

## Original Article

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**Author for correspondence:**

Joanna Norton,  
E-mail: [joanna.norton@inserm.fr](mailto:joanna.norton@inserm.fr)

# Time-dependent depression and anxiety symptoms as risk factors for recurrent cardiac events: findings from the UPBEAT-UK study

Joanna Norton<sup>1</sup> , Manuela Pastore<sup>2</sup>, Matthew Hotopf<sup>3,4</sup>, Andre Tylee<sup>5</sup>, Anthony Mann<sup>3</sup>, Marie-Laure Ancelin<sup>1</sup> and Jorge Palacios<sup>3</sup>

<sup>1</sup>Univ Montpellier, Inserm, Neuropsychiatry: Epidemiological and Clinical Research, Montpellier, France; <sup>2</sup>Univ Montpellier, CNRS, Inserm, Biocampus UAR3426, Montpellier, France; <sup>3</sup>Department of Psychological Medicine, Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, UK; <sup>4</sup>South London and Maudsley NHS Foundation Trust, London, UK and <sup>5</sup>Department of Health Services and Population Science, Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, UK

**Abstract**

**Background.** Depression is a well-known risk factor for recurrent cardiac events (RCEs) but findings are less consistent for anxiety, not previously reported on using a time-dependent approach. We aimed to study the prognostic effect of anxiety and depression symptom levels on RCEs.

**Methods.** Data ( $N = 595$ ) were drawn from the UPBEAT-UK heart disease patient cohort with 6-monthly follow-ups over 3 years. Hospital Anxiety and Depression Scale symptoms were grouped into: agitation (three items), anxiety (four items), and depression (seven items) subscales. We performed two types of multivariate analyses using Cox proportional hazard models with delayed entry: with baseline variables (long-term analysis), and with variables measured 12-to-18 months prior to the event (short-term time-dependent analysis), as RCE risk factors.

**Results.** In the baseline analysis, both anxiety and depression, but not agitation, were separate RCE risk factors, with a moderating effect when considered jointly. In the short-term time-dependent analysis, elevated scores on the anxiety subscale were associated with increased RCE risk even when adjusted for depression [hazard ratio (95% confidence interval) 1.22 (1.05–1.41),  $p = 0.009$ ]. Depression was no longer a significant predictor when adjusted for anxiety [1.05 (0.87–1.27),  $p = 0.61$ ]. For anxiety, individual items associated with RCEs differed between the two approaches: item 5 ‘worrying thoughts’ was the most significant long-term risk factor [1.52 (1.21–1.91),  $p = 0.0004$ ] whereas item 13 ‘feelings of panic’ was the most significant time-dependent short-term risk factor [1.52 (1.18–1.95),  $p = 0.001$ ].

**Conclusions.** Anxiety is an important short-term preventable and potentially causal risk factor for RCEs, to be targeted in secondary cardiac disease prevention programmes.

**Introduction**

Secondary cardiovascular disease (CVD) prevention in heart disease patients is a major public health concern given the high occurrence of heart disease worldwide (Khan et al., 2020). There is widespread evidence that depression constitutes an important risk factor for recurrent cardiac events (RCEs) in heart disease patients (Freedland & Carney, 2013; Meijer et al., 2011; Nicholson, Kuper, & Hemingway, 2006). However, less attention has been paid to anxiety for which findings are inconsistent. Some studies suggest that anxiety symptoms increase the risk of RCEs (Frasure-Smith & Lesperance, 2008; Roest, Martens, Denollet, & de Jonge, 2010; Tully et al., 2015; Van Beek et al., 2016), in stable coronary heart disease (CHD) (Frasure-Smith & Lesperance, 2008) and myocardial infarction (MI) patients (Van Beek et al., 2016). An increased risk of cerebro-cardiovascular events with anxiety has been reported in coronary artery bypass surgery patients (Tully et al., 2015). Furthermore, anxiety is associated with a greater risk of all-cause mortality in CHD patients, particularly when comorbid with depression (Watkins et al., 2013). A link between the persistence of anxiety symptoms over time and adverse cardiac outcomes has also been shown (Moser et al., 2011; Shibeshi, Young-Xu, & Blatt, 2007).

Yet, some studies fail to show an association between anxiety symptoms and RCEs in CHD patients (Kornerup, Zwisler, Prescott, & Danrehab Group, 2011; Versteeg et al., 2013), or between anxiety and depressive symptoms and mortality in MI-hospitalised patients (Lane, Carroll, Ring, Beevers, & Lip, 2001). Other studies have even suggested that anxiety symptoms may confer a protective effect in stable CHD patients (Meyer, Buss, & Herrmann-Lingen, 2010; Meyer, Hussein, Lange, & Herrmann-Lingen, 2015). Indeed, anxiety symptoms were

found to have opposite effects on survival in different subgroups of CVD patients, with a protective effect for those with a stable condition, an increased risk for post MI left-ventricular patients and a lack of effect for other MI patients (Meyer et al., 2010). Furthermore, evidence points to a differential effect according to the types of symptoms. One study reports a stronger prognostic association with RCEs for somatic anxiety than for psychological anxiety symptoms (Roest, Heideveld, Martens, de Jonge, & Denollet, 2014).

