

## CERTAIN LOCALLY NILPOTENT VARIETIES OF GROUPS

ALIREZA ABDOLLAHI

Let  $c \geq 0$ ,  $d \geq 2$  be integers and  $\mathcal{N}_c^{(d)}$  be the variety of groups in which every  $d$ -generator subgroup is nilpotent of class at most  $c$ . N.D. Gupta asked for what values of  $c$  and  $d$  is it true that  $\mathcal{N}_c^{(d)}$  is locally nilpotent? We prove that if  $c \leq 2^d + 2^{d-1} - 3$  then the variety  $\mathcal{N}_c^{(d)}$  is locally nilpotent and we reduce the question of Gupta about the periodic groups in  $\mathcal{N}_c^{(d)}$  to the prime power exponent groups in this variety.

### 1. INTRODUCTION AND RESULTS

Let  $c \geq 0$ ,  $d \geq 2$  be integers and  $\mathcal{N}_c$  be the variety of nilpotent groups of class at most  $c$ . We denote by  $\mathcal{N}_c^{(d)}$  the variety of groups in which every  $d$ -generator subgroup is in  $\mathcal{N}_c$ . In [2], Gupta posed the following question:

For what values of  $c$  and  $d$  it is true that  $\mathcal{N}_c^{(d)}$  is locally nilpotent?

Then he proved that for  $c \leq (d^2 + 2d - 3)/4$ , the variety  $\mathcal{N}_c^{(d)}$  is locally nilpotent. In [1], Endimioni improved the latter result where he proved that for  $c \leq 2^d - 2$ , the variety  $\mathcal{N}_c^{(d)}$  is locally nilpotent. Here we improve the number  $2^d - 2$  to  $2^d + 2^{d-1} - 3$ . In fact we prove:

#### THEOREM 1.1.

- (1) For  $c \leq 2^d + 2^{d-1} - 3$ , the variety  $\mathcal{N}_c^{(d)}$  is locally nilpotent.
- (2) For  $c \leq 2^d + 2^{d-1} + 2^{d-2} - 3$ , every  $p$ -group in the variety  $\mathcal{N}_c^{(d)}$  is locally nilpotent, where  $p \in \{2, 3, 5\}$ .

Note that the variety  $\mathcal{N}_c^{(2)}$  is contained in the variety of  $c$ -Engel groups and it is yet unknown whether every  $c$ -Engel group is locally nilpotent, even, so far there is no published example of a non-locally nilpotent group in the variety  $\mathcal{N}_c^{(2)}$ . In the last section of this paper, where we consider the problem of locally nilpotency of the variety  $\mathcal{N}_c^{(2)}$ , we study periodic groups in this variety. Note that since every two generator subgroup of a group in  $\mathcal{N}_c^{(2)}$  is nilpotent, every periodic group in  $\mathcal{N}_c^{(2)}$  is a direct product of  $p$ -groups ( $p$  prime). We reduce the question of Gupta for periodic groups in  $\mathcal{N}_c^{(d)}$  to the locally nilpotency of  $p$ -groups of finite exponent in this variety where the exponent depends only on the numbers  $p$  and  $c$ . In fact we prove that

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**THEOREM 1.2.** *Let  $p$  be a prime,  $c > 1$  an integer and  $r = r(c, p)$  be the integer such that  $p^{r-1} < c - 1 \leq p^r$ .*

- (1) *every  $p$ -group in  $\mathcal{N}_c^{(2)}$  is locally nilpotent.*
- (2) *if  $p$  is odd, every  $p$ -group of exponent dividing  $p^r$  in  $\mathcal{N}_c^{(2)}$  is locally nilpotent, and if  $p = 2$ , every 2-group of exponent dividing  $2^{r+1}$  in  $\mathcal{N}_c^{(2)}$  is locally nilpotent.*

