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# On the Equivalence between Logic Programming and SETAF

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### Abstract

A framework with sets of attacking arguments (SETAF) is an extension of the well-known Dung's Abstract Argumentation Frameworks (AAFs) that allows joint attacks on arguments. In this paper, we provide a translation from Normal Logic Programs (NLPs) to SETAFs and vice versa, from SETAFs to NLPs. We show that there is pairwise equivalence between their semantics, including the equivalence between L-stable and semi-stable semantics. Furthermore, for a class of NLPs called Redundancy-Free Atomic Logic Programs (RFALPs), there is also a structural equivalence as these back-and-forth translations are each other's inverse. Then, we show that RFALPs are as expressive as NLPs by transforming any NLP into an equivalent RFALP through a series of program transformations already known in the literature. We also show that these program transformations are confluent, meaning that every NLP will be transformed into a unique RFALP. The results presented in this paper enhance our understanding that NLPs and SETAFs are essentially the same formalism.

KEYWORDS: argumentation, logic programming semantics, program transformations

#### 1 Introduction

Argumentation and logic programming are two of the most successful paradigms in artificial intelligence and knowledge representation. Argumentation revolves around the idea of constructing and evaluating arguments to determine the acceptability of a claim. It models complex reasoning by considering various pieces of evidence and their interrelationships, making it a powerful tool for handling uncertainty and conflicting information. On the other hand, logic programming provides a formalism for expressing knowledge and defining computational processes through a set of logical rules.

In this scenario, the Abstract Argumentation Frameworks (AAFs) proposed by Dung (1995b) in his seminal paper have exerted a dominant influence over the development of formal argumentation. We can depict such frameworks simply as a directed graph whose nodes represent arguments and edges represent the attack relation between them. Indeed, in AAFs, the content of these arguments is not considered, and the attack relation



stands as the unique relation. The simplicity and elegance of AAFs have made them an appealing formalism for computational applications.

In Dung's proposal, the semantics for AAFs are given in terms of extensions, which are sets of arguments satisfying certain criteria of acceptability. Naturally, different criteria of acceptability will lead to different extension-based semantics, including Dung's original concepts of complete, stable, preferred, and grounded semantics (Dung 1995b) and semi-stable semantics (Verheij 1996; Caminada 2006). A richer characterization based on labelings was proposed by Caminada and Gabbay (2009) to describe these semantics. Differently from extensions, which explicitly regard solely the accepted arguments, the labeling-based approach permits a more fine-grained setting, where each argument is assigned a label in, out, or undec. Intuitively, we accept an argument labeled as in, reject one labeled as out, and consider one labeled as undec as undecided, meaning it is neither accepted nor rejected.

Despite providing distinct perspectives on reasoning and decision-making, argumentation and logic programming have clear connections. Indeed, we can see in Dung's (1995b) work how to translate a Normal Logic Program (NLP) into an AAF. Besides, the author proved that stable models (resp., the well-founded model) of an NLP correspond to stable extensions (resp., the grounded extension) of the associated AAF. These results led to several studies concerning connections between argumentation and logic programming (Dung 1995a; Nieves et al. 2008; Wu et al. 2009; Toni and Sergot 2011; Dvořák et al. 2013; Caminada et al. 2015b, 2022). In particular, Wu et al. (2009) established the equivalence between complete semantics and partial stable semantics. These semantics generalize a series of other relevant semantics for each system, as extensively documented by Caminada et al. (2015b). However, one equivalence formerly expected to hold remained elusive: the correspondence between the semi-stable semantics (Caminada 2006) in AAF and the L-stable semantics in NLP (Eiter et al. 1997) could not be attained. Caminada et al. (2015b) even showed that with their proposed translation from NLPs to AAFs, there cannot be a semantics for AAFs equivalent to L-stable semantics.

Caminada and Schulz (2017) demonstrated how to translate Assumption-Based Argumentation (ABA) (Bondarenko et al. 1997; Dung et al. 2009; Toni 2014) to NLPs and how this translation can be reapplied for a reverse translation from NLPs to ABA. Curiously, the problematic direction here is from ABA to NLP. Caminada and Schulz (2017) proved that with their translation, there cannot be a semantics for NLPs equivalent to the semi-stable semantics (Schulz and Toni 2015; Caminada et al. 2015a) for ABA.

Since then, a great effort has been made to identify paradigms where semi-stable and L-stable semantics are equivalent. The strategy employed by Alcântara  $et\ al.\ (2019)$  was to look for more expressive argumentation frameworks than AAFs: Attacking Dialectical Frameworks, a support-free fragment of Abstract Dialectical Frameworks (Brewka and Woltran 2010; Brewka  $et\ al.\ 2013$ ), a generalization of AAFs designed to express arbitrary relationships among arguments. A translation from NLP to  $ADF^+$  was proved by Alcântara  $et\ al.\ (2019)$  to account for various equivalences between their semantics, including the definition of a semantics for  $ADF^+$  corresponding to the L-stable semantics for NLPs.

In a similar vein, other relevant proposals explored the equivalence between L-stable and semi-stable semantics for Claim-augmented Argumentation Frameworks (CAFs) (Dvořák et al. 2023; Rapberger 2020; Rocha and Cozman 2022b), which are a generalization of AAFs where each argument is explicitly associated with a claim, and for Bipolar Argumentation Frameworks (BAFs) with conclusions (Rocha and Cozman 2022a), a generalization of CAFs with the inclusion of an explicit notion of support between arguments. In both frameworks, the equivalence with NLPs does not just involve their semantics; it is also structural as there is a one-to-one mapping from them to NLPs.

Instead of looking for more expressive argumentation frameworks, the idea proposed by Sá and Alcântara (2021a) was to introduce more fine-grained semantics to deal with AAFs. Then a five-valued setting was employed rather than the usual three-valued one. As in the previous cases, this approach also captures the correspondence between the semantics for AAFs and NLPs. Specifically, it captures the correspondence involving L-stable semantics.

The connections between ABA and logic programming were later revisited by Sá and Alcântara (2019, 2021b), where they proposed a new translation from ABA frameworks to NLPs. The correspondence between their semantics (including L-stable) is obtained by selecting specific atoms in the characterization of the NLP semantics.

In summary, in the connections between NLP and argumentation semantics, the Achilles' heel is the relationship between L-stable and semi-stable semantics.

In this paper, we focus on the relationship between logic programming and SETAF (Nielsen and Parsons 2006), an extension of Dung's AAFs to allow joint attacks on arguments. Following the strategy adopted by Caminada  $et\ al.\ (2015b)$  and Alcântara  $et\ al.\ (2019)$ , we resort to the characterization of the SETAF semantics in terms of labelings (Flouris and Bikakis 2019). As a starting point, we provide a mapping from NLPs to SETAFs (and vice versa) and show that NLPs and SETAFs are pairwise equivalent under various semantics, including the equivalence between L-stable and semi-stable. These results were inspired directly by two of our previous works: the equivalence between NLPs and  $ADF^+$ s (Alcântara  $et\ al.\ 2019$ ), and the equivalence between  $ADF^+$ s and SETAFs (Alcântara and Sá 2021).

Furthermore, we investigate a class of NLPs called Redundancy-Free Atomic Logic Programs (RFALPs) (König et al. 2022). In RFALPs, the translations from NLPs to SETAFs and vice versa preserve the structure of each other's theories. In essence, these translations become inverses of each other. Consequently, the equivalence results concerning NLPs and SETAFs have deeper implications than the correspondence results between NLPs and AAFs: they encompass equivalence in both semantics as well as structure.

Some of these results are not new as recently they have already been obtained independently by König  $et\ al.\ (2022)$ . In fact, their translation from NLPs to SETAFs and vice versa coincide with ours, and the structural equivalence between RFALPs and SETAFs has also been identified there. However, their focus differs from ours. While their work establishes the equivalence between stable models and stable extensions, it does not explore equivalences involving labeling-based semantics or address the controversy relating semi-stable semantics and L-stable semantics, which is a key motivation for this work. In comparison with König  $et\ al.$ 's work, the novelty of our proposal lies essentially in the aspects below:

- Our proofs of these results follow a significantly distinct path as they are based on properties of argument labelings and are deeply rooted in the works of Caminada et al. (2015b); Alcântara et al. (2019); Alcântara and Sá (2021).
- We prove the equivalence between partial stable, well-founded, regular, stable, and semi-stable model semantics for NLPs and, respectively, complete, grounded, preferred, stable, and semi-stable labelings for SETAFs. In particular, for the first time, an equivalence between L-stable model semantics for NLPs and semi-stable labelings for SETAFs is established.
- We provide a more in-depth analysis of the relationship between *NLP*s and *SETAF*s. Going beyond just proving semantic equivalence, we define functions that map labelings to interpretations and interpretations to labelings. These functions allow us to see interpretations and labelings as equivalent entities, further strengthening the connections between *NLP*s and *SETAF*s. In substance, we demonstrate that the equivalence also holds at the level of interpretations/labelings.

The strong connection we establish between interpretations and labelings opens doors for future exploration. This extends the applicability of our equivalence results to novel semantics beyond those investigated here, potentially even encompassing multivalued settings. This holds particular significance for the logic programming community. Wellestablished concepts from argumentation, such as argument strength (Beirlaen *et al.* 2018), can now be translated and investigated within the context of logic programming. This underscores the value of our decision to employ labelings instead of extensions as a more suitable approach to bridge the gap between *NLPs* and *SETAFs*.

Our research offers another key contribution, particularly relevant to the logic programming community: it explores the expressiveness of RFALPs. We demonstrate that a specific combination (denoted by  $\mapsto_{UTPM}$ ) of program transformations can transform any NLP into an RFALP with exactly the same semantics. In simpler terms, RFALPs possess the same level of expressiveness as NLPs. Although each program transformation in  $\mapsto_{UTPM}$  was proposed by Brass and Dix (1994, 1997, 1999), the combination of these program transformations (to our knowledge) has not been investigated yet. Then we establish several properties of  $\mapsto_{UTPM}$ . Among other original contributions of our work related to  $\mapsto_{UTPM}$ , we highlight the following results:

- Given an NLP, if repeatedly applying  $\mapsto_{UTPM}$  leads to a program where no further transformations are applicable (irreducible program), then the resulting program is guaranteed to be an RFALP.
- We show that  $\mapsto_{UTPM}$  is confluent; that is, given an NLP, it does not matter the order by which we apply repeatedly these program transformations; whenever we arrive at an irreducible program, they will always result in the same RFALP (and in the same corresponding SETAF). Hence, besides NLPs and RFALPs being equally expressive, each NLP is associated with a unique RFALP.
- The SETAF corresponding to an NLP is invariant with respect to  $\mapsto_{UTPM}$ ; that is, if  $P_2$  is obtained from  $P_1$  via  $\mapsto_{UTPM}$  (denoted by  $P_1 \mapsto_{UTPM} P_2$ ), both  $P_1$  and  $P_2$  will be translated into the same SETAF.
- We show that  $\mapsto_{UTPM}$  preserves the semantics for NLPs studied in this paper: if  $P_1 \mapsto_{UTPM} P_2$ , then  $P_1$  and  $P_2$  have the same partial stable models, well-founded models, regular models, stable models, and L-stable models.