However, comparison between studies is hampered by methodological differences, in the choice of clinical populations, assessment tools, outcomes and time-frames. In addition, choice of confounders and adjusting or not for cardiac-disease severity (Frasure-Smith & Lesperance, 2003) and depression (Frasure-Smith & Lesperance, 2008; Martens et al., 2010; Strik, Denollet, Lousberg, & Honig, 2003; Van Beek et al., 2016; Watkins et al., 2013) can substantially modify the associations. Moreover, there is considerable variability in the length of follow-up between studies (Roest et al., 2010), along with intra-study variability in duration of time between anxiety assessment and endpoint (Meyer et al., 2010; Van Beek et al., 2016). A time-dependent approach allows the assessment of risk within a standardised time-window, taking into account the potential time-varying effect of the exposure. In contrast to depression (Li et al., 2018; Norton et al., 2020; Pequignot et al., 2016), this approach has so far not been reported on for anxiety which is known to fluctuate considerably over time, especially in the elderly (Palacios, Khondoker, Mann, Tylee, & Hotopf, 2018).

The current study draws on data from the UPBEAT-UK cohort of heart disease patients followed up at 6-month intervals over 3 years. The aim was to examine the Hospital Anxiety and Depression Scale (HADS) subscales identified as psychomotor agitation, psychic anxiety and depression (Friedman, Samuelian, Lancrenon, Even, & Chiarelli, 2001), measured at baseline (long-term) and 12-to-18 months prior to the RCE (short-term standardised for time-to-event) as predictors of RCEs including mortality. Individual HADS items were also examined. It was hypothesised that psychic anxiety would be more likely than agitation to be a risk factor for RCEs, given that it reflected the most anxiety-specific fear and panic symptoms of anxiety. An association was also expected for depression, as shown previously for both cognitive and somatic symptom dimensions (Norton et al., 2020).

## Methods

### Study design and sample

The UPBEAT-UK cohort was set up in 2008, drawing on patients from CHD registers of 16 general practices in South East London (Tylee et al., 2011). Participating general practitioners invited all of their long-term CHD register patients aged 18 and above to agree to be contacted by the research team for the study. Of the 2938 registered patients, 917 agreed to be contacted and 803 (87.6%) participated. Participants were interviewed at inclusion and followed up for 3 years, undergoing 6-monthly assessments. Written informed consent was obtained from all patients before the initial assessment. Ethics approval was granted through the Bexley and Greenwich Research Ethics Committee (REC reference number: 07/H0809/38). The analysis was performed on 595 patients with data on cardiac status at the 18, 24, 30 and 36 months follow-ups and no missing data for the main covariates (Norton et al., 2020).

### Measures

Socio-demographic and lifestyle characteristics, including relationship status, smoking, alcohol consumption and body mass index (BMI) were collected at baseline only. At baseline and each follow-up, severity of chest pain was measured using the Rose Angina Questionnaire (Rose, 1962) and was classified as: no angina (none or mild); chest pain when walking uphill only (moderate); chest pain when walking on the level and uphill (severe). In addition, quality of life scores were obtained using the EuroQol EQ-5D scale, excluding the pain/discomfort and depression/anxiety subscales (Rabin & de Charro, 2001), and social problems from the Social Problems Questionnaire (SPQ) (Corney & Clare, 1985).

### Outcome variable: recurrent cardiac event (RCE)

RCEs included: any visit to the rapid access chest pain clinic, accident and emergency department (A&E) or emergency hospital admittance, where cardiac-related chest pain was the diagnosis (rapid access); bypass graft or angioplasty; MI and any cardiovascular cause of death. Events were coded and dated by a medical doctor on the team after examination of the general practitioner's notes, which included all cardiology notes, investigations and interventions.

