



Cohomology of Compact Locally Symmetric Spaces

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Abstract. We obtain a necessary condition for a cohomology class on a compact locally symmetric space $S(\Gamma) = \Gamma \backslash X$ (a quotient of a symmetric space X of the non-compact type by a cocompact arithmetic subgroup Γ of isometries of X) to restrict non-trivially to a compact locally symmetric subspace $S_H(\Gamma) = \Delta \backslash Y$ of $\Gamma \backslash X$. The restriction is in a ‘virtual’ sense, i.e. it is the restriction of possibly a translate of the cohomology class under a Hecke correspondence. As a consequence we deduce that when X and Y are the unit balls in \mathbb{C}^n and \mathbb{C}^m , then low degree cohomology classes on the variety $S(\Gamma)$ restrict non-trivially to the subvariety $S_H(\Gamma)$; this proves a conjecture of M. Harris and J-S. Li. We also deduce the non-vanishing of cup-products of cohomology classes for the variety $S(\Gamma)$.

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Introduction

(0.1) In this paper we are concerned with restriction of cohomology (with \mathbb{C} coefficients) of a *compact* Shimura variety $\text{Sh}(G, X)$ to a smaller Shimura variety $\text{Sh}(H, Y)$. In [C-V] we gave an explicit criterion (depending only on the imbedding of $H(\mathbb{R})$ in $G(\mathbb{R})$) for *holomorphic* cohomology classes to vanish on the smaller Shimura variety. We exploited the fact that holomorphic forms on smooth projective varieties are harmonic for a suitable (Kählerian) metric.

Here we give such a criterion even when the cohomology class in question is not holomorphic; the restriction of a (non-holomorphic) harmonic form ω on $\text{Sh}(G, X)$ to $\text{Sh}(H, Y)$ does not appear to be harmonic in general (here, the form ω is harmonic with respect to the natural metric on $\text{Sh}(G, X)$ arising from the Killing form on the group $G(\mathbb{R})$). However, as we will show (Theorem 2), it is still possible to give a criterion, based purely on the linear algebra of $G(\mathbb{R})$, for the restriction of ω to be non-zero. Here the restriction is in the sense of [Oda], [H-L], [C-V], [M-R]. We assume throughout this paper that the degree of the cohomology class does not exceed the complex dimension of $\text{Sh}(H, Y)$.

As an application, we will prove that if $G = \text{SU}(n, 1)$ and $H = \text{SU}(n - 1, 1)$, then the cohomology of $\text{Sh}(G, X)$ in degrees $\leq n - 1$, restricts injectively to that of $\text{Sh}(H, Y)$. (See Theorem 4, (1)). This confirms a conjecture of Harris and Li (see [H-L]). We also show that if $G = \text{SO}(n, 2)$ and $H = \text{SO}(n - 1, 2)$, then the

cohomology of $\text{Sh}(G, X)$ in degrees $\leq n - 1$ restricts injectively to that of $\text{Sh}(H, Y)$ (Theorem 4, (2)) (see [H-L] for similar statements).

The proof of our criterion is based on Theorem 1, which says that if we think of $\text{Sh}^{K \cap H}(H, Y)$ as a cohomology class on $\text{Sh}^K(G, X)$, then the span of the $G(\mathbb{A}_f)$ -translates of this cycle class contains a nonzero $G(\mathbb{A}_f)$ -invariant vector. Here $K \subset G(\mathbb{A}_f)$ is a compact open subgroup.

In Theorem 6, we refine the criterion of Theorem 2 mentioned above, in terms of the ‘representation type’ A_q of the cohomology class in question. Theorem 6 is the analogue of a condition obtained for *holomorphic* classes in [C-V]. The criterion of Theorem 6 can be used to obtain a condition for the vanishing of cup product of classes in $H^\bullet(\text{Sh}(G, X))$ (Theorem 7). As an application (Theorems 8 and 9), we show that cup products of low degree classes on $\text{Sh}(G, X)$ do not vanish (in a virtual sense), if the group G is $\text{SU}(n, 1)$ or $\text{SO}(2, n)$.

(0.2) We now describe the contents of the paper more precisely.

Let H and G be connected semisimple algebraic groups over \mathbb{Q} all of whose \mathbb{Q} simple factors are isotropic over \mathbb{R} . Let $f: H \rightarrow G$ be a morphism of \mathbb{Q} -algebraic groups with finite kernel. Let \mathfrak{g}_0 and \mathfrak{h}_0 denote, respectively, the real Lie algebras of $G(\mathbb{R})$ and $H(\mathbb{R})$. Let \mathfrak{g} , and \mathfrak{h} respectively denote the complexifications of the real lie algebras \mathfrak{g}_0 and \mathfrak{h}_0 .

By assumption, the map f has finite kernel and, hence, induces an *injection* $f: \mathfrak{h}_0 \rightarrow \mathfrak{g}_0$. Choose (as one may, by a Theorem of Mostow ([M])) a Cartan involution θ of \mathfrak{g}_0 whose restriction to \mathfrak{h}_0 is a Cartan involution on \mathfrak{h}_0 . Let K_∞ (resp. K_∞^H) denote the set of points of $G(\mathbb{R})$ (resp. $H(\mathbb{R})$) fixed by θ . Then, K_∞ (resp. K_∞^H) is a maximal compact subgroup of $G(\mathbb{R})$ (resp. of $H(\mathbb{R})$). Moreover, it is easy to see that the group of real points of the kernel of the map $f: H \rightarrow G$ is contained in K_∞^H and that the inverse image of K_∞ under f is precisely K_∞^H . Write $X = G(\mathbb{R})/K_\infty$ and $Y = H(\mathbb{R})/K_\infty^H$. The map f induces a map of symmetric spaces $Y \rightarrow X$ which we again denote by f .

Let \mathfrak{k}_0 and \mathfrak{k}_0^H denote the real Lie algebras of K_∞ and K_∞^H , respectively. With respect to the involution θ write the ‘Cartan decompositions’ $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{p}_0$ and $\mathfrak{h}_0 = \mathfrak{k}_0^H \oplus \mathfrak{p}_0^H$ of \mathfrak{g}_0 and \mathfrak{h}_0 , respectively. Denote by \mathfrak{k} , \mathfrak{k}^H , \mathfrak{p} and \mathfrak{p}^H , respectively, the complexifications of \mathfrak{k}_0 , \mathfrak{k}_0^H , \mathfrak{p}_0 and \mathfrak{p}_0^H .

From now on, we will make the simplifying assumption that the groups $G(\mathbb{R})$ and $H(\mathbb{R})$ are *connected*. This is not, strictly speaking, necessary (because we may replace $G(\mathbb{R})$ by its connected component of identity), but it considerably simplifies the statements and notation.

Denote by \mathbb{A}_f the ring of finite adèles over \mathbb{Q} . The natural inclusion of \mathbb{Q} in \mathbb{A}_f induces an imbedding of the group $G(\mathbb{Q})$ in the group $G(\mathbb{A}_f)$. Denote by G_f the *closure* of $G(\mathbb{Q})$ in $G(\mathbb{A}_f)$. Define H_f similarly.

Let K be a compact open subgroup of $G(\mathbb{A}_f)$ such that the group $\Gamma = G(\mathbb{Q}) \cap K$ is a torsion free subgroup of $G(\mathbb{Q})$. The groups Γ are the (torsion free) ‘congruence arithmetic subgroups’ of $G(\mathbb{Q})$. Denote by $S(\Gamma)$ the locally symmetric space

$\Gamma \backslash X$. Denote by $\Gamma \cap H$ the inverse image of $\Gamma \subset G(\mathbb{Q})$ under the map $f: H(\mathbb{Q}) \rightarrow G(\mathbb{Q})$. Write $S_H(\Gamma)$ for the locally symmetric space $\Gamma \cap H \backslash Y$ (note that $\Gamma \cap H$ is not, in general, torsion free since it contains the finite group $\text{Ker}(f) \cap H(\mathbb{Q})$, where $\text{Ker}(f)$ is the kernel of f ; however, this finite group is contained in the centre of $H(\mathbb{R})$ and hence acts trivially on the symmetric space Y ; thus, $S_H(\Gamma)$ is still smooth, and is covered by Y). We get a smooth map

$$j = j(\Gamma): S_H(\Gamma) \rightarrow S(\Gamma), \tag{0}$$

for each torsion free congruence arithmetic subgroup Γ of $G(\mathbb{Q})$.

In the sequel, if V is a topological space, we write $H^\bullet(V)$ for the cohomology group $H^\bullet(V, \mathbb{C})$ of V with coefficients in \mathbb{C} .

From now on we will assume that G and H are *anisotropic* over \mathbb{Q} . Consequently (by a Theorem of Borel and Harish-Chandra), the spaces $S(\Gamma)$ are compact. By the Matsushima formula (see (1.2)), the space of harmonic forms on $S(\Gamma)$ may be identified with

$$H^\bullet(S(\Gamma)) = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(\Gamma \backslash G(\mathbb{R}))(0)). \tag{1}$$

(We have already used the fact that $S(\Gamma)$ is compact in identifying the cohomology of $S(\Gamma)$ with the space of harmonic forms.) Here, $\mathcal{C}^\infty(\Gamma \backslash G(\mathbb{R}))(0)$ is the space of \mathbb{C} -valued smooth functions on the quotient $\Gamma \backslash G(\mathbb{R})$, which are annihilated by the Casimir of \mathfrak{g} .

Now Y is imbedded in X . Let \widehat{Y} and \widehat{X} be the compact duals of Y and X . There is a natural metric on the dual symmetric space \widehat{X} which is invariant under the action of a maximal compact subgroup of the group $G(\mathbb{C})$ of complex points of the group G (see (1.3)) under which, the space of harmonic forms on \widehat{X} may be identified with

$$H^\bullet(\widehat{X}) = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathbb{C}). \tag{2}$$

From (1) and (2), we obtain a natural inclusion of $H^\bullet(\widehat{X}) \subset H^\bullet(S(\Gamma))$, by identifying \mathbb{C} with the space of constant functions on the quotient $\Gamma \backslash G(\mathbb{R})$.

Suppose that Γ' is a congruence arithmetic subgroup of $G(\mathbb{Q})$ contained in Γ . Then there is a natural inclusion $i(\Gamma, \Gamma')$ of $H^\bullet(S(\Gamma))$ in $H^\bullet(S(\Gamma'))$, induced by the finite covering map $S(\Gamma') \rightarrow S(\Gamma)$. Thus we get a direct system of cohomology groups $H^\bullet(S(\Gamma))$ indexed by congruence arithmetic subgroups of $G(\mathbb{Q})$ and maps $i(\Gamma, \Gamma')$ for every $\Gamma' \subset \Gamma$. Consider the direct limit of the spaces $H^\bullet(S(\Gamma))$ as Γ varies through congruence arithmetic subgroups of $G(\mathbb{Q})$. We denote this direct limit by $H^\bullet(\text{Sh}^0 G)$ (the notation seemingly implies that the direct limit is the cohomology of a suitable topological space $\text{Sh}^0 G$; however, in the present paper, we will only use properties of the direct limit, and hence for our purposes, $H^\bullet(\text{Sh}^0 G)$ is merely an abbreviation for the *direct limit* of the cohomology groups $H^\bullet(S(\Gamma))$).

The Matsushima formula (1) then takes the form (see Equation (6) of (1.2))

$$H^\bullet(\text{Sh}^0 G) = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))(0)). \tag{3}$$

(recall that G_f is the closure of $G(\mathbb{Q})$ in $G(\mathbb{A}_f)$; in (3), $G(\mathbb{Q}) \subset G(\mathbb{R}) \times G_f$ where the

diagonal map of $G(\mathbb{Q})$ in the product imbeds $G(\mathbb{Q})$ as a cocompact discrete subgroup of $G(\mathbb{R}) \times G_f$. In (3), $\mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))(0)$ denotes the space of ‘smooth’ functions (i.e. smooth on $G(\mathbb{R})$ and invariant under an open compact subgroup of the totally disconnected group G_f) on the quotient $G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)$ which are annihilated by the Casimir of \mathfrak{g} . From (2) and (3) we get a natural imbedding of $H^\bullet(\widehat{X})$ in $H^\bullet(\text{Sh}^0 G)$ identifying \mathbb{C} with the space of constant functions on the quotient $G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)$.

Now (3) shows that there is a natural action of the group G_f on the direct limit $H^\bullet(\text{Sh}^0 G)$, since G_f acts by right shifts on the space

$$\mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))(0)$$

Given $g \in G_f$ denote again by g the map on $H^\bullet(\text{Sh}^0 G)$ induced by right translation by g on the space $\mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))(0)$. The space $H^\bullet(\widehat{X})$ may be identified with the space of G_f -invariants of $H^\bullet(\text{Sh}^0 G)$. If $\bar{\Gamma}$ denotes the closure of Γ in $G_f \subset G(\mathbb{A}_f)$ then $H^\bullet(S(\Gamma))$ may be identified with the space of $\bar{\Gamma}$ -invariant vectors in $H^\bullet(\text{Sh}^0 G)$ (see Sections (1.2) and (1.3) for the proofs of these assertions).

Let m, n denote, respectively, the dimensions of the real manifolds $S_H(\Gamma)$ and $S(\Gamma)$. Write $n = m + (n - m)$. Now, closed differential forms on \widehat{X} of degree m can be pulled back to the m -dimensional (oriented) submanifold \widehat{Y} and integrated on \widehat{Y} . We thus get a linear form on $H^m(\widehat{X})$ which may be identified, by Poincaré duality for the cohomology of $S(\Gamma)$, with an element (the ‘cycle class’) $[\widehat{Y}]$ of $H^{n-m}(\widehat{X})$ (see (2.4)). Under the identification of $H^\bullet(\widehat{X})$ with the space of G_f -invariants in $H^\bullet(\text{Sh}^0 G)$, we thus obtain an element in $H^{n-m}(\text{Sh}^0 G)$ which we again denote by $[\widehat{Y}]$.

Similarly, closed differential forms of degree m on $S(\Gamma)$ can be pulled back to the m -dimensional manifold $S_H(\Gamma)$ under the map $j(\Gamma) : S_H(\Gamma) \rightarrow S(\Gamma)$ and integrated over $S_H(\Gamma)$. We thus get a linear form on $H^m(S(\Gamma))$, which may be identified, by Poincaré duality for the cohomology of $S(\Gamma)$, with an element of $H^{n-m}(S(\Gamma))$. We denote this element (the ‘special cycle class’) by $\zeta_\Gamma = [S_H(\Gamma)] \in H^{n-m}(S(\Gamma))$ (see (2.5)). Note that by the last sentence in the preceding paragraph, the latter cohomology group may be identified with a subspace of the direct limit $H^{n-m}(\text{Sh}^0 G)$. Thus, we view $[S_H(\Gamma)]$ as an element of $H^{n-m}(\text{Sh}^0 G)$.

We are now in a position to state our first main result.

