each  $l \neq i$ . □

For  $w \in W$  and  $0 \leq i \leq p$ , the radius of  $H_w$  is 2 and the radius of  $H'_{U_i}$  is 1, and hence  $H_w \not< H'_{U_i}$ . Let  $\mathcal{H}' = \{H_w \mid w \in W\}$  and  $\mathcal{H}'' = \{H'_{U_i} \mid 0 \leq i \leq p\}$ . Then  $|\mathcal{H}_1| \geq |\mathcal{H}'| + |\mathcal{H}''|$ . If  $V_{|V(H)|-2}(H) = \emptyset$ , then  $|\mathcal{H}'| = t(H) - 1$  and  $|\mathcal{H}''| = 1$ , as desired. If  $V_{|V(H)|-2}(H) \neq \emptyset$ , then  $|\mathcal{H}'| = t(H) - 2$  and  $|\mathcal{H}''| = \min\{|V_{|V(H)|-2}(H)| - 1, |V(H)| - 4\} + 1$ , as desired.

This completes the proof of Theorem 4.1. □

Let  $H$  be a special graph with  $|V_{|V(H)|-2}(H)| = 1$ . Then  $|V_1(H)| = |V_{|V(H)|-2}(H)| = |V_{|V(H)|-1}(H)| = 1$ . Since  $|V(H)| \geq 5$ , this implies that  $t(H) \geq 4$ . Therefore Theorem 4.1 leads to the following corollary.

**Corollary 4.5.** *Let  $H$  be a connected graph with  $|V(H)| \geq 3$ , and let  $\mathcal{H}$  be a set of connected graphs such that  $|V(H^*)| \geq 3$  for every  $H^* \in \mathcal{H}$ . If  $|\mathcal{F}(H) \Delta \mathcal{F}(\mathcal{H})| < \infty$ , then  $H \in \mathcal{H}$  or  $|\{H^* \in \mathcal{H} \mid H < H^*\}| \geq \min\{|\mathcal{H}|, 3\}$ .*

For  $v \in V(H)$ , we define  $\text{ecc}(v) = \max\{d(v, u) \mid u \in V(H)\}$ . Let  $s(H) = \max\{\text{ecc}(v) \mid v \in V_1(H)\}$ ; if  $V_1(H) = \emptyset$ , we set  $s(H) = 0$ . Then the following lemma clearly holds.

**Lemma 4.6.** *Let  $H$  be a connected graph with  $V_1(H) \neq \emptyset$ . Let  $x \in V_1(H)$  be a vertex of  $H$  with  $\text{ecc}(x) = s(H)$ , and let  $y \in V(H) - \{x\}$  be a vertex such that  $H - y$  is connected. Then  $s(H - y) \geq s(H) - 1$ .*

Next, we restrict Corollary 4.5 to the case  $|\mathcal{H}| \leq 3$ .

**Theorem 4.7.** *Let  $H$  be a connected graph with  $|V(H)| \geq 3$ , and let  $\mathcal{H}$  be a set of connected graphs with  $|\mathcal{H}| \leq 3$  such that  $|V(H^*)| \geq 3$  for every  $H^* \in \mathcal{H}$ . If  $|\mathcal{F}(H) \triangle \mathcal{F}(\mathcal{H})| < \infty$  and  $H \notin \mathcal{H}$ , then  $|\mathcal{H}| = 3$  and  $H \simeq C_3$ .*

**Proof.** Set  $k = |\mathcal{H}| \leq 3$ , and write  $\mathcal{H} = \{H_1, \dots, H_k\}$ . Suppose that  $H \notin \mathcal{H}$ . It suffices to show that  $k = 3$  and  $H \simeq C_3$ . By Corollary 4.5,  $H < H_i$  for every  $i$ . In particular,  $|V(H)| < |V(H_i)|$  for every  $i$ . For each  $i$ , let  $H'_i$  be a connected graph with  $|V(H'_i)| = |V(H)| + 1$  and  $H < H'_i < H_i$  (so  $H'_i$  may be  $H_i$ ). Let  $\mathcal{H}' = \{H'_1, \dots, H'_k\}$ . For each  $i$ , since  $H < H'_i$  and  $|V(H'_i)| = |V(H)| + 1$ , there exists a vertex  $u_i \in V(H'_i)$  such that  $H'_i - u_i \simeq H$ .