2. GROUPS IN THE VARIETY  $\mathcal{N}_c^{(d)}$

Let  $F_\infty$  be the free group of infinite countable rank on the set  $\{x_1, x_2, \dots\}$ , we define inductively the following words in  $F_\infty$ :

$$\begin{aligned}
 W_1 &= W_1(x_1, x_2) = [x_1, x_2, x_1, x_2], \\
 W_n &= W_n(x_1, x_2, \dots, x_{n+1}) = [W_{n-1}, x_{n+1}, W_{n-1}, x_{n+1}] \quad n > 1; \\
 V_1 &= V_1(x_1, x_2, x_3) = [[x_2, x_1, x_1, x_1, x_1], x_3, [x_2, x_1, x_1, x_1, x_1], x_3], \\
 V_n &= V_n(x_1, x_2, x_3, \dots, x_{n+2}) = [V_{n-1}, x_{n+2}, V_{n-1}, x_{n+2}] \quad n > 1.
 \end{aligned}$$

For a group  $G$  and a subgroup  $H$  of  $G$ , we denote by  $HP(G)$  the Hirsch-Plotkin radical of  $G$  and  $H^G$  the normal closure of  $H$  in  $G$ . We use the following result due to Heineken (see Lemma 8 of [3] and see Lemma 2 of [5] for the left-normed version).

**LEMMA 2.1.** *Let  $G$  be a group and  $g$  an element in  $G$  such that  $[g, x, g, x] = 1$  for all  $x \in G$ . Then the normal closure of  $\langle g \rangle$  in  $\langle g \rangle^G$  is Abelian. In particular,  $g \in HP(G)$ .*

**LEMMA 2.2.** *Let  $G$  be a group satisfying the law  $W_n = 1$  for some integer  $n \geq 1$ . Then,  $G$  has a normal series  $1 = G_n \triangleleft G_{n-1} \triangleleft \dots \triangleleft G_1 = G$  in which each factor  $G_i/G_{i+1}$  is locally nilpotent ( $i = 1, 2, \dots, n - 1$ ).*

**PROOF:** We argue by induction on  $n$ . If  $n = 1$ , then Lemma 2.1 yields that  $x_1 \in HP(G)$  for all  $x_1 \in G$  and so  $G$  is locally nilpotent. Now suppose that the lemma is true for  $n$  and  $G$  satisfies the law  $W_{n+1} = 1$ . By Lemma 2.1, we have  $W_n(x_1, \dots, x_{n+1}) \in HP(G)$  for all  $x_1, \dots, x_{n+1} \in G$  and so  $G/HP(G)$  satisfies the law  $W_n = 1$ . Thus by the induction hypothesis  $G/HP(G)$  has a normal series of length  $n$  with locally nilpotent factors. This completes the proof. □

**LEMMA 2.3.** *Let  $G$  be a  $p$ -group satisfying the law  $V_n = 1$  for some integer  $n \geq 1$  where  $p \in \{2, 3, 5\}$ . Thus  $G$  has a normal series  $1 = G_{n+1} \triangleleft G_n \triangleleft \dots \triangleleft G_1 = G$  in which each factor  $G_i/G_{i+1}$  is locally nilpotent ( $i = 1, 2, \dots, n$ ).*

**PROOF:** We argue by induction on  $n$ . If  $n = 1$ , then by Lemma 2.1  $[x_2, x_1, x_1, x_1, x_1] \in HP(G)$  for all  $x_1, x_2 \in G$ . Thus  $G/HP(G)$  is a 4-Engel group and since every 4-Engel  $p$ -group is locally nilpotent where  $p \in \{2, 3, 5\}$  (see Traustason [9] and Vaughan-Lee [10]),  $G/HP(G)$  is locally nilpotent. Now suppose that  $G$  satisfies the law  $V_{n+1} = 1$ . By

Lemma 2.1,  $V_n(x_1, \dots, x_{n+2}) \in HP(G)$  for all  $x_1, \dots, x_{n+2} \in G$ , so  $G/HP(G)$  satisfies the law  $V_n = 1$ . Thus by the induction hypothesis,  $G/HP(G)$  has a normal series of length  $n + 1$  with locally nilpotent factors. This completes the proof.  $\square$

We use in the sequel the following special case of the well-known fact due to Plotkin [6] that every Engel radical group is locally nilpotent. (see also Lemma 2.2 of [1])

**LEMMA 2.4.** *Let  $H$  be a normal subgroup of an Engel group  $G$ . If  $H$  and  $G/H$  are locally nilpotent, then  $G$  is locally nilpotent.*

PROOF OF THEOREM 1.1: One can see that  $W_n$  and  $V_n$  are, respectively, in the  $(2^{n+1} + 2^n - 2)$ th term and  $(2^{n+2} + 2^{n+1} + 2^n - 2)$ th term of the lower central series of  $F_\infty$ , for all integers  $n \geq 1$ .