The structure of the paper unfolds as follows: in Section 2, we establish the fundamental definitions related to SETAFs and NLPs. In Section 3, we adapt the procedure from Caminada et~al.~(2015b) and Alcântara et~al.~(2019) to translate NLPs into SETAFs, and subsequently, in the following section, we perform the reverse translation from SETAFs to NLPs. In both directions, we demonstrate that our labeling-based approach effectively preserves semantic correspondences, including the challenging case involving the equivalence between semi-stable semantics (on the SETAFs side) and L-stable semantics (on the NLPs side). In Section 5, we focus on RFALPs and reveal that, when restricted to them, the translation processes between NLPs and SETAFs are each other's inverse. Then, in Section 6, we guarantee that RFALPs are as expressive as NLPs. We conclude the paper with a discussion of our findings and outline potential avenues for future research endeavors.

The proofs for all novel results are provided in the Supplementary Material.

## 2 Preliminaries

## 2.1 SETAF

Nielsen and Parsons (2006) proposed an extension of Dung's (1995b) Abstract Argumentation Frameworks (AAFs) to allow joint attacks on arguments. The resulting framework, called SETAF, is defined next:

Definition 1 (SETAF (Nielsen and Parsons 2006)).

A framework with sets of attacking arguments (SETAF for short) is a pair  $\mathfrak{A} = (\mathcal{A}, Att)$ , in which  $\mathcal{A}$  is a finite set of arguments and  $Att \subseteq (2^{\mathcal{A}} \setminus \{\emptyset\}) \times \mathcal{A}$  is an attack relation such that if  $(\mathcal{B}, a) \in Att$ , there is no  $\mathcal{B}' \subset \mathcal{B}$  such that  $(\mathcal{B}', a) \in Att$ ; that is,  $\mathcal{B}$  is a minimal set (w.r.t.  $\subseteq$ ) attacking  $a^1$ . By  $Att(a) = \{\mathcal{B} \subseteq \mathcal{A} \mid (\mathcal{B}, a) \in Att\}$ , we mean the set of attackers of a.

In AAFs, only individual arguments can attack arguments. In SETAFs, the novelty is that sets of two or more arguments can also attack arguments. This means that SETAFs  $(\mathcal{A}, Att)$  with  $|\mathcal{B}| = 1$  for each  $(\mathcal{B}, a) \in Att$  amount to (standard Dung) AAFs.

The semantics for SETAFs are generalizations of the corresponding semantics for AAFs (Nielsen and Parsons 2006) and can be defined equivalently in terms of extensions or labelings (Flouris and Bikakis 2019). Our focus here will be on their labeling-based semantics.

Definition 2 (Labelings (Flouris and Bikakis 2019)).

Let  $\mathfrak{A} = (\mathcal{A}, Att)$  be a *SETAF*. A labeling is a function  $\mathcal{L} : \mathcal{A} \to \{\text{in}, \text{out}, \text{undec}\}$ . It is admissible iff for each  $a \in \mathcal{A}$ ,

- If  $\mathcal{L}(a) = \text{in}$ , then for each  $\mathcal{B} \in Att(a)$ , it holds  $\mathcal{L}(b) = \text{out}$  for some  $b \in \mathcal{B}$ .
- If  $\mathcal{L}(a) = \text{out}$ , then there exists  $\mathcal{B} \in Att(a)$  such that  $\mathcal{L}(b) = \text{in for each } b \in \mathcal{B}$ .

A labeling  $\mathcal{L}$  is called complete iff it is admissible and for each  $a \in \mathcal{A}$ ,

<sup>&</sup>lt;sup>1</sup> In the original definition of SETAFs by Nielsen and Parsons (2006), attacks are not necessarily subsetminimal.

• If  $\mathcal{L}(a) = \text{undec}$ , then there exists  $\mathcal{B} \in Att(a)$  such that  $\mathcal{L}(b) \neq \text{out for each } b \in \mathcal{B}$ , and for each  $\mathcal{B} \in Att(a)$ , it holds  $\mathcal{L}(b) \neq \text{in for some } b \in \mathcal{B}$ .

We write  $\operatorname{in}(\mathcal{L})$  for  $\{a \in \mathcal{A} \mid \mathcal{L}(a) = \operatorname{in}\}$ ,  $\operatorname{out}(\mathcal{L})$  for  $\{a \in \mathcal{A} \mid \mathcal{L}(a) = \operatorname{out}\}$ , and  $\operatorname{undec}(\mathcal{L})$  for  $\{a \in \mathcal{A} \mid \mathcal{L}(a) = \operatorname{undec}\}$ . As a labeling essentially defines a partition among the arguments, we sometimes write  $\mathcal{L}$  as a triple  $(\operatorname{in}(\mathcal{L}), \operatorname{out}(\mathcal{L}), \operatorname{undec}(\mathcal{L}))$ . Intuitively, an argument labeled in represents explicit acceptance; an argument labeled out indicates rejection; and one labeled undec is undecided; that is, it is neither accepted nor rejected. We can now describe the SETAF semantics studied in this paper:

Definition 3 (Semantics (Flouris and Bikakis 2019)). Let  $\mathfrak{A} = (\mathcal{A}, Att)$  be a SETAF. A complete labeling  $\mathcal{L}$  is called

- grounded iff  $in(\mathcal{L})$  is minimal (w.r.t.  $\subseteq$ ) among all complete labelings of  $\mathfrak{A}$ .
- preferred iff  $in(\mathcal{L})$  is maximal (w.r.t.  $\subseteq$ ) among all complete labelings of  $\mathfrak{A}$ .
- stable iff  $undec(\mathcal{L}) = \emptyset$ .
- semi-stable iff  $undec(\mathcal{L})$  is minimal (w.r.t.  $\subseteq$ ) among all complete labelings of  $\mathfrak{A}$ .

Let us consider the following example:

## Example 1.

Consider the SETAF  $\mathfrak{A} = (A, Att)$  below:

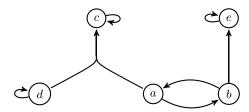


Fig. 1. A SETAF  $\mathfrak{A}$ .

Concerning the semantics of  $\mathfrak{A}$ , we have

- Complete labelings:  $\mathcal{L}_1 = (\emptyset, \emptyset, \{a, b, c, d, e\}), \mathcal{L}_2 = (\{a\}, \{b\}, \{c, d, e\})$  and  $\mathcal{L}_3 = (\{b\}, \{a, e\}, \{c, d\});$
- Grounded labelings:  $\mathcal{L}_1 = (\emptyset, \emptyset, \{a, b, c, d, e\});$
- Preferred labelings:  $\mathcal{L}_2 = (\{a\}, \{b\}, \{c, d, e\})$  and  $\mathcal{L}_3 = (\{b\}, \{a, e\}, \{c, d\})$ ;
- Stable labelings: none;
- Semi-stable labelings:  $\mathcal{L}_3 = (\{b\}, \{a, e\}, \{c, d\}).$

## 2.2 Logic programs and semantics

Now, we take a look at propositional Normal Logic Programs. To delve into their definition and semantics, we will follow the presentation outlined by Caminada *et al.* (2015b), which draws from the foundation laid out by Przymusinski (1990).

Definition 4 (Caminada et al. (2015b).) A rule r is an expression

$$r: c \leftarrow a_1, \dots, a_m, \text{ not } b_1, \dots, \text{ not } b_n$$
 (1)

where  $(m, n \ge 0)$ ; c, each  $a_i$   $(1 \le i \le m)$  and each  $b_j$   $(1 \le j \le n)$  are atoms, and not represents negation as failure. A literal is either an atom a (positive literal) or a negated atom not a (negative literal). Given a rule r as above, c is called the head of r, which we denote as head(r), and  $body(r) = \{a_1, \ldots, a_m, \text{not } b_1, \ldots, \text{not } b_n\}$  is called the body of r. Further, we divide body(r) into two sets  $body^+(r) = \{a_1, \ldots, a_m\}$  and  $body^-(r) = \{\text{not } b_1, \ldots, \text{not } b_n\}$ . A fact is a rule where m = n = 0. A Normal Logic Program (NLP) or simply a program P is a finite set of rules. If every  $r \in P$  has  $body^-(r) = \emptyset$ , P is a positive program. The Herbrand Base of P is the set  $HB_P$  of all atoms appearing in P.

A wide range of *NLP* semantics are based on the three-valued interpretations of programs (Przymusinski 1990):

Definition 5 (Three-Valued Herbrand Interpretation (Przymusinski 1990)).

A three-valued Herbrand Interpretation  $\mathcal{I}$  (or simply interpretation) of an NLP P is a pair  $\langle T, F \rangle$  with  $T, F \subseteq HB_P$  and  $T \cap F = \emptyset$ . The atoms in T are true in  $\mathcal{I}$ , the atoms in F are false in  $\mathcal{I}$ , and the atoms in  $HB_P \setminus (T \cup F)$  are undefined in  $\mathcal{I}$ . For convenience, when the NLP P is clear from the context, we will refer to the set of undefined atoms in  $HB_P \setminus (T \cup F)$  simply as  $\overline{T \cup F}$ .

Now we will consider the main semantics for NLPs. Let  $\mathcal{I} = \langle T, F \rangle$  be a three-valued Herbrand interpretation of an NLPP; the reduct of P with respect to  $\mathcal{I}$  (written as  $P/\mathcal{I}$ ) is the NLP constructed using the following steps:

- 1. Remove any  $a \leftarrow a_1, \ldots, a_m$ , not  $b_1, \ldots$ , not  $b_n \in P$  such that  $b_j \in T$  for some j  $(1 \le j \le n)$ ;
- 2. Afterward, remove any occurrence of not  $b_j$  from P such that  $b_j \in F$ ;
- 3. Then, replace any occurrence of not  $b_i$  left by a special atom  $\mathbf{u}$  ( $\mathbf{u} \notin HB_P$ ).

