

# Heterogeneity in fishers' and managers' preferences towards management restrictions and benefits in Kenya

TIMOTHY R. MCCLANAHAN<sup>1\*</sup>, CAROLINE A. ABUNGE<sup>2</sup> AND JOSHUA E. CINER<sup>3</sup>

<sup>1</sup>Wildlife Conservation Society, Marine Programs, Bronx, NY 10460, USA, <sup>2</sup>Coral Reef Conservation Project, PO Box 99470, Mombasa 80107, Kenya, and <sup>3</sup>Australian Research Council Centre of Excellence for Coral Reef Studies, James Cook University, Townsville, Queensland, Australia  
Date submitted: 4 August 2011; Date accepted: 3 May 2012; First published online: 18 July 2012

## SUMMARY

Increasing the chances that resource users engage in and comply with management regulations is a continual problem for many conservation initiatives globally. This is particularly common when resource users perceive more personal costs than benefits from specific management actions. Analysis of interviews with managers and fishers from 22 landing sites along the coast of Kenya indicated how key stakeholders perceived the scale of benefits and costs from different management strategies. Potential underlying causes of divergent perceptions towards different management tools were evaluated, including marine protected areas, no-take fisheries closures, gear use, minimum size of fish caught and species restrictions. The analysis identified three distinct opinion groups: (1) a group of nine landing sites that scaled their preference for most management restrictions neutral to low, with exceptions for minimum sizes of captured fish and gear restrictions; (2) a group of eight landing sites that scaled their preference for the above and species restrictions and closed season higher, and were more neutral about closures and marine protected areas; and (3) a group containing four landing sites and the managers' offices that rated their preference for the above and closed areas and marine protected areas as high. Logistic regression was used to examine whether these groups differed in wealth, education, age, perceptions of disparity in benefits, dependence on fishing and distance to government marine protected areas. The most frequent significant factor was the resource users' perceived disparity between the benefits of the management to themselves and their communities, with the benefits to the government. Consequently, efforts to reduce this real or perceived disparity are likely to increase adoption and compliance rates. Most widespread positively-viewed restrictions, such as gear use and minimum size of fish, should be promoted at the national level while other restrictions may be more appropriately implemented at the community level.

*Keywords:* authority, co-management, democracy, fisheries regulations, governance, local ecological knowledge, socioecological systems

## INTRODUCTION

Management of resources relies heavily on the perceptions of resource users and managers, and their ability to share and implement common goals (Nelson 1995; McClanahan *et al.* 2005a, b; Gelcich *et al.* 2006, 2008, 2009). The low probability of detection by enforcement patrols in fisheries (Kuperan & Sutinen 1998) suggests that the success of management is likely to be facilitated when stakeholders self-enforce management by agreeing on the types of management that they prefer, select leadership that represents and enforces their interests and work collaboratively towards implementation of these activities (Ostrom 1990; Jentoft 2003; Napier *et al.* 2005; Gutierrez *et al.* 2011). This is expected to require a blending of authoritarian and communal approaches where the resulting co-management may require more democratic and collective agreement rather than autocratic or technocratic imposition of decisions (Cocklin *et al.* 1998; Jentoft *et al.* 1998). In these situations, management solutions are focused on what can be achieved at the lowest social cost (McClanahan *et al.* 2008). Poor recognition of these social processes and potential conflicts frequently leads to limited success in the implementation of management (McClanahan 1999; Christie 2004; Beddington *et al.* 2007; Hilborn 2007).

A first step towards evaluating social costs is to understand the perceptions of stakeholders about different management tools through surveys of resource users and managers. Stakeholders' perceptions can reveal the degree to which they believe specific management actions will impose social and economic costs. The results of these surveys can be used to inform the temporal and spatial implementation of management restrictions (Christie *et al.* 2003). Adoption of management is expected to benefit from a preliminary survey of preferences that include independent opinions from stakeholders, their willingness to participate in proposed restrictions, and their perceptions of who benefits from restrictions (Mehta & Heinen 2001; Picard 2003; McClanahan 2007). Identifying priority management actions allows for a strategy where the most agreeable or least objectionable restrictions are prioritized for implementation so that local

\*Correspondence: Dr Timothy McClanahan Tel: +254 734 774 225  
e-mail: tmccclanahan@wcs.org

successes can be built upon. We use the assumption that, other things being equal, management is more likely to succeed where there are high levels of support than where support is low or absent. The measures with the highest levels of support are recommended as a starting point for engagement in the management process. In principle, if initial management actions are successful and benefits accrue and are fairly distributed among stakeholders, management could move on to the next most agreeable restrictions if they are needed and wanted.

Fisheries management primarily includes restrictions on area, time, size, species, gear and effort. Preferences, costs and benefits of these restrictions will vary according to the scale of the management, and the perceptions of their value will depend on scale of the individual occupations, experience and education (Jacobson & Marynowski 1997; McClanahan *et al.* 2008). Consequently, it is common to have disparities in perceptions between resource users dependent on the real or perceived costs and benefits and the scales at which they accrue (Aswani 2005; McClanahan *et al.* 2005a, b; Richardson *et al.* 2005). Management informed by natural scientific investigation seldom considers local and immediate social costs but considers the larger spatial and temporal scale of ecological benefits. These ecological benefits occur at the scale that educated technicians and managers often employed at regional or national levels perceive and value benefits (Hicks *et al.* 2009). Conversely, the short-term costs and benefits of restrictions are most strongly felt by extractive users. People perceive the scales of these benefits differently and this is expected to influence whether and how people engage in and comply with management measures (McClanahan *et al.* 2008; Thomassin *et al.* 2010). Resolution of these psychological, economic and governance challenges of co-management of common-property resources holds promise for achieving higher compliance for sustainable resource use (Gutierrez *et al.* 2011; Cinner *et al.* 2012).

What then are the factors that lead to divergent perceptions about management? Previous evaluations have shown that education, agriculture and salaried employment alternatives, and history of co-management, education and interactions with managers can be critical (McClanahan *et al.* 2005a, b, 2008; Gelcich *et al.* 2009). Restrictions that are perceived to benefit government or business elite as opposed to resource users (what is referred to as ‘elite capture’) are expected to lead to weak support (Christie 2004; Béné *et al.* 2009). Therefore, conflicts over marine resources can arise because of the heterogeneity in perceptions driven by perceived disparities in benefits (Christie 2004; Béné *et al.* 2009). Identifying the specific restrictions that lead to higher degrees of perceived elite capture, and whether these are related to specific socioeconomic contexts can serve to provide critical information for planning, research, management, awareness and education (for example Nazarea *et al.* 1998; McClanahan *et al.* 2008). Yet, many studies examining users’ perceptions of management do so over relatively small spatial scales (such as one village or several villages), limiting the capacity to

examine broader trends and their causes. Consequently, the objectives of this study were to: (1) examine the preferences and perceived benefits of management options areas along the entire Kenyan coastline, where our experience suggested considerable heterogeneity in opinions and management systems, both between resource users and managers, but also among fish landing communities; (2) examine the socioeconomic characteristics of key stakeholders (including fishers and managers); and (3) analyse how geographic and socioeconomic characteristics are related to stakeholders’ perceptions about management. We use this information to make policy and process recommendations.