THEOREM 1. *Denote by V_Γ the \mathbb{C} -span of G_f -translates of the cycle class $\zeta_\Gamma = [S_H(\Gamma)]$ in the direct limit cohomology group $H^{n-m}(\text{Sh}^0 G)$. Then the space of G_f -invariants in V_Γ (is at most one dimensional and) is generated by $[\widehat{Y}]$.*

Theorem 1 is proved (see Section 2) by using the complete reducibility of the direct limit $H^\bullet(\text{Sh}^0 G)$ as a module over G_f . This is shown to imply that the space of G_f -invariants in V_Γ is spanned by the projection η of the cycle class ζ_Γ . By integrating forms in $H^{n-m}(\widehat{X})$ over η we obtain that these integrals are proportional to the integrals over \widehat{Y} . This implies Theorem 1.

(0.3) We will now define the ‘Restriction map’ which we mentioned briefly at the beginning of the introduction (see (0.1)). Return now to the Equation (0). Take the direct limit as Γ varies over all the congruence arithmetic subgroups Γ of $G(\mathbb{Q})$, in the Equation (0). We then get a map

$$j^* : H^\bullet(\text{Sh}^0 G) \rightarrow H^\bullet(\text{Sh}^0 H).$$

Now an element $g \in G_f$ acts on $H^\bullet(\text{Sh}^0 G)$ as explained in the paragraphs preceding Theorem 1. Denote by $j_g^* = j^* \circ g$ the composite map. Define the ‘restriction map’ denoted Res, as the product

$$\text{Res} = \prod j_g^* : H^\bullet(\text{Sh}^0 G) \rightarrow \prod H^\bullet(\text{Sh}^0 H), \tag{4}$$

where the product is over all the elements $g \in G_f$.

Our main result is a *necessary* condition for the vanishing of the restriction map defined in the foregoing. It is an easy consequence of Theorem 1. In the following, if $\beta, \delta \in H^\bullet(\text{Sh}^0 G)$ then $\beta \wedge \delta$ denotes the cup product of these two classes.

THEOREM 2. *If $\alpha \in H^m(\text{Sh}^0 G)$, then $\text{Res}(\alpha) = 0$ only if $\alpha \wedge [\widehat{Y}] = 0$.*

Theorem 2 is proved by observing that the vanishing of the restriction of a class α implies the vanishing of the cup-product $j_g^*(\alpha) \wedge \xi_\Gamma$ for every $g \in G(\mathbb{Q})$. This in turn implies (see Section (3)) the vanishing of $\alpha \wedge g(\xi_\Gamma)$ for every $g \in G_f$. By taking a suitable linear combination of these $g(\xi_\Gamma)$ and by using Theorem 1, we obtain Theorem 2.

(0.4) Suppose now that X is an *irreducible* Hermitian symmetric domain, that Y is also Hermitian symmetric and that the map $f : Y \rightarrow X$ is a holomorphic imbedding. If G is the semisimple part of a reductive group G^* satisfying the axioms in [D] and Z is the centre of G^* , then the space $\text{Sh}^0 G =_{\text{def}} G(\mathbb{Q} \backslash (X \times G_f))$ is a connected component of (the space of \mathbb{C} -points of) the Shimura Variety $\text{Sh}(G^*, X)$ given by $\text{Sh}(G^*, X)(\mathbb{C}) =_{\text{def}} G^*(\mathbb{Q} \backslash (X \times G(\mathbb{A}_f)))$ where $X = G^*(\mathbb{R}/Z(\mathbb{R}))K_\infty$.

If Y has codimension one in X , then $S_H(\Gamma)$ is a divisor in $S(\Gamma)$. Moreover, it is known that $S(\Gamma)$ is a smooth projective variety ([B-B]). It can also be proved that $[\widehat{Y}]$ (upto ± 1) is a Lefschetz class on $S(\Gamma)$ for each torsion free (cocompact) Γ as in the foregoing. From Theorem 2 and Lefschetz’s theorem on hyperplane sections we obtain

THEOREM 3. *If $\dim(X) = 1 + \dim(Y)$ and X is an irreducible Hermitian symmetric domain, then*

$$\text{Res} : H^k(\text{Sh}^0 G) \rightarrow \prod H^k(\text{Sh}^0 H)$$

is injective for $k \leq \dim(Y)(= d = D - 1)$.

As an application, we obtain

THEOREM 4. (1) If $G(\mathbb{R}) = \mathrm{SU}(n, 1)$ upto compact factors and $H(\mathbb{R}) = \mathrm{SU}(n - 1, 1)$ upto compact factors, then

$$\mathrm{Res}: H^k(\mathrm{Sh}^0 G) \rightarrow \prod H^k(\mathrm{Sh}^0 H)$$

is injective for all $k \leq n - 1$.

(2) If $G(\mathbb{R}) = \mathrm{Spin}(2, n)$ and $H(\mathbb{R}) = \mathrm{Spin}(2, n - 1)$ up to compact factors then

$$\mathrm{Res}: H^k(\mathrm{Sh}^0 G) \rightarrow \prod H^k(\mathrm{Sh}^0 H)$$

is injective for all $k \leq n - 1$.

(3) If $G(\mathbb{R}) = \mathrm{Sp}_2$, $H(\mathbb{R}) = \mathrm{Sp}_1 \times \mathrm{Sp}_1$, then

$$\mathrm{Res}: H^2(\mathrm{Sh}^0 G) \rightarrow \prod H^2(\mathrm{Sh}^0 H)$$

is injective.

Remarks. In Theorem 4 the imbeddings of the non-compact factors of $H(\mathbb{R})$ in those of $G(\mathbb{R})$ are the natural ones (e.g. as in Section 3 of [C-V]). For example, in (1) of Theorem 4, $\mathrm{SU}(n - 1, 1)$ is that subgroup of $\mathrm{SU}(n, 1)$ which leaves invariant the first basis vector ε_1 . Here $\varepsilon_1, \dots, \varepsilon_n, \varepsilon_{n+1}$ is the standard basis of \mathbb{C}^{n+1} and the latter is the standard representation of $\mathrm{SU}(n, 1)$. The other imbeddings (2) and (3) of Theorem 4 are defined similarly. We do not specify the \mathbb{Q} -structures and the \mathbb{Q} -imbeddings involved, because the statement and the proof of Theorem 4 do not use the specific nature of the \mathbb{Q} -imbedding.

The parts (1) and (2) of Theorem 4 were conjectured by Harris and Li ([H-L]) and proved by them for a number of degrees k (see [H-L] for precise statements). In fact our approach was suggested by an attempt to answer their question: does the linear span of the divisors $\{g[\mathrm{Sh}(H)]; g \in G(\mathbb{A}_f)\}$ contain a very ample divisor? As we have remarked in the paragraph preceding the statement of Theorem 3, the answer is yes, and the ample divisor may be taken to be a divisor (upto ± 1) on the compact dual \widehat{X} .

The analogue of (3) when G is isotropic over \mathbb{Q} , i.e., G is the split Sp_2 , was proved by Weissauer ([W]); he showed that $H^2(S(\Gamma))$ injects into (a direct sum of) the cohomology of a product of modular curves.

Theorem 2 also yields the following:

THEOREM 5. Suppose $G(\mathbb{R}) = \mathrm{SU}(n, 1)$ and $H(\mathbb{R}) = \mathrm{SU}(p, 1)$ upto compact factors (with $p \leq n - 1$). Then

$$\mathrm{Res}: H^k(\mathrm{Sh}^0 G) \rightarrow \prod_{g \in G(\mathbb{Q})} H^k(\mathrm{Sh}^0 H)$$

is injective for all $k \leq p$.

We stress that in Theorem 5 we do not assume that G (over \mathbb{Q}) contains a \mathbb{Q} -subgroup, whose real points form a group isomorphic (upto compact factors) to $SU(k, 1)$ for every $k \leq n - 1$ but only that G (over \mathbb{Q}) contains a \mathbb{Q} -subgroup, the group of whose real points – up to compact factors – is isomorphic to $SU(p, 1)$ for the integer p in question. Here again the imbedding of the real group $SU(p, 1)$ in the real group $SU(n, 1)$ is the natural one: if $\varepsilon_1, \dots, \varepsilon_n, \varepsilon_{n+1}$ is the standard basis of the standard representation \mathbb{C}^{n+1} of $SU(n, 1)$, then $SU(p, 1)$ is the subgroup of $SU(n, 1)$ which leaves the first $n - p$ vectors of this standard basis invariant. We do not need to specify the \mathbb{Q} -imbedding of the group H in the group G , since the statement (and the proof) of Theorem 5 does not depend on the \mathbb{Q} -imbedding.

(0.5) So far, our criteria have been independent of the ‘infinity type’ of the cohomology class α but dependent only on the degree of the cohomology class. We can split the cohomology of $\text{Sh}^0 G$ in terms of the ‘representation type’ at infinity and obtain more precise information on the vanishing of the restriction map.

Rewrite the Matsushima formula (cf. Lemme (3.5) (ii) of [Cl 3])

$$H^*(\text{Sh}^0 G) = \oplus m(\pi_\infty \otimes \pi_f) H^*(\mathfrak{g}, K_\infty, \pi_\infty) \otimes \pi_f. \quad (5)$$

Here, $H^*(\mathfrak{g}, K_\infty, \pi_\infty)$ denotes the relative Lie algebra cohomology, π_∞ is (the space of K_∞ -finite vectors in) a unitary irreducible representation of $G(\mathbb{R})$ and π_f a unitary irreducible admissible representation of G_f . The number $m(\pi_\infty \otimes \pi_f)$ is the multiplicity with which the representation $\pi_\infty \otimes \pi_f$ of $G(\mathbb{R}) \times G_f$ occurs in the space of square integrable (with respect to the Haar measure) functions on the compact quotient $G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)$.

Assume now that X is Hermitian Symmetric.

The representations π_∞ with non-trivial (\mathfrak{g}, K_∞) -cohomology (such representations will be referred to as *cohomological*) are classified as the modules A_q (see, e.g., [V-Z]) in terms of certain θ -stable parabolic subalgebras \mathfrak{q} of the complex Lie algebra \mathfrak{g} (recall that θ is the Cartan involution on \mathfrak{g} induced by the pair $(G(\mathbb{R}), K_\infty)$). Given a parabolic subalgebra \mathfrak{q} as in Section (6.1), let $\pi_\infty = A_q$ be the associated cohomological representation. Let \mathfrak{u} be its nilradical, denote by k the dimension of the space $\mathfrak{u} \cap \mathfrak{p}$, and $V(\mathfrak{q})$ the K_∞ -span of the top exterior power of $\mathfrak{u} \cap \mathfrak{p}$ in $\wedge^k \mathfrak{p}$. Denote the top exterior power of $\mathfrak{u} \cap \mathfrak{p}$ by $e(\mathfrak{q})$. This is a line in $\wedge^k \mathfrak{p}$. Let \mathfrak{u}^- be the ‘opposite’ of \mathfrak{u} (see Section (6.1)). It can be shown that $\dim[(\mathfrak{u} \cap \mathfrak{p}^+) + (\mathfrak{u}^- \cap \mathfrak{p}^+)]$ is also $k = \dim[\mathfrak{u} \cap \mathfrak{p}]$. Write $V^+(\mathfrak{q})$ for the K_∞ -span of the top exterior power $e^+(\mathfrak{q})$ of $(\mathfrak{u} \cap \mathfrak{p}^+) + (\mathfrak{u}^- \cap \mathfrak{p}^+)$.

We will say that a cohomology class $\alpha \in H^k(\text{Sh}^0 G)$ is of type A_q if under the Matsushima isomorphism (5), α lies in the component corresponding to $\pi_\infty = A_q$ on the right hand side of (5). If, further, the degree k of the class α is the dimension $\mathfrak{u} \cap \mathfrak{p}$ as in the last paragraph, then we will say that α is *strongly primitive* of type A_q .

From now on, we will assume that X and Y are Hermitian symmetric and that $f: Y \rightarrow X$ is holomorphic. For an integer k , denote by $E(G, H, k)$ the K_∞ -span of the k th exterior power $\wedge^k p_H^+$ in the space $\wedge^k p^+$.

THEOREM 6. *With the foregoing notation, suppose that α is a strongly primitive class of type A_q of degree k . Suppose that $\text{Res}(\alpha) = 0$. Then,*

- (1) $V(q)^* \wedge [\widehat{Y}] = 0$.
- (2) *The intersection $V^+(q) \cap E(G, H, k) = 0$.*

Remark. Note that $[\widehat{Y}]$ is an element of $H^\bullet(\widehat{X}) = \dot{\wedge} p^*$. Here, p^* is the dual of p . In (1) of Theorem 6, $V(q)^* \subset \dot{\wedge}^k p^*$ similarly denotes the dual of $V(q)$ and the Equation (1) holds in the exterior algebra $\dot{\wedge} p^*$. Similarly, Equation (2) of Theorem 6 holds in the exterior algebra $\dot{\wedge} p^+$.

Remark. If α is holomorphic, then (1) of Theorem 1 is equivalent to the condition all the G_f -translates of α vanish on $\text{Sh}^0 H$. (0)

The equivalence of (0) and of (2) of Theorem 6 is exactly the criterion obtained in [C-V] for holomorphic cohomology classes α .

0.6. CUP PRODUCTS

Note that if α and β are cohomology classes on $S(\Gamma)$, then the restriction to the diagonal of the class $\alpha \otimes \beta$ on the product $S(\Gamma) \times S(\Gamma)$ is the cup product $\alpha \wedge \beta$. Denote by $\widehat{\Delta}$ the diagonal in the product $\widehat{X} \times \widehat{X}$. Let $[\widehat{\Delta}]$ be the associated cycle class in $H^\bullet(\widehat{X} \times \widehat{X})$.

By using the criterion of Theorem 6 to the situation of cup products we obtain the following.

THEOREM 7. *Let α and α' be strongly primitive cohomology classes in $H^\bullet(\text{Sh}^0 G)$ of degrees k and k' , and of type A_q and $A_{q'}$, respectively. If $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$ and if $k + k' \leq D$ then $(\alpha \otimes \alpha') \wedge [\widehat{\Delta}] = 0$. Further, we have*

$$E(G \times G, G, k + k') \cap (V^+(q) \otimes V^+(q')) = 0 \tag{1}$$

and

$$V^+(q) \wedge V^+(q') = 0. \tag{2}$$

(Here D is the complex dimension of the Hermitian symmetric domain X .)

Moreover, these two conditions (1) and (2) are equivalent.

Remark. Note that if α and α' are holomorphic classes, then ([C-V], Section 1) $V^+(q)$ and $V^+(q')$ are irreducible and $e(q) \wedge \kappa_0 e(q')$ generates $V^+(q) \wedge V^+(q')$ as a

K_∞ -module, (here κ_0 is the longest element of the Weyl group of (K_∞, T) and T is a fixed maximal torus in K_∞). Then the condition $V^+(\mathfrak{q}) \wedge V^+(\mathfrak{q}') = 0$ of Theorem 7, is equivalent to the condition

$$e(\mathfrak{q}) \wedge \kappa_0 e(\mathfrak{q}') = 0,$$

i.e. the intersection of $\mathfrak{u} \cap \mathfrak{p}^+$ and $\kappa_0(\mathfrak{u}') \cap \mathfrak{p}^+$ in \mathfrak{p}^+ is non-zero. This is exactly the criterion of [C1] for the vanishing of the cup product $g^* \alpha \wedge \alpha'$ for all $g \in G_f$.