In the above procedure, **u** is assumed to be an atom not in  $HB_P$  which is undefined in all interpretations of P (a constant). Note that  $P/\mathcal{I}$  is a positive program since all negative literals have been removed. As a consequence,  $P/\mathcal{I}$  has a unique least three-valued model (Przymusinski 1990), obtained by the  $\Psi$  operator:

Definition 6 ( $\Psi$  Operator (Przymusinski 1990)). Let P be a positive program and  $\mathcal{J} = \langle T, F \rangle$  be an interpolation

Let P be a positive program and  $\mathcal{J} = \langle T, F \rangle$  be an interpretation. Define  $\Psi_P(\mathcal{J}) = \langle T', F' \rangle$ , where

- $c \in T'$  iff  $c \in HB_P$  and there exists  $c \leftarrow a_1, \ldots, a_m \in P$  such that for all  $i, 1 \le i \le m$ ,  $a_i \in T$ ;
- $c \in F'$  iff  $c \in HB_P$  and for every  $c \leftarrow a_1, \ldots, a_m \in P$ , there exists  $i, 1 \le i \le m$ , such that  $a_i \in F$ .

The least three-valued model of P is given by  $\Psi_P^{\uparrow \omega}$  (Przymusinski 1990), the least fixed point of  $\Psi_P$  iteratively obtained as follows:

$$\begin{split} &\Psi_{P}^{\uparrow \ 0} = \langle \emptyset, HB_{P} \rangle \\ &\Psi_{P}^{\uparrow \ i+1} = &\Psi_{P}(\Psi_{P}^{\uparrow \ i}) \\ &\Psi_{P}^{\uparrow \ \omega} = \left\langle \bigcup_{i < \omega} \left\{ T_{i} \mid \Psi_{P}^{\uparrow \ i} = \langle T_{i}, F_{i} \rangle \right\}, \bigcap_{i < \omega} \left\{ F_{i} \mid \Psi_{P}^{\uparrow \ i} = \langle T_{i}, F_{i} \rangle \right\} \right\rangle \end{split}$$

where  $\omega$  denotes the first infinite ordinal.

We can now describe the logic programming semantics studied in this paper:

Definition 7.

Let P be an NLP and  $\mathcal{I} = \langle T, F \rangle$  be an interpretation; by  $\Omega_P(\mathcal{I}) = \Psi_{\overline{\mathcal{I}}}^{\uparrow \omega}$ , we mean the least three-valued model of  $\frac{P}{\mathcal{I}}$ . We say that

- $\mathcal{I}$  is a partial stable model of P iff  $\Omega_P(\mathcal{I}) = \mathcal{I}$  (Przymusinski 1990).
- $\mathcal{I}$  is a well-founded model of P iff  $\mathcal{I}$  is a partial stable model of P where there is no partial stable model  $\mathcal{I}' = \langle T', F' \rangle$  of P such that  $T' \subset T$ ; that is, T is minimal (w.r.t. set inclusion) among all partial stable models of P (Przymusinski 1990).
- $\mathcal{I}$  is a regular model of P iff  $\mathcal{I}$  is a partial stable model of P where there is no partial stable model  $\mathcal{I}' = \langle T', F' \rangle$  of P such that  $T \subset T'$ ; that is, T is maximal (w.r.t. set inclusion) among all partial stable models of P (Eiter et al. 1997).
- $\mathcal{I}$  is a (two-valued) stable model of P iff  $\mathcal{I}$  is a partial stable model of P where  $T \cup F = HB_P$  (Przymusinski 1990).
- $\mathcal{I}$  is an L-stable model of P iff  $\mathcal{I}$  is a partial stable model of P where there is no partial stable model  $\mathcal{I}' = \langle T', F' \rangle$  of P such that  $T \cup F \subset T' \cup F'$ ; that is,  $T \cup F$  is maximal (w.r.t. set inclusion) among all partial stable models of P (Eiter et al. 1997).

Although some of these definitions are not standard in logic programming literature, their equivalence was proved by Caminada *et al.* (2015b). This format helps us to relate *NLP* and *SETAF* semantics due to the structural similarities between Definition 7 and Definitions 2 and 3. We illustrate these semantics in the following example:

Example 2.

Consider the following logic program P:

```
r_1: a \leftarrow \mathtt{not}\, b r_2: b \leftarrow \mathtt{not}\, a r_3: c \leftarrow \mathtt{not}\, a, \mathtt{not}\, c r_4: c \leftarrow \mathtt{not}\, c, \mathtt{not}\, d r_5: d \leftarrow \mathtt{not}\, d r_6: e \leftarrow \mathtt{not}\, b, \mathtt{not}\, e
```

This program has

- Partial Stable Models:  $\mathcal{M}_1 = \langle \emptyset, \emptyset \rangle$ ,  $\mathcal{M}_2 = \langle \{a\}, \{b\} \rangle$  and  $\mathcal{M}_3 = \langle \{b\}, \{a, e\} \rangle$ ;
- Well-founded model:  $\mathcal{M}_1 = \langle \emptyset, \emptyset \rangle$ ;
- Regular models:  $\mathcal{M}_2 = \langle \{a\}, \{b\} \rangle$  and  $\mathcal{M}_3 = \langle \{b\}, \{a, e\} \rangle$ ;
- Stable models: none;
- L-stable model:  $\mathcal{M}_3 = \langle \{b\}, \{a, e\} \rangle$ .

## 3. From NLP to SETAF

In this section, we revisit the three-step process of argumentation framework instantiation as employed by Caminada et al. (2015b) for translating an NLP into an AAF. This method is based on the approach introduced by Wu et al. (2009) and shares similarities with the procedures used in ASPIC (Caminada and Amgoud 2005, 2007) and logic-based argumentation (Gorogiannis and Hunter 2011). Its first step involves taking an NLP and constructing its associated AAF. Then, we apply AAF semantics in the second step, followed by an analysis of the implications of these semantics at the level of conclusions (step 3). In our case, starting with an NLP P, we derive the associated SETAF ( $A_P$ ,  $Att_P$ ). Unlike the construction described by Caminada et al. (2015b), rules with identical conclusions in P will result in a single argument in  $A_P$ . This distinction is capital for establishing the equivalence results between NLPs and SETAFs. Additionally, it simplifies steps 2 and 3, making them more straightforward to follow. We now detail this process.

## 3.1 SETAF construction

We will devise one translation from NLP to SETAF that is sufficiently robust to guarantee the equivalence between various kinds of NLPs models and SETAFs labelings. Specifically, our approach will establish the correspondence between partial stable models and complete labelings, well-founded models and grounded labelings, regular models and preferred labelings, stable models and stable labelings, L-stable models and semistable labelings. Our method is built upon a translation from NLP to AAF proposed by Caminada et al. (2015b), where NLP rules are directly translated into arguments. We will adapt this approach for SETAF by employing the translation method outlined by Caminada et al. (2015b) to construct statements, and then statements corresponding to rules with the same head will be grouped to form a single argument. Taking an NLP P, we can start to construct statements recursively as follows:

Definition 8 (Statements and Arguments). Let P be an NLP.

• If  $c \leftarrow \text{not } b_1, \dots, \text{not } b_n$  is a rule in P, then it is also a statement (say s) with

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\begin{aligned} & - \operatorname{Conc}(s) = c, \\ & - \operatorname{Rules}(s) = \{c \leftarrow \operatorname{not} \, b_1, \dots, \operatorname{not} \, b_n\}, \\ & - \operatorname{Vul}(s) = \{b_1, \dots, b_n\}, \text{ and} \\ & - \operatorname{Sub}(s) = \{s\}. \end{aligned}
```

• If  $c \leftarrow a_1, \ldots, a_m$ , not  $b_1, \ldots$ , not  $b_n$  is a rule in P and for each  $a_i$   $(1 \le i \le m)$  there exists a statement  $s_i$  with  $\operatorname{Conc}(s_i) = a_i$  and  $c \leftarrow a_1, \ldots, a_m$ , not  $b_1, \ldots$ , not  $b_n$  is not contained in  $\operatorname{Rules}(s_i)$ , then  $c \leftarrow (s_1), \ldots, (s_m)$ , not  $b_1, \ldots$ , not  $b_n$  is a statement (say s) with

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\begin{split} & - \operatorname{Conc}(s) = c, \\ & - \operatorname{Rules}(s) = \operatorname{Rules}(s_1) \cup \ldots \cup \operatorname{Rules}(s_m) \cup \\ & \{c \leftarrow a_1, \ldots, a_m, \operatorname{not} b_1, \ldots, \operatorname{not} b_n\} \\ & - \operatorname{Vul}(s) = \operatorname{Vul}(s_1) \cup \ldots \cup \operatorname{Vul}(s_m) \cup \{b_1, \ldots, b_n\}, \text{ and} \\ & - \operatorname{Sub}(s) = \{s\} \cup \operatorname{Sub}(s_1) \cup \ldots \cup \operatorname{Sub}(s_m). \end{split}
```

By  $\mathfrak{S}_P$ , we mean the set of all statements we can construct from P as above. Then we define  $\mathcal{A}_P = \{ \mathsf{Conc}(s) \mid s \in \mathfrak{S}_P \}$  as the set of all arguments we can construct from P. For an argument c from P ( $c \in \mathcal{A}_P$ ), we have that

- Conc(c) = c, and
- $\operatorname{Vul}_P(c) = \{\operatorname{Vul}(s) \mid s \in \mathfrak{S}_P \text{ and } \operatorname{Conc}(s) = c\}.$

If c is an argument, then Conc(c) is referred to as the *conclusion* of c, and  $Vul_P(c)$  is referred to as the *vulnerabilities* of c in P. When the context is clear, we will write simply Vul(c) instead of  $Vul_P(c)$ .

Now we will clarify the connection between the existence of statements and the existence of a derivation in a reduct.

## Lemma 1.

Let P be an NLP,  $\mathcal{I} = \langle T, F \rangle$  an interpretation and  $\Omega_P(\mathcal{I}) = \langle T', F' \rangle$  the least three-valued model of  $\frac{P}{\mathcal{I}}$ . It holds

- (i)  $c \in T'$  iff there exists a statement s constructed from P such that Conc(s) = c and  $Vul(s) \subseteq F$ .
- (ii)  $c \in F'$  iff for every statement s constructed from P such that  $\operatorname{Conc}(s) = c$ , we have  $\operatorname{Vul}(s) \cap T \neq \emptyset$

We can prove both results in Lemma 1 by induction. Assuming that  $\Psi_{\frac{P}{2}}^{\uparrow i} = \langle T_i, F_i \rangle$  for each  $i \in \mathbb{N}$ , we can prove the right-hand side of item 1 and the left-hand side of item 2 by induction on the value of i after guaranteeing the following results:

- If  $c \in T_i$ , then there exists a statement s constructed from P such that Conc(s) = c and  $Vul(s) \subseteq F$ .
- If  $c \notin F_i$ , then there exists a statement s constructed from P such that Conc(s) = c and  $Vul(s) \cap T = \emptyset$ .