### Social context of resource management in Kenya

Historical conflict between local social traditions and norms and legislated national-level management are common in Kenya and elsewhere (McClanahan *et al.* 1997, 2005c; Walley 2004; Béné *et al.* 2009). In some instances, participatory processes have reduced or resolved conflicts while in other instances they have stalled or failed to find solutions (Walley 2004; McClanahan 2007; Wells *et al.* 2010). For example, Kenyan national laws prohibit the use of pull seine nets and spearguns, but an estimated 60% of fishers actively use these illegal gears (McClanahan *et al.* 2005b). Conversely, some fishing communities that adhere to traditional management see these nets and other gear and forms of management as ‘against tradition’, but have had difficulties getting both local fisher and government support for their local rules (McClanahan *et al.* 1997). This has created a heterogeneous or fractioned management system that can often differ from place to place based on the interactions of various formal and informal organizations, power and economic incentives at specific fisheries grounds (McClanahan 2007). In this context, we were interested in examining how different types of management scenarios, including restrictions on time, size, gender, species, gear and effort, would be viewed by resource users and managers, and if these views could be predicted by their perceptions of who benefits from the management and their education, history of management and their economies.

## METHODS

### Study sites

Field studies of fishing communities and resource managers were undertaken in 22 fish landing sites distributed along the entire Kenyan coastline, ranging from the Lamu archipelago in the north near the Somali border to Shimoni in the south near the Tanzania border (Appendix 1, Fig. S1, see supplementary material at Journals.cambridge.org/ENC). The fish landing site communities were usually composed of groups of c.10–100 fishers who landed their catch at shared beach landing site. Fishers captured fish in nearshore mangrove, seagrass, and coral reef ecosystems using traditional handmade canoes, sailboats and various gear (lines,

traps, spears and various nets). Some resource managers were interviewed in field situations, but also in the local or regional offices of the park service (Kenya Wildlife Service) and the fisheries department.

### Sampling methods

Interviews of 402 people were completed over a 16-month period between April 2008 and August 2009 (Appendix 2, see supplementary material at [Journals.cambridge.org/ENC](http://Journals.cambridge.org/ENC)). Interviews included a total of 373 fishers from 22 landing sites and 19 managers, which included 10 marine park attendants and nine fisheries officers. Interviews were undertaken either at the landing sites or at fishers home, when fishers asked to be interviewed at their homes. Fishers were often in transit at the landing sites and often preferred to be interviewed at their homes. In order to sample proportionally in an unbiased way, the number of resource users at the sites were determined from discussions with leaders and direct observation and classified by the main gear types they used. The fishers were then numbered one to  $n^{\text{th}}$  in each dominant gear-use category and these numbers were randomly selected to identify the person for interviewing, but such that their proportion to the gear used at the landing site was constant. The list of fishers was obtained from the landing sites and from various fisher groups at the site. They were listed with their main gear and, in case of multi-gear users, the fishers were listed by their primary gear. In the few cases when a randomly selected fisher could not be found, an alternative was selected as the next person on the list from the same gear category. Managers were considerably fewer than the resource users and therefore all available managers were interviewed.

Interviewees were asked to rate their level of agreement with various management options on a five-point Likert rating using a previously described questionnaire (McClanahan *et al.* 2008). Briefly, the questions addressed six management options: area-based management, spatial closures, seasonal closures, management restrictions on gear, limits on the minimum size of landed fish and limits on the species caught. Questions were asked as 'do you believe that minimum size restrictions on landed fish is a good way to sustain fisheries' and the same question was asked again for each restriction. Levels of agreement with these restrictions, as evaluated by the Likert rating, included agree completely, agree somewhat, neutral, disagree somewhat, and disagree completely. Don't know was recorded separately and then dropped from the analyses.

Respondents were also asked to rate the extent to which themselves, the community and the government benefited from these various restrictions by marking an x on a 10-cm scale that ranged from low to high benefits. Specific questions were asked about specific restrictions, including what is the appropriate area for marine protected area management, closure size and minimum length of fish for these restrictions. Respondents were specifically asked to specify their preferred size of closures (in km<sup>2</sup>) and minimum size for fish (in cm).

Finally, respondents were asked questions about their socioeconomic conditions, including their occupations and importance, the material status of their households, incomes, age, gender, level of education, area of origin and involvement in community groups (Pollnac *et al.* 2001; Cinner *et al.* 2010). A material style of life metric was created from the first axis of the PCA based on the ownership of a list of household items (Cinner *et al.* 2010). The distance that a community was to a park was calculated from maps and used as a proxy for experience with protected area management.

### Data analyses

We were first interested in determining if levels of agreement with different management strategies varied between landing sites/villages and professions, specifically fishers and managers. Consequently, we used the per cent similarity and hierarchical cluster analyses using the Ward method based on the mean levels of agreement at each landing site and each profession. Three clusters of distinct groupings arose from this analysis and these clusters formed the basis for portions of subsequent analysis along with analyses at the level of the individual respondent. In general, scaling of restrictions was on the positive side of the rating and clusters were therefore referred to as strongly positive, positive and weakly positive based on their relative scaling of management preferences.

To evaluate discrepancies in perceived benefits, we took the difference between the pairs of all possible benefits (government-self, government-community, community-self) and evaluated these differences in terms of the perceived disparity in individual perceptions of the management options. We then evaluated the perceived disparity for the three management preference clusters of landing sites and professions. The largest measured disparity (government-self) was used in the final logistic step-wise regression analysis with characteristics of the respondent to test if respondent characteristics were associated with their sense of disparities in management benefits.

We used the following statistical tests of significance: (1) one-way ANOVA and post-hoc Tukey to examine differences in respondents' socioeconomic characteristics between the three management preferences clusters (strongly positive, positive and weakly positive); (2) nested ANOVA analyses to examine differences in levels of agreements with different management restrictions between the three preference cluster groups with sites (using site averages) nested within clusters; (3) bivariate regression analyses of the perceived disparities between management beneficiaries; and (4) logistic step-wise multiple regression analysis to examine whether respondents' socioeconomic characteristics were associated with their perceived benefits, level of agreement with and perceived disparity arising from different management restrictions. Cumulative frequency distributions were plotted to evaluate respondent suggestions for the minimum size of landed fish

and the proposed sizes of closures and marine protected areas. JMP software was used for the analyses (Sall *et al.* 2001).

**RESULTS**

**Socioeconomic characteristics of stakeholders**

Fishers had a mean age of 40 years, just over five years of education, 2.2 jobs per household and, on average, lived 31-km from the nearest marine protected area (Appendix 2, see supplementary material at Journals.cambridge.org/ENC). Fishers differed from government employees in being older and having more household jobs. Government employees had 12–13 years of education and a lower perceived disparity of benefits than fishers (Table 1).

**Stakeholders’ perceptions of management**

Cluster analysis of the responses to the management restrictions indicates that there were three broad groupings with the cluster group, management and their interaction being statistically significant (Appendix 1, Fig. S2, see supplementary material at Journals.cambridge.org/ENC). The group that rated restrictions strongly positive included the government employees and fishers at four landing sites (Vipingo, Mkokoni, Shimoni and Mkwiro) (Fig. 1, Appendix 1, Fig. S2, see supplementary material at Journals.cambridge.org/ENC). Seven landing sites rated the restrictions in a positive and eleven in a weakly positive way depending on the restriction (Fig. 1, Appendix 1, Fig. S2, see supplementary material at Journals.cambridge.org/ENC).

**Management preferences among groups**

There were statistically significant differences between the three management preference clusters regarding how they rated their level of agreement with all restrictions except species and gear (Fig. 1, Table 2). All groups rated equally high levels of agreement with gear restrictions and this was rated as the most agreeable of all potential restrictions. In contrast, all groups rated species restrictions with low levels of agreement. There were statistically significant but weaker differences in minimum length restrictions, which were rated high for all clusters. The largest differences among the three clusters were their level of agreement with protected areas and closed areas, and closed seasons. These restrictions were what largely distinguished the clusters. The strongly positive cluster rated all restrictions positively, but had higher levels of agreement with minimum length and gear restrictions than for closed seasons. The positive group rated closed seasons positively, but was neutral on protected areas and negative on closures. The weakly positive group was neutral on protected areas, but rated closed seasons, closed areas and species selection negatively.