### Hospital and Anxiety Depression Scale (HADS)

The HADS is a self-report scale composed of 14 items, rated on a 0-to-3 scale, measuring symptoms of anxiety and depression. The original authors suggested a 2-factor scale with seven items relating to anxiety (HADS-A), and seven items to depression (HADS-D) (Zigmond & Snaith, 1983). A 3-factor solution subdividing HADS-A has since been reported as more appropriate for cardiac patients (Barth & Martin, 2005; Hunt-Shanks, Blanchard, Reid, Fortier, & Cappelli, 2010; Martin, Lewin, & Thompson, 2003), with evidence for either Dunbar et al. (Dunbar, Ford, Hunt, & Der, 2000) or Friedman et al.'s model (Barth & Martin, 2005; Martin et al., 2003), as providing the best fit. We performed confirmatory factor analysis on our data and found similar fit statistics for both models. We chose to apply Friedman's 3-factor model with items grouped into the following subscales:

- Psychomotor Agitation (referred to as agitation): items 1, 7, 11
- Psychic Anxiety (referred to as anxiety): items 3, 5, 9, 13
- Depression: items 2, 4, 6, 8, 10, 12, 14

### Statistical analysis

All analyses were performed using Cox proportional hazard models with delayed entry and age as the time scale (Lamarca, Alonso, Gomez, & Munoz, 1998). The assumption of proportional hazards over time was tested for baseline variables and the linearity of continuous variables was verified. Results are expressed as hazard ratios (HRs) with 95% confidence intervals (CIs). For comparative purposes, the agitation, depression and anxiety subscales were standardised according to the number of items, with HRs for the anxiety and depression subscales expressed for a 1.33 and 2.33 point increase, respectively.

We performed two types of analyses:

- (i) with HADS subscales analysed as baseline variables in order to examine the long-term risk, allowing for variability in time-to-event as events could occur at either the 18, 24, 30 or 36 month follow-ups;
- (ii) with HADS subscales analysed as time-dependent variables measured 12-to-18 months prior to the event in order to examine the short-term time-standardised risk.

We excluded participants with RCEs at the baseline, 6- and 12-month follow-ups. This ensured a gap of at least 12-months between baseline HADS assessment and outcome. In the short-term time-dependent analysis, HADS scores and available covariates were selected at the  $N-3$  follow-up, allowing for this 12-month RCE-free gap.

We adjusted for baseline and available time-dependent covariates associated with RCE with  $p$  values  $<0.25$  (see Table 1), along with well-established risk factors (model 1). Moreover, interactions between subscales and sex, chest pain and diagnosis at registry entry were tested and were not significant. The agitation subscale was not explored further as it was not significantly associated with the outcome in model 1, Table 1 and model 1, Table 2. For anxiety and depression, we did not test the interaction between the subscales as continuous scores, given the high correlation between the two ( $r=0.71$ ). Instead, we tested two separate interactions: between anxiety and depression grouped into terciles (DEP3) and between depression and anxiety grouped into terciles (ANX3). Interactions were significant (with  $p$  value set at  $<0.15$ ) in the baseline analysis only and were retained in the models, with anxiety stratified by DEP3 and depression by ANX3. In the time-dependent analysis, we further adjusted anxiety for DEP3 and depression for ANX3 (model 2). When examining individual HADS items, we used Bonferroni correction to correct for multiple comparisons. Statistical analyses were performed using SAS Enterprise Guide Version 7.15 (SAS Institute, Inc., Cary, North Carolina).

## Results

### Sample description

The cohort (Walters, Barley, Mann, Phillips, & Tylee, 2014), the study sample and how it compares to those excluded from the analysis (Norton et al., 2020) have been described in detail elsewhere. Of the sample, 70.6% were male with a median age of 72 years (range: 27–98). The main diagnoses at entry to the registry were: documented MI (42.4%), CHD (48.2%) and angina (6.9%). Median time from baseline to event was 22.3 months [Inter-quartile range (IQR): 13.4, min-max: 12.1–36.2]. Events ranged from rapid access (47.4%), to cardio-cerebrovascular cause of death (26.3%), bypass graft or angioplasty (24.2%), and MI (2.1%). The baseline sample is further described according to the occurrence of an RCE at follow-up (Table 1).

### Baseline HADS subscales as RCE risk factors

In the baseline analysis, taken separately, both anxiety and depression, but not agitation, were significantly associated with an RCE risk in model 1 (Table 2). We found interacting effects between anxiety and DEP3 and between depression and ANX3 (model 1:  $p=0.06$  and  $p=0.11$ , respectively). Anxiety was a significant RCE risk factor only in the lowest depression tercile ( $p=0.02$ ) and depression only in the lowest anxiety tercile ( $p=0.03$ ).

### Time-dependent HADS subscales as RCE risk factors

In the time-dependent analysis, only anxiety and depression significantly increased the RCE risk (Table 3). The association for agitation was not significant ( $p=0.11$ ). Interactions between anxiety and DEP3 and depression and ANX3 were not significant (model 1:  $p=0.43$  and  $p=0.67$ , respectively). When further adjusted for DEP3 in model 2, anxiety remained significantly associated with RCE risk. The association also remained significant when adding quality of life to the model (HR 1.19; 95% CI 1.02–1.38,  $p=0.02$ ). Conversely, depression was no longer associated with an increased RCE risk when adjusted for ANX3 (HR 1.05; 95% CI 0.87–1.27,  $p=0.61$ ) or for quality of life (HR 1.11; 95% CI 0.94–1.29,  $p=0.21$ ).