The remaining cases of Lemma 1 can be proved by structural induction on the construction of a statement s (see a detailed account of the proof of Lemma 1 in Supplementary Material).

Lemma 1 ensures that statements are closely related to derivations in a reduct. An atom c is true in the least three-valued model of  $\frac{P}{\mathcal{I}}$  iff we can construct a statement with conclusion c and whose vulnerabilities are false according to  $\mathcal{I}$ ; otherwise, c is false in the least three-valued model of  $\frac{P}{\mathcal{I}}$  iff for every statement whose conclusion is c, at least one of its vulnerabilities is true in  $\mathcal{I}$ . The next result is a direct consequence of Lemma 1:

Corollary 2.

Let P be an NLP.

- Assume  $\mathcal{I} = \langle \emptyset, HB_P \rangle$  and  $\Omega_P(\mathcal{I}) = \langle T', F' \rangle$ . It holds that  $c \in T'$  iff there exists a statement s constructed from P such that Conc(s) = c.
- There is no statement s constructed from P such that Conc(s) = c iff  $c \in F'$  for every interpretation  $\mathcal{I}$  with  $\Omega_P(\mathcal{I}) = \langle T', F' \rangle$ .

The reduct of P with respect to  $\langle \emptyset, HB_P \rangle$  gives us all the possible derivations of P, and from these derivations, we can construct all the statements associated with P. On the other hand, the atoms that are lost in the translation, that is, the atoms not associated with statements, are simply those that are false in the least three-valued model of every possible reduct of P. Besides establishing connections between statements and derivations in a reduct, Lemma 1 also plays a central role in the proof of Theorems 4 and 5.

Apart from that, intuitively, we can see a statement as a tree-like structure representing a possible derivation of an atom from the rules of a program. In contrast, an argument for c in P is associated with the (derivable) atom c itself and can be obtained by collecting all the statements with the same conclusion c (i.e., all the possible ways of deriving c in P).

## Example 3.

Consider the *NLP P* below with rules  $\{r_1, \ldots, r_8\}$ :

$$\begin{array}{lll} r_1:a & r_2:b\leftarrow a & r_3:c\leftarrow \mathtt{not}\,c \\ \\ r_4:d\leftarrow b,\mathtt{not}\,a,\mathtt{not}\,d & r_5:d\leftarrow \mathtt{not}\,c,\mathtt{not}\,d & r_6:e\leftarrow b,c,\mathtt{not}\,e \\ \\ r_7:c\leftarrow f,\mathtt{not}\,g & r_8:f\leftarrow c,g \end{array}$$

According to Definition 8, we can construct the following statements from P:

$$s_1: a \qquad \qquad s_2: b \leftarrow (s_1) \qquad s_3: c \leftarrow \mathsf{not}\ c$$
 
$$s_4: d \leftarrow (s_2), \mathsf{not}\ a, \mathsf{not}\ d \quad s_5: d \leftarrow \mathsf{not}\ c, \mathsf{not}\ d \quad s_6: e \leftarrow (s_2), (s_3), \mathsf{not}\ e$$

In the next table, we give the conclusions and vulnerabilities of each statement:

	$s_1$	$s_2$	$s_3$	$s_4$	$s_5$	$s_6$
Conc(.)	a	b	c	d	d	e
${\tt Vul}(.)$	Ø	Ø	$\{c\}$	$\{a,d\}$	$\{c,d\}$	$\{c,e\}$

Alternatively, we can depict statements as possible derivations as in Figure 2:

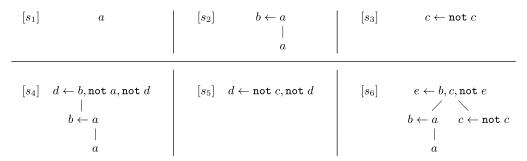


Fig. 2. Statements constructed from P.

The vulnerabilities of a statement s are associated with the negative literals found in the derivation of s. If not a is one of them, we know that a is one of its vulnerabilities. This means that if a is derived, then  $\mathtt{Conc}(s)$  cannot be obtained via this derivation represented by s. However, it can still be obtained via other derivations/statements. For instance, in the program P of Example 3, the derivation of a suffices to prevent the derivation of d via statement  $s_4$  (for that reason,  $a \in \mathtt{Vul}(s_4)$ ), but we still can derive d via  $s_5$ . Notice also that there are no statements with conclusions f and g. From Corollary 2, we know that it is not possible to derive them in P as they are false in the least three-valued model of each reduct of P. In addition, to determine the vulnerabilities of an atom (and not only of a specific derivation leading to this atom), we collect these data about the statements with the same conclusions to give the conclusions and vulnerabilities of each argument. In our example, we obtain the following results:

	a	b	c	d	e
Conc(.)	a	b	c	d	e
${\tt Vul}(.)$	$\{\emptyset\}$	$\{\emptyset\}$	$\{c\}$	$\left\{ \left\{ a,d\right\} ,\left\{ c,d\right\} \right\}$	$\{\{c,e\}\}$

As the vulnerabilities of an atom/argument a are a collection of the vulnerabilities of the statements whose conclusion is a, any set containing at least one atom in each of these statements suffices to prevent the derivation of a in P. In our example, there are two statements with the same conclusion d and  $\operatorname{Vul}(d) = \{\{a,d\}, \{c,d\}\}$ . Thus any set of atoms containing  $\{d\}$  or  $\{a,c\}$  prevents the conclusion of d in P. We will resort to these minimal sets to determine the attack relation:

## Definition 9.

Let P be an NLP and let  $\mathcal{B}$  and a be, respectively, a set of arguments and an argument in the sense of Definition 8. We say that  $(\mathcal{B}, a) \in Att_P$  iff  $\mathcal{B}$  is a minimal set (w.r.t. set inclusion) such that for each  $V \in Vul_P(a)$ , there exists  $b \in \mathcal{B} \cap V$ .

For the arguments of Example 3, it holds that both a and b are not attacked, c attacks itself, c attacks e, e attacks itself, d attacks itself, d and d (collectively) attack d. This strategy of extracting statements from NLPs rules and then gathering those with identical conclusions into arguments is not novel; Alcântara et al. (2019) proposed a translation from NLPs into Abstract Dialectical Frameworks (Brewka and Woltran 2010; Brewka et al. 2013) by following a similar path. Using the thus-defined notions of arguments and attacks, we define the SETAF associated with an NLP.

### Definition 10.

Let P be an NLP. We define its associated SETAF as  $\mathfrak{A}_P = (\mathcal{A}_P, Att_P)$ , where  $\mathcal{A}_P$  is the set of arguments in the sense of Definition 8 and  $Att_P$  is the attack relation in the sense of Definition 9.

As an example, the SETAF  $\mathfrak{A}_P = (\mathcal{A}_P, Att_P)$  associated with the NLP of Example 3 is depicted in Figure 3.

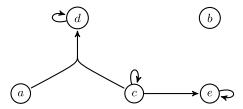


Fig. 3. A SETAF  $\mathfrak{A}_P = (A_P, Att_P)$ .

## 3.2 Equivalence results

Once the SETAF has been constructed, we show the equivalence between the semantics for an NLP P and their counterpart for the associated SETAF  $\mathfrak{A}_P$ . One distinguishing characteristic of our approach in comparison with König et al.'s (2022) proposal is that it is more organic. We prove the equivalence results by identifying connections between fundamental notions used in the definition of the semantics for NLPs and SETAFs. With this purpose, we introduce two functions:  $\mathcal{L}2\mathcal{I}_P$  associates an interpretation to each labeling while  $\mathcal{I}2\mathcal{L}_P$  associates a labeling to each interpretation. We then investigate the conditions under which they are each other's inverse and employ these results to prove the equivalence between the semantics. These functions essentially permit us to treat interpretations and labelings interchangeably.

Definition 11 ( $\mathcal{L}2\mathcal{I}_P$  and  $\mathcal{I}2\mathcal{L}_P$  Functions).

Let P be an NLP,  $\mathfrak{A}_P = (\mathcal{A}_P, Att_P)$  be its associated SETAF,  $\mathcal{I}nt$  be the set of all the three-valued interpretations of P and  $\mathcal{L}ab$  be the set of all labelings of  $\mathfrak{A}_p$ . We introduce a function  $\mathcal{L}2\mathcal{I}_P : \mathcal{L}ab \to \mathcal{I}nt$  such that  $\mathcal{L}2\mathcal{I}_P(\mathcal{L}) = \langle T, F \rangle$ , in which

- $T = \{c \in HB_P \mid c \in A_P \text{ and } \mathcal{L}(c) = in\};$
- $F = \{c \in HB_P \mid c \notin A_P \text{ or } c \in A_P \text{ and } \mathcal{L}(c) = \text{out}\};$
- $\overline{T \cup F} = \{c \in HB_P \mid c \in A_P \text{ and } \mathcal{L}(c) = \text{undec}\}.$

We introduce a function  $\mathcal{I}2\mathcal{L}_P: \mathcal{I}nt \to \mathcal{L}ab$  such that for any  $\mathcal{I} = \langle T, F \rangle \in \mathcal{I}nt$  and any  $c \in \mathcal{A}_P$ ,

- $\mathcal{I}2\mathcal{L}_P(\mathcal{I})(c) = \text{in if } c \in T$ ;
- $\mathcal{I}2\mathcal{L}_P(\mathcal{I})(c) = \text{out if } c \in F$ ;
- $\mathcal{I}2\mathcal{L}_P(\mathcal{I})(c) = \text{undec if } c \notin T \cup F.$

 $\mathcal{I}2\mathcal{L}_P(\mathcal{I})(c)$  is not defined if  $c \notin \mathcal{A}_P$ .

The correspondence between labelings and interpretations is clear for those atoms  $c \in HB_P$  in which  $c \in \mathcal{A}_P$ . In this case, we have that c is interpreted as true iff c is labeled as in; c is interpreted as false iff c is labeled as out. In contradistinction, those atoms  $c \in HB_P$  not associated with arguments  $(c \notin \mathcal{A}_P)$  are simply interpreted as false. This will suffice to guarantee our results; next theorem assures us that  $\mathcal{I}2\mathcal{L}_P(\mathcal{L}2\mathcal{I}_P(\mathcal{L})) = \mathcal{L}$ :

Theorem 3.

