

REGULAR ARTICLE

An empirical study of the expressiveness of the functional basis

BENJAMIN W. CALDWELL, CHIRADEEP SEN, GREGORY M. MOCKO, AND JOSHUA D. SUMMERS

Clemson Engineering Design Applications and Research Lab, Department of Mechanical Engineering, Clemson University, Clemson, South Carolina, USA

(RECEIVED December 18, 2009; ACCEPTED September 9, 2010)

Abstract

Function models are frequently used in engineering design to describe the technical functions that a product performs. This paper investigates the use of the functional basis, a function vocabulary developed to aid in communication and archiving of product function information, in describing consumer products that have been decomposed, analyzed, modeled functionally, and stored in a Web-based design repository. The frequency of use of function terms and phrases in 11 graphical and 110 list-based representations in the repository is examined and used to analyze the organization and expressiveness of the functional basis and function models. Within the context of reverse engineering, we determined that the modeling resolution provided by the hierarchical levels, especially the tertiary level, is inadequate for function modeling; the tertiary terms are inappropriate for capturing sufficient details desired by modelers for archiving and reuse, and there is a need for a more expressive flow terms and flow qualifiers in the vocabulary. A critical comparison is also presented of two representations in the design repository: function structures and function lists. The conclusions are used to identify new research opportunities, including the extension of the vocabulary to incorporate flow qualifiers in addition to more expressive terms.

Keywords: Functional Basis; Function Model; Function Representation; Vocabulary

1. BACKGROUND AND MOTIVATION

1.1. Function-based design

Many design processes prescribe a function-first approach to conceptual design, where designers establish the function of the product after identifying engineering requirements (Ullman, 1992; Otto & Wood, 2001; Pahl et al., 2007; Ulrich & Eppinger, 2008). There are many differing definitions of the term function (Chandrasekaran & Josephson, 2000; Hubka & Eder, 2001; Brown & Blessing, 2005; Pahl et al., 2007; Vermaas, 2007), but all function-based approaches focus on what the designed product should do to satisfy the requirements instead of what the design will look like. For example, if a designer is designing an electric drill, he will focus on the necessity for the drill to create rotational output instead of focusing on using a motor, allowing him to explore ideas other than a motor to accomplish the task of creating rotation. In this manner, a designer may be able to develop ideas such

as a pneumatic or gas-powered drill, both of which exist in the consumer market.

The definition of function pursued in this research is a transformation of a set of inputs to a set of outputs (Ullman, 1992; Otto & Wood, 2001; Pahl et al., 2007; Ulrich & Eppinger, 2008). In this approach, functions are often represented using a verb–object form, where the verb is the function and the object is a flow. Flows are broadly classified as materials, energies, and signals (Pahl et al., 2007). A function can be represented graphically using a function block shown in Figure 1 (Pahl et al., 2007).

A product can have many functions with various inputs and outputs to each function that can be arranged and linked together by the flows to create a function structure. A sample function structure of a hair dryer is shown in Figure 2 (Design Engineering Lab, 2008). As shown in the figure, a hair dryer has the functions of *converting electrical energy to thermal energy*, *converting electrical energy to mechanical energy*, *converting mechanical energy to pneumatic energy*, and *guiding gas*, which correspond to the heating coils, electric motor, fan blade, and housing, respectively. Many other functions are shown in the model, which represent wires, switches, and human interactions. The hair dryer's functions

Reprint requests to: Gregory M. Mocko, Clemson Engineering Design Applications and Research Lab, Department of Mechanical Engineering, Clemson University, 243 Fluor Daniel Building, Clemson, SC 29634-0921, USA. E-mail: gmocko@clemson.edu

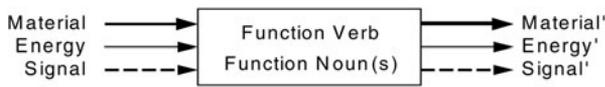


Fig. 1. The generic function block. Adapted from G. Pahl, W. Beitz, J. Feldhusen, and K.H. Grote, 2007, *Engineering Design: A Systematic Approach*, 3rd ed., p. 30, figure 2.2. London: Springer-Verlag. Copyright 2007 by Springer Science+Business Media B.V. Adapted with permission.

have been linked together in series and parallel to show the precedence and dependence among the functions. For example, the *electrical energy* must be *imported* and *transferred* before it can be *actuated*. In addition, the *actuation* requires *human energy* to first be *imported*, *guided*, and *converted to a control signal*. The graphical layout and connectivity of functions via flows in the function structure enables this representation to capture these dependencies.