- (1) every group  $G$  in the variety  $\mathcal{N}_c^{(d)}$  satisfies the law  $W_{d-1} = 1$  and so it follows from Lemmas 2.2 and 2.4, that  $G$  is locally nilpotent.
- (2) if  $d = 2$  then  $c \leq 4$  and so every group in the variety  $\mathcal{N}_c^{(d)}$  is 4-Engel. But as it is mentioned in the proof of Lemma 2.3, every 4-Engel  $p$ -group is locally nilpotent, where  $p \in \{2, 3, 5\}$ . Now assume that  $d \geq 3$ , then every group  $G$  in the variety  $\mathcal{N}_c^{(d)}$  satisfies the law  $V_{d-2} = 1$  and so it follows from Lemmas 2.3 and 2.4, that  $G$  is locally nilpotent.  $\square$

### 3. $p$ -GROUPS IN THE VARIETY $\mathcal{N}_c^{(2)}$

**LEMMA 3.1.** *Let  $c \geq 1$  be an integer,  $p$  a prime number and  $G$  a finite  $c$ -Engel  $p$ -group. Suppose that  $x, y \in G$  such that  $x^{p^n} = y^{p^n} = 1$  for some integer  $n > 0$ . Let  $r$  be the integer such that  $p^{r-1} < c \leq p^r$ . Then:*

- (a) *if  $p$  is odd and  $n > r$  then  $[x^{p^{n-1}}, y^{p^{n-1}}] = 1$ .*
- (b) *if  $p = 2$  and  $n > r + 1$  then  $[x^{2^{n-1}}, y^{2^{n-1}}] = 1$ .*

PROOF: Suppose that  $K \leq H$  are two normal subgroups of  $G$  such that  $H/K$  is elementary Abelian and  $a$  is an arbitrary element of  $G$ . Put  $t = aK$  and  $V = H/K$ . Since  $[V_c t] = 1$ , we have that  $[V_{p^r} t] = 1$  and  $0 = (t - 1)^{p^r} = t^{p^r} - 1$  in  $\text{End}(V)$ . Thus  $[H, a^{p^r}] \leq K$  for all  $a \in G$ . Now let  $N = \langle x^{p^r}, y^{p^r} \rangle$ . Then  $[H, N] \leq K$  and since  $K, H$  are normal in  $G$ ;  $[H, M] \leq K$  where  $M = N^G$  the normal closure of  $N$  in  $G$ . Thus  $M$  is a normal subgroup of  $G$  centralising every elementary Abelian normal section of  $G$ . By a result of Shalev [8]; if  $p$  is odd then  $M$  is powerful and if  $p = 2$  then  $M^2$  as well as all subgroups of  $M^2$  which are normal in  $G$ , are powerful. Suppose that  $p$  is odd. Since  $M$  is generated by  $\{(x^g)^{p^r}, (y^g)^{p^r} \mid g \in G\}$  and  $M$  is powerful, by Corollary 1.9 of [4],  $M^{p^{n-r}}$  is generated by  $\{(x^g)^{p^n}, (y^g)^{p^n} \mid g \in G\}$  and so  $M^{p^{n-r}} = 1$ . On the other hand  $M^{p^{n-r-1}}$  is powerful by Corollary 1.2 of [4]. Thus  $[M^{p^{n-r-1}}, M^{p^{n-r-1}}] \leq (M^{p^{n-r-1}})^p$ . Now by Theorem 1.3 of [4], we have  $(M^{p^{n-r-1}})^p = M^{p^{n-r}} = 1$ . Thus  $M^{p^{n-r-1}}$  is Abelian and part (a) has been proved. Now assume that  $p = 2$ . As mentioned above, since the

subgroup  $\langle x^{2^{r+1}}, y^{2^{r+1}} \rangle^G$  of  $M$  is normal in  $G$ , it is also powerful and the rest of the proof is similar to the previous case.  $\square$

**LEMMA 3.2.** *Let  $c > 1$  be an integer,  $p$  a prime number and  $G$  a  $p$ -group in the variety  $\mathcal{N}_c^{(2)}$  and let  $r$  be the integer satisfying  $p^{r-1} < c - 1 \leq p^r$ .*