### Individual HADS items as RCE risk factors

Specific subscale items associated with RCE differed between the baseline and time-dependent analysis. For anxiety, item 5 (worrying thoughts) was the only multiple-comparison corrected significant item in the baseline analysis (HR 1.52; 95% CI 1.21–1.91,  $p=0.0004$ ) (Fig. 1). Conversely, item 13 (feelings of panic) was the only item to reach significance in the time-dependent analysis (HR 1.52; 95% CI 1.18–1.95,  $p=0.001$ ). For depression, item 10 (lost interest in appearance) was the only significant item in the baseline analysis (HR 1.56; 95% CI 1.23–1.97,  $p=0.0002$ ), and item 4 (laughing at things) in the time-dependent analysis (HR 1.54; 95% CI 1.15–2.07,  $p=0.004$ ). When further adjusted for quality of life, findings were unchanged for the anxiety items, but time-dependent depression item 4 was no longer significant ( $p=0.01$ ).

## Discussion

Our study suggests that anxiety and not depression is an independent short-term RCE risk factor, when considering both dimensions simultaneously. Indeed, the association between anxiety and RCE risk remained significant when further adjusted for depression, with a 22% increased risk (HR 1.22, 95% CI 1.05–1.41) in the following 12-to-18 month time-window for every 1-point increase in anxiety score (scale: 0-to-9). Our findings add evidence to a potential causal relationship as many of the causality principles of Bradford-Hill (Hill, 1965) are verified, such a strength, temporality and dose-response relationship. To our knowledge, this is the first time anxiety as an RCE risk factor has been examined taking into account time-to-event. In addition, the different individual HADS items associated in the two types of analyses suggest specific symptoms may be related differently to RCEs depending on the time-frame.

### Anxiety as an RCE risk factor

Despite inconsistencies regarding anxiety as an RCE risk factor, with some studies reporting no association (Kornerup et al., 2011; Lane et al., 2001; Tully et al., 2015; Versteeg et al., 2013) and one a protective effect (Meyer et al., 2015), our findings add to a body of evidence suggesting an increased long-term RCE risk with anxiety disorders (Tully et al., 2015; Tully, Cosh, & Baumeister, 2014) or symptoms (Frasure-Smith & Lesperance, 2008; Van Beek et al., 2016; Watkins et al., 2013). Two other studies found positive associations between anxiety symptoms and RCEs using the 7-item HADS-A, dichotomised

**Table 1.** Sample description at baseline according to the occurrence of an RCE at follow-up (*N* = 595)

Variables	RCE = no ( <i>N</i> = 500)	RCE = yes ( <i>N</i> = 95)	<i>p</i> <sup>a</sup>	<i>p</i> <sup>b</sup>
	%	%		
Age at cohort entry <sup>c</sup>	71.7 (13.9)	71.8 (18.4)	0.34	NA
Sex (male)	70.4	71.6	0.50	NA
Education (>10 years)	52.0	52.6	0.87	NA
Ethnicity (White)	89.0	88.4	0.42	NA
Living alone (Yes)	35.2	36.8	0.56	NA
Smoking status				
Never	30.4	28.4		
Former	54.2	61.1		
Current	15.4	10.5	0.49	NA
Alcohol (units per week)				
None	26.8	27.4		
0–10 units	47.8	49.5		
>10 units	25.4	23.2	0.86	NA
BMI				
Normal	21.8	25.2		
Overweight	45.2	47.4		
Obese	33.0	27.4	0.75	NA
Diabetes	26.0	19.0	0.32	0.30
Hypertension	55.8	49.5	0.12	0.13
Arthritis	16.6	15.8	0.82	0.69
COPD	10.0	14.7	0.13	0.12
Active cancer	10.8	13.7	0.32	0.25
CKD	18.4	22.1	0.47	0.42
Asthma	7.6	4.2	0.34	0.34
Chest pain				
None, mild	77.4	67.4		
Moderate	13.4	20.0		
Severe	9.2	12.6	0.03	0.16
Social problems				
No problems	49.0	37.9		
1 problem	31.8	40.0		
2–7 problems	19.2	22.1	0.50	0.35
Diagnosis at registry entry				
MI	40.8	49.5		
Ischemic heart disease	48.4	48.4		
Other	10.8	2.1	0.08	NA
Age at registry entry <sup>c</sup>	60.4 (17.2)	58.8 (21.2)	0.02	NA
Quality of life score <sup>c</sup>	3 (2)	4 (2)	0.04	0.001
HADS-Agitation (0–9) <sup>c</sup>	1 (2)	1 (2)	0.12	0.13
HADS-Anxiety (0–12) <sup>c,d</sup>	2 (3)	3 (3)	0.001	0.001
HADS-Depression (0–21) <sup>c,d</sup>	2 (3)	3 (5)	0.007	0.01

COPD, chronic obstructive pulmonary disease; CKD, chronic kidney disease; NA, not applicable or unavailable at follow-up.

