

ORIGINAL ARTICLE

Periprosthetic Infection following Primary Hip and Knee Arthroplasty: The Impact of Limiting the Postoperative Surveillance Period

Virginia R. Roth, MD, MBA;¹ Robyn Mitchell, MHSc;² Julie Vachon, MSc;² Stéphanie Alexandre, BA;² Kanchana Amaratunga, MD;² Stephanie Smith, MD;³ Mary Vearncombe, MD;⁴ Ian Davis, MD;⁵ Dominik Mertz, MD;⁶ Elizabeth Henderson, PhD;⁷ Michael John, MD;⁸ Lynn Johnston, MD;⁵ Camille Lemieux, MD;⁹ Linda Pelude, MSc;² Denise Gravel, MSc;² and the Canadian Nosocomial Infection Surveillance Program^a

BACKGROUND. Hip and knee arthroplasty infections are associated with considerable healthcare costs. The merits of reducing the postoperative surveillance period from 1 year to 90 days have been debated.

OBJECTIVES. To report the first pan-Canadian hip and knee periprosthetic joint infection (PJI) rates and to describe the implications of a shorter (90-day) postoperative surveillance period.

METHODS. Prospective surveillance for infection following hip and knee arthroplasty was conducted by hospitals participating in the Canadian Nosocomial Infection Surveillance Program (CNISP) using standard surveillance definitions.

RESULTS. Overall hip and knee PJI rates were 1.64 and 1.52 per 100 procedures, respectively. Deep incisional and organ-space hip and knee PJI rates were 0.96 and 0.71, respectively. In total, 93% of hip PJIs and 92% of knee PJIs were identified within 90 days, with a median time to detection of 21 days. However, 11%–16% of deep incisional and organ-space infections were not detected within 90 days. This rate was reduced to 3%–4% at 180 days post procedure. Anaerobic and polymicrobial infections had the shortest median time from procedure to detection (17 and 18 days, respectively) compared with infections due to other microorganisms, including *Staphylococcus aureus*.

CONCLUSIONS. PJI rates were similar to those reported elsewhere, although differences in national surveillance systems limit direct comparisons. Our results suggest that a postoperative surveillance period of 90 days will detect the majority of PJIs; however, up to 16% of deep incisional and organ-space infections may be missed. Extending the surveillance period to 180 days could allow for a better estimate of disease burden.

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Affiliations: 1. Department of Medicine and School of Epidemiology, Public Health and Preventative Medicine, University of Ottawa and Ottawa Hospital Research Institute, Ottawa, Ontario, Canada; 2. Centre for Communicable Diseases and Infection Control, Public Health Agency of Canada, Ottawa, Ontario, Canada; 3. Department of Medicine, University of Alberta, Edmonton, Alberta, Canada; 4. Sunnybrook and Women's College Health Sciences Centre, Toronto, Ontario, Canada; 5. Department of Medicine, Dalhousie University, Halifax, Nova Scotia, Canada; 6. Departments of Medicine, Clinical Epidemiology and Biostatistics, Pathology and Molecular Medicine, and Michael G. DeGroote Institute for Infectious Diseases Research, McMaster University, Hamilton, Ontario, Canada; 7. Alberta Health Services and Department of Community Health Sciences, Cummings School of Medicine, University of Calgary, Calgary, Alberta, Canada; 8. Department of Pathology and Laboratory Medicine, Schulich School of Medicine and Dentistry, Western University, London, Ontario, Canada; 9. University Health Network, Toronto, Ontario, Canada.