As applications, we have

THEOREM 8. *Let $G(\mathbf{R}) = \mathrm{SU}(n, 1)$ up to compact factors. Let α, α' be non-zero cohomology classes of degrees k, k' in $H^\bullet(\mathrm{Sh}^0 G)$ with $k + k' \leq n$. Then there exists a $g \in G_f$ such that $g(\alpha) \wedge \alpha' \neq 0$.*

Remark. In the holomorphic case, this is proved in [S1] and [C2].

THEOREM 9. *Let $G(\mathbf{R}) = \mathrm{Spin}(n, 2)$ upto compact factors. Let α, α' be non-zero cohomology classes of degrees k, k' with $k, k' < [n/2]$ (where $[n/2]$ is the integral part). Then there exists a $g \in G_f$ such that $g(\alpha) \wedge \alpha' \neq 0$.*

Remark. Related results are proved in [Ku].

1. The Structure of $H^\bullet(\mathrm{Sh}^0 G)$ as a G_f Module

1.1. THE STRUCTURE OF $H^\bullet(\mathrm{Sh}^0 G)$ AS AN ALGEBRA

As in the introduction, let G be a connected semisimple algebraic group defined over \mathbb{Q} . Therefore, G is an almost direct product of \mathbb{Q} -simple connected \mathbb{Q} -subgroups G_i of G : $G = G_1 G_2 \cdots G_a$. Assume that each \mathbb{Q} simple factor G_i is isotropic over \mathbb{R} (i.e. that $G_i(\mathbb{R})$ is non-compact for each i). We will assume that $G(\mathbb{R})$ is *connected*. Let K_∞ be a maximal compact subgroup of the group $G(\mathbb{R})$ of real points of G . Form the symmetric space $X = G(\mathbb{R})/K_\infty$ as in the introduction.

Let \mathbb{A}_f be the ring of finite adèles over \mathbb{Q} . The natural imbedding of \mathbb{Q} in the finite adèles \mathbb{A}_f induces an imbedding of $G(\mathbb{Q})$ in the group $G(\mathbb{A}_f)$. Denote by G_f the closure of $G(\mathbb{Q})$ in the group $G(\mathbb{A}_f)$. Since $G(\mathbb{A}_f)$ is totally disconnected, so is G_f and a fundamental system of neighbourhoods of identity in G_f is provided by the intersections $K = K_0 \cap G_f$ where K_0 runs through compact open subgroups of $G(\mathbb{A}_f)$. Note that the group K is a compact open subgroup of G_f and that the intersection $\Gamma = K \cap G(\mathbb{Q})$ is a congruence arithmetic subgroup of $G(\mathbb{Q})$. Conversely, by definition, a congruence arithmetic subgroup Γ of $G(\mathbb{Q})$ is an intersection $K \cap G(\mathbb{Q})$ for a compact open subgroup K of G_f . Then the density of $G(\mathbb{Q})$ in G_f immediately implies that the closure of Γ in G_f is precisely K . We now assume that K is so chosen that the group $\Gamma = K \cap G(\mathbb{Q})$ is torsion-free. Then, Γ acts properly

discontinuously, without fixed points on the symmetric space X and thus $S(\Gamma) = \Gamma \backslash X$ is a manifold, covered by X .

If M is a manifold, denote by $\Omega^\bullet(M)$ the space of smooth differential forms on M (i.e. sections of the exterior powers of the *complexified* cotangent bundle of M). This is an algebra under wedge product (which is not commutative in general). It contains as a subalgebra, the subspace $\Omega_0^\bullet(M)$ of *closed* differential forms. The space of exact forms is a two sided ideal in the algebra of closed forms. Thus we get, by de-Rham's Theorem, an algebra homomorphism from $\Omega_0^\bullet(M)$ onto the cohomology algebra $H^\bullet(M)$ of M (with coefficients in \mathbb{C}), whose kernel is the space of exact forms.

Let $\mathfrak{g}, \mathfrak{k}, \mathfrak{p}$ be, respectively, the complexified lie algebra of G , of K_∞ , and the orthogonal complement of \mathfrak{k} in \mathfrak{g} with respect to the Killing form. Then the space $\Omega^\bullet(\Gamma \backslash X)$ may be naturally identified with

$$\text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(\Gamma \backslash G(\mathbb{R})))$$

(e.g., see [R], Chapter 7, Section 3, Equation (7.14)). The latter is nothing but the invariants of K_∞ in the tensor product $\wedge^\bullet \mathfrak{p}^* \otimes \mathcal{C}^\infty(\Gamma \backslash G(\mathbb{R}))$. The latter space is a tensor product of the exterior algebra of \mathfrak{p}^* (the dual of \mathfrak{p}) and the algebra of smooth functions on the quotient $\Gamma \backslash G(\mathbb{R})$. Therefore the tensor product (and the space of K_∞ -invariants in it) gets a natural structure of an algebra and the foregoing isomorphism

$$\Omega^\bullet(\Gamma \backslash X) = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(\Gamma \backslash G(\mathbb{R}))) \tag{1}$$

is an isomorphism of algebras.

Now the density of $G(\mathbb{Q})$ in G_f shows that

$$S(\Gamma) = \Gamma \backslash X = G(\mathbb{Q}) \backslash (X \times G_f) / K \stackrel{\text{def}}{=} S_K^0,$$

where K is an open compact subgroup of G_f and $\Gamma = K \cap G(\mathbb{Q})$. Under the identification of $S(\Gamma)$ with S_K^0 , the isomorphism (1) becomes

$$\begin{aligned} &\Omega^\bullet(G(\mathbb{Q}) \backslash (X \times G_f) / K) \\ &= \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f) / K)) \end{aligned} \tag{2}$$

as an isomorphism of algebras. We often use both the descriptions $S(\Gamma)$ and S_K^0 interchangeably, according to our convenience. Since these two are isomorphic, there is no cause for confusion.

By taking direct limits in Equation (2) as K varies through compact open subgroups of G_f we obtain

$$\begin{aligned} &\lim \Omega^\bullet(G(\mathbb{Q}) \backslash X \times G_f / K) \\ &= \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))), \end{aligned} \tag{3}$$

as an isomorphism of algebras. Here \lim denotes the direct limit as K varies through

open compact subgroups of G_f . We will denote the direct limit on the left side of Equation (3) by $\Omega^\bullet(\text{Sh}^0 G)$.

1.2. THE ACTION OF G_f ON $H^\bullet(\text{Sh}^0 G)$.

Fix $g \in G_f$. We get an isomorphism S_K^0 with $S_{g^{-1}Kg}^0$ induced by right translation by $g \in G_f$ on the quotient $G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)$. Thus, translation by g yields an algebra isomorphism of $\Omega^\bullet(S_K^0)$ with $\Omega^\bullet(S_{g^{-1}Kg}^0)$. By taking direct limits over K we get an action of the the group G_f on $\Omega^\bullet(\text{Sh}^0 G)$; under the isomorphism (3) of Section (1.1), this action is the same as right translations on the space C^∞ of smooth functions on the right-hand side of (3). Note that G_f acts by algebra automorphisms on $\Omega^\bullet(\text{Sh}^0 G)$, as is evident from the fact that g from $\Omega^\bullet(S_K^0)$ onto $\Omega^\bullet(S_{g^{-1}Kg}^0)$ is an algebra isomorphism. It is clear that the action of G_f commutes with the differentials of the complex $\Omega^\bullet(\text{Sh}^0 G)$.

Now, as in the introduction, $H^\bullet(\text{Sh}^0 G)$ is defined as the direct limit of $H^\bullet(S_K^0)$ as K varies over compact open subgroups of G_f . Thus, $H^\bullet(\text{Sh}^0 G)$ is a quotient algebra of $\Omega_0^\bullet(\text{Sh}^0 G)$, the algebra of closed differential forms in $\Omega^\bullet(\text{Sh}^0 G)$. The group G_f acts on this algebra $H^\bullet(\text{Sh}^0 G)$, by algebra automorphisms since it commutes with the differentials of $\Omega^\bullet(\text{Sh}^0 G)$.

Let $K \subset G_f$ be a compact open subgroup. By taking K invariants in (3) (in the following, the space of K invariants in a \mathbb{C} -vector space W on which K acts will be denoted by W^K), we obtain that

$$(\Omega^\bullet(\text{Sh}^0 G))^K = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, C^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)/K)). \tag{4}$$

By Equation (2), the space on the right-hand side of (4) is precisely $\Omega^\bullet(S_K^0)$. By observing that the differentials in the complex $\Omega^\bullet(\text{Sh}^0 G)$ commute with the action of G_f and by averaging with respect to K , we obtain from Equation (4), that

$$H^\bullet(S_K^0) = (H^\bullet(\text{Sh}^0 G))^K. \tag{5}$$

From now on, we assume that G is anisotropic over \mathbb{Q} .

There is a $G(\mathbb{R})$ -invariant metric on $X = G(\mathbb{R})/K_\infty$ which, at the tangent space \mathfrak{p}_0 at the identity coset eK_∞ coincides with the restriction of the Killing form. This descends to a metric on $S(\Gamma) = S_K^0$. By the Matsushima formula (see [B-W]), the space of Harmonic forms on $S(\Gamma)$ for this metric is given by

$$\text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, C^\infty(\Gamma \backslash G(\mathbb{R}))(0))$$

where (as explained in the introduction) $C^\infty(\Gamma \backslash G(\mathbb{R}))(0)$ denotes the space of smooth functions on $\Gamma \backslash G(\mathbb{R})$ killed by the Casimir of \mathfrak{g} .

Note that this space of harmonic differential forms is not an algebra in general, since a wedge product of harmonic forms need not be harmonic. However, by our assumption that G is anisotropic over \mathbb{Q} , we obtain – by Hodge Theory on $S(\Gamma)$ – that this space maps isomorphically onto the cohomology $H^\bullet(S(\Gamma)) =$

$H^\bullet(S_K^0)$. By taking direct limits as K varies through compact open subgroups of G_f we obtain an isomorphism

$$H^\bullet(\text{Sh}^0 G) = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))(0)). \quad (6)$$

As we have said before, the right-hand side of (6) is not an algebra in general, but is a space of closed forms in $\Omega^\bullet(\text{Sh}^0 G)$, which maps isomorphically onto the cohomology algebra $H^\bullet(\text{Sh}^0 G)$.

As before, the under the isomorphism (6), the G_f action on $H^\bullet(\text{Sh}^0 G)$ is induced by right translation by G_f on the space $\mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))$.

In particular, the space of G_f -invariants in $H^\bullet(\text{Sh}^0 G)$ is isomorphic to $\text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathbb{C})$. This is because the space of G_f invariant functions on the space $G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)$ are just the constant functions (because of the density of $G(\mathbb{Q})$ in $G(\mathbb{R})$; this is weak approximation). Moreover, the constant functions are certainly killed by the Casimir of \mathfrak{g} .

1.3. THE COMPACT DUAL OF X

Consider the *real* Lie subalgebra $\mathfrak{g}_u = \mathfrak{k}_0 \oplus i\mathfrak{p}_0$ where i is a square root of -1 . Let $G(\mathbb{C})$ be the group of complex points of G . The analytic subgroup of $G(\mathbb{C})$ whose Lie algebra is \mathfrak{g}_u is denoted G_u . As is well known, G_u is a maximal compact subgroup of $G(\mathbb{C})$. Clearly, K_∞ is a subgroup of G_u . Form the quotient $\widehat{X} = G_u/K_\infty$. This is a compact symmetric space, which is the ‘compact dual’ of X .

The Killing form on \mathfrak{g}_u is *negative definite* and its negative gives a K_∞ -invariant metric on the space $i\mathfrak{p}_0$ (which may be identified with the tangent space at the identity coset eK_∞ of $\widehat{X} = G_u/K_\infty$). By translation, we get a G_u invariant metric on \widehat{X} . The space of complex harmonic differential forms on \widehat{X} with respect to this metric is by a theorem of E. Cartan, given by $\text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathbb{C})$. Note however, that this latter space is indeed an algebra under wedge product, and it maps isomorphically onto the cohomology of the compact manifold \widehat{X} :

$$H^\bullet(\widehat{X}) = \text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathbb{C}). \quad (7)$$

Note that the isomorphism (7) is an isomorphism of *algebras*.

Now, the space $\text{Hom}_{K_\infty}(\wedge^\bullet \mathfrak{p}, \mathbb{C})$ is a *subalgebra* of the algebra of closed (these classes are even harmonic, by the Matsushima Formula) differential forms in $\Omega^\bullet(\Gamma \backslash X)$ for every torsion free cocompact Γ as in the end of (1.2). The closed differential forms map onto the cohomology algebra $H^\bullet(S(\Gamma))$ (and the harmonic ones map bijectively onto $H^\bullet(S(\Gamma)) = H^\bullet(S_K^0)$ where K is the closure of Γ in the group G_f).

Thus, the cohomology algebra $H^\bullet(\widehat{X})$ may be thought of as a subalgebra of the algebra $H^\bullet(S_K^0)$. The latter, by Equation (5) of Section (1.2), is the subalgebra of K -invariants in the direct limit cohomology algebra $H^\bullet(\text{Sh}^0 G)$. The isomorphisms (6) and (7) (of the Sections (1.2) and (1.3) respectively) show that under this isomorphism, $H^\bullet(\widehat{X})$ is precisely the space of G_f -invariants of $H^\bullet(\text{Sh}^0 G)$.

1.4. A CANONICAL GENERATOR OF $H^n(S_K^0)$

Let (as in the introduction) n be the dimension of the *real* manifold X (or of the real manifold S_K^0). Our assumption on the connectedness of $G(\mathbb{R})$ implies that K_∞ , being a maximal compact subgroup of $G(\mathbb{R})$, is connected and acts trivially on the top exterior power of \mathfrak{p}_0 , the tangent space at the identity coset of $X = G(\mathbb{R})/K_\infty$. Hence, by translation of an orientation of \mathfrak{p}_0 everywhere on X , we see that X is orientable and that the orientation is preserved by $G(\mathbb{R})$. By the same reasoning, since $G(\mathbb{C})$ and its maximal compact G_u are connected, and K_∞ is connected, it follows that $\widehat{X} = G_u/K_\infty$ is also orientable, with an orientation preserved by G_u . In particular, \widehat{X} is a compact orientable manifold, and hence its top degree cohomology is one dimensional: $H^n(\widehat{X}) = \mathbb{C}\omega_G$. Here, we have fixed a generator ω_G of $H^n(\widehat{X})$ once and for all.

The orientability of $S(\Gamma)$ implies that its top degree cohomology is also one dimensional: $H^n(S(\Gamma)) = \mathbb{C}$. Now, by the first sentence of the last paragraph of Section (1.3), there is a natural *imbedding* of $H^n(\widehat{X})$ in $H^n(S(\Gamma))$: thus, the (image of the) generator ω_G of $H^n(\widehat{X})$ chosen in the foregoing paragraph, is a generator of $H^n(S(\Gamma))$. We will always use in the sequel, this generator ω_G of $H^n(S(\Gamma))$. Note that ω_G is *not*, in general, the unit volume form on $S(\Gamma)$ because the volume of $S(\Gamma)$ with respect to ω_G goes to infinity as K (the closure of Γ in G_f) becomes smaller.