<sup>a</sup>Cox proportional Hazard model adjusted for sex, for baseline variables.

<sup>b</sup>Cox proportional Hazard model adjusted for sex, for time-dependent variables.

<sup>c</sup>Median (IQR).

<sup>d</sup>For a 1.33 point increase in risk for ANX and a 2.33 point increase in risk for DEP, for comparability purposes between the three sub-scales (see section 'Methods').

**Table 2.** Associations between baseline HADS sub-scales and RCE at follow-up (95 events/595)

Baseline HADS sub-scales	Model 1 <sup>a</sup>	
	HR (95% CI)	<i>p</i>
Agitation (0–9)	1.09 (0.96–1.25)	0.20
Anxiety (0–12) <sup>b</sup>	1.18 (1.06–1.32)	0.002
Depression (0–21) <sup>b</sup>	1.19 (1.04–1.36)	0.01
Interaction models		
Anxiety <sup>b</sup> where		
Depression score 0–1	1.45 (1.07–1.96)	0.02
Depression score 2–4	1.25 (0.98–1.61)	0.08
Depression score 5+	0.99 (0.83–1.18)	0.92
Depression <sup>b</sup> where		
Anxiety score 0–1	1.77 (1.04–2.99)	0.03
Anxiety score 2–4	1.18 (0.92–1.51)	0.19
Anxiety score 5+	0.95 (0.71–1.26)	0.71

<sup>a</sup>Adjusted for sex, diagnosis at entry (MI no/yes), smoking, chest pain, hypertension and chronic obstructive pulmonary disease.

<sup>b</sup>For a 1.33 point increase in risk for ANX and a 2.33 point increase in risk for DEP, for comparability purposes between the 3 sub-scales.

by applying the 8+ threshold (Zigmond & Snaith, 1983). In one, anxiety was measured in 804 stable CHD patients approximately 2 months after hospital discharge for an acute coronary syndrome with a 2-year follow-up for RCEs (Frasure-Smith & Lesperance, 2008). In the other, anxiety assessed during hospitalisation for coronary angiography increased the 3-year risk of all-cause mortality in 934 patients (Watkins et al., 2013). In a recent study of 396 MI patients, cardiac anxiety was associated with an increased 4-year RCE risk. Anxiety was assessed using the Cardiac Anxiety Questionnaire, which focuses on symptoms typically triggered by MI, namely fear, attention, avoidance of physical exercise and safety-seeking behaviour (Van Beek et al., 2016). In keeping with these studies, we found the association between anxiety and both short-term and long-term RCE risk to be robust to the effect of cardiac disease severity. We adjusted for severity in our study using diagnosis at registry entry and chest pain as proxies.

In addition, we found the anxiety but not the agitation subscale to be associated with an increased RCE risk. The agitation subscale can be considered less ‘anxiety-specific’ than the anxiety subscale. Indeed it focuses on restlessness, tenseness and difficulties in relaxing whereas the anxiety items capture fear and panic responses related to physical hyperarousal of anxiety. Intuitively, feeling ‘wound-up’ could be considered risk inducing, especially as relaxation therapy is a common feature of secondary CVD prevention programmes (Whalley, Thompson, & Taylor, 2014). However, these symptoms alone distinguished from the other more anxiety-specific ones may not be sufficient, nor meet alone plausibility criteria for the pathophysiological processes linking anxiety to cardiac events. To the best of our knowledge, only one other study has looked at the different subtypes of anxiety and no other has used any of the HADS three-factor structures. Roest et al. (2014) examined the Hamilton Anxiety and Depression Rating Scale symptom dimensions in 418 patients in relation to recurrent MI and all-cause mortality (Roest et al., 2014). Associations were significant for somatic anxiety, but a

trend only was observed for psychological anxiety. Comparison with the HADS is limited due to the partial overlap of items covered by the different scales. For instance, there are no questions in the HADS on somatic anxiety, and no assessment of insomnia. In a previous analysis, we found the somatic depression dimension of the PHQ-9 which includes insomnia to be a short-term RCE predictor (Norton et al., 2020).