^aMembers of the Canadian Nosocomial Infection Surveillance Program: Alice Wong, Royal University Hospital, Saskatoon, SK; Allison McGeer, Mount Sinai Hospital, Toronto, ON; Andrew Simor, Sunnybrook Health Sciences Centre, Toronto, ON; Bonita Lee, Stollery Children's Hospital, Edmonton, AB; Camille Lemieux, University Health Network, Toronto, ON; Caroline Quach, Montreal Children's Hospital, Montreal, QC; Charles Frenette, McGill University Health Centre, Montreal, QC; Chelsey Ellis, The Moncton Hospital, Moncton, NB; Deanna Hembroff, University Hospital of Northern BC, Prince George, BC; Dominik Mertz, Hamilton Health Sciences Corporation, Hamilton, ON; Dorothy Moore, Montreal Children's Hospital, Montreal, QC; Elizabeth Bryce, Vancouver Coastal Health Authority, Vancouver, BC; Elizabeth Henderson, Alberta Health Services, Calgary, AB; Geoffrey Taylor, University of Alberta Hospital, Edmonton, AB; Gerald Evans, Kingston General Hospital, Kingston, ON; Gregory German, Queen Elizabeth Hospital, Charlottetown, PEI; Ian Davis, QEII Health Sciences Centre, Halifax, NS; Janice de Heer, Interior Health Authority, Kelowna, BC; Jessica Minion, Regina Qu'Appelle Health Region, Regina, SK; Joanne Embree, Health Sciences Centre, Winnipeg, MB; Joanne Langley, IWK Health Centre, Halifax, NS; Jocelyn Strigley, Children and Women's Hospital of British Columbia, Vancouver, BC; John Conly, Foothills Medical Centre, Calgary, AB; John Embil, Health Sciences Centre, Winnipeg, MB; Joseph Vayalunkal, Alberta Children's Hospital, Calgary, AB; Karl Weiss, Maisonneuve-Rosemont Hospital, Montreal, QC; Kathryn Suh, The Ottawa Hospital, Ottawa, ON; Kevin Katz, North York General Hospital, Toronto, ON; Lynn Johnston, Queen Elizabeth II Health Sciences Centre, Halifax, NS; Marie-Astrid Lefebvre, Montreal Children's Hospital, Montreal, QC; Mark Loeb, Hamilton Health Sciences Corporation, Hamilton, ON; Mary Vearncombe, Sunnybrook Health Sciences Centre, Toronto, ON; Michael John, London Health Sciences Centre, London, ON; Natalie Bridger, Eastern Health-HSC, St. John's, NL; Nathalie Turgeon, CHUQ-Hôtel-Dieu, Québec, QC; Nisha Thampi, Children's Hospital of Eastern Ontario, Ottawa, ON; Pamela Kibsey, Royal Jubilee Hospital, Victoria, BC; Paula Stagg, Western Memorial Hospital, Corner Brook, NL; Stephanie Smith, University of Alberta Hospital, Edmonton, AB; Susan Richardson, Hospital for Sick Children, Toronto, ON; Suzanne Pelletier, Health Sciences North, Sudbury, ON; Virginia Roth, The Ottawa Hospital, Ottawa, ON; Yves Longtin, SMBD-Jewish General Hospital, Montreal, QC.

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Postsurgical periprosthetic joint infection (PJI) remains among the most common and serious complications of hip and knee arthroplasty.^{1–3} Consequences of PJI include multiple reoperations, prolonged hospital stay, higher readmission rates, increased healthcare costs, increased mortality, and lower quality of life.^{2,4–10} Surgical site infection surveillance is an important quality assurance initiative, allowing for comparison of trends in infection rates, estimates of burden and cost, identification of modifiable risk factors, and provision of infection rates to individual surgeons.^{2,11–17} The postoperative surveillance period following the implantation of prosthetic devices has recently changed from 1 year to 90 days in the United States¹⁸ and in some European surveillance systems.¹⁹ Given the significant resource implications of prolonged surveillance periods, there is increasing interest from other countries to adopt this approach. While several studies confirm that the majority of hip and knee PJIs are detected within 90 days,^{19–21} others have found that approximately 25% of these infections occur after 2 years and up to 10 years post arthroplasty.^{22,23} Thus, the optimal surveillance period following hip and knee implantation has been debated.²⁴

In Canada, published data on national rates of hip and knee PJIs are scarce. The Canadian Nosocomial Infection Surveillance Program (CNISP) is a collaborative effort between the Public Health Agency of Canada (PHAC) and sentinel hospitals across the country that participate as members of the Canadian Hospital Epidemiology Committee (CHEC) to collect national-level data on selected healthcare-associated infections. The objectives of this study are to report the first pan-Canadian hip and knee PJI rates for benchmarking purposes and to describe the implications of reducing the postoperative surveillance period from 1 year to 90 days.

METHODS

Surveillance Network

CNISP conducts hospital-associated infection surveillance in 56 urban, acute care hospitals from 10 Canadian provinces, most of which are secondary or tertiary care centers.²⁵ Prospective surveillance for hip and knee PJI began in 2011. All hospitals that are part of the CNISP network and perform hip and knee arthroplasty procedures were invited to participate on an annual basis. Of the 32 CNISP hospitals that perform hip or knee arthroplasty, 12 hospitals participated in 2011, 20 in 2012, and 22 in 2013. All participating sites are included in the descriptive analyses; however, 2 sites were excluded from incidence rate calculations because their denominator data were unavailable.