**Claim 4.8.**  $|\mathcal{F}(\mathcal{H}') - \mathcal{F}(H)| < \infty$ .

**Proof.** Since  $\mathcal{F}(\mathcal{H}') - \mathcal{F}(H) \subseteq \mathcal{F}(\mathcal{H}) - \mathcal{F}(H)$  and  $|\mathcal{F}(\mathcal{H}) - \mathcal{F}(H)| < \infty$ , we have  $|\mathcal{F}(\mathcal{H}') - \mathcal{F}(H)| < \infty$ . □

Take a set  $U \subseteq V(H)$ . Since  $|\mathcal{F}(\mathcal{H}') - \mathcal{F}(H)| < \infty$  and  $G_1^n(H; U) \notin \mathcal{F}(H)$  for  $n \geq 1$ , we find that  $H'_{i_U} < G_1^{n_U}(H; U)$  for some  $1 \leq i_U \leq 3$  and some  $n_U \geq 1$ . Choose  $(H'_{i_U}, n_U)$  so that  $n_U$  is as small as possible. Since  $|V(H)| < |V(H'_{i_U})|$ ,  $V(H'_{i_U}) \cap V(K_{n_U}) \neq \emptyset$ . By the choice of  $(H'_{i_U}, n_U)$ , we have  $V(K_{n_U}) \subseteq V(H'_{i_U})$ . Since  $|V(H)| < |V(H'_{i_U})|$  again, we have  $n_U \geq |U - V(H'_{i_U})| + 1$ . Hence every vertex of  $V(K_{n_U})$  has degree  $|U \cap V(H'_{i_U})| + n_U - 1 \geq |U|$  in  $H'_{i_U}$ .

We may assume that  $i_{V(H)} = 1$ . Since  $|V(H'_1)| = |V(H)| + 1$ , we see that  $\Delta(H'_1) = |V(H)| (= |V(H'_1)| - 1)$  (and so  $V_{|V(H)|}(H'_1) \neq \emptyset$ ).

**Claim 4.9.**  $H'_1$  has no cutvertex. In particular,  $\delta(H'_1) \geq 2$ .

**Proof.** Since  $\Delta(H'_1) = |V(H)| = |V(H'_1)| - 1$ , no vertex of  $V(H'_1) - V_{|V(H)|}(H'_1)$  is a cutvertex. Let  $u \in V_{|V(H)|}(H'_1)$ . It suffices to show that  $H'_1 - u$  is connected. If  $|V_{|V(H)|}(H'_1)| \geq 2$ , then  $H'_1 - u$  has a vertex of degree  $|V(H'_1)| - 2$ , and so  $H'_1 - u$  is connected, as desired. Thus we may assume that  $|V_{|V(H)|}(H'_1)| = 1$ . By the definition of  $n_{V(H)}$ , this implies that  $n_{V(H)} = 1$ , and hence  $H'_1 = G_1^1(H; V(H))$ . Then we have  $H'_1 - u = H$ . Since  $H$  is connected,  $H'_1 - u$  is connected. □

**Claim 4.10.** If  $H'_1 - v \simeq H$ , then  $v \in V_{|V(H)|}(H'_1)$ .

**Proof.** By the construction of  $G_1^{n_{V(H)}}(H; V(H))$ ,  $n_{V(H)} \geq |V_{|V(H)|-1}(H) - V(H'_1)| + 1$ . Hence  $|V_{|V(H)|}(H'_1)| \geq n_{V(H)} + |V_{|V(H)|-1}(H) \cap V(H'_1)| \geq |V_{|V(H)|-1}(H)| + 1$ . If  $v \notin V_{|V(H)|}(H'_1)$ ,

then

$$|V_{|V(H)|-1}(H)| = |V_{|V(H)|-1}(H'_1 - v)| \geq |V_{|V(H)|}(H'_1)| \geq |V_{|V(H)|-1}(H)| + 1,$$

a contradiction. Thus  $v \in V_{|V(H)|}(H'_1)$ . □

Take a set  $U \subseteq V(H)$ . Since  $|\mathcal{F}(\mathcal{H}') - \mathcal{F}(H)| < \infty$  and  $G_2^n(H; U) \notin \mathcal{F}(H)$  for  $n \geq 1$ , we have  $H'_{j_U} < G_2^{m_U}(H; U)$  for some  $1 \leq j_U \leq 3$  and some  $m_U \geq 1$ . Choose  $(H'_{j_U}, m_U)$  so that  $m_U$  is as small as possible. Since  $|V(H)| < |V(H'_{j_U})|$ ,  $V(H'_{j_U}) \cap V(m_U K_1) \neq \emptyset$ . By the choice of  $(H'_{j_U}, m_U)$ , we have  $V(m_U K_1) \subseteq V(H'_{j_U})$ . If  $U = \{u\}$ , we write  $G_2^n(H; u)$ ,  $j_u$  and  $m_u$  instead of  $G_2^n(H; U)$ ,  $j_U$  and  $m_U$ , respectively. For each  $u \in V(H)$ , since  $V(H'_{j_u}) \cap V(m_u K_1) \neq \emptyset$ ,  $\delta(H'_{j_u}) = 1$  and so  $j_u \neq 1$  by Claim 4.9.