With some exceptions where, for instance, the prognostic effect of anxiety on survival was examined over 12 months (Lane et al., 2001), most studies focus on the longer-term effect over 2 (Frasure-Smith & Lesperance, 2008), 3 (Shibeshi et al., 2007; Watkins et al., 2013) or 4–5 years (Frasure-Smith & Lesperance, 2003; Martens et al., 2010; Meyer et al., 2010; Meyer et al., 2015; Strik et al., 2003; Van Beek et al., 2016; Versteeg et al., 2013). When reported, intra-study variability in follow-up is considerable; with, for example, mean (s.d.) follow-up durations of 5.7 (0.8) (Meyer et al., 2010) and 4.2 (2) (Van Beek et al., 2016) years. In our study, patients were followed for 3 years with a median time-to-event from baseline of 22.3 months (IQR: 13.4) and in the time-dependent analysis, the median time-to-event was 14.1 months (IQR: 3.5). Associations observed for anxiety in the two longitudinal analyses managing time-to-event differently, and allowing for an event-free period between exposure and outcome, lend support to the temporality principle for causality (Hill, 1965). Furthermore, so far, no studies have examined the short-term effect of anxiety coupled with a time-dependent approach. Yet, restricting the risk to a specific time window (12-to-18 months) can be particularly useful to clinicians for anticipating RCE risk and setting up preventative measures and interventions.

### *The effect of anxiety moderated by or adjusted for depression*

Previous studies on the independent associations of anxiety and depression with long-term RCEs have shown mixed results, some retaining anxiety alone (Shibeshi et al., 2007; Watkins et al., 2013), some depression alone (Versteeg et al., 2013), some both (for DSM-IV disorders only; Frasure-Smith & Lesperance, 2003) and some neither (Lane et al., 2001; Roest et al., 2014). Some studies only report findings for anxiety, robust to adjustment for depression (Martens et al., 2010; Van Beek et al., 2016). Although most examined depression and anxiety entered simultaneously as covariates into the same model (Roest et al., 2014; Van Beek et al., 2016) or constructed composite variables (Frasure-Smith & Lesperance, 2008), few tested the interaction between the two (Martens et al., 2010; Watkins et al., 2013).

To our knowledge, three other studies have administered both HADS subscales to compare the effects of anxiety and depression on cardiac prognosis (Kornerup et al., 2011; Versteeg et al., 2013; Watkins et al., 2013). In a sample of 536 hospitalised CVD patients, Kornerup et al. (2011) found no evidence of an association between HADS-A or HADS-D 11+ scores, CVD and all-cause mortality over a 5-year follow-up. Conversely, Versteeg et al. (2013) found depression but not anxiety to be associated with rehospitalisations and 5-year mortality in 610 CHD patients. Moreover, in the absence of a moderating effect ( $p=0.66$ ), Watkins et al. (2013) found anxiety only to be a significant independent predictor of all-cause mortality in an extended multi-adjusted model. These latter two studies examined HADS-A and HADS-D as binary variables using the 8+ score threshold (Zigmond & Snaith, 1983). In our baseline analysis, the interaction terms for anxiety with depression in terciles and for

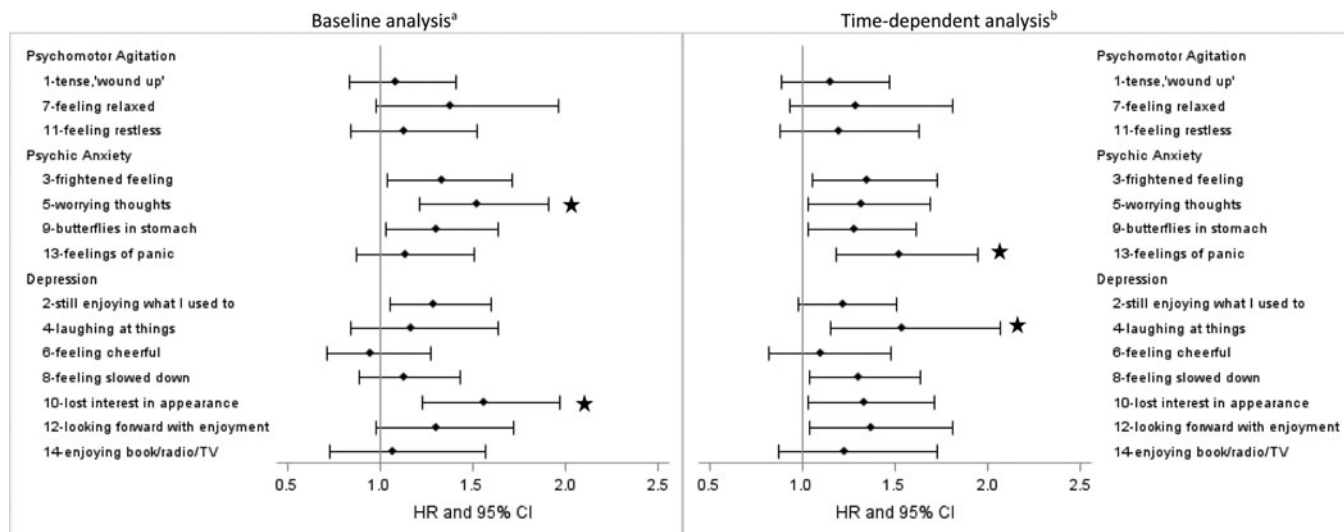
**Table 3.** Associations between time-dependent HADS sub-scales and RCE at follow-up (95 events/595)