Surveillance Definitions

PJIs were classified as superficial, deep incisional, or organ space in accordance with the Center for Disease Control and Prevention (CDC) National Healthcare Safety Network (NHSN) definitions.¹⁸ All primary total and hemiarthroplasties were

included. Procedures were stratified using the NHSN risk index.²⁶ The following procedures were excluded: revisions and resurfacings, procedures in which the patient died during or within 24 hours of surgery, and procedures in which the skin incision was not entirely closed. Postoperative surveillance for PJI continued for 1 year in accordance with past NHSN surveillance definitions for procedures involving implantation of prosthetic material.²⁷ During 2014, most CNISP sites transitioned to a 90-day postoperative surveillance period as specified by current NHSN protocols.¹⁸

Case Finding

Patients with a PJI following either hip or knee arthroplasty were identified through prospective review of hospital records from the initial admission, subsequent clinic and emergency department visits, and readmissions within a year of the procedure. In this study, we reviewed admission diagnoses, microbiology laboratory results, physician and nursing notes, operative records, and pharmacy reports.

Data Analysis

Anonymized numerator and aggregate denominator data were submitted quarterly to the Public Health Agency of Canada. Data were entered into an Excel database (Microsoft, Redmond, WA) and analyzed using SAS EG, version 5.1 (SAS Institute, Cary, NC) to generate descriptive statistics. Missing data were excluded from the analysis. For bilateral procedures, the total procedure length was divided in half to obtain a procedure length for each joint. Mann-Whitney test, *t* test, and Pearson's χ^2 test were used as appropriate. Statistical significance was defined as $P < .05$.

RESULTS

From 2011 through 2013, 618 infections were identified, including 296 hip and 322 knee PJIs. Descriptive data for patients with a PJI are shown in Table 1. Deep incisional or organ-space infections accounted for 58.4% of hip PJIs and 46.9% of knee PJIs. Administration of antibiotic prophylaxis was documented for 88.7% of procedures complicated by infection. Cefazolin was used in the majority of cases (83.7%), followed by vancomycin (8.6%), and clindamycin (5.0%). Most arthroplasties were total, unilateral procedures.

Among participating hospitals that provided denominator data, 17,850 hip arthroplasties and 21,104 knee arthroplasties were performed over the 3-year surveillance period. The overall hip and knee PJI incidence rates were 1.64 and 1.52 per 100 procedures, respectively (Table 1). For hip arthroplasties, deep incisional and organ-space PJI incidence was 0.96 per 100 procedures, and for knee arthroplasties, deep incisional and organ-space PJI incidence was 0.71 per 100 procedures. Hip PJI rates per 100 procedures ranged from 0.77 for procedures with a risk index of 0 to 1.98 for procedures with a risk index of 2. Knee PJI

TABLE 1. Descriptive Data for Patients with a Periprosthetic Joint Infection following Hip or Knee Arthroplasty, 2011–2013

Variable	Hip Arthroplasty (n = 296)	Knee Arthroplasty (n = 322)
Age (n = 618)	(n = 296)	(n = 322)
Median age, y (range)	70.1 (28–98)	67.4 (38–94)
Gender (n = 617)	(n = 296)	(n = 321)
Female sex, no. (%)	180 (60.8)	179 (55.8)
Infection type (n = 613)	(n = 293)	(n = 320)
Superficial, no. (%)	122 (41.6)	170 (53.1)
Deep incisional, no. (%)	97 (33.1)	75 (23.4)
Organ space, no. (%)	74 (25.3)	75 (23.4)
Antibiotic prophylaxis (n = 617)	(n = 296)	(n = 321)
Cases given prophylaxis, no. (%)	255 (86.1)	292 (91.0)
Type of procedure (n = 482)	(n = 241)	(n = 241)
Total arthroplasty, no. (%)	195 (80.9)	239 (99.2)
Hemiarthroplasty, no. (%)	46 (19.1)	2 (0.8)
Type of surgery (n = 611)	(n = 291)	(n = 320)
Unilateral, no. (%)	291 (100)	313 (97.8)
Bilateral, no. (%)	0 (0)	7 (2.2)
Risk index (n = 528)	(n = 244)	(n = 284)
0, no. (%)	58 (23.8)	70 (24.6)
1, no. (%)	171 (70.1)	189 (66.5)
2, no. (%)	15 (6.1)	25 (8.8)
Infection rate per 100 procedures by risk index (n = 90) ^a		
0	0.77	0.76
1	1.78	1.72
2	1.98	2.71
Infection rate per 100 procedures by infection type (n = 613)	1.64	1.52
Superficial	0.68	0.81
Deep incisional	0.54	0.36
Organ space	0.41	0.36

^aExcludes procedures for which risk index score and denominator data were not available.

rates per 100 procedures ranged from 0.76 for procedures with a risk index of 0 to 2.71 for procedures with a risk index of 2. There were no risk index 3 procedures because no procedure had a wound classification of “contaminated” or “dirty.”