**Claim 4.11.** For each  $u \in V_{\geq 2}(H)$ ,

- (i) if  $H'_{j_u} - v \simeq H$  then  $v \in V_1(H'_{j_u})$ , and
- (ii)  $H'_{j_u}$  is isomorphic to a graph obtained from  $G_2^{m_u}(H; u)$  by deleting  $m_u - 1$  vertices of  $V_1(G_2^{m_u}(H; u))$ .

**Proof.** Since  $d_H(u) \geq 2$ , note that  $|V_1(G_2^{m_u}(H; u))| = |V_1(H)| + m_u$ . Since  $H < H'_{j_u} < G_2^{m_u}(H; u)$ ,  $|V(H'_{j_u})| = |V(H)| + 1$  and  $|V(G_2^{m_u}(H; u))| = |V(H'_{j_u})| + (m_u - 1)$ , we see that  $|V_1(H'_{j_u})| \leq |V_1(H)| + 1$  and  $|V_1(G_2^{m_u}(H; u))| \leq |V_1(H'_{j_u})| + (m_u - 1)$ . This together with  $|V_1(G_2^{m_u}(H; u))| = |V_1(H)| + m_u$  forces  $|V_1(H'_{j_u})| = |V_1(H)| + 1$  and  $|V_1(G_2^{m_u}(H; u))| = |V_1(H'_{j_u})| + (m_u - 1)$ . Since  $|V(H'_{j_u})| = |V(H)| + 1$  and  $|V_1(H'_{j_u})| = |V_1(H)| + 1$ , if  $H'_{j_u} - v \simeq H$ , then  $v \in V_1(H'_{j_u})$  and so (i) holds. Since  $|V(H'_{j_u})| = |V(G_2^{m_u}(H; u))| - (m_u - 1)$  and  $|V_1(H'_{j_u})| = |V_1(G_2^{m_u}(H; u))| - (m_u - 1)$ , there exists a set  $L \subseteq V_1(G_2^{m_u}(H; u))$  with  $|L| = m_u - 1$  such that  $G_2^{m_u}(H; u) - L \simeq H'_{j_u}$ . □

Take a vertex  $u \in V(H)$ . Since  $|\mathcal{F}(\mathcal{H}') - \mathcal{F}(H)| < \infty$  and  $G_3^n(H; u) \notin \mathcal{F}(H)$  for  $n \geq 1$ , we find that  $H'_{h_u} < G_3^{l_u}(H; u)$  for some  $1 \leq h_u \leq 3$  and some  $l_u \geq 1$ . Choose  $(H'_{h_u}, l_u)$  so that  $l_u$  is as small as possible. Since  $|V(H)| < |V(H'_{h_u})|$ ,  $V(H'_{h_u}) \cap V(P_u) \neq \emptyset$ . By the choice of  $(H'_{h_u}, l_u)$ , we have  $V(P_u) \subseteq V(H'_{h_u})$ . Since  $V(H'_{h_u}) \cap V(P_u) \neq \emptyset$ ,  $\delta(H'_{h_u}) = 1$ , and so  $h_u \neq 1$  by Claim 4.9.

**Claim 4.12.** For each  $u \in V(H)$ , if  $H'_{h_u} - v \simeq H$ , then  $v \in V_1(H'_{h_u})$ .

**Proof.** Note that  $|E(G_3^{l_u}(H; u))| = |E(H)| + l_u$ . Since  $H < H'_{h_u} < G_3^{l_u}(H; u)$ ,  $|V(H'_{h_u})| = |V(H)| + 1$  and  $|V(G_3^{l_u}(H; u))| = |V(H'_{h_u})| + (l_u - 1)$ , we see that  $|E(H'_{h_u})| \geq |E(H)| + 1$  and  $|E(G_3^{l_u}(H; u))| \geq |E(H'_{h_u})| + (l_u - 1)$ . This together with  $|E(G_3^{l_u}(H; u))| = |E(H)| + l_u$  forces  $|E(H'_{h_u})| = |E(H)| + 1$ . Since  $H < H'_{h_u}$  and  $|V(H'_{h_u})| = |V(H)| + 1$ , we have  $v \in V_1(H'_{h_u})$ . □