Thus $H^n(S(\Gamma)) = \mathbb{C}\omega_G = H^n(\widehat{X})$. By taking direct limits we obtain $H^n(\text{Sh}^0 G) = \mathbb{C}\omega_G = H^n(\widehat{X})$.

We will now collect together our conclusions on the structure of the direct limit $H^\bullet(\text{Sh}^0 G)$ as a G_f module.

(1.5) PROPOSITION. *Let G be a semisimple group defined and anisotropic over \mathbb{Q} satisfying the assumptions of (1.1). Let X be the symmetric space associated to $G(\mathbb{R})$. Then the following hold.*

- (1) *As a module over the group G_f , The direct limit $H^\bullet(\text{Sh}^0 G)$ is a direct sum of irreducible smooth G_f -modules π_f , with each π_f occurring with a finite multiplicity $m(\pi_f)$:*

$$H^\bullet(\text{Sh}^0 G) = \bigoplus m(\pi_f)\pi_f.$$

- (2) *$H^\bullet(\text{Sh}^0 G)$ is smooth and admissible as a G_f module. Further, G_f acts by algebra automorphisms of the cohomology algebra $H^\bullet(\text{Sh}^0 G)$.*
- (3) *The cohomology algebra $H^\bullet(\widehat{X})$ of the compact dual \widehat{X} of X , under the imbedding (7) defined by the Matsushima formula, is isomorphic (as an algebra) to the subalgebra of G_f -invariants in $H^\bullet(\text{Sh}^0 G)$.*

(1.6) Remark. From now on, we will (as we may, thanks to (3) of Proposition (1.5)) think of $H^\bullet(\widehat{X})$ as a subalgebra of $H^\bullet(\text{Sh}^0 G)$. We will (as we may, because of

Equation (5) of (1.2)) also think of $H^\bullet(S(\Gamma))$ as the subalgebra of K -invariants in $H^\bullet(\text{Sh}^0 G)$ where K is the closure of Γ in G_f . This shows that $H^\bullet(\widehat{X})$ is a subalgebra of $H^\bullet(S(\Gamma))$ for every (torsion free, cocompact) congruence arithmetic subgroup Γ of $G(\mathbb{Q})$.

Proof of Proposition (1.5). (1) is well known and follows from the Matsushima Formula. For a reference see [C 3], Lemme (3.15), (ii) (in [C 3] (1) is stated for the group $G(\mathbb{A}_f)$ but the same proof goes through word for word, by replacing the group $G(\mathbb{A}_f)$ by G_f).

To prove (2), let $K \subset G_f$ be a compact open subgroup. We may assume (by replacing K by a smaller open subgroup if necessary) that $\Gamma = G(\mathbb{Q}) \cap K$ is torsion free. Then, by Equation (5) of Section (1.2), the space of K invariants in $H^\bullet(\text{Sh}^0 G)$ is precisely $H^\bullet(S_K^0) = H^\bullet(S(\Gamma))$ and the latter is finite-dimensional because $S(\Gamma)$ is a compact manifold. This proves the admissibility of $H^\bullet(\text{Sh}^0 G)$ (recall that a G_f -module W is admissible if the space of K invariants in W is finite-dimensional for each open compact subgroup K of G_f). As $H^\bullet(\text{Sh}^0 G)$ is the direct limit of $H^\bullet(S_K^0)$, it follows that $H^\bullet(\text{Sh}^0 G)$ is a smooth G_f -module as well (a G_f -module is smooth if every vector in the module is fixed by some open compact subgroup of G_f).

Note that (3) simply restates the conclusion of the last paragraph of Section (1.3).

2. The Cycle Class $[S_H(\Gamma)]$ and the Restriction Map

2.1. NOTATION

Let H be a connected semisimple algebraic group over \mathbb{Q} (all of whose \mathbb{Q} -simple factors are isotropic over \mathbb{R}). Assume that $H(\mathbb{R})$ is connected. Let $f: H \rightarrow G$ be a morphism of algebraic \mathbb{Q} -groups with finite kernel. Thus f induces an injection of the Lie algebra \mathfrak{h}_0 of $H(\mathbb{R})$ into \mathfrak{g}_0 . Assume that f and H have the following properties: $H(\mathbb{R}) \cap f^{-1}(K_\infty) = K_\infty^H$ is a maximal compact subgroup of $H(\mathbb{R})$; further, The Cartan decomposition $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{p}_0$ when restricted to the subalgebra \mathfrak{h}_0 gives the Cartan decomposition $\mathfrak{h}_0 = \mathfrak{k}_0^H \oplus \mathfrak{p}_0^H$ where \mathfrak{k}_0^H is the Lie algebra of K_∞^H and \mathfrak{p}_0^H is the intersection $\mathfrak{p} \cap \mathfrak{h}_0$; now $Y \stackrel{\text{def}}{=} H(\mathbb{R})/K_\infty^H$ is a symmetric space and $f: Y \rightarrow X$ is an imbedding of symmetric spaces.

2.2. THE RESTRICTION MAP

Let Γ be a torsion free congruence arithmetic subgroup of $G(\mathbb{Q})$. Denote by $\Gamma \cap H$ the inverse image of Γ in $H(\mathbb{Q})$ under the map $f: H(\mathbb{Q}) \rightarrow G(\mathbb{Q})$. Write $S_H(\Gamma) = \Gamma \cap H \backslash Y$. As explained in the introduction, $S_H(\Gamma)$ is a manifold covered by Y . The map f induces a smooth map $j = j(\Gamma): S_H(\Gamma) \rightarrow S(\Gamma)$. This induces a homomorphism j^* of the cohomology algebras:

$$j(\Gamma)^*: H^\bullet(S(\Gamma)) \rightarrow H^\bullet(S_H(\Gamma)). \tag{1}$$

By taking direct limit of both sides of Equation (1) as Γ varies through torsion free congruence arithmetic subgroups of $G(\mathbb{Q})$, we obtain a homomorphism of algebras

$$j^* : H^\bullet(\text{Sh}^0 G) \rightarrow H^\bullet(\text{Sh}^0 H). \tag{2}$$

Fix $g \in G_f$. Then g acts on $H^\bullet(\text{Sh}^0 G)$ as in (1.2), by a linear transformation which we again denote by g . Then the composite $j_g^* = j^* \circ g$ is a homomorphism from $H^\bullet(\text{Sh}^0 G)$ into $H^\bullet(\text{Sh}^0 H)$. The product over all $g \in G_f$ of these maps j_g^* , is referred to as the *restriction map* and is denoted Res:

$$\text{Res} = \prod j_g^* : H^\bullet(\text{Sh}^0 G) \rightarrow \prod H^\bullet(\text{Sh}^0 H). \tag{3}$$

Maps similar to Res have been considered in [Oda], [H-L], [K-R], [C-V].

2.3. NOTATION

Let \mathfrak{h} be the complexification of \mathfrak{h}_0 (define similarly, the complex vector spaces \mathfrak{k}^H and \mathfrak{p}^H). Let $\mathfrak{h}_u = \mathfrak{k}_0^H \oplus i\mathfrak{p}_0^H$. Then the map $f : H \rightarrow G$ induces an injective Lie algebra homomorphism $\mathfrak{h}_u \rightarrow \mathfrak{g}_u$. Let $H(\mathbb{C})$ be the group of complex points of H (with Lie algebra \mathfrak{h}). Then, the analytic subgroup H_u of $H(\mathbb{C})$ with Lie algebra \mathfrak{h}_u is a maximal compact subgroup of $H(\mathbb{C})$ which clearly contains K_∞^H . Form the ‘compact dual’ $\widehat{Y} = H_u/K_\infty^H$. The map f induces a natural imbedding of the compact dual symmetric spaces $\widehat{j} : \widehat{Y} \rightarrow \widehat{X}$.

2.4. THE CYCLE CLASS $[\widehat{Y}]$

Consider the imbedding $\widehat{j} : \widehat{Y} \rightarrow \widehat{X}$. Let m be the real dimension of Y . Now by the definition of ω_H , it generates the cohomology group $H^m(\widehat{Y})$. Therefore, given $\alpha \in H^m(\widehat{X})$, its pullback $\widehat{j}^*(\alpha)$ is of the form $\lambda(\alpha)\omega_H$ for some scalar $\lambda(\alpha)$. Thus, λ defines a linear form on $H^m(\widehat{X})$.

Given $\beta \in H^{n-m}(\widehat{X})$ we get a linear form λ_β on the space $H^m(\widehat{X})$ given by $\lambda_\beta(\alpha)\omega_G = \alpha \wedge \beta$ for all $\alpha \in H^m(\widehat{X})$. By Poincaré duality for the cohomology of \widehat{X} , it follows that every linear form on $H^m(\widehat{X})$ is of the form λ_β for some $\beta \in H^{n-m}(\widehat{X})$. Therefore the linear form λ of the previous paragraph is of the form $\lambda_{\widehat{Y}}$ for some element $[\widehat{Y}] \in H^{n-m}(\widehat{X})$. We will refer to this element $[\widehat{Y}]$ as the cycle class associated to the cycle \widehat{Y} in \widehat{X} .

2.5. DEFINITION OF THE CYCLE CLASS $[S_H(\Gamma)]$

We will now fix the congruence arithmetic subgroup Γ of $G(\mathbb{Q})$. As in (1.4), our assumption that $H(\mathbb{R})$ is connected ensures that the $S_H(\Gamma)$ are all orientable. Let m be the (real) dimension of the manifold Y (or of $S_H(\Gamma)$). Then, by replacing G by H throughout in (1.4), we obtain a canonical generator-denoted ω_H – of the (one-dimensional) top degree cohomology $H^m(S_H(\Gamma)) = \mathbb{C}$ of $S_H(\Gamma)$. This

ω_H is, in fact, a generator of the cohomology of the compact dual \widehat{Y} , and there is a natural isomorphism

$$\mathbb{C}\omega_H = H^m(\widehat{Y}) = H^m(S_H(\Gamma)) \quad (4)$$

(only in the top degree m) as explained in the last paragraph of (1.3).

Recall that the dimensions of X and Y are n and m respectively. Consider the cup-product pairing

$$H^m(S(\Gamma)) \times H^{n-m}(S(\Gamma)) \rightarrow H^n(S(\Gamma)).$$

The pairing is non-degenerate by Poincaré duality. From (1.4) we get that $H^n(S(\Gamma))$ is generated by ω_G . Given $\beta \in H^{n-m}(S(\Gamma))$, we get a linear form λ_β defined by

$$\alpha \wedge \beta = \lambda_\beta \omega_G \quad (5)$$

for all $\alpha \in H^m(S(\Gamma))$. By Poincaré duality for the cohomology of $S(\Gamma)$, every linear form on $H^m(S(\Gamma))$ is of the form λ_η for some $\eta \in H^{n-m}(S(\Gamma))$.

We will now define a linear form λ on $H^m(S(\Gamma))$. From Equation (1) of (2.2) we get a map $j(\Gamma)^*: H^m(S(\Gamma)) \rightarrow H^m(S_H(\Gamma))$. From Equation (4), the latter space is isomorphic to $\mathbb{C}\omega_H$. Define $\lambda(\alpha)$ by the formula

$$\lambda(\alpha)\omega_H = j(\Gamma)^*(\alpha). \quad (5)$$

Thus, λ is a linear form on $H^m(S(\Gamma))$. By the conclusion of the preceding paragraph, there is an element (denoted $[S_H(\Gamma)]$) in $H^{n-m}(S(\Gamma))$ such that $\lambda = \lambda_{[S_H(\Gamma)]}$. This is the *cycle class* associated to the special cycle $S_H(\Gamma)$ of the manifold $S(\Gamma)$.

Remark. If the level Γ were fixed once and for all, then the cycle class could have been defined in the usual way (by fixing an arbitrary generator of $S(\Gamma)$). But, since the levels are varying, one needs to be careful in choosing generators of the top degree cohomology of $S_H(\Gamma)$ and $S(\Gamma)$. One can do this here because of the canonical generators ω_H and ω_G arising from the cohomology of the compact duals \widehat{Y} and \widehat{X} .

(2.6) Remark. Let M and N be compact orientable manifolds of dimensions m and n respectively. Fix (non-zero) generators ω_M and ω_N of $H^m(M)$ and $H^n(N)$ respectively. Let $j: M \rightarrow N$ be a smooth map. We then get a linear form $\alpha \mapsto \lambda(\alpha)$ on $H^m(N)$ defined by $j^*(\alpha) = \lambda(\alpha)\omega_N$. By Poincaré duality, there exists an element $[M] \in H^{n-m}(N)$ such that the wedge product $\alpha \wedge [M] = \lambda(\alpha)\omega_N$.

If $k \leq n$ is any integer and $\beta \in H^k(N)$ is such that $j^*(\beta) = 0$, then $\beta \wedge [M] = 0$. To prove this, we may assume that $k \leq m$ (for otherwise, $\beta \wedge [M]$ is of degree $k + (n - m) > n$ and is zero anyway). Let $\alpha \in H^{m-k}(N)$. Then, $j^*(\alpha \wedge \beta) = \lambda\omega_M$ for some scalar λ . Then, by the definition of the cycle class $[M]$, we get $\alpha \wedge \beta \wedge [M] = \lambda\omega_N$. However, $j^*(\alpha \wedge \beta) = 0$ since $j^*(\beta) = 0$. Therefore, $\lambda = 0$ and we get, $\alpha \wedge \beta \wedge [M] = 0$, for every α . by Poincaré duality for $H^\bullet(M)$, we then get $\beta \wedge [M] = 0$.

(2.7) *Remark.* Let $H^\bullet(\widehat{X}) \subset H^\bullet(\text{Sh}^0 G)$ and $H^\bullet(\widehat{Y}) \subset H^\bullet(\text{Sh}^0 H)$ be the natural imbeddings as in (1.3). Let $\widehat{j}^*: H^\bullet(\widehat{X}) \rightarrow H^\bullet(\widehat{Y})$ and $j^*: H^\bullet(\text{Sh}^0 G) \rightarrow H^\bullet(\text{Sh}^0 H)$ be the restriction maps. Then, for all $\alpha \in H^\bullet(\widehat{X})$ we have

$$\widehat{j}^*(\alpha) = j^*(\alpha). \tag{7}$$

3. Proof of Theorem 1

Let $V = V_\Gamma$ be the \mathbb{C} -span of G_f -translates of the cycle class $\xi = \xi_\Gamma = [S_H(\Gamma)]$. Now, $\xi \in H^{n-m}(S(\Gamma))$ (recall that m and n are the dimensions of the spaces Y and X , respectively). Thus, V is a submodule of the G_f -module $H^{n-m}(\text{Sh}^0 G)$. By Proposition (1.5), $H^{n-m}(\text{Sh}^0 G)$ is completely reducible as a G_f -module. Hence so is the submodule V .