Staphylococcus aureus was the most commonly identified pathogen; it was isolated from 26.3% of infections, followed by other staphylococcal species and gram-positive microorganisms (8.0 and 8.3%, respectively) (Table 2). However, gram-negative (7%) and polymicrobial (10%) infections were also reported. A specimen was not obtained for culture in 27.7% of infections, and no microorganism was identified in 8.2% of infections; most of these were superficial infections. Compared with superficial infections, deep incisional and organ-space infections were 5.5 times more likely to yield gram-negative microorganisms (95% CI, 2.59–15.0), 4.7 times more likely to yield non-*aureus* staphylococci (95% CI, 2.40–11.33), and 4.2 times

more likely to yield non-staphylococcal gram-positive organisms (95% CI, 2.27–9.94). No fungal infections were identified.

Differences were noted in the length of time from procedure to identification of infection by pathogen type (Table 2). Where a pathogen was identified, anaerobic and polymicrobial infections had the shortest median time from procedure to detection of infection (17 and 18 days, respectively). Polymicrobial infections had a significantly shorter median time to detection compared to infections due to *S. aureus* (20.5 days; 95% CI, 19–22; $P = .03$), other *Staphylococcus* spp. (27 days; 95% CI, 20–43; $P = .001$), or other gram-positive microorganisms (30 days; 95% CI, 21–50; $P < .0001$). Similarly, infections due to gram-negative microorganisms (21 days) had a significantly shorter median time to detection than non-staphylococcal or other gram-positive infections (95% CI, 14–26; $P = .01$).

All hip and knee arthroplasty procedures during this surveillance period were followed for 1 year. The median time from initial procedure to detection of PJI for hip arthroplasty was 20 days (range, 0–301 days) (Figure 1) and median time from initial procedure to detection of PJI for knee arthroplasty was 21 days (range, 0–331 days) (Figure 2). Whereas 92.9% of hip and 91.9% of knee PJIs were identified within 90 days, additional infections were identified within 180 days, 270 days, and 365 days (Table 3). Superficial hip PJIs were significantly more likely to be identified within 90 days (98.4%; 95% CI, 94.2%–99.6%) compared with deep incisional and organ-space infections (88.9%; 95% CI, 78.2%–93.4%; $P = .002$). Similarly, superficial knee PJIs were significantly more likely to be identified within 90 days (99.4%; 95% CI, 96.7%–99.9%) compared with deep incisional and organ-space infections (84.0%; 95% CI, 77.3%–89.0%; $P < .0001$). However, 98% of all hip and knee PJIs were detected within 180 days post procedure.

DISCUSSION

Overall infection rates following hip and knee arthroplasty in Canada were higher than those reported in Europe²⁸ but lower than those reported to the International Nosocomial Infection Control Consortium²⁹ over a similar time period. Conversely, deep incisional (including organ space) PJI rates were lower than rates reported in France⁵ and similar to rates reported to the US National Healthcare Safety Network (NHSN).³⁰ Notably, differences in national surveillance systems limit direct comparison of PJI rates.² While surveillance definitions in most countries are based on CDC NHSN definitions,¹⁸ considerable differences have been noted in the postoperative surveillance period, the intensity of case finding and postdischarge surveillance, and the reporting of overall versus deep incisional and organ-space infection rates.^{5,31}

The recent decrease in the postoperative surveillance period in some countries to 90 days has many advantages, including a reduction in the human resources required for surveillance, more timely feedback of infection rates to the surgeon, reduced dependence on postdischarge surveillance to identify

TABLE 2. Number and Proportion of Pathogens for Hip and Knee Periprosthetic Joint Infections by Infection Type, 2011–2013 (N = 613)^a