**Claim 4.13.**  $\delta(H) \geq 2$ .

**Proof.** Suppose that  $\delta(H) = 1$ . Let  $a \in V_1(H)$  be a vertex with  $\text{ecc}(a) = s(H)$ . We consider  $G_3^{l_a}(H; a)$  and  $H'_{h_a}$ . Recall that  $h_a \neq 1$ . Without loss of generality, we may assume that

$h_a = 2$ . Note that  $s(G_3^{l_a}(H; a)) = s(H) + l_a$ . Since  $|V(H'_2)| = |V(G_3^{l_a}(H; a))| - (l_a - 1)$  and  $H'_2 < G_3^{l_a}(H; a)$ , there exists a set  $L_1 \subseteq V(G_3^{l_a}(H; a))$  with  $|L_1| = l_a - 1$  such that  $H'_2 = G_3^{l_a}(H; a) - L_1$ . Then, by Lemma 4.6, we can check that  $s(H'_2) = s(G_3^{l_a}(H; a) - L_1) \geq s(G_3^{l_a}(H; a)) - (l_a - 1)$ . Hence  $s(H'_2) \geq s(H) + 1$ .

Write  $N_H(a) = \{b\}$ . We consider  $G_2^{m_b}(H; b)$  and  $H'_{j_b}$ . Note that  $s(G_2^{m_b}(H; b)) = s(H)$ . By Claim 4.11(ii),  $H'_{j_b}$  is isomorphic to a graph obtained from  $G_2^{m_b}(H; b)$  by deleting  $m_b - 1$  vertices of  $V_1(G_2^{m_b}(H; b))$ . Recall that  $V_1(H'_{j_b}) \neq \emptyset$ . Hence we can check that  $s(H'_{j_b}) \leq s(G_2^{m_b}(H; b))$ . Thus  $s(H'_{j_b}) \leq s(H)$ , and so  $j_b \neq 2$ . Recall that  $j_b \neq 1$ . Therefore  $j_b = 3$ . In particular,  $\delta(H'_2) = \delta(H'_3) = 1$ .

Let  $A = N_H[a] (= \{a, b\})$ . We consider  $G_1^{n_A}(H; A)$  and  $H'_{i_A}$ . Note that  $b$  is a cutvertex of  $G_1^{n_A}(H; A)$ . Recall that

$$V(G_1^{n_A}(H; A)) - V(H) \subseteq V(H'_{i_A})$$

and every vertex of  $V(G_1^{n_A}(H; A)) - V(H)$  has degree at least  $|A| (= 2)$  in  $H'_{i_A}$ . Since  $\delta(H) = 1$  and  $H < H'_{i_A}$ ,  $H'_{i_A}$  is not complete. Since  $G_1^{n_A}(H; A) - (V(H) - A)$  is complete,  $b \in V(H'_{i_A})$  and  $V(H'_{i_A}) \cap (V(H) - A) \neq \emptyset$ . Hence  $b$  is a cutvertex of  $H'_{i_A}$ , and  $H'_{i_A}$  has an endblock which is complete and has order at least three. Then, by Claim 4.9,  $i_A \neq 1$ , and so  $i_A \in \{2, 3\}$ . Recall that  $h_a = 2$  and  $j_b = 3$ . By Claims 4.11(i) and 4.12, there exists a vertex  $v \in V_1(H'_{i_A})$  such that  $H'_{i_A} - v \simeq H$ . In particular,  $H$  has an endblock which is complete and has order at least three.