Now, V is a cyclic module containing ξ as a cyclic vector. Hence the space of G_f -invariant linear forms on V is at most one dimensional (since such a linear form is determined completely by its value on the vector ξ). Now complete reducibility of V implies that the space V^0 of G_f -invariant vectors in V is also at most one dimensional. Write $V = V^0 \oplus V^1$ where V^1 is a G_f -invariant subspace of V supplementary to V^0 . Since V^1 has no invariant vectors, complete reducibility of V^1 shows that it has no G_f -invariant linear forms on it either. Write $\xi = \eta + \xi^1$ where $\eta \in V^0$ and $\xi^1 \in V^1$. We will show that

$$\eta = [\widehat{Y}]. \tag{1}$$

This will prove Theorem 1 because η is a generator of the space V^0 of G_f -invariants in V and is a linear combination of G_f -translates of the cycle class ξ (because every element of V is).

To prove the Equation (1), we proceed as follows. Let

$$\alpha \in H^m(\widehat{X}) \subset H^m(\text{Sh}^0 G)$$

be an arbitrary, but fixed, vector. Consider the wedge product $v \wedge \alpha$ for $v \in V$. Now $H^n(\widehat{X})$ maps isomorphically onto $H^n(\text{Sh}^0 G) = \mathbb{C}\omega_G$ (see the end of (1.4); ω_G is the canonical generator chosen in (1.4)). Note that $v \wedge \alpha \in H^n(\text{Sh}^0 G)$ for all $v \in V$. Thus we may write $v \wedge \alpha = \lambda_\alpha(v)\omega_G$. We first show that λ_α is a G_f -invariant linear form on V . Fix $g \in G_f$ and $v \in V$. Then,

$$g(v \wedge \alpha) = g(v) \wedge g(\alpha), \tag{2}$$

because g acts by algebra automorphisms ((1.2)). Since $v \wedge \alpha$ is a class of degree n and $H^n(\text{Sh}^0 G)$ is $H^n(\widehat{X})$, it follows that $g(v \wedge \alpha) = v \wedge \alpha$. Moreover, $\alpha \in H^m(\widehat{X})$ is G_f -invariant. Thus we get $v \wedge \alpha = g(v) \wedge \alpha$ from Equation (2). By the definition of λ_α , this means that $\lambda_\alpha(v) = \lambda_\alpha(g(v))$. Thus, λ_α is a G_f -invariant linear form on V . Therefore, it vanishes on V^1 (recall that V^1 has no invariant forms). Con-

sequently, $\lambda_\alpha(\xi) = \lambda_\alpha(\eta)$ and by the definition of λ_α , we obtain

$$\eta \wedge \alpha = \xi \wedge \alpha. \tag{3}$$

We will now show that

$$\alpha \wedge \xi = \alpha \wedge [\widehat{Y}]. \tag{4}$$

By the definition of $[\widehat{Y}]$, $\alpha \wedge [\widehat{Y}] = \mu\omega_G$ where $\mu \in \mathbb{C}$ is such that $\widehat{j}^*(\alpha) = \mu\omega_H$.

Let β be an arbitrary element of $H^m(S(\Gamma))$ and write $j^*(\beta) = \lambda\omega_H \in H^m(S_H(\Gamma))$ where λ is a scalar (which depends on the class β , of course). Then by the definition of the cycle class ξ , we get $\beta \wedge \xi = \lambda\omega_G$. By substituting α for the arbitrary element β we get $j^*(\alpha) = \lambda\omega_H$ with $\alpha \wedge \xi = \lambda\omega_G$.

But by Equation (7) of (2.7), we get that $j^*(\gamma) = \widehat{j}^*(\gamma)$ for all elements $\gamma \in H^m(\widehat{X})$. In particular, the foregoing paragraphs imply that $\lambda = \mu$. This is equivalent to (4).

Since the degrees of α and ξ are m and $n - m$, respectively, we obtain that

$$\alpha \wedge \xi = (-1)^{m(n-m)} \xi \wedge \alpha; \alpha \wedge \eta = (-1)^{m(n-m)} \eta \wedge \alpha. \tag{5}$$

Now the Equations (3), (4) and (5) imply

$$\alpha \wedge [\widehat{Y}] = \alpha \wedge \eta$$

for all $\alpha \in H^m(\widehat{X})$. By Poincaré duality for the cohomology of \widehat{X} , we then obtain (1).

This completes the proof of Theorem 1.

4. Proof of Theorem 2

4.1. NOTATION

Let $\xi_\Gamma \in H^{n-m}(S(\Gamma))$ be the cycle class $[S_H(\Gamma)]$ as before. Suppose that Γ' is a congruence arithmetic subgroup of $G(\mathbb{Q})$ which is a *normal* subgroup of Γ . Let $\xi_{\Gamma'} = [S_H(\Gamma')] \in H^{n-m}(S(\Gamma'))$ be the cycle class for the level Γ' . We will view all these cohomology groups as subgroups of $H^{n-m}(\text{Sh}^0 G)$.

Let K and K' be the closures in G_f of Γ and Γ' , respectively. Then the imbedding of Γ in K induces a map of finite groups $\Gamma/\Gamma' \rightarrow K/K'$ which is an isomorphism since Γ is dense in K .

Recall that G_f operates on $H^{n-m}(\text{Sh}^0 G)$ as in (1.2).

LEMMA (4.2). *With the notation of (4.1), we have*

$$\sum \theta(\xi_{\Gamma'}) = m\xi_\Gamma$$

where m is the order of the group $K/K' = \Gamma/\Gamma'$.

Here, the sum is over all the elements of the finite group K/K' . The action of K' on $\xi_{\Gamma'} \in H^{n-m}(S_{K'}^0)$ is trivial by the identification of the latter cohomology group as

the space K' invariants of $H^{n-m}(\text{Sh}^0 G)$. The equation of the Lemma (makes sense and) holds in the cohomology group $H^{n-m}(\text{Sh}^0 G)$.

Proof of Lemma (4.2). Let $\alpha \in H^m(S(\Gamma))$. Form the wedge product $(\sum \theta(\xi_{\Gamma'})) \wedge \alpha$. Now, the action by θ preserves cup products in $H^*(S(\Gamma'))$. Note that $\theta(\xi_{\Gamma'}) \wedge \alpha \in H^n(S(\Gamma'))$. Further, $H^n(S(\Gamma')) = H^n(\widehat{X}) = \mathbb{C}\omega_G$ and θ acts trivially on $H^n(\widehat{X})$. Therefore, $\theta(\xi_{\Gamma'}) \wedge \alpha = \xi_{\Gamma'} \wedge \theta^{-1}(\alpha)$. But, since $\alpha \in H^m(S(\Gamma))$, the class α is invariant under the action of K/K' . Therefore, $\theta(\xi_{\Gamma'}) \wedge \alpha = \xi_{\Gamma'} \wedge \alpha$ for all θ and we get

$$\left(\sum \theta(\xi_{\Gamma'})\right) \wedge \alpha = m\xi_{\Gamma'} \wedge \alpha.$$

To prove the Lemma, we must then show that $\xi_{\Gamma'} \wedge \alpha = \xi_{\Gamma} \wedge \alpha$. By the definition of the cycle class ξ_{Γ} (see (2.4)), $\xi_{\Gamma} \wedge \alpha$ is a multiple $\lambda\omega_G$ of the canonical class ω_G where λ is defined by $j(\Gamma)^*(\alpha) = \lambda\omega_H$. We are thus reduced to showing that $j(\Gamma')^*(\alpha) = j(\Gamma)^*(\alpha)$ for all $\alpha \in H^m(S(\Gamma))$. This is immediate from the definition of the imbedding of $H^m(S(\Gamma))$ in $H^m(S(\Gamma'))$ as the space of K invariants in $H^m(S(\Gamma'))$.

(4.3) Proof of Theorem 2. Suppose that $\alpha \in H^k(\text{Sh}^0 G)$ with $\text{Res}(\alpha) = 0$. Let $g \in G_f$ be arbitrary but fixed. Now, $\alpha \in H^k(S(\Gamma)) = H^k(S_K^0)$ for some congruence arithmetic subgroup Γ of $G(\mathbb{Q})$. Here, K is the closure of Γ in G_f . Thus, $g(\alpha) \in H^k(S(\Gamma')) = H^k(S_{K'}^0)$ for some congruence arithmetic subgroup Γ' of $G(\mathbb{Q})$. We may assume, by replacing Γ' by a smaller subgroup if necessary, that Γ' is a normal subgroup of finite index in Γ . Let $\xi_{\Gamma'} = [S_H(\Gamma')]$ be the cycle class corresponding to Γ' . Define ξ_{Γ} similarly.

We have the map $j(\Gamma') : S_H(\Gamma') \rightarrow S(\Gamma')$ and $j_g^* = j(\Gamma')^* \circ g$. Since Res is a product of j_g^* and $\text{Res}(\alpha) = 0$ it follows that $j(\Gamma')^* \circ g(\alpha) = 0$. By Remark (2.6), we obtain that $g(\alpha) \wedge [S_H(\Gamma')] = 0$, i.e.,

$$g(\alpha) \wedge \xi_{\Gamma'} = 0. \tag{1}$$

Now Equation (1) still holds if we replace g by $\theta^{-1}g$ where θ is any element of K since the action of θ on $H^k(\text{Sh}^0 G)$ leaves the subspace $H^k(S(\Gamma')) = (H^k(\text{Sh}^0 G))^{K'}$ stable (recall that K normalises K'). Thus

$$\theta^{-1}g(\alpha) \wedge \xi_{\Gamma'} = 0 = g(\alpha) \wedge \theta(\xi_{\Gamma'}). \tag{2}$$

By summing over all $\theta \in K/K'$ in Equation (2) and using Lemma (4.1), we obtain that $g(\alpha) \wedge \xi_{\Gamma} = 0$. This is equivalent to saying that $\alpha \wedge g(\xi_{\Gamma}) = 0$ for all $g \in G_f$. By choosing a suitable linear combination and by using Theorem 1 we obtain that $\alpha \wedge [\widehat{Y}] = 0$. This is Theorem 2.

5. Hermitian Symmetric Domains

5.1. NOTATION

In this section, we will assume that X and Y are Hermitian symmetric domains and that the imbedding $f: Y \rightarrow X$ is holomorphic. The complex tangent space \mathfrak{p} at the identity coset eK_∞ of $X = G(\mathbb{R})/K_\infty$ then decomposes into a direct sum $\mathfrak{p} = \mathfrak{p}^+ \oplus \mathfrak{p}^-$ where \mathfrak{p}^+ (resp. \mathfrak{p}^-) denotes the holomorphic (resp. anti-holomorphic) tangent space at the identity coset. We have similarly a decomposition $\mathfrak{p}_H = \mathfrak{p}_H^+ \oplus \mathfrak{p}_H^-$, with $\mathfrak{p}_H^+ \subset \mathfrak{p}^+$ (and similarly $\mathfrak{p}_H^- \subset \mathfrak{p}^-$).

Denote by D and d the complex dimensions of the complex manifolds X and Y . In our earlier notation (of Section 1), $n = 2D$ and $m = 2d$.

Let $\kappa \in \text{sym}^2(\mathfrak{p}_0)^*$ denote the restriction to \mathfrak{p}_0 of the Killing form on $\mathfrak{g}_0 = \text{Lie}(G(\mathbb{R}))$. Then, κ defines a positive definite symmetric bilinear form on \mathfrak{p}_0 which is K_∞ invariant. It can be extended to a \mathbb{C} -linear symmetric bilinear form $\kappa_{\mathbb{C}}$ on $\mathfrak{p} = \mathfrak{p}_0 \otimes \mathbb{C}$.

The connected component C of identity of the centre of K_∞ acts nontrivially on \mathfrak{p} . Indeed, there exists an element $J \in C$ such that under the adjoint action, J acts by the scalar $\pm i$ on \mathfrak{p}^\pm (see [He], Chapter (VII), Theorem (4.5); there our element J is denoted s_0). We may thus write \mathfrak{p}^\pm as the set of elements $x - (\pm i)J(x)$ with $x \in \mathfrak{p}_0$.

Denote by $v \mapsto \bar{v}$ the complex conjugation on $\mathfrak{g} = \mathfrak{g}_0 \otimes \mathbb{C}$ which leaves \mathfrak{g}_0 pointwise fixed and acts by complex conjugation on the coefficients \mathbb{C} . Then it is clear from the last paragraph that the complex conjugation maps \mathfrak{p}^+ to \mathfrak{p}^- . If $v = x - iJ(x) \in \mathfrak{p}^+$ with $x \in \mathfrak{p}_0$ then

$$\kappa_{\mathbb{C}}(v, \bar{v}) = \kappa(x, x) + \kappa(J(x), J(x)).$$

This shows that the Hermitian form $h: (z, w) \mapsto \kappa_{\mathbb{C}}(z, \bar{w})$ (with $z, w \in \mathfrak{p}^+$) is positive definite on \mathfrak{p}^+ .

5.2. THE CLASS L

By definition, the element J of the centre of K_∞ (defined in Section (5.1)) acts by -1 on the tensor space $\mathfrak{p}^+ \otimes \mathfrak{p}^+$ (and similarly on $\mathfrak{p}^- \otimes \mathfrak{p}^-$). In particular, there are no K_∞ -invariant vectors in the space $\mathfrak{p}^+ \otimes \mathfrak{p}^+$ and in $\mathfrak{p}^- \otimes \mathfrak{p}^-$. Thus, $\kappa_{\mathbb{C}} \in (\mathfrak{p}^+)^* \otimes (\mathfrak{p}^-)^* \subset \text{sym}^2(\mathfrak{p}^*)$. However, the tensor representation $(\mathfrak{p}^+)^* \otimes (\mathfrak{p}^-)^*$ also occurs in

$$\wedge^2 \mathfrak{p}^* = \wedge^2 (\mathfrak{p}^+)^* \oplus \wedge^2 (\mathfrak{p}^-)^* \oplus (\mathfrak{p}^+)^* \otimes (\mathfrak{p}^-)^* .$$

Thus $\kappa_{\mathbb{C}}$ gives an element of

$$\text{Hom}_{K_\infty}(\wedge^2 \mathfrak{p}, \mathbb{C}) = H^2(\widehat{X}).$$

We denote the element of $H^2(\widehat{X})$ thus obtained by L_G . When the group G is fixed, we

will denote L_G by L . Note that for every torsion free cocompact Γ as in (1.2), this element L lies in $H^2(S(\Gamma))$.

Let (e) be any orthonormal basis of $(\mathfrak{p}^+)^*$ under the dual of the Hermitian form h defined on \mathfrak{p}^+ in (5.1). Then,

$$L = \sum e \wedge \bar{e} \in \wedge^2 \mathfrak{p}^*. \tag{1}$$

5.3. THE LEFSCHETZ THEOREM FOR L

We note that ([B-B]) the spaces $S(\Gamma)$ are smooth projective varieties. It is also known ([B-B]) that the class L is (upto a scalar multiple) the class of an ample divisor on $S(\Gamma)$. It is then a consequence of the Lefschetz hyperplane section Theorem for $S(\Gamma)$ that if $k \leq D$, then the map $\alpha \mapsto \alpha \wedge L^j$ on the space $H^j(S(\Gamma))$ is injective for all $j \leq D - k$. The injectivity can also be proved directly, as in Section 9, pages 60-61 of [A]).