Let P be an NLP and  $\mathfrak{A}_P = (\mathcal{A}_P, Att_P)$  be the associated SETAF. For any labeling  $\mathcal{L}$  of  $\mathfrak{A}_P$ , it holds  $\mathcal{I}2\mathcal{L}_P(\mathcal{L}2\mathcal{I}_P(\mathcal{L})) = \mathcal{L}$ .

In general,  $\mathcal{L}2\mathcal{I}_P(\mathcal{I}2\mathcal{L}_P(\mathcal{I}))$  is not equal to  $\mathcal{I}$ , because of those atoms c occurring in an NLP P, but not in  $\mathcal{A}_P$ . However, when  $\mathcal{M}$  is a partial stable model,  $\mathcal{L}2\mathcal{I}_P(\mathcal{I}2\mathcal{L}_P(\mathcal{M})) = \mathcal{M}$ :

Theorem 4.

Let P be an NLP,  $\mathfrak{A}_P = (\mathcal{A}_P, Att_P)$  be the associated SETAF and  $\mathcal{M} = \langle T, F \rangle$  be a partial stable model of P. It holds that  $\mathcal{L}2\mathcal{I}_P(\mathcal{I}2\mathcal{L}_P(\mathcal{M})) = \mathcal{M}$ .

This means that when restricted to partial stable models and complete labelings,  $\mathcal{L}2\mathcal{I}_P$  and  $\mathcal{I}2\mathcal{L}_P$  are each other's inverse. From Lemma 1, and Theorems 3 and 4, we can obtain the following result:

Theorem 5.

Let P be an NLP and  $\mathfrak{A}_P = (A_P, Att_P)$  be the associated SETAF. It holds

- $\mathcal{L}$  is a complete labeling of  $\mathfrak{A}_P$  iff  $\mathcal{L}2\mathcal{I}_P(\mathcal{L})$  is a partial stable model of P.
- $\mathcal{M}$  is a partial stable model of P iff  $\mathcal{I}2\mathcal{L}_{P}(\mathcal{M})$  is a complete labeling of  $\mathfrak{A}_{P}$ .

Theorem 5 is one of the main results of this paper. It plays a central role in ensuring the equivalence between the semantics for NLP and their counterpart for SETAF:

Theorem 6.

Let P be an NLP and  $\mathfrak{A}_P = (A_P, Att_P)$  be the associated SETAF. It holds

- 1.  $\mathcal{L}$  is a grounded labeling of  $\mathfrak{A}_P$  iff  $\mathcal{L}2\mathcal{I}_P(\mathcal{L})$  is a well-founded model of P.
- 2.  $\mathcal{L}$  is a preferred labeling of  $\mathfrak{A}_P$  iff  $\mathcal{L}2\mathcal{I}_P(\mathcal{L})$  is a regular model of P.
- 3.  $\mathcal{L}$  is a stable labeling of  $\mathfrak{A}_P$  iff  $\mathcal{L}2\mathcal{I}_P(\mathcal{L})$  is a stable model of P.
- 4.  $\mathcal{L}$  is a semi-stable labeling of  $\mathfrak{A}_P$  iff  $\mathcal{L}2\mathcal{I}_P(\mathcal{L})$  is an L-stable model of P.

The following result is a direct consequence of Theorems 4 and 6:

## Corollary 7.

Let P be an NLP and  $\mathfrak{A}_P = (A_P, Att_P)$  be the associated SETAF. It holds

- 1.  $\mathcal{M}$  is a well-founded model of P iff  $\mathcal{I}2\mathcal{L}_P(\mathcal{M})$  is a grounded labeling of  $\mathfrak{A}_P$ .
- 2.  $\mathcal{M}$  is a regular model of P iff  $\mathcal{I}2\mathcal{L}_P(\mathcal{M})$  is a preferred labeling of  $\mathfrak{A}_P$ .
- 3.  $\mathcal{M}$  is a stable model of P iff  $\mathcal{I}2\mathcal{L}_P(\mathcal{M})$  is a stable labeling of  $\mathfrak{A}_P$ .
- 4.  $\mathcal{M}$  is an L-stable model of P iff  $\mathcal{I}2\mathcal{L}_P(\mathcal{M})$  is a semi-stable labeling of  $\mathfrak{A}_P$ .

Next, we consider the NLP exploited by Caminada et al. (2015b) as a counterexample to show that in general, L-stable models and semi-stable labelings do not coincide with each other in their translation from NLPs to AAFs:

## Example 4.

Let P be the NLP and  $\mathfrak{A}_P$  be the associated SETAF depicted in Figure 4:

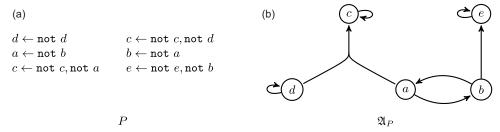


Fig. 4. NLP P and its associated SETAF  $\mathfrak{A}_P$ .

As expected from Theorems 5 and 6, we obtain in Table 1 the equivalence between partial stable models and complete labelings, well-founded models and grounded labelings, regular models and preferred labelings, stable models and stable labelings, L-stable models and semi-stable labelings. We emphasize the coincidence between L-stable models and semi-stable labelings in Table 1 as it does not occur in the approach of Caminada et al. (2015b). In their work, the associated AAF possesses two semi-stable labelings in contrast with the unique L-stable model  $\mathcal{M}_3$  of P. In the next two sections, we will show that this relation between NLPs and SETAFs has even deeper implications.

## 4 From SETAF to NLP

Now we will provide a translation in the other direction, that is, from SETAFs to NLPs. As in the previous section, this translation guarantees the equivalence between the semantics for NLPs and their counterpart for SETAFs.

## Definition 12.

Let  $\mathfrak{A} = (\mathcal{A}, Att)$  be a *SETAF*. For any argument  $a \in \mathcal{A}$ , we will assume  $\mathcal{V}_a = \{V \mid V \text{ is a minimal set (w.r.t. set inclusion) such that for each <math>\mathcal{B} \in Att(a)$ , there exists  $b \in \mathcal{B} \cap V\}$ . We define the associated *NLP P*<sub> $\mathfrak{A}$ </sub> as follows:

$$P_{\mathfrak{A}} = \{ a \leftarrow \mathtt{not} \ b_1, \ldots \mathtt{not} \ b_n \mid a \in \mathcal{A}, V \in \mathcal{V}_a \ and \ V = \{b_1, \ldots, b_n\} \}.$$

#### Example 5.

Recall the SETAF  $\mathfrak A$  of Example 1 (it is the same as that in Figure 4b). The associated NLP  $P_{\mathfrak A}$  is

$$\begin{array}{ll} d \leftarrow \mathtt{not} \ d & c \leftarrow \mathtt{not} \ c, \mathtt{not} \ d \\ \\ a \leftarrow \mathtt{not} \ b & b \leftarrow \mathtt{not} \ a \\ \\ c \leftarrow \mathtt{not} \ c, \mathtt{not} \ a & e \leftarrow \mathtt{not} \ e, \mathtt{not} \ b \end{array}$$

Notice that  $P_{\mathfrak{A}}$  and the NLP P of Example 4 are the same. As it will be clear in the next section, this is not merely a coincidence. Besides, from Definition 12, it is clear that  $HB_{P_{\mathfrak{A}}} = \mathcal{A}$ . Consequently, when considering a SETAF  $\mathfrak{A}$  and its associated NLP  $P_{\mathfrak{A}}$ , the definition of the function  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}$  (resp.,  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}$ ), which associates labelings with interpretations (resp., interpretations with labelings), will be simpler than the definition of  $\mathcal{L}2\mathcal{I}_{P}$  (resp.,  $\mathcal{I}2\mathcal{L}_{P}$ ) presented in the previous section.

Partial stable models	$\mathcal{M}_{1} = \langle \emptyset, \emptyset \rangle$ $\mathcal{M}_{2} = \langle \{a\}, \{b\} \rangle$ $\mathcal{M}_{3} = \langle \{b\}, \{a, e\} \rangle$	Complete labelings	$\mathcal{L}_1 = (\emptyset, \emptyset, \{a, b, c, d, e\})$ $\mathcal{L}_2 = (\{a\}, \{b\}, \{c, d, e\})$ $\mathcal{L}_3 = (\{b\}, \{a, e\}, \{c, d\})$
Well-founded models	$\mathcal{M}_1 = \langle \emptyset, \emptyset  angle$	Grounded labelings	$\mathcal{L}_1 = (\emptyset, \emptyset, \{a, b, c, d, e\})$
Regular models	$\mathcal{M}_2 = \langle \{a\}, \{b\} \rangle$ $\mathcal{M}_3 = \langle \{b\}, \{a, e\} \rangle$	Preferred labelings	$\mathcal{L}_{2} = (\{a\}, \{b\}, \{c, d, e\})$ $\mathcal{L}_{3} = (\{b\}, \{a, e\}, \{c, d\})$
Stable models	None	Stable labelings	None
L-stable models	$\mathcal{M}_3 = \langle \{b\}, \{a, e\} \rangle$	Semi-stable Labelings	$\mathcal{L}_{3} = (\{b\}, \{a, e\}, \{c, d\})$

Table 1. Semantics for P and  $\mathfrak{A}_P$ 

Definition 13 ( $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}$  and  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}$  Functions).

Let  $\mathfrak{A}$  be a SETAF and P be its associated NLP,  $\mathcal{L}ab$  be the set of all labelings of  $\mathfrak{A}$  and  $\mathcal{I}nt$  be the set of all the three-valued interpretations of  $P_{\mathfrak{A}}$ . We introduce the functions

•  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}: \mathcal{L}ab \to \mathcal{I}nt$ , in which

$$\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L}) = \langle \mathtt{in}(\mathcal{L}), \mathtt{out}(\mathcal{L}) \rangle$$
.

Obviously  $\overline{\operatorname{in}(\mathcal{L}) \cup \operatorname{out}(\mathcal{L})} = \operatorname{undec}(\mathcal{L})$ .

•  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}: \mathcal{I}nt \to \mathcal{L}ab$ , in which for  $\mathcal{M} = \langle T, F \rangle \in \mathcal{I}nt$ ,

$$\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{M}) = (T, F, \overline{T \cup F}).$$

In contrast with  $\mathcal{L}2\mathcal{I}_P$  and  $\mathcal{I}2\mathcal{L}_P$ , the functions  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}$  and  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}$  are each other's inverse in the general case:

Theorem 8.

Let  $\mathfrak{A} = (A, Att)$  be a SETAF and  $P_{\mathfrak{A}}$  its associated NLP.

- For any labeling  $\mathcal{L}$  of  $\mathfrak{A}$ , it holds  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L})) = \mathcal{L}$ .
- For any interpretation  $\mathcal{I}$  of  $P_{\mathfrak{A}}$ , it holds  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{I})) = \mathcal{I}$ .