Let  $C'$  be a maximum complete endblock of  $H$ , and let  $b'$  be the unique cutvertex of  $H$  in  $C'$ . Let  $D = V(C')$ . We consider  $G_1^{n_D}(H; D)$  and  $H'_{i_D}$ . Note that  $b'$  is a cutvertex of  $G_1^{n_D}(H; D)$ . Recall that  $V(G_1^{n_D}(H; D)) - V(H) \subseteq V(H'_{i_D})$  and every vertex of  $V(G_1^{n_D}(H; D)) - V(H)$  has degree at least  $|D|$  in  $H'_{i_D}$ . Since  $\delta(H) = 1$  and  $H < H'_{i_D}$ ,  $H'_{i_D}$  is not complete. Since  $G_1^{n_D}(H; D) - (V(H) - D)$  is complete,  $b' \in V(H'_{i_D})$  and  $V(H'_{i_D}) \cap (V(H) - D) \neq \emptyset$ . Hence  $b'$  is a cutvertex of  $H'_{i_D}$  and  $H'_{i_D}$  has an endblock which is complete and has order at least  $|D| + 1$ . Then by Claim 4.9,  $i_D \neq 1$ , and so  $i_D \in \{2, 3\}$ . Recall that  $h_a = 2$  and  $j_b = 3$ . By Claims 4.11(i) and 4.12, there exists a vertex  $v \in V_1(H'_{i_D})$  such that  $H'_{i_D} - v \simeq H$ . In particular,  $H$  has an endblock which is complete and has order at least  $|D| + 1 (= |V(C')| + 1)$ , which contradicts the maximality of  $C'$ . □

Take an integer  $i'$  with  $V_1(H'_{i'}) \neq \emptyset$ . Since  $H < H'_{i'}$  and  $|V(H'_{i'})| = |V(H)| + 1$ , we see that  $H'_{i'} - u \simeq H$  if and only if  $u \in V_1(H'_{i'})$  by Claim 4.13. This forces  $|V_1(H'_{i'})| = 1$ . Thus we see that  $|V_1(H'_{i'})| \leq 1$  for every  $1 \leq i' \leq 3$ . Fix a vertex  $x \in V(H)$ . We may assume that  $j_x = 2$ . By the construction of  $G_2^{m_x}(H; x)$  and  $H'_2$ , we can check that  $H'_2 = G_2^1(H; x)$ .

Let  $Y$  be a maximum subset of  $V(H)$  so that  $N_H(u) = N_H(v)$  for every  $u, v \in Y$ , and let  $Y' = N_H(Y)$ . We consider  $G_2^{m_{Y'}}(H; Y')$  and  $H'_{j_{Y'}}$ . Let

$$Y^* = (Y \cap V(H'_{j_{Y'}})) \cup (V(G_2^{m_{Y'}}(H; Y')) - V(H)).$$

Note that  $N_{H'_{j_{Y'}}}(u) = N_{H'_{j_{Y'}}}(v)$  for every  $u, v \in Y^*$ . By the construction of  $G_2^{m_{Y'}}(H; Y')$ ,  $m_{Y'} \geq |Y - V(H'_{j_{Y'}})| + 1$ . Hence  $|Y^*| = |Y \cap V(H'_{j_{Y'}})| + m_{Y'} \geq |Y| + 1$ . Therefore,  $H'_{j_{Y'}} - u \simeq H$  implies that  $u \in Y^*$  by the maximality of  $Y$ . Since  $|Y^*| \geq 2$ , every vertex of  $Y^*$  has degree at most  $|V(H)| - 1$  in  $H'_{j_{Y'}}$ . By Claim 4.10, this implies that  $j_{Y'} \neq 1$ .

Since  $|V_1(H'_{j_{y'}})| \leq 1$ , every vertex of  $Y^*$  has degree at least two. Recall that  $j_x = 2$ . By Claim 4.11(i), this implies that  $j_{y'} \neq 2$ . Hence  $j_{y'} = 3$ . Also we see that  $\delta(H'_3) \geq 2$ .

Take a vertex  $y \in V(H)$ . Since  $\delta(H'_{j_y}) = 1$ ,  $j_y = 2$ . By the construction of  $G_2^{m_y}(H; y)$  and  $H'_2$ , we can check that  $H'_2 \simeq G_2^1(H; y)$ . This implies that  $t(H) = 1$ , and hence  $H$  is regular. Set  $m = \delta(H)$ . By Claim 4.10,  $\delta(H'_1) = m + 1$ . Recall that  $|Y^*| \geq 2$ . Since, for every  $u \in Y^*$ ,  $H'_3 - u \simeq H$  and  $d_{H'_3-u}(v) = d_{H'_3}(v)$  for every  $v \in Y^* - \{u\}$ ,  $\delta(H'_3) = m$ .