**Table 1** Summary of key descriptions (mean ± standard errors of the mean [SEM]) of the respondents in the three clusters of management preferences and one-way ANOVA test of significance. Material style of life metric is a multivariate principal component analysis (PCA) scaling based on ownership of various household items, where positive values indicate greater material assets and *vice versa*. Results of a post-hoc Tukey test comparing individual means where values preceded the same letter (A, B, C) are not significantly different from each other. NS = not significant.

Cluster group	Age of respondent, (years)	Level of education (years)	Biweekly expenditure (US\$)	Perceived mean disparity	Fishing ranking	Total jobs per household (n)	Distant from park (km)	Material style of life
Weakly positive	B 40.0 ± 0.5	A 4.6 ± 0.12	A 63.6 ± 0.8	A 2.8 ± 0.11	B 1.1 ± 0.02	B 2.1 ± 0.02	A 37 ± 0.5	A -2.0 ± 0.2
Positive	A 42.0 ± 0.7	B 5.1 ± 0.2	A 63.7 ± 1.2	B 1.9 ± 0.16	B 1.1 ± 0.0	B 2.0 ± 0.03	B 26 ± 7.4	AB -0.12 ± 0.0
Strongly positive	B 38.0 ± 0.9	C 7.2 ± 0.2	A 64.8 ± 1.4	B 1.9 ± 0.20	A 1.3 ± 0.0	A 2.4 ± 0.04	C 15 ± 9.6	B 0.26 ± 0.04
ANOVA								
R <sup>2</sup>	0.0005	0.05	0.00003	0.01	0.03	0.03	0.21	0.04
F ratio	6.6	52.1	0.27	14.4	29.9	30.5	276.3	41.5
p <	0.0014	0.0001	NS	0.0001	0.0001	0.0001	0.0001	0.0001

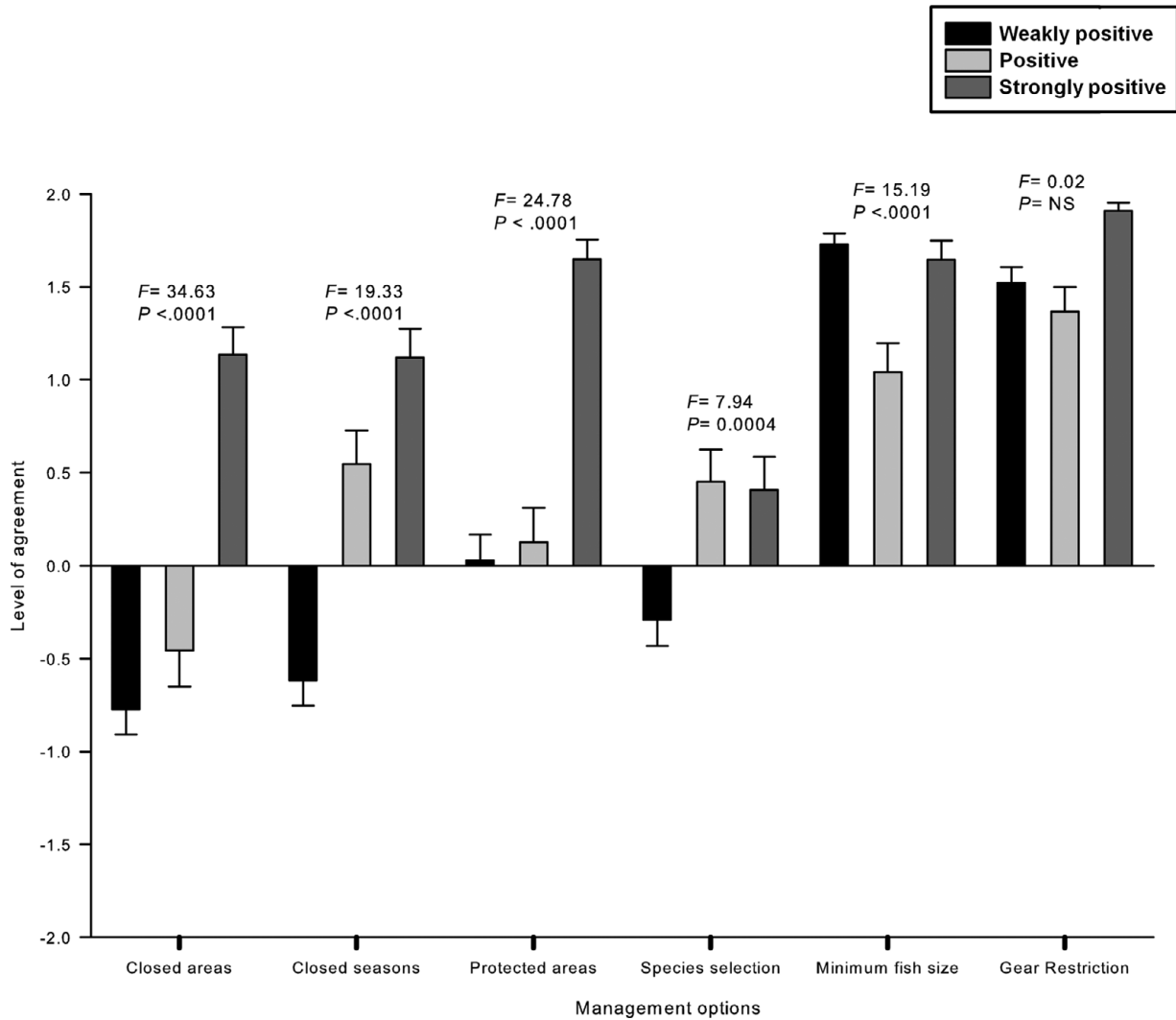


Figure 1 Scaling of the management restrictions pooling responses into the three major management preference clusters. Results of the statistical tests of significance are given in Table 2. Error bars are standard errors of the mean.

Table 2 Nested ANOVA analysis of perceived benefit by management options of the three beneficiaries, self, community and government, as rated by the respondents. Scaled values are shown in Figure 3. NS = not significant.

Management options	Variables	F ratio	p value
Closed areas	Clusters	14.7	<0.0001
	Landing sites [clusters]	3.0	<0.001
Closed season	Clusters	20.7	<0.0001
	Landing sites [clusters]	2.0	<0.006
Protected areas	Clusters	21.4	<0.0001
	Landing sites [clusters]	3.0	<0.0001
Species selection	Clusters	1.2	NS
	Landing sites [clusters]	1.9	<0.008
Minimum fish size	Clusters	4.1	<0.02
	Landing sites [clusters]	2.1	<0.002
Gear restriction	Clusters	1.1	NS
	Landing sites [clusters]	3.0	<0.001



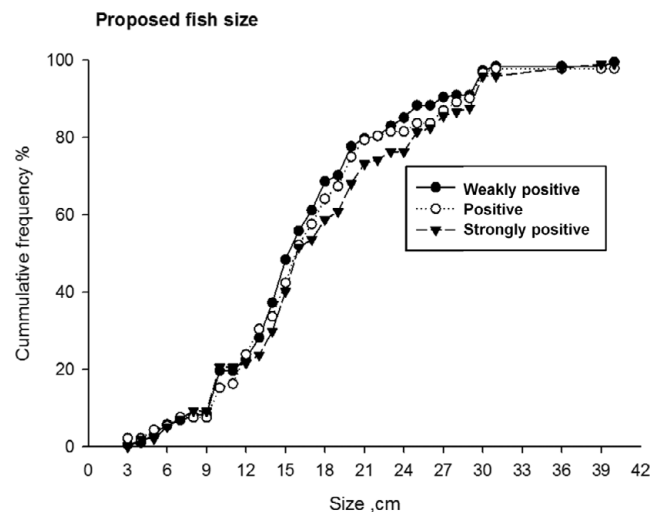
**Table 3** Summary of sizes given for minimum caught fish, closed and protected areas (mean  $\pm$  SEM) for the three different cluster groups and result of ANOVA nested analysis for landing sites nested within clusters. Figure 4 shows the values presented as cumulative frequency distributions. The number of the 402 total respondents that would not answer the question, could not give quantitative estimates, or gave zero as their answer is indicated below the 'non or zero responses' sub-heading.