- (a) *if  $p$  is odd then  $G^{p^r}$  is locally nilpotent.*
- (b) *if  $p = 2$  then  $G^{2^{r+1}}$  is locally nilpotent.*

**PROOF:** Note that  $G$  is a  $c$ -Engel group. Suppose  $p$  is odd. First we prove that if  $x$  is an element of  $G$  such that  $x^{p^n} = 1$  for some  $n > r$ , then  $[a, x^{p^{n-1}}, x^{p^{n-1}}] = 1$  for all  $a \in G$ . Let  $y = (x^{-1})^a$ , then it is enough to show that  $[y^{p^{n-1}}, x^{p^{n-1}}] = 1$ . Since  $\langle x, a \rangle \in \mathcal{N}_c$  then  $\langle y, x \rangle \in \mathcal{N}_{c-1}$ ; therefore by Lemma 3.1, we have that  $[y^{p^{n-1}}, x^{p^{n-1}}] = 1$ . Now let  $z$  be an arbitrary element of  $G$  such that  $z^{p^n} = 1$  for some positive integer  $n$ . We prove by induction on  $n$  that  $z^{p^r} \in HP(G)$  and so it completes the proof for the case  $p$  odd. If  $n \leq r$ , then  $z^{p^r} = 1 \in HP(G)$ . Assume that  $n > r$  then by the induction hypothesis,  $z^{p^{r+1}} \in HP(G)$ . Now by the first part of the proof,  $[a, z^{p^r}, z^{p^r}] = 1 \pmod{HP(G)}$ , for all  $a \in G$ . So the normal closure of  $z^{p^r} HP(G)$  in  $G/HP(G)$  is Abelian. Therefore  $\langle z^{p^r} \rangle^G$  is (locally nilpotent)-by-Abelian, hence Lemma 2.4 implies that  $z^{p^r} \in HP(G)$ .

The case  $p = 2$  is similar.  $\square$

**PROOF OF THEOREM 1.2:** Suppose that  $p$  is odd and every  $p$ -group of exponent dividing  $p^r$  in  $\mathcal{N}_c^{(2)}$  is locally nilpotent. Let  $G$  be a  $p$ -group in  $\mathcal{N}_c^{(2)}$ , then by Lemma 3.2(a),  $G^{p^r}$  is locally nilpotent. By assumption,  $G/G^{p^r}$  is locally nilpotent and since  $G$  is a  $c$ -Engel group, it follows from Lemma 2.4 that  $G$  is locally nilpotent. The case  $p = 2$  is similar and the converse is obvious.  $\square$

Now we use this result to some special cases. In fact we prove:

**PROPOSITION 3.3.** *Every 2-group or 3-group in the variety  $\mathcal{N}_5^{(2)}$  is locally nilpotent.*

**PROOF:** By Theorem 1.2, we must prove that every 2-group of exponent dividing 8 and every 3-group of exponent dividing 9 in  $\mathcal{N}_5^{(2)}$  is locally nilpotent. Suppose that  $G$  is a 2-group of exponent 8 in  $\mathcal{N}_5^{(2)}$ . Let  $x, y \in G$ , then  $\langle x, y \rangle \in \mathcal{N}_5$  and is of exponent 8. It is easy to see that  $[x^4, y, x^4, y] = 1$ . So by Lemma 2.1,  $x^4 \in HP(G)$  for all  $x \in G$ . Therefore  $G/HP(G)$  is of exponent dividing 4. By a famous result of Sanov (see 14.2.4 of [7]),  $G/HP(G)$  is locally nilpotent and so by Lemma 2.4,  $G$  is locally nilpotent.

Now suppose that  $G$  is a 3-group of exponent 9 in the variety  $\mathcal{N}_5^{(2)}$ . Let  $x, y \in G$ , it is easy to see that  $[x^3, y, x^3, y] = 1$ . The rest of the proof is similar to the previous case, but we may use this well-known result that every group of exponent 3 is nilpotent (see 12.3.5 and 12.3.6 of [7]).  $\square$

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Department of Mathematics  
University of Isfahan  
Isfahan 81746-73441  
Iran

Institute for Studies in Theoretical Physics  
and Mathematics  
Tehran  
Iran  
e-mail: a.abdollahi@sci.ui.ac.ir