Suppose that  $m \geq 3$ . Take a vertex  $z \in V(H)$ . Let  $Z$  be a subset of  $N_H(z)$  with  $|Z| = m - 1$ . We consider  $G_2^{m_z}(H; Z)$  and  $H'_{j_z}$ . Note that every vertex of  $V(G_2^{m_z}(H; Z)) - V(H)$  has degree  $m - 1$ . Since  $V(G_2^{m_z}(H; Z)) - V(H) \subseteq V(H'_{j_z})$ ,  $\delta(H'_{j_z}) \leq m - 1$ , and hence  $j_z = 2$ . Since every vertex of  $H'_2$  of degree at most  $m - 1$  belongs to  $V_1(H'_2)$ ,  $Z - V(H'_2) \neq \emptyset$ . By the definition of  $G_2^{m_z}(H; Z)$ ,  $m_z \geq |Z - V(H'_2)| + 1 \geq 2$ . Hence there exist two vertices of degree one in  $H'_2$ , a contradiction. Thus  $m \leq 2$ . This implies that  $H$  is a cycle.

Suppose that  $|V(H)| \geq 4$ . Let  $e = w_1w_2$  be an edge of  $H$ , and let  $W = \{w_1, w_2\}$ . We consider  $G_1^{n_w}(H; W)$  and  $H'_{i_w}$ . Since  $H < H'_{i_w}$ ,  $H'_{i_w}$  has an induced cycle of order  $|V(H)|$ . This together with  $|V(H'_{i_w})| = |V(H)| + 1$  implies  $H'_{i_w} \simeq G_1^1(H; W)$ . Since  $V_1(H'_{i_w}) = V_{|V(H)|}(H'_{i_w}) = \emptyset$ ,  $i_w \neq 1, 2$ . Hence  $i_w = 3$ . However, there exist no vertices  $u, v \in V(H'_3)$  with  $u \neq v$  such that  $N_{H'_3}(u) = N_{H'_3}(v)$ , a contradiction. Thus  $|V(H)| = 3$ , and so  $H \simeq C_3$ .

This completes the proof of Theorem 4.7. □

Theorem 4.7 leads to the following results.

**Corollary 4.14.** *For each  $1 \leq i \leq 3$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}(H_1) \Delta \mathcal{F}(H_2, H_3)| < \infty$ , then  $H_1 \in \{H_2, H_3\}$ .*

**Corollary 4.15.** *For each  $1 \leq i \leq 3$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}(H_1) \Delta \mathcal{F}(H_2, H_3)| < \infty$  and  $H_1$  is not special, then there exists an integer  $2 \leq i \leq 3$  such that  $H_1 = H_i < H_{3-i}$ .*

**Proof.** By Corollary 4.14,  $H_1 \in \{H_2, H_3\}$ . We may assume that  $H_1 = H_2$ . Then  $\mathcal{F}(H_1) \Delta \mathcal{F}(H_2, H_3) = \mathcal{F}(H_1) \Delta \mathcal{F}(H_1, H_3) = \mathcal{F}(H_1) - \mathcal{F}(H_3)$ . Hence  $|\mathcal{F}(H_1) - \mathcal{F}(H_3)| < \infty$ . Since  $H_1$  is not special,  $H_1 < H_3$  by Lemma 3.2, as desired. □

**Corollary 4.16.** *For each  $1 \leq i \leq 2$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}(H_1) \Delta \mathcal{F}(H_2)| < \infty$ , then  $H_1 = H_2$ .*

**5.  $|\mathcal{H}_1| = |\mathcal{H}_2| = 2$  and  $\mathcal{H}_1 \cap \mathcal{H}_2 \neq \emptyset$**

In this section, we focus on the case in which  $\mathcal{F}(\{H_1, H_2\}) \Delta \mathcal{F}(\{H_1, H_3\})$  is finite.

**Theorem 5.1.** *For each  $1 \leq i \leq 3$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}(H_1, H_2) \Delta \mathcal{F}(H_1, H_3)| < \infty$ ,  $\Delta(H_1) \leq |V(H_1)| - 2$  and  $\delta(H_1) \geq 2$ , then either  $H_1 < H_2$  and  $H_1 < H_3$ , or  $H_2 = H_3$ .*

**Proof.** Suppose that  $H_1 < H_2$  or  $H_1 < H_3$ . We may assume that  $H_1 < H_2$ . Then

$$\mathcal{F}(H_1, H_2) \triangle \mathcal{F}(H_1, H_3) = \mathcal{F}(H_1) \triangle \mathcal{F}(H_1, H_3) = \mathcal{F}(H_1) - \mathcal{F}(H_3).$$

Hence  $|\mathcal{F}(H_1) - \mathcal{F}(H_3)| < \infty$ . Since  $H_1$  is not special,  $H_1 < H_3$  by Lemma 3.2, as desired. Thus we may assume that  $H_1 \not< H_2$  and  $H_1 \not< H_3$ .