HADS sub-scales	Model 1 <sup>a</sup>		Model 2 <sup>b</sup>	
	HR (95% CI)	<i>p</i>	HR (95% CI)	<i>p</i>
Agitation (0–9)	1.11 (0.98–1.26)	0.11	–	
Anxiety (0–12) <sup>c</sup>	1.21 (1.09–1.36)	0.0007	1.22 (1.05–1.41)	0.009
Depression (0–21) <sup>c</sup>	1.19 (1.03–1.37)	0.02	1.05 (0.87–1.27)	0.61

<sup>a</sup>Model 1: adjusted for sex, diagnosis at entry (MI no/yes), smoking, and time-dependent chest pain, hypertension and chronic obstructive pulmonary disease.

<sup>b</sup>Model 2: model 1 further adjusted for depression in tertiles for ANX and anxiety in tertiles for DEP.

<sup>c</sup>For a 1.33 point increase in risk for ANX and a 2.33 point increase in risk for DEP, for comparability purposes between the 3 sub-scales.



**Fig. 1.** Associations between baseline and time-dependent HADS items and RCE at follow-up (95 events/595). Note: Scoring reversed for positively phrased items 2, 4, 6, 7, 12 and 14. (a) Adjusted for sex, diagnosis at entry (MI no/yes), smoking, chest pain, hypertension and chronic obstructive pulmonary disease. (b) Adjusted for sex, diagnosis at entry (MI no/yes), smoking, and time-dependent chest pain, hypertension and chronic obstructive pulmonary disease. \* Significant association when applying Bonferroni correction with *p* values set at *p* = 0.0125 for anxiety and *p* = 0.007 for depression.

depression with anxiety in tertiles were above the set significance thresholds and therefore retained in the models. HRs were presented for each tertile of the moderating factor. We found that anxiety was a significant RCE risk factor in patients with a low depression level (score: 0–1), with a trend only in those with a moderate level (score: 2–4). Depression was a weaker RCE risk factor, both before and after stratification by anxiety level. Overall, this suggests a more complex effect of anxiety and depression symptoms as long-term RCE risk factors when symptoms are not limited to those specific to one of the dimensions only. This is in keeping with Frasure-Smith and Lesperance’s underlying negative affectivity dimension, shared by scales measuring depression, anxiety and anger, which was shown to be associated with long-term cardiac-related mortality (Frasure-Smith & Lesperance, 2003).

In the time-dependent analysis, the lack of significance of the interactions between anxiety and depression in tertiles and depression and anxiety in tertiles suggests that anxiety and depression act as independent risk factors. Our findings highlight the strong role of anxiety as an independent short-term RCE risk factor, even when controlling for depression. This is in keeping with a greater effect found for anxiety as an all-cause mortality risk factor in CHD patients (Watkins et al., 2013). To our knowledge, there is no other study of the joint effects of anxiety and

depression on RCEs using a time-dependent approach to which our findings can be compared.

### Individual psychic anxiety items as RCE risk factors

The analysis of specific subscale items reveals different associations for long-term and short-term risks. For anxiety, whereas item 5 (worrying thoughts) was associated with RCE in the baseline analysis, item 13 (feelings of panic) was the only significant item in the time-dependent approach. It has been suggested that the HADS has poor trait coverage due to its narrow focus (Norton, Cosco, Doyle, Done, & Sacker, 2013). However, the ‘worrying thoughts’ item could tentatively be measuring trait rather than state-worry, thus increasing the RCE risk regardless of the variability in time-to-event. Its effect may be modulated by state items that would have a greater short-term impact on outcome. This lends support to a differential effect of anxiety types – extended to specific symptoms – on RCEs, further modulated by the timing of the exposure-outcome matrix.

### Mechanisms for anxiety as an RCE risk factor

There are several potential direct mechanisms that could explain the adverse effect of anxiety on RCE, especially as a short-term

risk factor. Pathophysiological processes are well documented and include notably arrhythmic mechanisms and dysfunction of the autonomic nervous system and hypothalamic–pituitary–adrenal axis, leading to increased catecholamine stimulation of the myocardium (Chrousos, 2009; Fisher & Newman, 2013; Pereira, Cerqueira, Palha, & Sousa, 2013). Indirect behavioural pathways include unhealthy lifestyle (inactivity, diet, as well as alcohol and smoking, which were taken into account in the current study) (Bonnet *et al.*, 2005). Regarding health-seeking behaviour, anxiety can confer both a protective effect by inducing more regular follow-ups and engagement in preventive care strategies, as well as a negative effect, by triggering social inhibition and a negative coping strategy. One small study on 76 patients found no effect of anxiety on compliance to medical follow-up and medication (Benninghoven *et al.*, 2006). This is in keeping with the inconsistent findings as to the prognostic long-term effect of anxiety on RCEs. A recent study from the UPBEAT-UK cohort investigated the direction of the association between chest pain, anxiety and depression and concluded that anxiety and depression tended to be consequences rather than causes of chest pain in CHD patients (de Heer *et al.*, 2020).