A similar result to Theorem 5 also holds here:

Theorem 9.

Let  $\mathfrak{A}$  be a SETAF and  $P_{\mathfrak{A}}$  be its associated NLP. It holds

- $\mathcal{L}$  is a complete labeling of  $\mathfrak{A}$  iff  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L})$  is a partial stable model of  $P_{\mathfrak{A}}$ .
- $\mathcal{M}$  is a partial stable model of  $P_{\mathfrak{A}}$  iff  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{M})$  is a complete labeling of  $\mathfrak{A}$ .

From Theorem 9, we can ensure the equivalence between the semantics for NLP and their counterpart for SETAF:

Theorem 10.

Let  $\mathfrak{A}$  be a SETAF and  $P_{\mathfrak{A}}$  its associated NLP. It holds

- 1.  $\mathcal{L}$  is a grounded labeling of  $\mathfrak{A}$  iff  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L})$  is a well-founded model of  $P_{\mathfrak{A}}$ .
- 2.  $\mathcal{L}$  is a preferred labeling of  $\mathfrak{A}$  iff  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L})$  is a regular model of  $P_{\mathfrak{A}}$ .

- 3.  $\mathcal{L}$  is a stable labeling of  $\mathfrak{A}$  iff  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L})$  is a stable model of  $P_{\mathfrak{A}}$ .
- 4.  $\mathcal{L}$  is a semi-stable labeling of  $\mathfrak{A}$  iff  $\mathcal{L}2\mathcal{I}_{\mathfrak{A}}(\mathcal{L})$  is an L-stable model of  $P_{\mathfrak{A}}$ .

The following result is a direct consequence of Theorems 8 and 10:

### Corollary 11.

Let  $\mathfrak{A}$  be a SETAF and  $P_{\mathfrak{A}}$  its associated NLP. It holds

- 1.  $\mathcal{M}$  is a well-founded model of  $P_{\mathfrak{A}}$  iff  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{M})$  is a grounded labeling of  $\mathfrak{A}$ .
- 2.  $\mathcal{M}$  is a regular model of  $P_{\mathfrak{A}}$  iff  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{M})$  is a preferred labeling of  $\mathfrak{A}$ .
- 3.  $\mathcal{M}$  is a stable model of  $P_{\mathfrak{A}}$  iff  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{M})$  is a stable labeling of  $\mathfrak{A}$ .
- 4.  $\mathcal{M}$  is an L-stable model of  $P_{\mathfrak{A}}$  iff  $\mathcal{I}2\mathcal{L}_{\mathfrak{A}}(\mathcal{M})$  is a semi-stable labeling of  $\mathfrak{A}$ .

Recalling the  $SETAF \mathfrak{A}$  and its associated  $P_{\mathfrak{A}}$  of Example 5, we obtain the expected equivalence results related to their semantics (see Table 1). In the next section, we will identify a class of NLPs in which the translation from a SETAF to an NLP (Definition 12) behaves as the inverse of the translation from an NLP to a SETAF (Definition 10).

## 5 On the relation between RFALPs and SETAFs

We will recall a particular kind of NLPs, called Redundancy-Free Atomic Logic Programs (RFALPs). From an RFALP P, we obtain its associated  $SETAF \mathfrak{A}_P$  via Definition 10; from  $\mathfrak{A}_P$ , we obtain its associated NLP  $P_{\mathfrak{A}_P}$  via Definition 12. By following the other direction, from a  $SETAF \mathfrak{A}$ , we obtain its associated NLP  $P_{\mathfrak{A}}$ , and from  $P_{\mathfrak{A}}$ , its associated  $SETAF \mathfrak{A}_{P_{\mathfrak{A}}}$ . An important result mentioned in this section is that  $P = P_{\mathfrak{A}_P}$  and  $\mathfrak{A} = \mathfrak{A}_{P_{\mathfrak{A}}}$ ; that is, the translation from an NLP to a SETAF and the translation from a SETAF to an NLP are each other's inverse. Next, we define RFALPs:

Definition 14 (RFALP (König et al. 2022)).

We define a Redundancy-Free Atomic Logic Program (RFALP) P as an NLP such that

- 1. P is redundancy-free; that is,  $HB_P = \{head(r) \mid r \in P\}$ , and if  $c \leftarrow \text{not } b_1, \ldots, \text{not } b_n \in P$ , there is no rule  $c \leftarrow \text{not } c_1, \ldots, \text{not } c_{n'} \in P$  such that  $\{c_1, \ldots, c_{n'}\} \subset \{b_1, \ldots, b_n\}$ .
- 2. P is atomic; that is, each rule has the form  $c \leftarrow \text{not } b_1, \ldots, \text{not } b_n \ (n \ge 0)$ .

First, Proposition 12 sustains that for any  $SETAF\mathfrak{A}$ , its associated  $NLP P_{\mathfrak{A}}$  will always be an RFALP:

Proposition 12.

Let  $\mathfrak{A} = (A, Att)$  be a SETAF and  $P_{\mathfrak{A}}$  its associated NLP. It holds  $P_{\mathfrak{A}}$  is an RFALP.

The following results guarantee that  $\mathfrak{A} = \mathfrak{A}_{P_{\mathfrak{A}}}$  (Theorem 13) and  $P = P_{\mathfrak{A}_P}$  (Theorem 14):

Theorem 13.

Let  $\mathfrak{A} = (A, Att)$  be a SETAF,  $P_{\mathfrak{A}}$  its associated NLP, and  $\mathfrak{A}_{P_{\mathfrak{A}}}$  the associated SETAF of  $P_{\mathfrak{A}}$ . It holds that  $\mathfrak{A} = \mathfrak{A}_{P_{\mathfrak{A}}}$ .

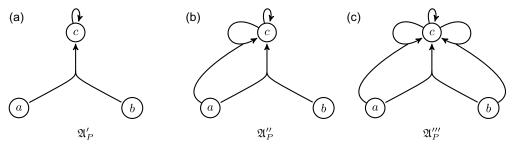


Fig. 5. Possible SETAFs associated with P.

Theorem 14.

Let P be an RFALP,  $\mathfrak{A}_P$  its associated SETAF, and  $P_{\mathfrak{A}_P}$  the associated NLP of  $\mathfrak{A}_P$ . It holds that  $P = P_{\mathfrak{A}_P}$ .

#### Remark 1.

Minimality is crucial to ensure that the translation from an NLP to a SETAF and the translation from a SETAF to an NLP are each other's inverse. If the minimality requirement in Definition 1 (and consequently in Definition 9) were dropped, any SETAF (among other combinations) in Figure 5 could be a possible candidate to be the associated  $SETAF \mathfrak{A}_P$  of the RFALP P

$$c \leftarrow \text{not } a, \text{not } c \ c \leftarrow \text{not } b, \text{not } c$$

$$a \qquad b$$

As a result, Theorem 13 would no longer hold, and these translations would not be each other's inverse. Notice also that the *SETAF*s in Figure 5 have the same complete labelings as non-minimal attacks are irrelevant and can be ignored when determining semantics based on complete labelings.

Theorems 13 and 14 reveal that SETAFs and RFALPs are essentially the same formalism. The equivalence between them involves their semantics and is also structural: two distinct SETAFs will always be translated into two distinct RFALPs and vice versa. In contradistinction, Theorem 14 would not hold if we had replaced our translation from NLP to SETAF (Definition 10) with that from NLP to AAF presented by Caminada et al. (2015b). Thus, the connection between NLPs and SETAFs is more robust than that between NLPs and AAFs. In the forthcoming section, we will explore how expressive RFALPs can be; we will ensure they are as expressive as NLPs.

#### 6 On the expressiveness of RFALPs

Dvořák et al. (2019) comprehensively characterized the expressiveness of SETAFs. Now we compare the expressiveness of NLPs with that of RFALPs. In the previous section, we established that SETAFs and RFALPs are essentially the same formalism. We demonstrated that from the  $SETAF \mathfrak{A}_P$  associated with an NLP P, we can obtain P; and conversely, from the  $NLP P_{\mathfrak{A}}$  associated with a  $SETAF \mathfrak{A}_P$ , we can obtain  $\mathfrak{A}_P$ . Here,

we reveal that this connection between SETAFs and RFALPs is even more substantial: RFALPs are as expressive as NLPs when considering the semantics for NLPs we have exploited in this paper. With this aim in mind, we transform any NLPP into an RFALPP by resorting to a specific combination (denoted by  $\mapsto_{UTPM}$ ) of some program transformations proposed by Brass and Dix (1994, 1997, 1999). Although each program transformation in  $\mapsto_{UTPM}$  was proposed by Brass and Dix (1994, 1997, 1999), the combination of these program transformations (as far as we know) has not been investigated yet. Then, we show that P and  $P^*$  share the same partial stable models. Since well-founded models, regular models, stable models, and L-stable models are all settled on partial stable models, it follows that both P and  $P^*$  also coincide under these semantics. Based on Dunne et al.'s (2015) work, where they define the notion of expressiveness of the semantics for AAFs, we define formally expressiveness in terms of the signatures of the semantics for NLPs:

Definition 15 (Expressiveness).

Let  $\mathcal{P}$  be a class of NLPs. The signature  $\Sigma_{PSM}^{\mathcal{P}}$  of the partial stable models associated with  $\mathcal{P}$  is defined as

$$\Sigma_{PSM}^{\mathcal{P}} = \{ \sigma(P) \mid P \in \mathcal{P} \} ,$$

where  $\sigma(P) = \{ \mathcal{I} \mid \mathcal{I} \text{ is a partial stable model of } P \}$  is the set of all partial stable models of P.

Given two classes  $\mathcal{P}_1$  and  $\mathcal{P}_2$  of NLPs, we say that  $\mathcal{P}_1$  and  $\mathcal{P}_2$  have the same expressiveness for the partial stable models semantics if  $\Sigma_{PSM}^{\mathcal{P}_1} = \Sigma_{PSM}^{\mathcal{P}_2}$ 

In other words,  $\mathcal{P}_1$  and  $\mathcal{P}_2$  have the same expressiveness if

- For every  $P_1 \in \mathcal{P}_1$ , there exists  $P_2 \in \mathcal{P}_2$  such that  $P_1$  and  $P_2$  have the same set of partial stable models.
- For every  $P_2 \in \mathcal{P}_2$ , there exists  $P_1 \in \mathcal{P}_1$  such that  $P_1$  and  $P_2$  have the same set of partial stable models.

Similarly, we can define when  $\mathcal{P}_1$  and  $\mathcal{P}_2$  have the same expressiveness for the well-founded, regular, stable, and L-stable semantics.