Suppose that  $H_2 \neq H_3$ . We may assume that  $H_2 \not< H_3$ . Then by Lemma 3.1,  $|V(H_3)| \geq 2|V(H_2)| - 3$  and  $|V(H_2)| \geq 4$ . If  $|V(H_2)| \geq |V(H_3)|$ , then we see that  $|V(H_2)| \leq 3$ , a contradiction. Thus  $|V(H_2)| < |V(H_3)|$ . In particular,  $H_3 \not< H_2$ . Then by Lemma 3.1,  $|V(H_2)| \geq 2|V(H_3)| - 3$ . This together with  $|V(H_2)| < |V(H_3)|$  implies that  $|V(H_3)| \leq 2$ , a contradiction. Therefore  $H_2 = H_3$ . □

### 6. $k$ -connected graphs

In this section, we extend Corollary 4.16 to  $k$ -connected graphs.

In our proof, we use the Cartesian product of two graphs. The Cartesian product  $G_1 \square G_2$  of two graphs  $G_1$  and  $G_2$  has vertex set  $V(G_1) \times V(G_2)$ , and two vertices  $(u_1, u_2)$  and  $(v_1, v_2)$  are joined by an edge if and only if  $u_i v_i \in E(G_i)$  and  $u_{3-i} = v_{3-i}$  for some  $1 \leq i \leq 2$ . Xu and Yang [15] proved the following results concerning the connectivity of the Cartesian product of two graphs.

**Lemma 6.1 (Xu and Yang [15]).** *For each  $i = 1, 2$ , let  $G_i$  be a connected graph. Then  $\kappa(G_1 \square G_2) \geq \min\{\kappa(G_1) + \delta(G_2), \kappa(G_2) + \delta(G_1)\}$ .*

**Lemma 6.2.** *Let  $k$  be a positive integer. For each  $1 \leq i \leq 2$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}_k(H_1) - \mathcal{F}_k(H_2)| < \infty$  and  $H_1 \not< H_2$ , then either  $|V(H_1)| < |V(H_2)|$ , or  $|V(H_1)| = |V(H_2)|$  and  $|E(H_1)| > |E(H_2)|$ .*

**Proof.** Assume that  $|V(H_1)| \geq |V(H_2)|$ . It suffices to show that  $|V(H_1)| = |V(H_2)|$  and  $|E(H_1)| > |E(H_2)|$ .

Note that  $G_1^n(H_2; V(H_2))$  is  $k$ -connected for  $n \geq k - 1$ . Since  $|\mathcal{F}_k(H_1) - \mathcal{F}_k(H_2)| < \infty$  and  $G_1^n(H_2; V(H_2)) \notin \mathcal{F}_2(H_2)$ ,  $H_1 < G_1^{n_1}(H_2; V(H_2))$  for some  $n_1 \geq k - 1$ . Since  $H_1 \not< H_2$ ,  $V(H_1) \cap V(K_{n_1}) \neq \emptyset$ . Hence  $H_1 = H'_2 + K_{m_1}$  for a graph  $H'_2 < H_2$  and  $m_1 \geq 1$ . In particular,  $H_1$  has a vertex of degree  $|V(H_1)| - 1$  (and so  $\Delta(H_1) = |V(H_1)| - 1$ ).

For  $n \geq k$ , let  $G_4^n = H_2 \square K_{n,n}$ . Since  $\kappa(K_{n,n}) = \delta(K_{n,n}) = n \geq k$ ,  $G_4^n$  is  $k$ -connected by Lemma 6.1. Since  $|\mathcal{F}_k(H_1) - \mathcal{F}_k(H_2)| < \infty$  and  $G_4^n \notin \mathcal{F}_2(H_2)$ ,  $H_1 < G_4^{n_2}$  for some  $n_2 \geq k$ . Recall that  $\Delta(H_1) = |V(H_1)| - 1$ . We may assume that  $(u_1, u_2)$  has degree  $|V(H_1)| - 1$  in  $H_1 (< G_4^{n_2})$ . Since  $H_1 \not< H_2$ ,  $(u_1, v_2) \in V(H_1) (< G_4^{n_2})$  for some  $v_2 \in V(K_{n,n}) - \{u_2\}$ . Since  $(u_1, v_2)$  is adjacent to  $(u_1, u_2)$ ,  $(u_1, v_2)$  has degree 1 in  $H_1 (< G_4^{n_2})$  by the definition of  $G_4^{n_2}$ . In particular,  $H_1$  has a vertex of degree 1.