5.4. NOTATION

We now take for Z the variety $S_K^0 G$ for some open compact subgroup $K \subset G_f$ such that $K \cap G(\mathbb{Q})$ is neat. Then one has a finite map $j: S_{H \cap K}^0 \rightarrow S_K^0$. As in (1.3), let $\xi = [S_{K \cap H}^0]$ denote the cycle class of the cycle $S_{K \cap H}^0$ in $H^{2D-2d}(S_K^0)$.

If we assume that $X = G(\mathbb{R})/K_\infty$ is an irreducible Hermitian symmetric domain, then K_∞ acts irreducibly on \mathfrak{p}^+ . Now

$$H^2(\widehat{X}, \mathbb{C}) = \text{Hom}_{K_\infty}(\wedge^2 (\mathfrak{p}^+ \oplus \mathfrak{p}^-), \mathbb{C}) = \text{Hom}_{K_\infty}(\mathfrak{p}^+ \otimes \mathfrak{p}^-, \mathbb{C}) = \mathbb{C},$$

since \mathfrak{p}^- is the dual of \mathfrak{p}^+ as a K_∞ -representation. We know that $H^2(\widehat{X}, \mathbb{C})$ contains $L' = -L$. Hence the space of G_f -invariants in $H^2(\text{Sh}^0(G, X))$ is $\mathbb{C}L$.

(5.5) Proof of Theorems 3 and 4. Now by assumption $S_{H \cap K}^0$ is a divisor in S_K^0 . Therefore \widehat{Y} is a divisor in \widehat{X} and so, by the last paragraph, $[\widehat{Y}]$ is a non-zero multiple of L . By Theorem 1 and 2, we get that if $\alpha \in H^k(\text{Sh}^0 G)$ is such that $\text{Res}(\alpha) = 0$ then $\alpha \wedge [\widehat{Y}] = 0$. That is, $\alpha \wedge L = 0$. Since $d + 1 = D$ and the degree of α is $k \leq d$, the Lefschetz hyperplane section Theorem tells us that $\alpha = 0$. This proves Theorem 3.

Theorem 4 follows immediately since the pairs (G, H) of Theorem 4 satisfy the hypotheses of Theorem 3.

(5.6) Proof of Theorem 5. If $H(\mathbb{R}) = \text{SU}(p, 1)$, upto compact factors, then its compact dual is $\widehat{Y} = \mathbb{P}^p \subset \mathbb{P}^n = \widehat{X}$. Hence $[\widehat{Y}] = L^{n-p}$. If $\text{Res}(\alpha) = 0$ for some $\alpha \in H^m(\text{Sh}^0 G)$ with $m \leq p$, then by Theorem 2, $\alpha \wedge [\widehat{Y}] = 0$. But $[\widehat{Y}] = L^{n-p}$ and therefore $\alpha \wedge L^{n-p} = 0$ (and the degree of α is $m \leq p$). By the Lefschetz hyperplane section Theorem, we then have $\alpha = 0$. Therefore, we have proved Theorem 5.

6. Schubert Cells and the Cohomology of \widehat{X}

6.1. NOTATION

Let $T \subset K_\infty$ be a maximal torus. Then, as is well known (and is easy to prove), T is a maximal torus in $G(\mathbb{R})$ as well. Let $\mathfrak{t}_0 = \text{Lie}(T)$, $\mathfrak{t} = \mathfrak{t}_0 \otimes_{\mathbb{R}} \mathbb{C}$, $\Phi(\mathfrak{f}, T) =$ roots of $T_{\mathbb{C}}$ in \mathfrak{f} , $\Phi(\mathfrak{g}, T) =$ roots of $T_{\mathbb{C}}$ in \mathfrak{g} , $\Phi^+(\mathfrak{f}, T) =$ a system of positive roots on \mathfrak{f} fixed once and for all, $\Phi^+(\mathfrak{g}, T) = \Phi^+(\mathfrak{f}, T) \cup \Phi(\mathfrak{p}^+, T)$, where $\Phi(\mathfrak{p}^+, T) =$ the roots of $T(\mathbb{C})$ in \mathfrak{p}^+ .

Given $X \in i \text{ Lie}(T)$ such that $\gamma(X) \geq 0$ for all ‘positive compact roots’ $\gamma \in \Phi^+(\mathfrak{f}, T)$, we set

$$\mathfrak{q} = \mathfrak{q}(X) = \mathfrak{g}^X \oplus_{\gamma(X) > 0} \mathfrak{g}_\gamma, \quad \mathfrak{e} = \mathfrak{g}^X, \quad \mathfrak{u} = \oplus_{\gamma(X) > 0} \mathfrak{g}_\gamma$$

where $\mathfrak{g}^X =$ centralizer of X in \mathfrak{g} . Then $\mathfrak{q}(X)$ is stable under the Cartan involution θ for the pair $(\mathfrak{g}_0, \mathfrak{t}_0)$. We have $\mathfrak{u} \cap \mathfrak{p} = \mathfrak{u} \cap \mathfrak{p}^+ \oplus \mathfrak{u} \cap \mathfrak{p}^-$. Let $p = \dim(\mathfrak{u} \cap \mathfrak{p}^+)$ and $q = \dim(\mathfrak{u} \cap \mathfrak{p}^-)$, and let $k = p + q$.

The complex conjugation on $\mathfrak{g} = \mathfrak{g}_0 \otimes \mathbb{C}$ leaving \mathfrak{g}_0 fixed pointwise, acts by (-1) on $i\mathfrak{t}_0$, and hence converts positive roots into negative roots and takes \mathfrak{p}^- into $(\mathfrak{p}^+)^+$; denote the complex conjugation by $v \mapsto \bar{v}$ on \mathfrak{g} and again by $w \mapsto \bar{w}$ on the exterior algebra (or the tensor algebra) of \mathfrak{g} . Then we set

$$e^+(\mathfrak{q}) = \wedge^p (\mathfrak{u} \cap \mathfrak{p}^+) \wedge \wedge^q \overline{(\mathfrak{u} \cap \mathfrak{p}^+)} \subset \wedge^k \mathfrak{p}^+ \tag{1}$$

and $V^+(\mathfrak{q}) =$ the smallest K_∞ -stable subspace of $\wedge^k \mathfrak{p}^+$ containing $e^+(\mathfrak{q})$ (note that if $\mathfrak{u} \cap \mathfrak{p}^- = 0$ i.e. $q = 0$, then \mathfrak{q} is ‘holomorphic’ and $V^+(\mathfrak{q}) = V(\mathfrak{q}) = K_\infty$ -span of $e(\mathfrak{q})$, is irreducible as a K_∞ -module). However, $V^+(\mathfrak{q})$ need not be irreducible in general.

Note also that $\mathfrak{u} \cap \bar{\mathfrak{u}} = 0$ (this is because the element X acts by strictly positive eigenvalues on \mathfrak{u} and strictly negative eigenvalues on \mathfrak{u}^-) Therefore, $e^+(\mathfrak{q}) \neq 0$. Write $V(\mathfrak{q})$ for the K_∞ -span of $e(\mathfrak{q})$. Then $V(\mathfrak{q}) \subset \wedge^p \mathfrak{p}^+ \otimes \wedge^q \mathfrak{p}^-$ and $V(\mathfrak{q})$ is a K_∞ -irreducible subspace of $\wedge^k \mathfrak{p} (k = p + q)$ (the irreducibility can be proved by observing that the line $e(\mathfrak{q})$ is stabilised by the Borel subalgebra \mathfrak{b}_K of the \mathfrak{f} which is the sum of \mathfrak{t} and all the root spaces corresponding to the positive compact roots occurring in \mathfrak{f}).

If $(v_i)_{i \in I}$ is a basis of $\mathfrak{u} \cap \mathfrak{p}$, then complete it to a basis $(v_j)_{j \in J}$ of \mathfrak{p} . Let $(v_j^*)_{j \in J}$ be the dual basis in \mathfrak{p}^* . Define $e(\mathfrak{q}^*)$ as the top exterior of the span of $v_i^* (i \in I)$. Let $V(\mathfrak{q})^*$ be the K_∞ span of $(e(\mathfrak{q}^*))^*$. Then $V(\mathfrak{q})^*$ is indeed the dual of $V(\mathfrak{q})$. Moreover, it is known that the multiplicity of $V(\mathfrak{q})$ in $\wedge^k \mathfrak{p}$ is exactly one ([V-Z], Section 6). Thus, $V(\mathfrak{q})^*$ is independent of the basis chosen.

By a Theorem of Kostant ([Kos]), the K_∞ -representation $\wedge \mathfrak{p}^+$ is multiplicity free. In particular,

$$V^+(\mathfrak{q}) = E_1 \oplus E_2 \oplus \dots \oplus E_\ell \tag{2}$$

with each E_i irreducible as a K_∞ -module, and $E_i \neq E_j$ if $i \neq j$.

The exterior algebra $\wedge \mathfrak{p}$ is stable under complex conjugation and $V(\mathfrak{q}) \wedge \mathfrak{p}$. Moreover, $k \leq D = \dim(\mathfrak{p}^+) < 2D = \dim(\mathfrak{p})$. We may form the wedge of $V(\mathfrak{q})$

and $\overline{V(\mathfrak{q})}$ in $\wedge \mathfrak{p}$:

$$V(\mathfrak{q}) \wedge \overline{V(\mathfrak{q})} \subset \wedge \mathfrak{p}.$$

Now $V(\mathfrak{q}) \otimes \overline{V(\mathfrak{q})}$ contains $e(\mathfrak{q}) \otimes \overline{e(\mathfrak{q})}$ as a cyclic vector. For, first consider the translates of $e(\mathfrak{q}) \otimes \overline{e(\mathfrak{q})}$ under $B_K \subset K(\mathbb{C})$, where B_K is the Borel subgroup of $K(\mathbb{C})$ with Lie algebra $\mathfrak{b}_K = \mathfrak{t} \oplus_{\gamma \in \Phi^+(\mathfrak{t}, T)} \mathfrak{g}_\gamma$. Since B_K leaves $e(\mathfrak{q})$ stable and $\overline{e(\mathfrak{q})}$ is $\overline{B_K}$ invariant, we obtain all the vectors of the form $e(\mathfrak{q}) \otimes B_K \overline{B_K e(\mathfrak{q})}$ in the $K(\mathbb{C})$ -span of $e(\mathfrak{q}) \otimes \overline{e(\mathfrak{q})}$. Now $B_K \overline{B_K}$ is a Zariski open set in $K(\mathbb{C})$ since $\overline{B_K}$ is opposite to B_K . Hence $e(\mathfrak{q}) \otimes \overline{V(\mathfrak{q})}$ lies in the $K_{\mathbb{C}}$ -span. Now translating elements of $e(\mathfrak{q}) \otimes \overline{V(\mathfrak{q})}$ by elements of $K_{\mathbb{C}}$, we get: $e(\mathfrak{q}) \otimes \overline{e(\mathfrak{q})}$ generates $V(\mathfrak{q}) \otimes \overline{V(\mathfrak{q})}$. Therefore $e(\mathfrak{q}) \wedge \overline{e(\mathfrak{q})}$ generates $V(\mathfrak{q}) \wedge \overline{V(\mathfrak{q})}$.

From (1) we obtain $e(\mathfrak{q}) = e_h(\mathfrak{q}) \wedge e_{ah}(\mathfrak{q})$, where $e_h(\mathfrak{q})$ (resp. $e_{ah}(\mathfrak{q})$) is the holomorphic part $\wedge^p (\mathfrak{u} \cap \mathfrak{p}^+)$ of $e(\mathfrak{q})$ (resp. the antiholomorphic part $\wedge^q (\mathfrak{u} \cap \mathfrak{p}^-)$). Therefore $e(\mathfrak{q}) \wedge \overline{e(\mathfrak{q})}$ is the same as

$$(e_h(\mathfrak{q}) \wedge \overline{e_{ah}(\mathfrak{q})}) \wedge (\overline{e_h(\mathfrak{q})} \wedge e_{ah}(\mathfrak{q})).$$

The first vector $e_h(\mathfrak{q}) \wedge \overline{e_{ah}(\mathfrak{q})}$ is precisely $e^+(\mathfrak{q}) \subset V^+(\mathfrak{q}) \subset \wedge^k \mathfrak{p}^+$. By taking the K_{∞} -spans we obtain:

$$V(\mathfrak{q}) \wedge \overline{V(\mathfrak{q})} \subset V^+(\mathfrak{q}) \otimes \overline{V^+(\mathfrak{q})}. \tag{3}$$

6.2. PRELIMINARY RESULTS

We wish to compute the integral

$$\int_{K_{\infty}} k(e^+(\mathfrak{q}) \otimes \overline{e^+(\mathfrak{q})}) d\mu(k)$$

(μ is a Haar measure on K_{∞}) which has values in $\wedge^k \mathfrak{p}^+ \otimes \wedge^k \mathfrak{p}^-$. Write

$$e^+(\mathfrak{q}) = \xi_1 + \dots + \xi_{\ell}$$

according to the decomposition (2). By orthogonality $(E_i \otimes \overline{E_j})^K = 0$ if $i \neq j$. Therefore we get

$$\int_{K_{\infty}} k(e^+(\mathfrak{q}) \otimes \overline{e^+(\mathfrak{q})}) d\mu(k) = \sum_{i=1}^{\ell} \int_{K_{\infty}} k(\xi_i \otimes \overline{\xi_i}) d\mu(k). \tag{4}$$

Since $e^+(\mathfrak{q})$ is a cyclic vector for $V^+(\mathfrak{q})$, it follows from (2) that $\xi_i \neq 0$ for each i .