Cluster group	Minimum fish size (cm)	Closed areas (km <sup>2</sup> )	Protected areas (km <sup>2</sup> )
<i>Cluster</i>			
Weakly positive	16.9 $\pm$ 0.5	3.0 $\pm$ 1.8	4.6 $\pm$ 4.3
Positive	15.3 $\pm$ 0.7	4.4 $\pm$ 2.5	11.2 $\pm$ 5.0
Strongly positive	18.4 $\pm$ 1.2	13.8 $\pm$ 3.3	34.7 $\pm$ 9.1
<i>No or zero responses</i>			
Weakly positive cluster	Nil	34.0%	22.4%
Positive cluster	Nil	14.4%	8.2%
Strongly positive cluster	Nil	8.7%	3%
<i>Nested analyses</i>			
Clusters	F = 4.7, $p < 0.009$	F = 6.1, $p < 0.003$	F = 6.8, $p < 0.001$
Landing sites	F = 3.0, $p < 0.0001$	F = 2.0, $p < 0.009$	F = 2.1, $p < 0.006$

When asked about reasons for their level of agreement, the common explanations for gear restriction were that this ensured less destruction to fish and their habitat and also it reduced mortality of juvenile fish (Appendix 3, see supplementary material at Journals.cambridge.org/ENC). Reasons for supporting minimum length restrictions included ensuring future fish stocks, the ease of selling and better prices. Common reasons given for not supporting species selection was that it was not possible to control the species caught, that the species caught was a natural phenomenon determined by God, there was no benefit to fishers for these species restrictions, and that size was more important than species for effective management. Among those respondents that did support species restrictions, explanations included the importance of stopping extinction, preserving predatory species and the ability of key species to attract tourists, which led to jobs. Respondents that rated parks and closures high saw them as breeding sites and for their ability to increase spillover and improve catches. Those that rated parks and closures negatively said that they restricted their movements while fishing and reduced the area available for fishing.

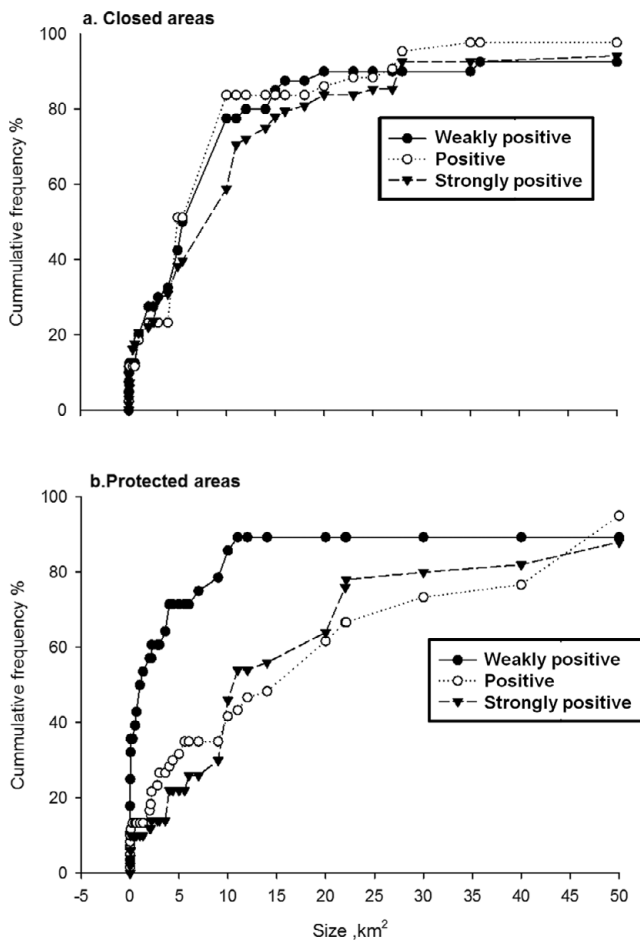
### Acceptable sizes of restrictions

Responses to questions about the appropriate sizes for the minimum length of landed fish, closures and protected areas indicate that all comparisons among the three clusters were statistically significant and differences among clusters were stronger than the sites (Table 3). Differences in the suggested minimum lengths of landed fish were, however, not large among the three groups, ranging from 15.3 cm to 18.4 cm for the mean lengths. The range of individual responses was larger, ranging from a minimum of 3 cm to a maximum of 42 cm, but 90% of the responses suggested minimum sizes of < 30 cm (Fig. 2).



**Figure 2** Cumulative frequency distributions of the minimum size of captured fish pooling respondents by the three major management preference clusters. Results of the statistical tests of significance are given in Table 3.

When respondents were asked about the acceptable sizes of closures and protected areas, a significant proportion of them were unable to estimate sizes, did not give suggested sizes, or gave zero as their answer, particularly in the positive and weakly positive clusters. For those that did answer with quantitative values, there was small spread in responses for closed areas, but a large spread for the size of protected areas among the three clusters (Fig. 3). Respondents in the weakly positive and positive groups that gave answers for closed areas, the mean values were between 3.0 and 4.4 km<sup>2</sup>, where as respondents in the strongly positive group gave a mean value of 13.8 km<sup>2</sup>. The size of protected areas ranged from 4.6 for the weakly positive group to 34.7 km<sup>2</sup> for most positive



**Figure 3** Cumulative frequency distributions of sizes of fisheries closures and sizes of marine protected areas based on the pooled responses of the three major management preference clusters. Results of the statistical tests of significance are given in Table 3.

group, indicating fairly large variation around these values, the strongly positive cluster having the most variation.

**Perceived benefits and disparities**

Testing for differences in scaling of benefits for the six management restrictions by the three beneficiaries, self, community and government, indicated differences by the types of restrictions for the three management preference clusters (Fig. 4). One exception was gear restrictions, which were perceived to benefit all beneficiaries equally by all preference clusters. Minimum length restrictions were also seen to benefit most groups with the weakly positive cluster scaling the benefits higher than the positive and strongly positive cluster respondents for all beneficiaries. The weakly positive group also rated protected areas as a greater benefit to the government than the other clusters. Most respondents rated government as the main beneficiary to restrictions, followed by community, and self but the extent of this varied for the three preference clusters and restrictions. Generally,

the least positive benefits to the self and community were associated with the weakly positive and positive clusters. Consequently, the weakly positive and positive cluster respondents generally rated the restrictions that they did not like as of lower benefit to themselves and their community, but they did recognize the benefits to the government.

Pair-wise regression comparisons of the differences in perceived benefits to the three rates of beneficiaries suggest that the largest perceived disparities are seen between the government and individual ratings, but this is highly correlated with the government-community disparities (Table 4). In other words, the respondents perceived similar levels of benefits to restrictions to both themselves and their communities, but did perceive differences in benefits that accrued to the government. Consequently, in the evaluation of disparities below, we used the government-self as the metric of ‘perceived disparity’.