Recall that  $H_1 = H'_2 + K_{m_1}$ . If  $m_1 \geq 2$  or  $H'_2 = H_2$ , then  $H_1$  has no vertex of degree 1, a contradiction. Thus  $m_1 = 1$  and  $H'_2 \neq H_2$ . This together with  $|V(H_1)| \geq |V(H_2)|$  implies that  $|V(H_1)| = |V(H_2)| = |V(H'_2)| + 1$ . Write  $V(H_2) - V(H'_2) = \{x\}$ . If  $d_{H_2}(x) = |V(H_2)| - 1$ , then we have  $H_2 \simeq H'_2 + K_1$ , which contradicts the fact that  $H_1 \not< H_2$ . Thus

$d_{H_2}(x) \leq |V(H_2)| - 2$ . Then  $|E(H_1)| = |E(H'_2 + K_1)| = |E(H'_2)| + (|V(H_2)| - 1) > |E(H'_2)| + d_{H_2}(x) = |E(H_2)|$ . Therefore we have the desired result.  $\square$

**Theorem 6.3.** *Let  $k$  be a positive integer. For each  $1 \leq i \leq 2$ , let  $H_i$  be a connected graph with  $|V(H_i)| \geq 3$ . If  $|\mathcal{F}_k(H_1) \Delta \mathcal{F}_k(H_2)| < \infty$ , then  $H_1 = H_2$ .*

**Proof.** Suppose that  $H_1 \neq H_2$ . We may assume that  $H_1 \not\prec H_2$ . Since  $|\mathcal{F}_k(H_1) - \mathcal{F}_k(H_2)| < \infty$ , either  $|V(H_1)| < |V(H_2)|$ , or  $|V(H_1)| = |V(H_2)|$  and  $|E(H_1)| > |E(H_2)|$  by Lemma 6.2. Suppose that  $|V(H_1)| < |V(H_2)|$ . Then  $H_2 \not\prec H_1$ . Since  $|\mathcal{F}_k(H_2) - \mathcal{F}_k(H_1)| < \infty$ ,  $|V(H_2)| \leq |V(H_1)|$  by Lemma 6.2, a contradiction. Thus  $|V(H_1)| = |V(H_2)|$  and  $|E(H_1)| > |E(H_2)|$ . Then  $H_2 \not\prec H_1$ . Since  $|\mathcal{F}_k(H_2) - \mathcal{F}_k(H_1)| < \infty$  and  $|V(H_1)| = |V(H_2)|$ ,  $|E(H_2)| > |E(H_1)|$  by Lemma 6.2, a contradiction.

Therefore  $H_1 = H_2$ .  $\square$

## 7. Concluding remarks

In this paper, we have studied when the difference and the symmetric difference of sets of graphs defined by forbidden subgraphs become finite.

As in Section 2, let  $H_1$  be the graph obtained from the triangle by attaching two pendant edges to a vertex and let  $H_2$  be a 6-regular triangulation of the torus. Then we have seen that  $H_1$  is not an induced subgraph of  $H_2$ , but  $\mathcal{F}(H_1) - \mathcal{F}(H_2)$  is finite.

Let  $\mathcal{H} = \{G_1^1(H_1; U) \mid U \subseteq V(H_1), U \neq \emptyset\} \cup \{H_2\}$ . Then  $(H_1, \mathcal{H})$  is a pair that satisfies the assumption of Theorem 4.1 with  $\mathcal{F}(H_1) \Delta \mathcal{F}(\mathcal{H}) = \{H_1, H_2\}$ , but it does not satisfy conclusions (i) and (ii) of Theorem 4.1. Therefore condition (iii) of Theorem 4.1 is necessary. Let  $H_3$  be a 6-regular triangulation of the torus which is different from  $H_2$ . Then the pair  $(\{H_1, H_2\}, \{H_1, H_3\})$  satisfies the assumption of Theorem 5.1 with  $\mathcal{F}(H_1, H_2) \Delta \mathcal{F}(H_1, H_3) = \{H_2, H_3\}$ , except for the degree condition, but it does not satisfy the conclusion of Theorem 5.1. Therefore the degree condition on  $H_1$  of Theorem 5.1 is necessary.

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