Suppose $\rho : K_{\infty} \rightarrow U(n)$ is a unitary irreducible representation of K_{∞} on an n -dimensional vector space $\mathbb{C}^n = V$, let $v \in V - \{0\}$. Write $v = c_1 \varepsilon_1 + \dots + c_n \varepsilon_n$ for the standard unitary basis $\varepsilon_1, \dots, \varepsilon_n$ of V . Let $\varepsilon_1^*, \dots, \varepsilon_n^*$ be a dual basis of V^* , the dual of V . Consider the tensor representation $V \otimes V^*$ of K_{∞} . Write $k(v \otimes w)$ for the action of $k \in K_{\infty}$ on an element $v \otimes w \in V \otimes V^*$. If v is as above,

write $v^* = \bar{c}_1 \varepsilon_1^* + \dots + \bar{c}_n \varepsilon_n^*$. Then,

$$\int_{K_\infty} k(v \otimes v^*) d\mu(k) = \sum_{v,j} c_i \bar{c}_j \int_{K_\infty} k(\varepsilon_i \otimes \varepsilon_j^*) d\mu(k).$$

It follows from Schur’s Lemma that

$$\int_{K_\infty} \rho(k)(\varepsilon_i \otimes \varepsilon_j^*) d\mu(k) = \frac{\delta_{ij}}{n} \left(\sum_{r=1}^n \varepsilon_r \otimes \varepsilon_r^* \right) \tag{5}$$

and

$$\int_{K_\infty} \rho(k)(v \otimes v^*) d\mu(k) = \left(\sum |c_i|^2 \right) \frac{I_n}{n} = \frac{|v|^2}{n} \lambda_{(\mathbb{C}^n)^*}, \tag{5'}$$

where $\lambda_{(\mathbb{C}^n)^*} = \sum_{i=1}^n \varepsilon_i \otimes \varepsilon_i^*$.
 Now let $\lambda_{E_i^*} \in E_i \otimes \bar{E}_i \subset \wedge^k \mathfrak{p}^+ \otimes \wedge^k \mathfrak{p}^-$ be the tensor corresponding to the space E_i . From (4) and (5) we obtain

$$\int_{K_\infty} k(e^+(\mathfrak{q}) \otimes \overline{e^+(\mathfrak{q})}) d\mu(k) = \sum_{i=1}^{\ell} \left(\frac{|\xi_i|^2}{\dim E_i} \right) \lambda_{E_i^*}. \tag{6}$$

We note that $|\xi_i|^2 / \dim E_i = b_i > 0$ for each i . Now

$$e(\mathfrak{q}) \wedge \overline{e(\mathfrak{q})} \in \wedge^k \mathfrak{p} = \wedge^k \mathfrak{p}^+ \otimes \wedge^k \mathfrak{p}^- \quad \text{and} \quad e(\mathfrak{q}) \wedge \overline{e(\mathfrak{q})} = e^+(\mathfrak{q}) \otimes \overline{e^+(\mathfrak{q})}.$$

Therefore, we get

$$\int_{K_\infty} k(e(\mathfrak{q}) \wedge \overline{e(\mathfrak{q})}) d\mu(k) = \sum_{i=1}^{\ell} b_i \lambda_{E_i^*}, \tag{7}$$

where each b_i is *strictly* positive. We emphasize that (7) is not entirely formal, and depends on the multiplicity one result of Kostant in (2).

Consider the ‘wedging map’ $V(\mathfrak{q}) \otimes \overline{V(\mathfrak{q})} \rightarrow V(\mathfrak{q}) \wedge \overline{V(\mathfrak{q})} \subset \wedge \mathfrak{p}$. By Schur’s Lemma, $(V(\mathfrak{q}) \otimes \overline{V(\mathfrak{q})})^{K_\infty} = \mathbb{C}$ and (7) shows that $(V(\mathfrak{q}) \wedge \overline{V(\mathfrak{q})})^{K_\infty} \neq 0$. Therefore the wedging map is an isomorphism on K_∞ -fixed vectors. From (5’) and (7) it follows that for any $\xi \in V(\mathfrak{q}) - (0)$

$$\int_{K_\infty} k(\xi \wedge \overline{\xi}) d\mu(k) = \frac{|\xi|^2}{|e(\mathfrak{q})|^2} \sum b_i \lambda_{E_i^*} \tag{8}$$

for some K_∞ -fixed inner product on $V(\mathfrak{q})$ (which is unique upto multiples anyway); here again, $b_i > 0$ for each i .

We may replace in (8) $\zeta \in V(\mathfrak{q})$ by $\zeta \in V(\mathfrak{q})^*$ where $V(\mathfrak{q})^*$ is the dual of $V(\mathfrak{q})$. We then get analogously, for $\zeta \in V(\mathfrak{q})^*$,

$$\int_{K_\infty} k(\zeta \wedge \bar{\zeta}) d\mu(k) = \frac{|\zeta|^2}{|e(\mathfrak{q})^*|^2} \sum b_i \lambda_{E_i} \tag{8*}$$

with $b_i > 0$ for each i .

CHARACTERISATION AND PROPERTIES OF SCHUBERT CELLS

(6.3) It will be convenient to think of elements of $H^\bullet(\widehat{X})$ as elements of $\mathring{\wedge} \mathfrak{p}^*$. The Killing form κ is negative definite on $i\mathfrak{p}_0$ and hence identifies \mathfrak{p} with its dual. Under this, $(\mathfrak{p})^+$ and $(\mathfrak{p})^-$ are dual to each other as K_∞ representations. Note that under the map $v \mapsto \bar{v}$ defined in (6.1), $\mathring{\wedge} \mathfrak{p}^+$ maps onto $\mathring{\wedge} \mathfrak{p}^-$. If $E \subset \mathring{\wedge}^k \mathfrak{p}^+$ is a K_∞ stable subspace and \bar{E} is its image under the foregoing map, then $\bar{E} \subset \mathring{\wedge}^k \mathfrak{p}^-$ is the dual of E .

Now by a Theorem of Cartan (see Equation (7) of Section (1.3))

$$H^\bullet(\widehat{X}) = \text{Hom}_{K_\infty}(\mathring{\wedge}(\mathfrak{p}^+ \oplus \mathfrak{p}^-), \mathbb{C}).$$

By the Theorem of Kostant quoted in (2) the representation $\mathring{\wedge}(\mathfrak{p})^+$ is multiplicity free and we may write

$$\mathring{\wedge}^k \mathfrak{p}^+ = \bigoplus_{E \in X_k} E \tag{9}$$

where each E is irreducible. The E_i which occur in the decomposition (2) are again elements of X_k . Define λ'_{E_i} s as the generator of the one dimensional vector space $(E_i^* \otimes (\bar{E}_i)^*)^{K_\infty} \subset (\mathring{\wedge}^k(\mathfrak{p}^+)^* \otimes \mathring{\wedge}^k(\mathfrak{p}^-)^*)^{K_\infty}$ which is given by

$$\lambda_E \stackrel{\text{def}}{=} \sum e \wedge \bar{e} \tag{10}$$

where the sum runs over an orthonormal basis e of E^* (under the natural Hermitian inner product on E^* induced by the Killing form κ ; see (5.1)).

A theorem of Kostant ([Kos], Theorem (6.15)) says that these λ_E are proportional to the cycle classes corresponding to the Schubert Cells in $\widehat{X} = G(\mathbb{C})/P^-(\mathbb{C})$. Here, $P^-(\mathbb{C})$ is the connected subgroup of $G(\mathbb{C})$ whose Lie algebra is $\mathfrak{k} \oplus \mathfrak{p}^-$. We will abuse notation slightly and refer to λ_E as ‘Schubert Cells’.

We will now gather together some properties of these ‘Schubert Cells’ λ_E . If $E^* \subset \mathring{\wedge}^r(\mathfrak{p}^+)^*$ and $F \subset \mathring{\wedge}^s(\mathfrak{p}^+)^*$, denote by $E^* \wedge F^*$ the span of vectors of the form $e \wedge f$ with $e \in E^*$ and $f \in F^*$.

(6.4) LEMMA. *Let E and F be irreducible K_∞ stable subspaces of $\mathring{\wedge}^r \mathfrak{p}^+$ and \mathfrak{p}^+ respectively. Then*

$$\lambda_E \wedge \lambda_F = (-1)^{rs} \sum c_\mu \lambda_{F_\mu}$$

where the sum is over all the irreducible subspaces F_μ of $E \wedge F$ and each c_μ is strictly positive.

Proof. Equation (10) applied to λ_E and λ_F implies that $\lambda_E \wedge \lambda_F = \sum e \wedge \bar{e} \wedge f \wedge \bar{f}$ where the sum is over orthonormal bases (e) and (f) of E^* and F^* , respectively. Rewrite this as

$$\lambda_E \wedge \lambda_F = (-1)^{rs} \sum (e \wedge f) \wedge (\bar{e} \wedge \bar{f}). \tag{11}$$

Since $\lambda_E \wedge \lambda_F$ is K_∞ -invariant, we may replace both sides of the Equation (11) by their integrals over K_∞ . Write $E^* \wedge F^* = \oplus F_\mu^*$ where each $F_\mu \subset \wedge^k \mathfrak{p}^+$ is irreducible. Then, for every vector of the form $e \wedge f$, we get (as in (8*) of (6.2))

$$\int_{K_\infty} k((e \wedge f) \wedge (\bar{e} \wedge \bar{f})) d\mu(k) = \sum b_\mu \lambda_{F_\mu},$$

where $b_\mu \geq 0$ for each μ and is the norm of the projection of $e \wedge f$ to the component F_μ^* of $E^* \wedge F^*$. Therefore,

$$\int_{K_\infty} k((e \wedge f) \wedge (\bar{e} \wedge \bar{f})) d\mu(k) = \sum c_\mu \lambda_{F_\mu},$$

where c_μ is the sum over all the b_μ as e and f vary.

Since (e) and (f) form bases of E^* and F^* , it follows that if F_μ be fixed, then for some e and f , the projection to F_μ^* of $e \wedge f$ is non-zero; therefore the corresponding b_μ is strictly positive. Hence, all the c_μ are strictly positive. The Lemma now follows from integrating both sides of Equation (11) over K_∞ .

(6.5) LEMMA. *Let L be the element of $\text{Hom}_{K_\infty}(\mathfrak{p}^+ \wedge \mathfrak{p}^-, \mathbb{C})$ defined in Section 5. Then, for every integer $k \leq D$ (with $D = \dim(X)$), we get*

$$L^k = (-1)^{k(k-1)/2} \sum c_i \lambda_{F_i},$$

where F_i runs over all the K_∞ irreducible subspaces of $\wedge^k \mathfrak{p}^+$ and each c_i is strictly positive.

Proof. The proof is by induction. Assume $k = 1$. Then, by the definition of the Hermitian inner product on \mathfrak{p} , we see that $L = \sum e \wedge \bar{e}$ where e runs over any orthonormal basis of $(\mathfrak{p}^+)^*$. Write \mathfrak{p}^+ as a sum of irreducible representations E_j for the action of K_∞ and pick an orthonormal basis (e_j) for each j . Choose for (e) the union of the bases (e_j) over all j . Then, we get (by (1) of Section (5.2))

$$L = \sum e \wedge \bar{e} = \sum \lambda_{E_j}$$

and the Lemma holds for $k = 1$.

Assume now that the Lemma holds for k and write

$$L^k = (-1)^{k(k-1)/2} \sum c_i \lambda_{E_i}$$

as in the Lemma. Then,

$$L^{k+1} = (-1)^{k(k-1)/2} \sum c_i \lambda_{E_j} \wedge \lambda_{E_i},$$

where the sum is over all the irreducible $E_j \subset \mathfrak{p}^+$ and all the irreducible $E_i \subset \wedge^k \mathfrak{p}^+$. Now, by Lemma (6.4), we get

$$\lambda_{E_j} \wedge \lambda_{E_i} = (-1)^k \sum c_\mu \lambda_{F_\mu},$$

where the sum is over all the irreducible representations $F_\mu \subset E_i \wedge E_j$ and $c_\mu > 0$. Therefore,

$$L^{k+1} = (-1)^{k(k+1)/2} \sum c_i \sum c_\mu \lambda_{F_\mu}, \tag{12}$$

where the sum is over all i , all j and all irreducible $F_\mu \subset E_j \wedge E_i \subset \wedge^{k+1} \mathfrak{p}^+$ and $c_\mu > 0$. In Equation (12), first fix F_μ and sum over all the i and j such that $F_\mu \subset E_j \wedge E_i$. We then obtain Lemma (6.5) for L^{k+1} .

(6.6) Remark. Suppose we are given any K_∞ -invariant metric on the real vector space \mathfrak{g}_0 . This yields a K_∞ invariant \mathbb{C} -linear form $\mathfrak{p}^+ \otimes \mathfrak{p}^- \subset \wedge^2 \mathfrak{p}$ which we denote by L' . The lemma (6.5) applies to L' as well (the proof is exactly the same). We will use this remark later, where we take H for G and the restriction of L_G to \widehat{Y} for L' .

(6.7) LEMMA. Let $\beta \in H^{2k}(\widehat{X})$ be a non-negative linear combination of Schubert Cells λ_E and let L' be as in (6.6). If $\beta \wedge (L')^{D-k} = 0$ then $\beta = 0$.

Proof. Suppose to the contrary, that β is a positive linear combination of some Schubert Cells λ_E . Let $\varepsilon = (-1)^{k(D-k)+((D-k)(D-k-1)/2)}$. Then, Lemmas (6.4), (6.5) and Remark (6.6) imply that $\varepsilon\beta \wedge (L')^{D-k}$ is a non-negative linear combination of Schubert Cells λ_{F_μ} . Thus, to prove Lemma (6.7), we may assume that β is a Schubert Cell λ_E . Then Lemma (6.5) shows that $\varepsilon\beta \wedge (L')^{D-k}$ is a strictly positive linear combination of λ_{F_μ} where F_μ is an irreducible subspace of $E \wedge \wedge^{D-k} \mathfrak{p}^+$. Thus, $\beta \wedge (L')^{D-k}$ vanishes if and only if $E \wedge \wedge^{D-k} \mathfrak{p}^+ = 0$. This is impossible since the wedge product pairing between $\wedge^k \mathfrak{p}^+$ and $\wedge^{D-k} \mathfrak{p}^+$ (with values in the one dimensional space $\wedge^D \mathfrak{p}^+$) is non-degenerate. Thus, $\beta = 0$.

(6.8) LEMMA. Let $\lambda_E \in H^k(\widehat{X})$ be a ‘Schubert Cell of \widehat{X} as before. Let $\widehat{j}: \widehat{Y} \rightarrow \widehat{X}$ be the embedding of (1.5). Then, the restriction of λ_E to \widehat{Y} is a non-negative sum of ‘Schubert Cells’ of \widehat{Y} : $\widehat{j}^*(\lambda_E) = \sum a_j \lambda_{F_j}$ where F_j runs through the set of irreducible representations of K_∞^H occurring in $\wedge^k(\mathfrak{p}_H^+)$ and $a_j \geq 0$ for each j . Moreover, the restriction $\widehat{j}^*(\lambda_E) = 0$ if and only if $E \cap E(G, H, k) = 0$.

Proof. We will view the restriction $\widehat{j}^*(\lambda_E)$ as K_∞^H invariant linear form on $\wedge^k \mathfrak{p}_H^+ \otimes \wedge^k \mathfrak{p}_H^-$. If $v \in \wedge^k \mathfrak{p}_H^+$ and $w \in \wedge^k \mathfrak{p}_H^-$, then $\widehat{j}^*(\lambda_E)(v \otimes w) = \lambda_E(\pi_E(v) \otimes \pi_{\overline{E}}(w))$ where π_E denotes the K_∞ equivariant projection of $\wedge^k \mathfrak{p}^+$ to E (similarly define $\pi_{\overline{E}}$). Let $F_j \subset \wedge^k \mathfrak{p}_H^+$ be K_∞^H irreducible. Then, it has (up to multiples) a unique K_∞^H invariant linear form on it which may be chosen to be λ_{F_j} . Thus, there is a scalar

c_j such that for all $v \in F_j$ and $w \in \bar{F}_j$, we have $\widehat{j}^*(\lambda_E)(v \otimes w) = c_j \lambda_{F_j}(v \otimes w)$. Let v be arbitrary and choose $w = \bar{v}$. Then, $\widehat{j}^*(\lambda_E)(v \otimes w) = \lambda_E(v \otimes w) \geq 0$. Similarly, $\lambda_{F_j}(v \otimes w) \geq 0$ which shows that $c_j \geq 0$ for each j . If the restriction of λ_E vanishes, then the same equation shows that the K_∞ -equivariant projection to E vanishes on the space $\wedge^k \mathfrak{p}_H^+$ and hence vanishes on its K_∞ -span $E(G, H, k)$. By the multiplicity one theorem of Kostant, this is equivalent to saying that $E \cap E(G, H, k) = 0$. This completes the proof.