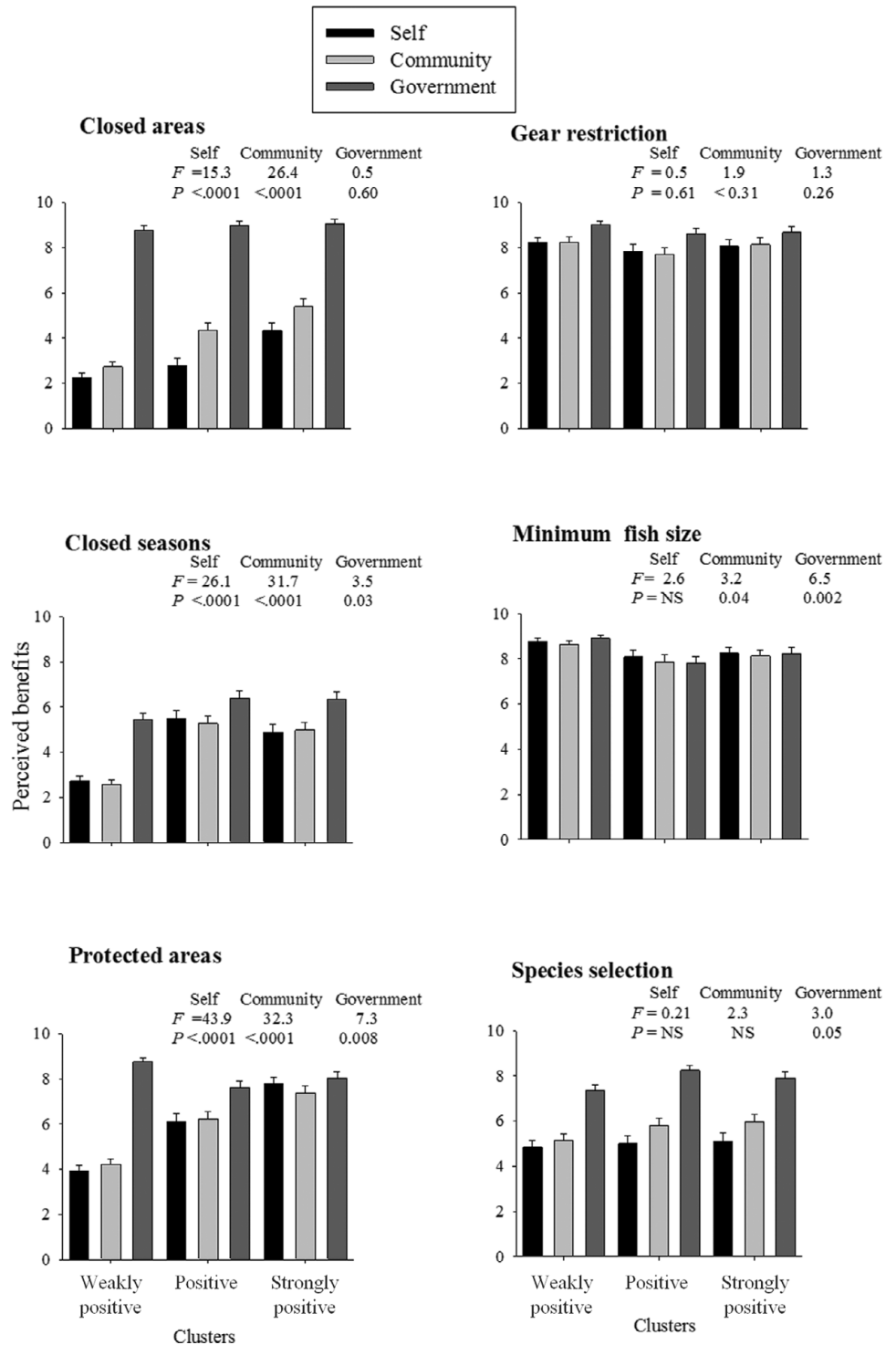
**Associations of management preferences with socioeconomic variables**

Respondents in the strongly positive preference cluster undertook all jobs except gleaning and *c.* 80% were involved in fishing but less than half rated it as their primary occupation (Appendix 1, Fig. S3, see supplementary material at Journals.cambridge.org/ENC). The most important job among the strongly positive cluster was salaried jobs: > 50% of those involved rated a salaried job as their primary occupation. Other important jobs in this cluster were informal sector and subsistence jobs, where *c.* 25% rated them as their primary job. Jobs in the positive cluster involved fishing (90%), informal sector (32%) and subsistence farming (28%); none were involved in mariculture or cash crop farming. Less than 20% were involved in tourism and salaried jobs, but > 50% of those involved stated that it was their primary occupation. There were many jobs listed in the weakly positive cluster, but most were involved in fishing (81%) and cash crop farming (40%); other jobs had < 20% involvement.

There were statistically significant differences in management preference clusters in the key descriptions of the respondents with the exception of the biweekly expenditures (Table 1). The group with the most negative view of restrictions had a lower level of education, a higher perception of disparity in benefits from restrictions, a lower material style of life, and was furthest from marine protected areas. This group and the positive group both had similar high rankings for fishing as importance to their household and the total number of jobs per household.

Logistic step-wise multiple regression analyses of the socio-economic variables and perceived disparity on the level of agreement with the six management restrictions indicate generally high variability and weak multivariate models but a number of statistically significant associations (Table 5). The most frequent statistically significant factor was perceived disparity, which was a significant predictor in all six

**Figure 4** Scaled perceived benefits for the three beneficiaries (self, community and government) of the management restriction options as rated by the respondents in the three clusters. Tests of significance compare differences between the three management preference clusters for the three beneficiaries. Error bars are standard errors of the mean.



restrictions; the greater the perceived disparity the weaker the level of agreement with the restriction. Distance to the park was significant for four of the restrictions, with higher levels of agreement with restrictions the closer the respondents were to the park for closed areas, closed season, minimum fish lengths, and species selection restrictions. The total number of jobs of the respondents was significant for three of the restrictions.

Higher levels of agreement with closed areas, minimum size, and gear restrictions were associated with fewer reported numbers of jobs. Ranking of fishing as the number one occupation was more common in the weakly positive and positive clusters (Appendix 1, Fig. S3, see supplementary material at [Journals.cambridge.org/ENC](https://doi.org/10.1017/S0376892912000197)). Higher levels of agreement with closed area restrictions increased with the



**Table 4** Bivariate regression analyses of the interrelationships between the disparities (differences between benefits for pair-wise comparisons) in the perceived benefits of management for the three different beneficiaries.

<i>Disparity groups</i>	<i>Government-community</i>	<i>Community-self</i>
Government-self	R <sup>2</sup> = 0.83 F = 100002.8 <i>p</i> < 0.00001	R <sup>2</sup> = 0.20 F = 491.7 <i>p</i> < 0.0001
Community-self	R <sup>2</sup> = 0.00 F = 1.8 <i>p</i> < 0.18	

respondent’s level of education. Higher levels of agreement for species restrictions declined with the age of the respondent.

**DISCUSSION**

The results suggest that restrictions, such as gear and minimum length restrictions, had large-scale appeal but area, species and closure management had more limited support. These preferences were weakly associated with

socioeconomics of the respondents as well as the spatial distribution and history of management. In general, restrictions were rated positively but three distinct groupings of management preferences were found among the landing sites and government offices. The majority of fishers and particularly those most dependent on fishing incomes were least supportive of closed area and species restrictions, partially generated from or justified by a perceived sense of disparity in the benefits of these forms of management. This sense of disparity is, however, probably not entirely based on direct experience, as the landing sites closest to the government managed areas had among the most positive views towards area and closure management. In addition, high dependence on fishing and low income and education are expected to heighten this sense of unease with closure management and lost fishing area and potential income (McClanahan *et al.* 2008; Cinner *et al.* 2009), although the per cent variance explained in this model was low.