### Limitations

One of the main limitations was the heterogeneity of cardiac events, both at registry entry and as the outcome variable. Indeed, anxiety has been shown to have opposite effects on 5-year survival in different types of patients, with a protective effect for those with a stable CHD condition, an increased risk for post MI left-ventricular patients and a lack of effect for other MI patients (Meyer *et al.*, 2010). Regarding the outcome, there is always a risk of misclassification of anxiety-related symptoms or disorders such as panic as cardiac-related chest pain, especially when examining anxiety as a short-term predictor. To minimise this, we left a 12-month event-free period between exposure assessment and outcome. We thus aimed to reduce the risk of a simultaneous onset of the two, with anxiety acting as a marker of disease rather than as a risk factor. It could be argued that in addition to a possible causal mechanism, anxiety could result in a lower threshold for rapid access consultation. This cannot be excluded, but we believe that the 12-month RCE-free gap between assessment and event reduces this possibility. In addition, we performed a sensitivity analysis, removing the 40 less severe ‘rapid access’ events from the 95 RCEs. Although effect sizes for baseline HADS remained unchanged, there was a reduction in effect size for time-dependent anxiety, which no longer remained statistically significant. Another limitation was the absence of data on measures of disease severity. For example, we had no data on left ventricular ejection fraction, which would have provided a better adjustment for cardiac severity than chest pain used as a proxy, along with diagnosis at registry entry. In addition, we were not able to adjust for medication, psychotropic or cardiac-related, nor for treatment compliance.

### Strengths

An important strength of our study is the use of the HADS, which despite some controversy (Cosco, Doyle, Ward, & McGee, 2012; Norton *et al.*, 2013; Straat, van der Ark, & Sijtsma, 2013) is still today recognised as a useful well-validated tool for assessing depression and anxiety symptoms in medically ill patients (Bjelland, Dahl, Haug, & Neckelmann, 2002). Indeed, it focuses

on psychosocial rather than somatic symptoms, sleep or appetite (which we studied previously; Norton *et al.*, 2020) and thus avoids false-positives in clinical settings. Furthermore, we used the 3-factor symptom classification, identified as being the best fit for cardiac disease patients (Barth & Martin, 2005; Hunt-Shanks *et al.*, 2010; Martin *et al.*, 2003; Martin & Thompson, 2000; Martin, Thompson, & Barth, 2008). We chose Friedman *et al.*’s classification (Friedman *et al.*, 2001) rather than Dunbar *et al.*’s (Dunbar *et al.*, 2000), as the item distinguishing the two, worry, is recognised as an important aspect of general anxiety rather than a sign of restlessness and agitation. This choice allowed us to extract the most anxiety-specific symptoms from the initial seven HADS-A items and our differential findings for the subscales adds to the plausibility of the mechanisms explaining the anxiety-RCE association. Moreover, we believe that the careful choice of the time-span during which exposure and outcome were measured gives further strength to our study. In addition to the 12-month event-free period between exposure assessment and outcome, we removed patients who entered the register in the 6-months preceding baseline to avoid reverse-causality. But, above all, the time-dependent approach allowed us to examine the time-to-event standardised effect of symptoms on RCEs. The overall design allowed us to verify causality principles of temporality and dose–response relationship, which along with the strength of the association lends support to a causal relationship. Further studies are required to explore potential mediating effects on the causal pathway.

### Conclusion

Our study suggests that anxiety is an independent short-term risk factor for RCEs in heart disease patients as well as a stronger risk factor than depression. This effect is specific to a set of symptoms reflecting more general anxiety, fear and panic, rather than symptoms of restlessness and agitation. The two analytical approaches indicate a differential effect of anxiety according to the presence of concomitant depressive symptoms, over the long and short term. Our findings highlight the need for regular assessments of anxiety, focusing on specific symptoms, in order to evaluate both the long and short-term risk. Assessing risk narrowed down to a specific time-window can be particularly useful to clinicians for referring patients to secondary CVD prevention programmes. The timing and regularity of these interventions, with for example personalised care (Barley *et al.*, 2014) focusing on the psychological treatment for the management of fear, worry and panic symptoms, is crucial.

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