The intent of function-based design is to assist the designer in moving from a list of requirements to concepts when designing mechanical products. The initial function structure created in the design process is a solution-independent representation, enabling the designer to generate many potential solutions and solution variants for the design. As the design progresses, solution-specific details can be specified in the function structure, leading to design concepts (Pahl et al., 2007).

The use of function analysis as a conceptual design tool is discussed in design texts (Hubka & Eder, 1988; Ullman, 1992; Pahl et al., 2007) and other literature as a means of broadening the search for solutions. Significant advances in function-based design have been made by Collins et al. (1976), Hundal (1990), Kirschman and Fadel (1998), Szykman et al. (1999), Otto and Wood (2001), and Stone and colleagues (Stone & Wood, 2000; Hirtz et al., 2002; Bohm et al., 2005). Much of this research is applied to existing products that have been studied through reverse engineering, which is the analysis of existing products through dissection (Otto & Wood, 2001). This paper systematically explores the expressiveness of the functional basis (FB) and two function representations (function structures and function lists) through

an empirical study of a design repository, specifically investigating the role that functions and flows, as defined in the FB, play in describing consumer products.

1.2. The FB

Recent research efforts have identified the need for a finite vocabulary of terms to increase consistency in function models (Kirschman & Fadel, 1998; Szykman et al., 1999; Stone & Wood, 2000). One vocabulary, the FB, consists of 53 functions and 45 flows that can be used to describe mechanical systems (Hirtz et al., 2002). The FB uses a verb-object form to describe product functionality, which consists of an action verb and an object or objects to which the verb is acting upon (e.g., *guide gas* in Fig. 2). The FB seeks to support the archiving of design knowledge and comparison of products functionally (Hirtz et al., 2002). The FB shown in Table 1 and Table 2 is organized into a three-level hierarchy.

The hierarchy was created to allow designers to describe function at various levels of detail. Hirtz and colleagues (2002) state that original design problems may use higher level terms as the details of the product are not known. Adaptive and variant designs, however, may use more specific, lower level terms because the details about a function model are already known. In addition, the authors of the FB state that the secondary level provides the most specific function detail that is practical for engineering design (Hirtz et al., 2002).

1.3. Design repository

The FB and related research has led to the development of a function-based design repository at Missouri University of Science and Technology, which we refer to as the Design Repository (<http://repository.designengineeringlab.org>). This Web-based repository, populated through reverse engineering and disassembly of consumer products, contains functional descriptions of 130 products employing the FB as the underlying vocabulary (Bohm et al., 2005).

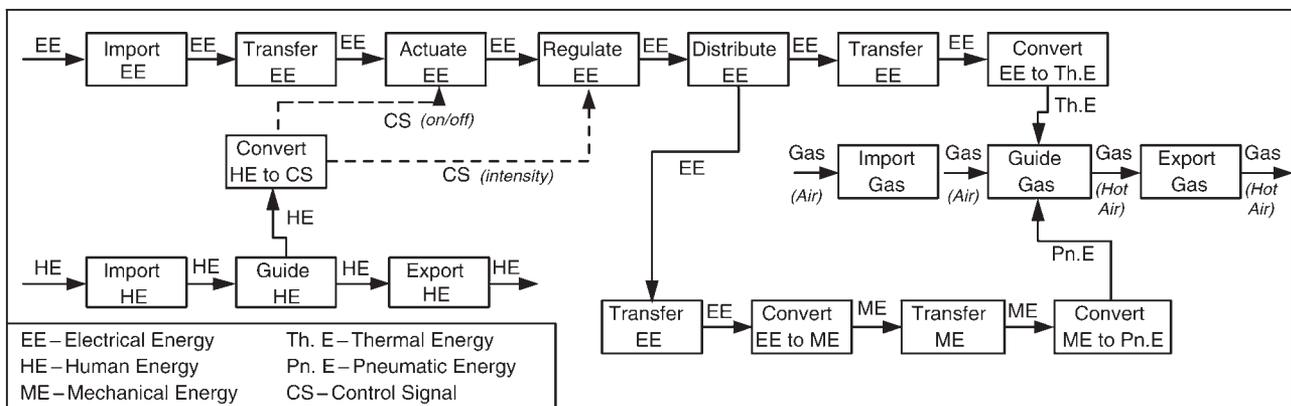


Fig. 2. The hair dryer function structure. Adapted from the Design Engineering Lab, 2008, *Design Repository*. Rolla, MO: Missouri University of Science and Technology, Design Engineering Lab. Copyright 2008 Missouri University of Science and Technology. Adapted with permission.