If the assumption that restrictions are more likely to succeed where support is strong is correct, there is opportunity for greater compliance of some restrictions on a broad national scale where restrictions have widespread appeal. We suggest that gear and minimum size restrictions are best applied at the national level, while other restrictions may be more readily adopted and complied with at the community level.

**Table 5** Factors influencing a fisher’s level of agreement with various management options based on logistic step-wise multiple regression analysis. Variables included are those that remained after the step-wise screening procedure. NS = not significant.

<i>Management option</i>	<i>Variables</i>	<i>n</i>	<i>R</i> <sup>2</sup>	<i>χ</i> <sup>2</sup>	<i>p value</i>
Closed areas	Perceive mean disparity	373	0.07	16.29	0.0001
	Distant from park			11.42	0.0006
	Level of education			4.77	0.03
	Total jobs			3.86	0.05
Closed seasons	Perceived mean disparity	366	0.03	13.8	0.0002
	Total jobs			2.02	0.16
	Distant from park			1.42	0.23
Protected areas	Perceived mean disparity	370	0.09	43.25	0.0001
Species selection	Perceived mean disparity	353	0.04	11.37	0.0007
	Age of respondent			8.84	0.002
	Distant from park			3.85	0.05
	Biweekly expenditure			2.93	NS
	Total jobs			1.59	0.21
Minimum fish size		372	0.02		
	Total jobs			6.42	0.01
	Distant from park			6.16	0.01
	Perceived mean disparity			2.00	NS
	Level of education			1.91	NS
Gear restriction	Perceived mean disparity	366	0.08	26.18	0.0001
	Total jobs			6.79	0.009
	Fish ranked importance			3.09	0.08

Gear restrictions are currently part of the fisheries law but not minimum fish sizes. Conversely, protected and closed areas have until very recently been considered the domain of the national government. Promoting community control of these restrictions by changing national laws and institutions is predicted to increase the rates of adoption and compliance. Additionally, there are other opportunities to influence communities through intercommunity relationships because of spatial heterogeneity in the perceptions of fishing communities. For example, fishers furthest from closed area management had the most negative views towards area management. Consequently, it may be possible to change their perceptions if fishers with different experiences share information about the costs and benefits of closure and area management. This is likely to involve community education and site-exchange programmes between fishing communities, enabling them to share their information and experience about closed and protected areas. Information and education in combination with greater financial wealth, stability and decreased dependence on fishing is expected to change restriction preferences and behaviours (McClanahan *et al.* 2008).

Positive views of closure and area management were shown to increase among Kenyan fishers with the age of closures and education for closures that ranged in age from a few to 35 years (McClanahan *et al.* 2005a). In the youngest closure of that study (Kenyatta Beach), which originally had the most negative views, there has been reduced level of conflict since the closures (McClanahan *et al.* 2005c). Evaluations of fishing income in Kenya showed that fishing grounds next to closures with gear restrictions had rising incomes associated with larger and more valuable fish (McClanahan 2010). Consequently, changes in perception and reduced conflicts with time since closure may be stimulated by the increased incomes that may follow after some period of closure. In some cases, increased income may be associated with reduced effort associated with restrictions on gear and increases in catch for the remaining fishers (McClanahan 2010). There is also an expected lag effect, where catch may drop shortly after the closure but may increase as migration of biomass out of the closures increases (Halpern *et al.* 2010; Vandeperre *et al.* 2010). Additionally, the creation of closures may stimulate the tourism economy, and fisher families and communities may receive some benefit from these developments (Hicks *et al.* 2009; Cinner *et al.* 2010). Some of the initial negative perceptions towards closures may be balanced if information about these long-term case studies are shared, enabling better understanding of the initial costs but potential long-term benefits to closures.

The socioeconomic context in which fishers operate is critical to perceptions and compliance. Poorer fishers' ability to change their behaviour and adapt to immediate disturbances may depend on their household economies. For example, Cinner *et al.* (2009) found that fishers' reporting their likelihood of exiting a fishery increased with their household wealth and job opportunities. If fishers do not perceive other livelihood alternatives, they may resist management efforts by

continuing to fish, which can reinforce ecological degradation (Cinner *et al.* 2011). A specific example of this response was reported in Mafia Island (Tanzania), where fishers in villages surrounding a *c.* 13 year-old closure had variable perceptions and compliance dependent on their economic alternatives, particularly the potential for agriculture and participation in the cash economy (McClanahan *et al.* 2008). Positive perceptions were associated with better cash and agricultural alternatives and not the numbers of jobs; the last is more likely a response to poverty, where many jobs are needed to sustain the poor households in areas with low agricultural and cash economy potentials (Walley 2004; Cinner & Bodin 2010). The causes and consequences of livelihood diversity in rural communities are complex (Ellis 1998, 2000; Barrett *et al.* 2001). Consequently, attempts to indiscriminately add more jobs to already diverse livelihood portfolios in some fisher communities may have marginal or no benefits (Allison & Ellis 2001; Pomeroy *et al.* 2006). More specifically, alternative foods, stability and wealth may influence perceptions towards losses in fishing grounds and lags in fish catch that can result from closures.

Restrictions on the size of fish caught and closures have been examined from the normative theoretical and empirical approaches of fisheries and conservation scientists (Halpern & Warner 2003; O'Connor *et al.* 2007; Ault *et al.* 2008; White *et al.* 2008). These approaches are useful for understanding biophysical expectations based on these metrics and assumptions, but do not necessarily assure adoption and compliance, which may depend as much on local perceptions and knowledge. In the case of minimum fish lengths, the theoretical-empirical scientific approach produced mean values that differ from the local Kenyan suggestions. For example, the dominant three species in the fish catch, which compose 80% of the catch (*Siganus sutor* [rabbitfish], *Leptoscarus vaigensis* [seagrass parrotfish] and *Lethrinus mahsena* [pink-ear emperor]) have lengths of 32.7 cm, 22.7 cm, and 29.6 cm at estimated optimum yield, and 29.1 cm, 21.2 cm and 26.7 cm at estimated first maturity, respectively (see FishBase, URL <http://www.fishbase.org>). These scientific values generally lie above the mean values for minimum lengths given by fishers of 15.3–18.4 cm. The fisher suggestions are closer to the lengths at first maturity, which are more likely to be something that fishers can view and appreciate as important. Beyond these differences in means and metrics, the main practical difference between the scientific calculations and fishers' expert opinions is the higher variability among fishers' responses. High variability and weak consensus can lead to conflicts and low compliance. Consequently, we suggest that combining these two approaches and informing fishers of the fisheries science approach and recommendations will improve the chances for consensus and compliance.