(6.9) LEMMA. *Let $\beta = \sum c_i \lambda_{E_i}$ be a cohomology class in $H^{2k}(\widehat{X})$ where E_i are certain irreducible subspaces of $\wedge^k \mathfrak{p}^+$ and for each E_i , the coefficient c_i is strictly positive. If the restriction $\widehat{j}^*(\beta \wedge L^{d-k}) = 0$ then $(\oplus E_i) \cap E(G, H, k) = 0$.*

Proof. We need only prove the Lemma when $k \leq d = \dim(Y)$ since otherwise the restriction of β is trivially zero and $E(G, H, k)$ also vanishes because $\wedge^k \mathfrak{p}_H^+ = 0$. Assume $k \leq d$. Suppose $\beta' =_{\text{def}} \widehat{j}^*(\beta)$. Let $L' = \widehat{j}^*(L)$ be the restriction of $L \in H^2(\widehat{X})$ to \widehat{Y} . Let $\gamma = \beta' \wedge (L')^{d-k}$.

By (6.6) (applied to the space \widehat{Y}), $(-1)^{(d-k)(d-k-1)/2} L'^{d-k}$ is a strictly positive linear combination of λ_F where F runs through all K_∞^H irreducible subspaces of $\wedge^{d-k} \mathfrak{p}_H^+$. By Lemma (6.8), β' is a non-negative sum of Schubert cells on \widehat{Y} .

Hence Lemma (6.7) applied to \widehat{Y} shows that $\beta' \wedge (L')^{d-k} = 0$ if and only if $\beta' = 0$. By the second part of Lemma (6.8), This happens if and only if $E_i \cap E(G, H, k) = 0$ for each E_i occurring in the expression of β as a non-negative linear combination of Schubert cells λ_{E_i} . The multiplicity one Theorem of Kostant then implies the conclusion of Lemma (6.9).

7. Proof of Theorem 6

(7.1) We prove Theorem 6. Suppose that $\alpha \in H^k(\text{Sh}^0 G)$ is strongly primitive of type A_q . Suppose $\text{Res}(\alpha) = 0$. Then, the criterion of Theorem 2 says that $\alpha \wedge [\widehat{Y}] = 0$.

Recall (from Equation (6) of Section (1.2)) a version of the Matsushima formula:

$$H^\bullet(\text{Sh}^0 G, \mathbb{C}) = \text{Hom}_K(\wedge^\bullet \mathfrak{p}, \mathcal{C}^\infty(G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f))(0)).$$

We temporarily denote by $\mathcal{C}^\infty(0)$ the space of smooth functions on the quotient

$$G(\mathbb{Q}) \backslash (G(\mathbb{R}) \times G_f)$$

which are killed by the Casimir. Let $\{\xi_I; I\}$ be an orthonormal basis of $V(\mathfrak{q})^* \subset \wedge^k \mathfrak{p}^*$ (for the natural Hermitian metric h as in Section (5.1), extending the Killing form on \mathfrak{p}_0 to $\mathfrak{p} = \mathfrak{p}_0 \otimes \mathbb{C}$). Let

$$\alpha = \sum_I \varphi_I \xi_I \in H^m(\text{Sh}^0 G) \subset \wedge^m \mathfrak{p}^* \otimes \mathcal{C}^\infty(0) \tag{1}$$

(the inclusion arising from the Matsushima formula).

Our class α is of type \mathfrak{q} , and

$$\alpha \in (V(\mathfrak{q})^* \otimes C^\infty(0)) = \text{Hom}_{K_\infty}(V(\mathfrak{q}), C^\infty(0));$$

write $\alpha = \sum \varphi_I \xi_I$. Now, $V(\mathfrak{q})$ is irreducible. Hence the map $\alpha : V(\mathfrak{q}) \rightarrow C^\infty(0)$ (being K_∞ -equivariant) is *injective*. In particular, the φ_I are linearly independent.

Now $\alpha \wedge [\widehat{Y}] = 0$. Then (1) shows that

$$\alpha \wedge [\widehat{Y}] = \sum (\xi_I \wedge [\widehat{Y}]) \varphi_I. \tag{2}$$

Since the functions φ_I are linearly independent, (2) shows that $\xi_I \wedge [\widehat{Y}] = 0$ for each I i.e. $V(\mathfrak{q})^* \wedge [\widehat{Y}] = 0$. This proves the first part of Theorem 6.

Since $e(\mathfrak{q})^*$ generates $V(\mathfrak{q})^*$ as a K_∞ module, the condition $V(\mathfrak{q})^* \wedge [\widehat{Y}] = 0$ is equivalent to $e(\mathfrak{q})^* \wedge [\widehat{Y}] = 0$. This implies in particular, that

$$e(\mathfrak{q})^* \wedge \overline{e(\mathfrak{q})^*} \wedge [\widehat{Y}] = 0. \tag{3}$$

Translate both sides of (3) by elements $k \in K_\infty$ and integrate over K_∞ . We obtain from Equation (7) of (6.2) and the K_∞ invariance of $[\widehat{Y}]$ that $(\sum b_i \lambda_{E_i}) \wedge [\widehat{Y}] = 0$. Note that here the sum is over all the E_i which are irreducible subspaces of $V^+(\mathfrak{q})$ and that $b_i > 0$. Therefore, by the definition of the cycle class $[\widehat{Y}]$, the restriction of $\sum b_i \lambda_{E_i} \wedge L^{d-k}$ to the cycle \widehat{Y} is zero. Then, Lemma (6.9) implies that $(\oplus E_i) \cap E(G, H, k) = 0$. But $\oplus E_i = V^+(\mathfrak{q})$. Therefore, $V^+(\mathfrak{q}) \cap E(G, H, k) = 0$. This completes the proof of Theorem 6.

8. Cup Products

(8.1) Now let G imbed diagonally in $G \times G$. By the Kunnetth isomorphism $H^\bullet(\text{Sh}^0(G \times G)) = H^\bullet(\text{Sh}^0 G) \otimes H^\bullet(\text{Sh}^0 G)$. Moreover, $\text{Res}(\alpha \otimes \beta) = \alpha \wedge \beta$, if Res is the restriction from $\text{Sh}^0(G \times G)$ to $\text{Sh}^0 G$.

Let α, α' be strongly primitive classes of degrees k and k' with $k + k' \leq \dim(X) = D$, of type $\mathfrak{q}, \mathfrak{q}'$. By Theorem 2, if $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$, then $(\alpha \otimes \alpha') \wedge [\widehat{\Delta}] = 0$. This is the first part of Theorem 7.

We will now prove that the criterion (1) of Theorem 7 holds. By the general criterion of Theorem 6, the product $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$ *only if* the intersection $E(G \times G, G, k + k') \cap V^+(\mathfrak{q} \oplus \mathfrak{q}') = 0$ (it is easily checked that $\alpha \otimes \alpha'$ is strongly primitive of type $\pi = A_{\mathfrak{q} \oplus \mathfrak{q}'}$ where π corresponds to the parabolic subalgebra $\mathfrak{q} \oplus \mathfrak{q}'$ of $\mathfrak{g} \oplus \mathfrak{g}$). It is also immediate from the definition of V^+ that $V^+(\mathfrak{q} \oplus \mathfrak{q}') = V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')$. Therefore it is immediate from Theorem 6 that if $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$ then

$$E(G \times G, G, k + k') \cap (V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')) = 0.$$

We now prove that the conditions (1) and (2) of Theorem 7 are equivalent. Consider the natural wedging map

$$\varphi: \wedge^k \mathfrak{p}^+ \otimes \wedge^{k'} \mathfrak{p}^+ \rightarrow \wedge^{k+k'} \mathfrak{p}^+.$$

Now $\mathfrak{p}^+ \oplus \mathfrak{p}^+$ is a $(K_\infty \times K_\infty)$ -module and the above wedging map is equivariant for K_∞ , with K_∞ acting diagonally on the left.

Let $U(D)$ be the unitary group of the Hermitian metric preserved by K_∞ on its action on \mathfrak{p}^+ . Then $\wedge^{k+k'} \mathfrak{p}^+$ is an irreducible representation of $U(D)$ and occurs with multiplicity one in the $(k+k')$ -fold tensor product $\underbrace{(\mathfrak{p}^+ \otimes \dots \otimes \mathfrak{p}^+)}_{(k+k')\text{-times}}$ (this is well

known by the Theory of Young Diagrams: see [F-H], Theorem (6.3), (2), applied to $\lambda = (1, 1, \dots, 1)$ and $d = k+k'$). Hence $\wedge^{k+k'} \mathfrak{p}^+$ occurs with multiplicity one in the representation $\wedge^k \mathfrak{p}^+ \otimes \wedge^{k'} \mathfrak{p}^+$.

But the inclusion of $\wedge^{k+k'} \Delta(\mathfrak{p}^+)$ (where $\Delta(\mathfrak{p}^+) =$ the diagonal in $\mathfrak{p}^+ \oplus \mathfrak{p}^+$) in $\wedge^{k+k'} (\mathfrak{p}^+ \oplus \mathfrak{p}^+)$ induces an inclusion $\wedge^{k+k'} \mathfrak{p}^+ \hookrightarrow \wedge^k \mathfrak{p}^+ \otimes \wedge^{k'} \mathfrak{p}^+$. On the other hand there is a natural (wedging) projection $\wedge^k \mathfrak{p}^+ \otimes \wedge^{k'} \mathfrak{p}^+ \xrightarrow{\varphi} \wedge^{k+k'} \mathfrak{p}^+$; both these maps are $U(D)$ -equivariant, and $U(D) \supset \Delta(K_\infty)$ where K_∞ acts diagonally as $\wedge^k \mathfrak{p}^+ \otimes \wedge^{k'} \mathfrak{p}^+$. Since $\wedge^{k+k'} \mathfrak{p}^+$ occurs with multiplicity one, $\text{Ker } \varphi =$ Orthogonal complement of $\wedge^{k+k'} \Delta(\mathfrak{p}^+)$ in $\wedge^k \mathfrak{p}^+ \otimes \wedge^{k'} \mathfrak{p}^+$ under a metric $\langle \cdot, \cdot \rangle$ on the latter space invariant under $U(D) \times U(D)$ action.

Suppose now $V^+(\mathfrak{q}) \wedge V(\mathfrak{q}') = 0$. Then

$$V^+(\mathfrak{q}) \otimes V(\mathfrak{q}') \subset \text{Ker } \varphi = \left(\wedge^{k+k'} \Delta \mathfrak{p}^+ \right)^\perp.$$

Let $\xi \in \wedge^{k+k'} \Delta(\mathfrak{p}^+)$, and $v \in V(\mathfrak{q}) \otimes V(\mathfrak{q}')$, and $k \in K_\infty \times K_\infty$. Since $V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')$ is $K_\infty \times K_\infty$ -invariant, we get

$$0 = \langle k(v), \xi \rangle = \langle v, k(\xi) \rangle$$

for all $k \in K_\infty \times K_\infty$, and all $\xi \in \wedge^{k+k'} \Delta(\mathfrak{p}^+)$. By taking the $(K_\infty \times K_\infty)$ -span of ξ , we obtain

$$\langle v, E(G \times G, G, k+k') \rangle = 0 \quad (\forall v \in V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')).$$

Therefore: $V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')$ is in the orthogonal complement of $E = E(G \times G, G, k+k')$, i.e.,

$$[V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')] \cap E = 0.$$

Conversely, suppose $[V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')] \cap E = 0$. Then $V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}') \subset E^\perp$. In particular, $V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}') \subset \left(\wedge^{k+k'} \Delta(\mathfrak{p}^+) \right)^\perp = \text{Ker } \varphi$. Therefore $\varphi(V^+(\mathfrak{q}) \otimes V^+(\mathfrak{q}')) = 0$

i.e.

$$V^+(q) \wedge V^+(q') = 0.$$

We have shown that (1) \Leftrightarrow (2). This completes the proof of Theorem 7.

(8.2) Proof of Theorem 8. Let α, α' be non-zero classes of type q, q' on $\text{Sh}^0 G$, $G = U(n, 1)$ of degrees k and k' with $k + k' \leq n$. Note that $D = n$ in this section. The compact dual of X is $\mathbb{P}^n(\mathbb{C})$, whose cohomology is generated by a non-zero element L of $H^2(\mathbb{P}^n(\mathbb{C}))$. Let $\widehat{\Delta}$ be the diagonal in the product of $\mathbb{P}^n(\mathbb{C})$ with itself, and $[\widehat{\Delta}]$ the associated cycle class in the cohomology of the product. Then the Kunneth isomorphism implies that

$$[\widehat{\Delta}] = \sum \varepsilon_i(L^i \otimes L^{n-i}) \tag{1}$$

where the sum is over i from 0 to n and $\varepsilon_i = \pm 1$.

Suppose to the contrary, that $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$. Now, Theorem 7 says that if $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$, then

$$(\alpha \otimes \alpha') \wedge [\widehat{\Delta}] = 0. \tag{2}$$

Compare the Kunneth components of both sides of (2). In particular, we get from (1) that

$$(\alpha \wedge L^{n-k}) \otimes (\alpha' \wedge L^k) = 0. \tag{3}$$

Note that by assumption, $k \leq n - k'$. The Lefschetz hyperplane section Theorem then implies that $\alpha \wedge L^{n-k} \neq 0$ and that $\alpha' \wedge L^k \neq 0$. This contradicts (3). Hence Theorem 8 follows.

(8.3) Proof of Theorem 9. The proof is similar to that of Theorem 8. If \widehat{X} is the compact dual, then $H^2(\widehat{X}) = \mathbb{C}L$. If $k, k' < [n/2]$, then $\wedge^k p^+ = \wedge^k \mathbb{C}^n$ and $\wedge^{n-k'} p^+ = \wedge^{n-k'} \mathbb{C}^n$ are irreducible representations of $(SO(2) \times SO(n)) = K_\infty$ (see [F-H], Theorem (19.2) and Theorem (19.14)). Therefore by Schur's Lemma and (7) of (1.3),

$$H^{2k}(\widehat{X}) = \mathbb{C}L^k, \quad H^{n-k'}(\widehat{X}) = \mathbb{C}L^{n-k'}.$$

If α and α' are as in Theorem 9, and if $g(\alpha) \wedge \alpha' = 0$ for all $g \in G_f$, then we get, as in the proof of Theorem 8, that

$$(\alpha \wedge L^{n-k}) \otimes (\alpha' \wedge L^k) = 0. \tag{4}$$

By assumption $k < [n/2] < n - k'$, and the degrees of α and α' are k and k' . Then (cf. proof of Theorem 8) Lefschetz's Theorem on hyperplane sections contradicts (4).

Therefore Theorem 9 follows.

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