Pathogen	Total, No. (%)	Infection Type ^a		Days From Procedure to Detection of Infection	
		Superficial, No. (%)	Deep Incisional/ Organ Space, No. (%)	Median	Range
Monomicrobial					
<i>Staphylococcus aureus</i>	161 (26.3)	53 (18.2)	108 (33.6)	20.5	0–331
Other <i>Staphylococcus</i> spp.	49 (8.0)	8 (2.7)	41 (12.8)	27	6–280
Other gram-positive	51 (8.3)	9 (3.1)	42 (13.1)	30	0–203
Aerobic gram-negative	43 (7.0)	6 (2.1)	37 (11.5)	21	4–235
Anaerobic	5 (0.8)	0 (0)	5 (1.6)	17	7–40
Polymicrobial					
Polymicrobial	61 (10.0)	15 (5.1)	46 (14.3)	18	5–110
Other					
Specimen not collected	170 (27.7)	160 (54.8)	10 (3.1)	18	1–114
Organism not identified	50 (8.2)	26 (8.9)	24 (7.5)	16.5	4–301
Unknown/missing	23 (3.8)	15 (5.1)	8 (2.5)	9	0–217

^aInfection type was missing for 5 cases.

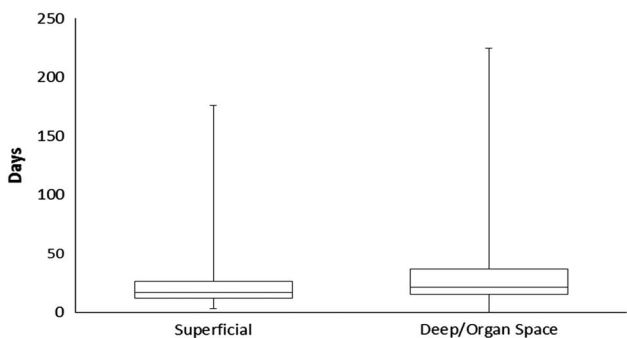


FIGURE 1. Box plot of time from initial hip arthroplasty to detection of prosthetic joint infection.

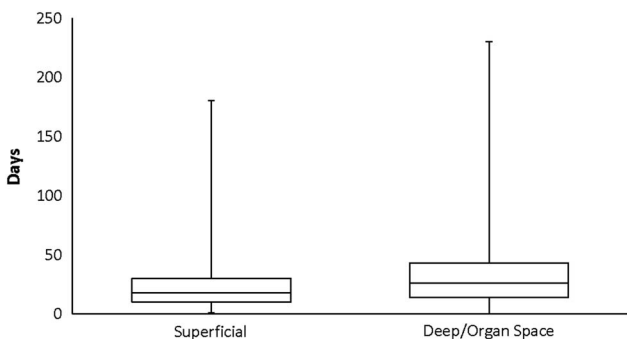


FIGURE 2. Box plot of time from initial knee arthroplasty to detection of prosthetic joint infection.

infections, and lower risk of capturing infections that are not directly related to the surgical procedure.^{21,32} However, this change has been met with some controversy.^{24,33} Davis and

Patel²⁴ suggest that reduced reimbursement rates for procedures complicated by infection in the United States serve as a strong incentive to change surveillance definitions to reflect lower infection rates. They also note that it will be more difficult to measure significant changes in the rates of infections following interventions given the already low incidence rates.

In our study, we found that >90% of PJIs following hip or knee arthroplasty are captured within a 90-day follow-up period. This rate is considerably higher than the initially predicted detection rate of 70%³² and is similar to 90-day detection rates reported in recently published studies (Table 4).^{19–21,31,34,35} However, these studies combined all infection types, while our data demonstrate that deep incisional and organ-space infections are significantly less likely than superficial infections to be detected within 90 days (ie, only 88.9% vs 98.4% for superficial infections). Thus, despite a high 90-day PJI detection rate, a word of caution is in order for jurisdictions considering a reduction in their post-implant surveillance period. The lower detection rate of deep incisional and organ-space infections in this study suggests that national surveillance data using a 90-day follow-up period cannot be used to accurately estimate the healthcare and societal impact of infections following hip and knee arthroplasty. Deep incisional and organ-space infections are associated with longer length of hospital stay, increased readmission rates, additional costs, and higher mortality compared to superficial infections.^{8,36} Countries considering a shorter postimplant surgical site infection surveillance period should be aware of the possibility of underestimating deep incisional and organ-space infections following hip or knee arthroplasty.

A limitation of this study is that the postoperative surveillance was limited to participating CNISP sites and may not have been representative of all Canadian hospitals.