The acceptable or minimum size of closures is more difficult to evaluate, as the responses were more variable and based on the respondent's ability to estimate sizes and willingness to answer this question. The mean number of years of education

among fishers was 5.2 years, so this may have been a limitation to their responses, but this may also have arisen if the fisher did not see benefits from closure and area management, in which case they would not estimate the size. Among those who did answer the question, the preferred size of the closure varied from 3.0 km<sup>2</sup> to 13.8 km<sup>2</sup> and the preferred size of protected areas was 4.6–34.7 km<sup>2</sup>, increasing from the weakly positive to positive preference clusters. Conservation scientists have suggested that the minimum viable size of a closure is 3.1 km<sup>2</sup> (Halpern & Warner 2003) and a preferable size is 12.5–28.5 km<sup>2</sup> (Shanks *et al.* 2003). Based on more than 4000 marine protected areas, the median size of marine protected areas globally is 4.6 km<sup>2</sup> with a mean of 544 km<sup>2</sup>, which is greatly skewed by a few very large marine protected areas (Wood *et al.* 2008). No-take closure areas comprise only *c.* 13% of these protected areas, and therefore are likely to be smaller. Nevertheless, excluding assumptions explaining the causes of the non-responses in our survey and the few very large protected areas in the global compilation, our respondents' estimates of sizes for closure and protected areas were similar to those suggested by conservation scientists and seen globally. Consequently, among some fishing communities it should be possible to create and maintain closures that are above some suggested minimum viable size. Many closures created and maintained by communities are considerably smaller than the minimum viable size, yet show responses in fish abundance to closure if given sufficient time to recover (Russ & Alcala 2004). They may not be sufficient on their own to meet conservation needs but, if part of a larger network and some larger nationally protected areas, conservation and management goals can still be met (Weeks *et al.* 2010).

## CONCLUSIONS

Our findings can be seen as an opportunity to guide more context-appropriate management where technical biophysical and local knowledge approaches can be combined (Aswani & Hamilton 2004). Heterogeneity in perceptions and actual benefits of management restrictions can be considerable, and this potentially creates challenges for successfully implementing management, particularly where decisions and potential benefits are determined at the national level but considerable short-term costs can potentially accrue at the local level. The costs and benefits of specific restrictions may also be variable in space, time and individual economies, which can cause considerable disparity among stakeholders' views. Poor consideration of these socioeconomic and perception considerations and the open-access nature of fisheries are arguably the reasons for poor compliance with fisheries management (Ostrom 2007). Nevertheless, the findings provide support for planning management at multiple scales, where local management is a mix of national laws and local by-laws, and indicates many of the suggestions of the respondents concur with those provided by theoretical-empirical findings of conservation scientists.

## ACKNOWLEDGEMENTS

The Western Indian Ocean Marine Science Association (WIOMSA) Marine Science for Management (MASMA) programme and the Wildlife Conservation Society, through support of the Tiffany and John D. and Catherine T. MacArthur Foundations, funded this research. We are grateful to the fishing communities and government officers for their help and participation in this survey. Kenya's Office of Science and Technology provided research clearance.

## References

- Allison, E.H. & Ellis, F. (2001) The livelihoods approach and management of small-scale fisheries. *Marine Policy* **25**: 377–388.
- Aswani, S. (2005) Customary sea tenure in Oceania as a case of rights-based fishery management: does it work? *Reviews in Fish Biology and Fisheries* **15**: 285–307.
- Aswani, S. & Hamilton, R. (2004) Integrating indigenous ecological knowledge and customary sea tenure with marine and social science for conservation of bumphead parrotfish (*Bolbometopon muricatum*) in the Roviana Lagoon, Solomon Islands. *Environmental Conservation* **31**: 69–83.
- Ault, J.S., Smith, S.G., Luo, J., Monaco, M.E. & Appeldoorn, R.S. (2008) Length-based assessment of sustainability benchmarks for coral reef fishes in Puerto Rico. *Environmental Conservation* **35**: 221–231.
- Barrett, C.B., Reardon, T. & Webb, P. (2001) Nonfarm income diversification and household livelihood strategies in rural Africa: concepts, dynamics and policy implications. *Food Policy* **26**: 315–331.
- Beddington, J.R., Agnew, D.J. & Clark, C.W. (2007) Current problems in the management of marine fisheries. *Science* **316**: 1713–1716.
- Béné, C., Belal, E., Baba, M.O., Ovie, S., Raji, A., Malasha, I., Njaya, F., Andi, M.N., Russell, A. & Neiland, A. (2009) Power struggle, dispute and alliance over local resources: analyzing 'democratic' decentralization of natural resources through the lenses of africa inland fisheries. *World Development* **37**: 1935–1950.
- Christie, P. (2004) Marine protected areas as biological successes and social failures in southeast Asia. *American Fisheries Society Symposium* **42**: 155–164.
- Christie, P., McCay, B.J., Miller, M.L., Lowe, C., White, A.T., Stoffle, R., Fluharty, D.L., McManus, L.T., Chuenpagdee, R., Pomeroy, C., Suman, D.O., Blount, B.G., Huppert, D., Eisma, R.L.V., Oracion, E., Lowry, K. & Pollnac, R.B. (2003) Toward developing a complete understanding: a social science research agenda for marine protected areas. *Fisheries* **28**: 22–26.
- Cinner, J.E. & Bodin, Ö. (2010) Livelihood diversification in tropical coastal communities: a network-based approach to analyzing 'livelihood landscapes'. *PLoS ONE* **5**: e11999 [www document]. URL <http://www.plosone.org/article/info%3Adoi%2F10.1371%2Fjournal.pone.0011999>
- Cinner, J.E., Daw, T. & McClanahan, T.R. (2009) Socioeconomic factors that affect artisanal fishers' readiness to exit a declining fishery. *Conservation Biology* **23**: 124–130.
- Cinner, J.E., Folke, C., Daw, T. & Hicks, C.C. (2011) Responding to change: using scenarios to understand how socioeconomic factors may influence amplifying or dampening exploitation feedbacks among Tanzanian fishers. *Global Environmental Change* **21**: 7–12.