Table 1. Functional basis function hierarchy

Primary	Secondary	Tertiary
Branch	Separate	Divide Extract Remove
Channel	Distribute	
	Import Export Transfer	Transport Transmit Rotate Allow DOF
Connect	Guide	Translate Join Link
	Couple	
Control magnitude	Mix	
	Actuate Regulate	Increase Decrease Increment Decrement Shape Condition Prevent Inhibit
Convert	Change	
	Stop	
Provision	Convert	Contain Collect
Signal	Store	Supply Detect Measure
	Supply Sense	Track Display
Support	Indicate	
	Process Stabilize Secure Position	

Note: DOF, degree of freedom.

Table 2. Functional basis flow hierarchy

Primary	Secondary	Tertiary
Material	Human	
	Gas Liquid Solid	Object Particulate Composite
Signal	Plasma Mixture	Gas-gas Liquid-liquid Solid-solid Solid-liquid Liquid-gas Solid-gas Solid-liquid-gas Colloidal
	Status	Auditory Olfactory Tactile Taste Visual Analog Discrete
Energy	Control	
	Human Acoustic Biological Chemical Electrical Electromagnetic	Optical Solar
Energy	Hydraulic Magnetic Mechanical	Rotational Translational
	Pneumatic Radioactive/nuclear Thermal	

1.4. Research focus

The FB and Design Repository have been evolving for over a decade (Szykman et al., 1999; Stone & Wood, 2000; Hirtz et al., 2002; Bohm et al., 2005). Several tools have been developed that operate on information stored in the Design Repository, including automated concept generation (Vucovich et al., 2006; Bohm et al., 2008), function-based similarity measures (McAdams et al., 1999), failure and risk analysis (Stone et al., 2005; Grantham Lough et al., 2008), and biomimicry (Nagel et al., 2008; Stroble et al., 2008). Despite these applications and the use of the vocabulary in the Design Repository models, the adequacy of the FB to model electromechanical products has never been objectively evaluated. The FB was aimed to ensure that the terms provide adequate coverage (Hirtz et al., 2002), and previous research has explored the theoretical foundations of the FB (Garbacz, 2006; Vermaas, 2007). To supplement this theoretical exploration, this research focuses on assessing the coverage of the vo-

cabulary by empirically measuring the usage of the terms in repository models. Specifically, this research is a first attempt to answer fundamental questions such as the following: “Is the vocabulary used by modelers?” “Is the vocabulary adequate?” “Does the vocabulary allow modelers to express what a product does?” This examination provides insight to the possible extensions of this vocabulary for improving its expressive power.

1.5. Rationale

Two underlying assumptions must be made in this research. The researchers who have developed the FB and Design Repository have published a method for creating function structures through product dissection (Kurfman et al., 2000; Stone et al., 2000; Stone & Wood, 2000). Because this method was published before the repository was developed, it is assumed that this method has been used to create all of the models in the repository.

Assumption 1: Modelers used a published method (Kurfman et al., 2000; Stone & Wood, 2000; Stone et al., 2000), which prescribes the use of FB terms, when creating the models in the repository.

The published modeling method instructs the modeler to create a black box model of the product and identify “function chains” by following the path of each input as it is transformed through the product. After creating these function chains, the method instructs the modeler to express all sub-functions and flows using the FB vocabulary (Kurfman et al., 2000; Stone & Wood, 2000; Stone et al., 2000). Because this method is assumed to have been used, it is assumed that each modeler attempted to express the functions and flows using the FB. The second underlying assumption is that, if the terms available in the vocabulary were adequately expressive, then the modelers would have described the function of the product using only FB terms.

Assumption 2: A modeler used a term from outside the FB in a model only if an adequately expressive term was not available in the vocabulary.

However, the modeling method does not prescribe a specific hierarchical level for modelers to use or provide details about when to use specific terms. The modeler uses his or her knowledge of the product and the definitions provided with the FB vocabulary to select the proper terms. This freedom given to the modelers to choose a term is being analyzed in this research to understand the usage of the vocabulary by modelers.

The primary objective of this research is to evaluate the expressiveness of the FB for describing the functionality of mechanical devices. The null hypothesis is that the vocabulary provides adequate coverage, but the research hypothesis is that the vocabulary does not provide adequate coverage.

Null hypothesis: The FB provides adequate coverage for describing the functionality of mechanical products.

Research hypothesis: The FB does not provide adequate coverage for describing the functionality of mechanical products.

In order to test the hypotheses, the frequency of use of FB terms within the Design Repository is measured. It is important to note that this metric can only be used to either reject the null hypothesis or fail to reject the null hypothesis. It cannot be used to prove the null hypothesis and validate that the coverage provided by the FB is adequate. If the study reveals instances in which the FB did not provide adequate coverage for modelers, then the null hypothesis can be rejected.