TABLE 3. Time from Arthroplasty to Detection of Periprosthetic Joint Infection (PJI) by Infection Type, 2011–2013 (n = 293)

Infection Type	Total Infections Identified ≤365 d	Infections Identified ≤90 d, No. (%)	Infections Identified ≤180 d, No. (%)	Infections Identified ≤270 d, No. (%)
Hip arthroplasty PJI (n = 293)				
Superficial (n = 122)				
2011	36	35 (97.2)	36 (100)	36 (100)
2012	32	31 (96.9)	32 (100)	32 (100)
2013	54	54 (100)	54 (100)	54 (100)
2011–2013	122	120 (98.4)	122 (100)	122 (100)
Deep incisional / organ space (n = 171)				
2011	45	42 (93.3)	45 (100)	45 (100)
2012	53	46 (86.8)	50 (94.3)	52 (98.1)
2013	73	64 (87.7)	71 (97.3)	73 (100)
2011–2013	171	152 (88.9)	166 (97.1)	170 (99.4)
All infection types (n = 296) ^a				
2011–2013	296	275 (92.9)	291 (98.3)	295 (99.7)
Knee arthroplasty PJI (n = 320)				
Superficial (n = 170)				
2011	45	44 (97.8)	45 (100)	45 (100)
2012	60	60 (100)	60 (100)	60 (100)
2013	65	65 (100)	65 (100)	65 (100)
2011–2013	170	169 (99.4)	170 (100)	170 (100)
Deep incisional/organ space (n = 150)				
2011	45	38 (84.4)	44 (97.8)	44 (97.8)
2012	60	49 (81.7)	56 (93.3)	60 (100)
2013	45	39 (86.7)	44 (97.8)	45 (100)
2011–2013	150	126 (84.0)	144 (96.0)	149 (99.3)
All infection types (n = 322) ^b				
2011–2013	322	296 (91.9)	315 (97.8)	320 (99.4)

^aIncludes 3 procedures for which infection type was missing.

^bIncludes 2 procedures for which infection type was missing.

TABLE 4. Length of Time to Detection of Periprosthetic Joint Infection (PJI) Following Hip and Knee Arthroplasty, Summary of Comparable Studies

Reference	No. of Procedures	No. of Infections Identified ≤1 year	Infections Identified ≤90 d, No. (%)	Infections Identified ≤180 d, No. (%)	Median Time to Detection, d
Hip Arthroplasty					
Current Study	17,850	296	275 (92.9)	291 (98.3)	20
Koek et al. 2015 ¹⁹	33,089	748	700 (93.6)	726 (97.0)	N/A
Dicks et al. 2016 ²⁰	12,220	181	165 (91.2)	N/A	N/A
Yokoe et al. 2013 ²¹	2,114	N/A	81%	N/A	N/A
Public Health England 2015 ³⁴	187,753	1,288	N/A	N/A	16
Løwer et al. 2015 ³¹	6,528	255	210 (90.0)	N/A	16
Knee Arthroplasty					
Current Study	21,104	322	296 (91.9)	315 (97.8)	21
Koek et al. 2015 ¹⁹	21,511	340	292 (85.9)	313 (92.0)	N/A
Dicks et al. 2016 ²⁰	20,767	203	152 (74.9)	N/A	N/A
Yokoe et al. 2013 ²¹	2,465	N/A	74%	N/A	N/A
Public Health England 2015 ³⁴	195,154	1,195	N/A	N/A	17
Hip and Knee Arthroplasty Combined					
Peel et al. 2012 ³⁵	9,392	163	147 (90)	N/A	20
Grammatico-Guillon et al. 2015 ⁵	32,678	243	N/A	N/A	91

In particular, CNISP hospitals tend to be larger and to provide a higher complexity of care than hospitals that do not participate in CNISP.²⁵ In addition, surgical site infection rates may be underestimated because postdischarge surveillance was limited to outpatient visits, emergency visits, or readmission to participating CNISP hospitals, and the intensity of post-discharge surveillance may vary between hospitals. This risk is partially mitigated by the fact that participating CNISP hospitals represent Canada's large tertiary care referral centers where patients are more likely to be admitted with post-operative complications,²¹ and they often serve as the only center within a region offering postoperative orthopedic care.

In summary, our national surveillance system suggests that a shorter postoperative surveillance period of 90 days following hip and knee arthroplasty will detect the majority of infections and will facilitate the monitoring of trends over time. However, >10% of deep incisional and organ-space infections may be missed, and extending the surveillance period to 180 days may allow for a more accurate estimate of disease burden. Finally, these data provide the first Canadian benchmark by which hospitals and health regions can measure their performance and focus on reducing rates further through process improvement efforts.

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