As the class of RFALPs is contained in the class of all NLPs, to show that these classes have the same expressiveness for these semantics, it suffices to prove that for every NLP, there exists an RFALP with the same set of partial stable models. We will obtain this result by resorting to a combination of program transformations:

Definition 16 (Program Transformation (Brass and Dix, 1994, 1997, 1999)). A program transformation is any binary relation  $\mapsto$  between NLPs. By  $\mapsto^*$  we mean the reflexive and transitive closure of  $\mapsto$ .

Thus,  $P \mapsto^* P'$  means that there is a finite sequence  $P = P_1 \mapsto \cdots \mapsto P_n = P'$ . We are particularly interested in program transformations preserving partial stable models:

Definition 17 (Equivalence Transformation (Brass and Dix, 1994, 1997, 1999)). We say a program transformation  $\mapsto$  is a partial stable model equivalence transformation if for any NLPs  $P_1$  and  $P_2$  with  $P_1 \mapsto P_2$ , it holds  $\mathcal{M}$  is a partial stable model of  $P_1$  iff  $\mathcal{M}$  is a partial stable model of  $P_2$ .

From Definitions 18 to 21, we focus on the following program transformations introduced by Brass and Dix (1994, 1997, 1999): Unfolding (it is also known as Generalized Principle of Partial Evaluation (GPPE)), elimination of tautologies, positive reduction, and elimination of non-minimal Rules. They are sufficient for our purposes.

Definition 18 (Unfolding (Brass and Dix, 1994, 1997, 1999)).

An NLP  $P_2$  results from an NLP  $P_1$  by unfolding  $(P_1 \mapsto_U P_2)$  iff there exists a rule  $c \leftarrow a, a_1, \ldots, a_m, \text{not } b_1, \ldots, \text{not } b_n \in P_1$  such that

Definition 19 (Elimination of Tautologies (Brass and Dix, 1994, 1997, 1999)). An NLP  $P_2$  results from an NLP  $P_1$  by elimination of tautologies  $(P_1 \mapsto_T P_2)$  iff there exists a rule  $r \in P_1$  such that  $head(r) \in body^+(r)$  and  $P_2 = P_1 - \{r\}$ .

Definition 20 (Positive Reduction (Brass and Dix, 1994, 1999)).

An NLP  $P_2$  results from an NLP  $P_1$  by positive reduction  $(P_1 \mapsto_P P_2)$  iff there exists a rule  $c \leftarrow a_1, \ldots, a_m, \text{not } b, \text{not } b_1, \ldots, \text{not } b_n \in P_1$  such that  $b \notin \{head(r) \mid r \in P_1\}$  and

$$P_2 = (P_1 - \{c \leftarrow a_1, \dots, a_m, \text{not } b, \text{not } b_1, \dots, \text{not } b_n\})$$

$$\cup \{c \leftarrow a_1, \dots, a_m, \text{not } b_1, \dots, \text{not } b_n\}.$$

Definition 21 (Elimination of Non-Minimal Rules (Brass and Dix, 1994, 1999)). An NLP  $P_2$  results from an NLP  $P_1$  by elimination of non-minimal rules  $(P_1 \mapsto_M P_2)$  iff there are two distinct rules r and r' in  $P_1$  such that head(r) = head(r'),  $body^+(r') \subseteq body^+(r)$ ,  $body^-(r') \subseteq body^-(r)$  and  $P_2 = P_1 - \{r\}$ .

Now we combine these program transformations and define  $\mapsto_{UTPM}$  as follows:

Definition 22 (Combined Transformation). Let  $\mapsto_{UTPM} = \mapsto_U \cup \mapsto_T \cup \mapsto_P \cup \mapsto_M$ .

We call an  $NLP\ P$  irreducible concerning  $\mapsto$  if there is no  $NLP\ P' \neq P$  with  $P \mapsto^* P'$ . Besides, we say  $\mapsto$  is strongly terminating iff every sequence of successive applications of  $\mapsto$  eventually leads to an irreducible NLP. As displayed by Brass and Dix (1998), not every program transformation is strongly terminating. For instance, in the NLP

$$\begin{aligned} a &\leftarrow b \\ b &\leftarrow a \\ c &\leftarrow a, \text{not } c \end{aligned}$$

if we apply unfolding  $(\mapsto_U)$  to the third rule, this rule is replaced by  $c \leftarrow b$ , not c. We can now apply unfolding again to this rule and get the original program; such an oscillation can repeat indefinitely. Thus we have a sequence of program transformations that do not

terminate. However, if we restrict ourselves to fair sequences of program transformations, the termination is guaranteed:

Definition 23 (Fair Sequences (Brass and Dix, 1998)).

A sequence of program transformations  $P_1 \mapsto \cdots \mapsto P_n$  is fair with respect to  $\mapsto$  if

- Every positive body atom occurring in  $P_1$  is eventually removed in some  $P_i$  with  $1 < i \le n$  (either by removing the whole rule using a suitable program transformation or by an application of  $\mapsto_U$ );
- Every rule  $r \in P_i$  such that  $head(r) \in body^+(r)$  is eventually removed in some  $P_j$  with  $i < j \le n$  (either by applying  $\mapsto_T$  or another suitable program transformation).

The sequence above of program transformations is not fair, because it does not remove the positive body atoms occurring in the program. In contrast, the sequence of program transformations given by

is not only fair but also terminates. The next result guarantees that it is not simply a coincidence:

Theorem 15.

The relation  $\mapsto_{UTPM}$  is strongly terminating for fair sequences of program transformations; that is, such fair sequences always lead to irreducible programs.

Theorem 15 is crucial to obtain the following result:

Theorem 16.

For any NLP P, there exists an irreducible NLP  $P^*$  such that  $P \mapsto_{UTPM}^* P^*$ .

This means that from an  $NLP\ P$ , it is always possible to obtain an irreducible  $NLP\ P^*$  after successive applications of  $\mapsto_{UTPM}$ . Indeed,  $P^*$  is an RFALP:

Theorem 17.

Let P be an NLP and  $P^*$  be an NLP obtained after applying repeatedly the program transformation  $\mapsto_{UTPM}$  until no further transformation is possible; that is,  $P\mapsto_{UTPM}^* P^*$  and  $P^*$  is irreducible. Then  $P^*$  is an RFALP.

From Theorems 15 and 17, we can infer that for fair sequences, after applying repeatedly  $\mapsto_{UTPM}$ , we will eventually produce an RFALP. In fact, every RFALP is irreducible:

Theorem 18.

Let P be an RFALP. Then P is irreducible with respect to  $\mapsto_{UTPM}$ .

Theorems 16 and 17 guarantee that every  $NLP\ P$  can be transformed into an  $RFALP\ P^*$  by applying  $\mapsto_{UTPM}$  a finite number of times. It remains to show that P and  $P^*$  share the same partial stable models (and consequently, the same well-founded, regular,

stable, and L-stable models). Before, however, note that  $\mapsto_{UTPM}$  does not introduce new atoms; instead, it can eliminate the occurrence of existing atoms in an NLP. For simplicity in notation, we assume throughout the rest of this section that  $HB_P = HB_{P'}$  whenever  $P \mapsto_{UTPM}^* P'$ . Next, we recall that these program transformations preserve the least models of positive programs:

Lemma 19 (Brass and Dix, 1995, 1997).

Let  $P_1$  and  $P_2$  be positive programs such that  $P_1 \mapsto_x P_2$ , in which  $x \in \{U, T, P, M\}$ . It holds  $\mathcal{M}$  is the least model of  $P_1$  iff  $\mathcal{M}$  is the least model of  $P_2$ .

In the sequel, we aim to extend Lemma 19 to NLPs. Notice, however, that we already have the result for the program transformation  $\mapsto_{U}$ :

Theorem 20 (Aravindan and Minh 1995).

Let  $P_1$  and  $P_2$  be NLPs such that  $P_1 \mapsto_U P_2$ . It holds  $\mathcal{M}$  is a partial stable model of  $P_1$  iff  $\mathcal{M}$  is a partial stable model of  $P_2$ .

It remains to guarantee the result for the program transformation  $\mapsto_T$ ,  $\mapsto_P$  and  $\mapsto_M$ :

Theorem 21.

Let  $P_1$  and  $P_2$  be NLPs such that  $P_1 \mapsto_T P_2$ . It holds  $\mathcal{M}$  is a partial stable model of  $P_1$  iff  $\mathcal{M}$  is a partial stable model of  $P_2$ .

Theorem 22.

Let  $P_1$  and  $P_2$  be NLPs such that  $P_1 \mapsto_P P_2$ . It holds  $\mathcal{M}$  is a partial stable model of  $P_1$  iff  $\mathcal{M}$  is a partial stable model of  $P_2$ .

Theorem 23.

Let  $P_1$  and  $P_2$  be NLPs such that  $P_1 \mapsto_M P_2$ . It holds  $\mathcal{M}$  is a partial stable model of  $P_1$  iff  $\mathcal{M}$  is a partial stable model of  $P_2$ .

Consequently, if  $P_1 \mapsto_{UTPM} P_2$ , then  $P_1$  and  $P_2$  share the same partial stable models. By repeatedly resorting to this result, we can even show that for any NLP, there exists an irreducible NLP with the same set of partial stable models, well-founded models, regular models, stable models, and L-stable models:

Theorem 24.

Let P be an NLP and  $P^*$  be an irreducible NLP such that  $P \mapsto_{UTPM}^* P^*$ . It holds  $\mathcal{M}$  is a partial stable model of P iff  $\mathcal{M}$  is a partial stable model of  $P^*$ .

Corollary 25.

Let P be an NLP and  $P^*$  be an irreducible NLP such that  $P \mapsto_{UTPM}^* P^*$ . It holds  $\mathcal{M}$  is a well-founded, regular, stable, L-stable model of P iff  $\mathcal{M}$  is, respectively, a well-founded, regular, stable, L-stable model of  $P^*$ .

As any irreducible NLP is an RFALP (Theorem 17), the following result is immediate:

Corollary 26.

For any NLP P, there exists an RFALP P\* such that  $\mathcal{M}$  is a partial stable, well-founded, regular, stable, L-stable model of P iff  $\mathcal{M}$  is, respectively, a partial stable, well-founded, regular, stable, L-stable model of P\*.

Given that each NLP can be associated with an RFALP preserving the semantics above, it follows that NLP and RFALPs have the same expressiveness for those semantics:

Theorem 27.

NLPs and RFALPs have the same expressiveness for partial stable, well-founded, regular, stable, and L-stable semantics.

Another important result is that the *SETAF* corresponding to an *NLP* is invariant with respect to  $\mapsto_{UTPM}$ :

Theorem 28.