- Cinner, J.E., McClanahan, T.R. & Wamukota, A. (2010) Differences in livelihoods, socioeconomic characteristics, and knowledge about the sea between fishers and non-fishers living near and far from marine parks on the Kenyan coast. *Marine Policy* **34**: 22–28.
- Cinner, J.E., McClanahan, T.R., MacNeil, M.A., Graham, N.A.J., Daw, T.M., Mukminin, A., Feary, D.A., Rabearisoa, A.L., Wamukota, A., Jiddawi, N., Campbell, S.J., Baird, A.H., Januchowski-Hartley, F.A., Hamed, S., Lahari, R., Marove, T. & Kuange, J. (2012) Co-management of coral reef social-ecological systems. *Proceedings of the National Academy of Sciences USA* **109**(14): 5219–5222.
- Cocklin, C., Craw, M. & Mcauley, I. (1998) Marine reserves in New Zealand: use rights, public attitudes, and social impacts. *Coastal Management* **26**: 213–231.
- Ellis, F. (1998) Household strategies and rural livelihood diversification. *Journal of Development Studies* **35**: 1–38.
- Ellis, F. (2000) The determinants of rural livelihood diversification in developing countries. *Journal of Agricultural Economics* **51**: 289–302.
- Gelcich, S., Edwards-Jones, G., Kaiser, M.J. & Castilla, J.C. (2006) Co-management policy can reduce resilience in traditionally managed marine ecosystems. *Ecosystems* **9**: 951–966.
- Gelcich, S., Godoy, N. & Castilla, J.C. (2009) Artisanal fishers' perceptions regarding coastal co-management policies in Chile and their potentials to scale-up marine biodiversity conservation. *Ocean and Coastal Management* **52**: 424–432.
- Gelcich, S., Kaiser, M.J., Castilla, J.C. & Edwards-Jones, G. (2008) Engagement in co-management of marine benthic resources influences environmental perceptions of artisanal fishers. *Environmental Conservation* **35**: 36–45.
- Gutierrez, N.L., Hilborn, R. & Defeo, O. (2011) Leadership, social capital and incentives promote successful fisheries. *Nature* **470**: 386–389.
- Halpern, B.S., Lester, S.E. & Kellner, J.B. (2010) Spillover from marine reserves and the replenishment of fished stocks. *Environmental Conservation* **36**: 268–276.
- Halpern, B.S. & Warner, R.R. (2003) Review paper. Matching marine reserve design to reserve objectives. *Proceedings of the Royal Society of London. Series B: Biological Sciences* **270**: 1871–1878.
- Hicks, C.C., McClanahan, T.R., Cinner, J.E. & Hills, J.M. (2009) Trade-offs in values assigned to ecological goods and services associated with different coral reef management strategies. *Ecology and Society* **14**: art10 [www document]. URL <http://www.ecologyandsociety.org/vol14/iss1/art10/>
- Hilborn, R. (2007) Defining success in fisheries and conflicts in objectives. *Marine Policy* **31**: 153–158.
- Jacobson, S.K. & Marynowski, S.B. (1997) Public attitudes and knowledge about ecosystem management on department of defense land in Florida. *Conservation Biology* **11**: 770–781.
- Jentoft, S. (2003) Co-management: the way forward. *Fish and Fisheries* **26**: 1–16.
- Jentoft, S., McCay, B.J. & Wilson, D.C. (1998) Social theory and fisheries co-management. *Marine Policy* **22**: 423–436.
- Kuperan, K. & Sutinen, J.G. (1998) Blue water crime: deterrence, legitimacy, and compliance in fisheries. *Law and Society Review* **32**: 309–337.
- McClanahan, T.R. (1999) Is there a future for coral reef parks in poor tropical countries? *Coral Reefs* **18**: 321–325.
- McClanahan, T.R. (2007) Management of area and gear in Kenyan coral reefs. In: *Fisheries Management: Progress towards Sustainability*, ed. T.R. McClanahan & J.C. Castilla, pp. 166–185. London, UK: Blackwell Press.
- McClanahan, T.R. (2010) Effects of fisheries closures and gear restrictions on fishing income in a Kenyan coral reef. *Conservation Biology* **24**: 1519–1528.
- McClanahan, T.R., Cinner, J., Kamukuru, A.T., Abunge, C. & Ndagala, J. (2008) Management preferences, perceived benefits and conflicts among resource users and managers in the Mafia Island Marine Park, Tanzania. *Environmental Conservation* **35**: 340–350.
- McClanahan, T.R., Davies, J. & Maina, J. (2005a) Factors influencing resource users and managers' perceptions towards marine protected area management in Kenya. *Environmental Conservation* **32**: 42–49.
- McClanahan, T.R., Glaesel, H., Rubens, J. & Kiambo, R. (1997) The effects of traditional fisheries management on fisheries yields and the coral-reef ecosystems of southern Kenya. *Environmental Conservation* **24**: 105–120.
- McClanahan, T.R., Maina, J. & Davies, J. (2005b) Perceptions of resource users and managers toward fisheries management options in Kenyan coral reefs. *Fisheries Management and Ecology* **12**: 105–112.
- McClanahan, T.R., Mwaguni, S. & Muthiga, N.A. (2005c) Management of the Kenyan coast. *Ocean and Coastal Management* **48**: 901–931.
- Mehta, J.N. & Heinen, J.T. (2001) Does community-based conservation shape favorable attitudes among locals? An empirical study from Nepal. *Environmental Management* **28**: 165–177.
- Napier, V.R., Branch, G.M. & Harris, J.M. (2005) Evaluating conditions for successful co-management of subsistence fisheries in KwaZulu-Natal, South Africa. *Environmental Conservation* **32**: 165–177.
- Nazarea, V., Rhoades, R., Bontoyan, E. & Flora, G. (1998) Defining indicators that make sense to local people: intra-cultural variation in perceptions of natural resources. *Human Organization* **57**: 159–170.
- Nelson, R.H. (1995) Sustainability, efficiency, and God: economic values and the sustainability debate. *Annual Review of Ecology and Systematics* **26**: 135–154.
- O'Connor, M.I., Bruno, J.F., Gaines, S.D., Halpern, B.S., Lester, S.E., Kinlan, B.P. & Weiss, J.M. (2007) Temperature control of larval dispersal and the implications for marine ecology, evolution, and conservation. *Proceedings of the National Academic Sciences USA* **104**: 1266–1271.
- Ostrom, E. (1990) *Governing the Commons: The Evolution of Institutions for Collective Action*. Cambridge, UK: Cambridge University Press.
- Ostrom, E. (2007) A diagnostic approach for going beyond panaceas. *Proceedings of the National Academy of Sciences USA* **104**: 15181–15187.
- Picard, C.H. (2003) Post-apartheid perceptions of the Greater St Lucia Wetland Park, South Africa. *Environmental Conservation* **30**: 182–191.
- Pollnac, R.B., Crawford, B.R. & Gorospe, M.L.G. (2001) Discovering factors that influence the success of community-based marine protected areas in the Visayas, Philippines. *Ocean and Coastal Management* **44**: 683–710.
- Pomeroy, R.S., Ratner, B.D., Hall, S.J., Pimoljinda, J. & Vivekanandan, V. (2006) Coping with disaster: Rehabilitating coastal livelihoods and communities. *Marine Policy* **30**: 786–793.

- Richardson, E.A., Kaiser, M.J. & Edwards-Jones, G. (2005) Variation in fishers' attitudes within an inshore fishery: implications for management. *Environmental Conservation* **32**: 213–225.
- Russ, G.A. & Alcala, A.C. (2004) Marine reserves: long-term protection is required for full recovery of predatory fish populations. *Oecologia (Berlin)* **138**: 622–627.
- Sall, J., Lehmaan, A. & Creighton, L. (2001) *JPM Start Statistics*. Duxbury, CA, USA: Thomson Learning.
- Shanks, A.L., Grantham, B.A. & Carr, M.H. (2003) Propagule dispersal distance and the size and spacing of marine reserves. *Ecological Applications* **13**: S159–169.
- Thomassin, A., White, C.S., Stead, S.S. & David, G. (2010) Social acceptability of a marine protected area: the case of Reunion Island. *Ocean and Coastal Management* **53**: 169–179.
- Vandeperre, F., Higgins, R.M., Sánchez-Meca, J., Maynou, F., Goñi, R., Martín-Sosa, P., Pérez-Ruzafa, A., Afonso, P., Bertocci, I., Crec'hriou, R., D'Anna, G., Dimech, M., Dorta, C., Esparza, O., Falcón, J.M., Forcada, A., Guala, I., Le Direach, L., Marcos, C., Ojeda-Martínez, C., Pipitone, C., Schembri, P.J., Stelzenmüller, V., Stobart, B. & Santos, R.S. (2010) Effects of no-take area size and age of marine protected areas on fisheries yields: a meta-analytical approach. *Fish and Fisheries* **12**: 412–426.
- Walley, C.J. (2004) *Rough Waters: Nature and Development in an East African Marine Park*. Princeton, USA: Princeton University Press.
- Weeks, R., Russ, G.R., Alcala, A.C. & White, A.T. (2010) Effectiveness of marine protected areas in the Philippines for biodiversity conservation. *Conservation Biology* **24**: 531–540.
- Wells, S., Samoilys, M., Makoloweka, S. & Kalombo, H. (2010) Lessons learnt from a collaborative management programme in coastal Tanzania. *Ocean and Coastal Management*: 1–8.
- White, C., Kendall, B.E., Gaines, S., Siegel, D.A. & Costello, C. (2008) Marine reserve effects on fishery profit. *Ecology Letters* **11**: 370–379.
- Wood, L.J., Fish, L., Laughren, J. & Pauly, D. (2008) Assessing progress towards global marine protection targets: shortfalls in information and action. *Oryx* **42**: 340–351.