For any NLPs 
$$P_1$$
 and  $P_2$ , if  $P_1 \mapsto_{UTPM} P_2$ , then  $\mathfrak{A}_{P_1} = \mathfrak{A}_{P_2}$ 

This means that any NLP in a sequence of program transformations from  $\mapsto_{UTPM}$  has the same corresponding SETAF. For instance, every NLP in this sequence

leads to the same corresponding SETAF, constituted by a unique (unattacked) argument:



Theorem 28 also suggests an alternative way to find the SETAF corresponding to an NLP P: instead of resorting directly to Definition 8 to construct the arguments, we can apply (starting from P)  $\mapsto_{UTPM}$  successively by following a fair sequence of program transformations. By Theorems 15 and 17, we know that eventually, we will reach an RFALP whose corresponding SETAF is identical to that of the original program P (Theorem 28). Then, we apply Definition 8 to this RFALP to obtain the arguments and Definition 9 for the attack relation. Notably, when P is an RFALP, Definition 8 becomes considerably simpler, requiring only its first item to characterize the statements.

In addition, from the same NLP, various fair sequences of program transformations can be conceived. Recalling the NLP

$$\begin{aligned} a &\leftarrow b \\ b &\leftarrow a \\ c &\leftarrow a, \text{not } c \end{aligned}$$

exploited above, we can design the following alternative fair sequence

This sequence produced the same RFALP as before; it is not a coincidence. Apart from being strongly terminating for fair sequences of program transformations, the relation  $\mapsto_{UTPM}$  has an appealing property; it is also confluent:

Theorem 29.

The relation  $\mapsto_{UTPM}$  is confluent; that is, for any NLPs P, P' and P'', if  $P \mapsto_{UTPM}^* P'$  and  $P \mapsto_{UTPM}^* P''$  and both P' and P'' are irreducible, then P' = P''.

By confluent  $\mapsto_{UTPM}$ , we mean that it does not matter the path we take by repeatedly applying  $\mapsto_{UTPM}$ , if it ends, it will always lead to the same irreducible NLP. In addition, as any irreducible NLP is an RFALP (Theorem 17), and the translations from SETAF to RFALPs and conversely, from RFALPs to SETAF are each other's inverse (Theorems 13 and 14), we obtain that two distinct SETAFs will always be associated with two distinct NLPs. The confluence of  $\mapsto_{UTPM}$  is of particular significance from the logic programming perspective as it guarantees that the ordering of the transformations in UTPM does not matter: we are free to choose always the "best" transformation, which maximally reduces the program. Consequently, Theorem 29 also sheds light on the search for efficient implementations in NLPs.

From the previous section, we know that the equivalence between SETAFs and RFALPs is not only of a semantic nature but also structural: two distinct SETAFs will always be translated into two distinct RFALPs and vice versa. Now we enhance our understanding of this result still more by establishing that

- *RFALP*s are as expressive as *NLP*s.
- The SETAF corresponding to an NLP is invariant with respect to  $\mapsto_{UTPM}$ ; that is, if  $P_1 \mapsto_{UTPM} P_2$ , then  $\mathfrak{A}_{P_1} = \mathfrak{A}_{P_2}$ .
- Each  $NLP\ P$  leads to a unique  $RFALP\ P^*$  via the relation  $\mapsto_{UTPM}$ . Besides, P and  $P^*$  have the same partial stable, grounded, regular, stable, and L-stable models.

Beyond revealing the connections between SETAFs and NLPs, the results in this paper also enhance our understanding of NLPs themselves. To give a concrete example, let us consider the following issue: in the sequence of program transformations in  $\mapsto_{UTPM}$ , atoms can be removed. Are these atoms underivable and set to false in the partial stable models of the program or true/undecided atoms can be removed in this sequence? Such questions can be answered by considering some results from Section 3 and the current section. In more formal terms, let P and  $P^*$  be NLPs such that  $P\mapsto_{UTPM}^* P^*$  and  $P^*$  is irreducible. We have

- $P^*$  is an RFALP (Theorem 17), and the set of atoms occurring in  $P^*$  is  $\{head(r) \mid r \in P^*\}$  (Definition 14);
- $\mathcal{A}_{P^*} = \{head(r) \mid r \in P^*\}$  is the set of all arguments we can construct from  $P^*$  (Definition 8), and  $\mathfrak{A}_P = \mathfrak{A}_{P^*}$  (Theorem 28), that is,  $\mathcal{A}_P = \mathcal{A}_{P^*}$ ;
- Thus c occurs in P, but does not occur in  $P^*$  iff there is no statement s constructed from P such that  $\operatorname{Conc}(s) = c$ . According to Corollary 2,  $c \in F'$  for every interpretation  $\mathcal{I}$  with  $\Omega_P(\mathcal{I}) = \langle T', F' \rangle$ .

Consequently, every atom occurring in P, but not occurring in  $P^*$  is set to false in the least three-valued model of each disjunct of P. In particular, they will be false in its partial stable models.

Supported by the findings presented in the current section, we can argue that SETAFs and RFALPs are essentially the same paradigm, and both are deeply connected with NLPs.

#### 7 Conclusion and future works

This paper investigates the connections between frameworks with sets of attacking arguments (SETAFs) and Normal Logic Programs (NLPs). Building on the research of Alcântara et al. (2019); Alcântara and Sá (2021), we employ the characterization of the SETAF semantics in terms of labelings (Flouris and Bikakis 2019) to establish a mapping from NLPs to SETAFs (and vice versa). We further demonstrate the equivalence between partial stable, well-founded, regular, stable, and L-stable models semantics for NLPs and, respectively, complete, grounded, preferred, stable, and semi-stable labelings for SETAFs.

Our translation from NLPs to SETAFs offers a key advantage over the translation from NLPs to AAFs presented by Caminada  $et\ al.\ (2015b)$ . Our approach captures the equivalence between semi-stable labelings for SETAFs and L-stable models for NLPs. In addition, their translation is unable to preserve the structure of the NLPs. While an NLP can be translated to an AAF, recovering the original NLP from the corresponding AAF is generally not possible. In contradistinction, we have revisited a class of NLPs called Redundancy-Free Atomic Logic Programs (RFALPs). For RFALPs, the translations from NLPs to SETAFs and from SETAFs to NLPs also preserve their structures as they are each other's inverse. Hence, when compared to the relationship between NLPs and AAFs, the relationship between NLPs and SETAFs is demonstrably more robust. It extends beyond semantics to encompass structural aspects.

Some of these results are not new as they have already been obtained independently by König  $et\ al.\ (2022)$ . In fact, their translation from NLPs to SETAFs and vice versa coincide with ours, and the structural equivalence between RFALPs and SETAFs has also been identified there. Notwithstanding, our proofs of these results stem from a significantly distinct path as they are based on properties of argument labelings and are deeply rooted in the works of Caminada  $et\ al.\ (2015b)$ ; Alcântara  $et\ al.\ (2019)$ ; Alcântara and Sá (2021). For instance, our equivalence results are settled on two important aspects:

- Properties involving the maximization/minimization of labelings adapted from Caminada *et al.*'s (2015b) work to deal with labelings for *SETAF*s.
- Again inspired by Caminada *et al.* (2015b), we proposed a mapping from interpretations to labelings and a mapping from labelings to interpretations. We also showed that they are each other's inverse.

In contrast, König et al. (2022) demonstrated the equivalence between the semantics in terms of extensions. They also have not tackled the controversy between semi-stable and L-stable, one of our leading motivations for developing this work.

In addition to showing this structural equivalence between RFALPs and SETAFs, we have also investigated the expressiveness of RFALPs. To demonstrate that they are as expressive as NLPs, we proved that any NLP can be transformed into an RFALP with the same partial stable models through repeated applications of the program transformation  $\mapsto_{UTPM}$ . It is worth noticing that  $\mapsto_{UTPM}$  results from the combination of the following program transformations presented by Brass and Dix (1994, 1997, 1999): unfolding, elimination of tautologies, positive reduction, and elimination of non-minimal rules. In the course of our investigations, we also have obtained relevant findings as follows:

- RFALPs are irreducible with respect to  $\mapsto_{UTPM}$ : the application of  $\mapsto_{UTPM}$  to an RFALP will result in the same program.
- The mapping from NLPs to SETAFs is invariant with respect to the program transformation  $\mapsto_{UTPM}$ ; that is, if an  $NLP\ P_2$  is obtained from an  $NLP\ P_1$  via  $\mapsto_{UTPM}$ , then the SETAF corresponding to  $P_1$  is the same corresponding to  $P_2$ .
- The program transformation  $\mapsto_{UTPM}$  is confluent: any NLP will lead to a unique RFALP after repeatedly applying  $\mapsto_{UTPM}$ . Consequently, two distinct RFALPs will always be associated with two distinct NLPs.

In summary, RFALPs (which are as expressive as NLPs) and SETAFs are essentially the same formalism. Roughly speaking, we can consider a SETAF as a graphical representation of an RFALP and an RFALP as a rule-based representation of a SETAF. Any change in one formalism is mirrored by a corresponding change in the other. Thus, SETAFs emerge as a natural candidate for representing argumentation frameworks corresponding to NLPs.

Regarding the significance and potential impact of our results, we highlight that by pursuing this line of research, one gains insight into what forms of non-monotonic reasoning can and cannot be represented by formal argumentation. In particular, by enlightening these connections between SETAFs and NLPs, many approaches, semantics, and techniques naturally developed for the former may be applied to the latter, and vice versa. While SETAFs serve as an inspiration for defining RFALPs, the representation of NLPs as SETAFs is an alternative for intuitively visualizing logic programs.

In addition, our results associated with the confluence of  $\mapsto_{UTPM}$  are of particular significance from the logic programming perspective as they guarantee that the ordering of the transformations in  $\mapsto_{UTPM}$  does not matter: we are free to choose always the "best" transformation, which maximally reduces the program. Consequently, our paper also sheds light on the search for efficient implementations in NLPs.

Natural ramifications of this work include an in-depth analysis of other program transformations beyond those studied here and their impact on SETAF and argumentation in general. Given the close relationship between argumentation and logic programming, a possible line of research is to investigate how Argumentation can benefit from these program transformations in the development of more efficient algorithms. The structural connection involving RFALPs and SETAFs gives rise to exploiting other extensions of Dung AAFs; in particular, we are interested in identifying which of them are robust enough to preserve the structure of logic programs. Along this same line of research, it is also our aim to study connections between extensions of NLPs (including their paraconsistent semantics) and Argumentation.

## Competing interests

The authors declare none.

## Supplementary material

To view supplementary material for this article, please visit http://doi.org/10.1017/S1471068424000188.

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