Frequency analyses are used in text processing and ontology development to identify relevant terms in a set of documents. The lexical entry frequency, document frequency, and corpus frequency are often used to determine the weight

of a term by computing the *term frequency inverted document frequency (tfidf)*, which penalizes terms that appear in most of the documents (Salton, 1988; Staab & Studer, 2004). In this research, a term weighting scheme such as *tfidf* is not used because the value of individual terms in the vocabulary is not being assessed. Further, the scope of products in the repository is expanding, so a weighting based on the repository’s current state may lead to low values for terms that may be of greater value in the future. Therefore, the unweighted term frequency count is used to assess the coverage of the vocabulary being studied. The focus of the analysis is on what terms are not used because a lack of use of terms by modelers may indicate that the terms are not adequately expressive.

2. REPRESENTATIONS IN THE DESIGN REPOSITORY

The Design Repository contains two separate representations of product functionality: graph and matrix. Approximately half of the products in the Design Repository contain graph-based representations, and all of the products in the Design Repository contain matrix-based function–component relationships and component–assembly relationships. It is important to establish the similarities and differences between these two representations in what information each captures, how the information is captured, and the consistency between the representations.

2.1. Graph-based function structures

In this paper, a function structure is defined as a graphically organized functional description that contains more than one function block (see Fig. 1) and is linked together by flows of material, energy, and/or signals. This definition is consistent with many design texts (Ullman, 1992; Otto & Wood, 2001; Pahl et al., 2007). An example of a function structure is shown in Figure 2. Function structures of approximately half of the products in the Design Repository can be downloaded as either PDF or ConceptDraw software .cdd files. The function and flow information contained in these function structures is not “known” by the database. The files are uploaded as images and are not generated or parsed by the Design Repository. Function structures in the Design Repository are therefore unrestricted and are not required to follow any guidelines, allowing frequent use of terms that are not part of the FB. For these reasons, graph-based function structures cannot be used by tools that use the Design Repository as a source of functional information.

2.2. Matrix-based function lists

The Design Repository also contains functional information about products and their components in a database. Individual components are entered into the Design Repository, and each component is then assigned a single function or many

functions. A function can exist only if it is assigned to a component; each function consists of the following:

1. an input flow chosen from the FB flow vocabulary,
2. a function chosen from the FB function vocabulary,
3. an output flow chosen from the FB flow vocabulary,
4. an artifact from which the input flow enters, and
5. an artifact to which the output flow exits.

If a function has multiple input or output flows, then multiple function instances must be entered. For example, a motor in the Design Repository from the Black and Decker Sliceright has four functions, two of which are *convert electrical energy to mechanical energy* and *convert electrical energy to thermal energy*. These two functions represent the single function block shown in Figure 3.

Several matrices can be generated from the information stored in the Design Repository, including the product function matrix (PFM). A PFM for a hair dryer and Shop-Vac is provided in Table 3. The PFM contains functions in the first column and products across the top row. The values in the cells of the PFM represent the number of times that the given product performs the specific function. For example, the hair dryer *transfers electrical energy* 21 times and *guides gas* 5 times. The functions in PFMs are verb-object phrases that contain either one or two objects. If the phrase contains one object, then that object is both the input and output flows for the function (e.g., *guide gas*). If the phrase contains two objects, then the first object is the input and the second object is the output to the function (e.g., *convert electrical energy to mechanical energy*). All unique function phrases used in any of the products contained in the PFM are listed in the matrix.

The matrices generated by the Design Repository do not directly contain information about the path of flows between functions. Connectivity is captured only through the input and output artifacts, so the Design Repository is not capable of recreating function structures based on information in the matrices. Thus, the topology of the graph-based function structure is not included in the matrix-based representation, decreasing the amount of information contained in this representation. For this reason, the matrix-based function representations obtained from the Design Repository are referred to as function lists in this article.

2.3. Function representation comparison

The fundamental difference between function structures and PFMs is that function structures contain function connectivity

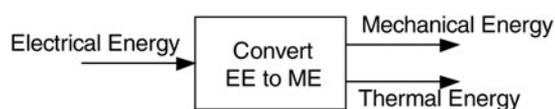


Fig. 3. The motor function block. EE, electrical energy; ME, mechanical energy.

Table 3. Product function matrix example

	Shop-Vac	Hair Dryer
Convert electrical to mechanical	1	1
Convert mechanical to pneumatic	1	1
Guide gas	5	5
Guide mixture	4	0
Import gas	0	1
Import mixture	1	0
Separate mixture	1	0
Store mixture	1	0
Transfer electrical	10	21
Transfer gas	0	1

using flows. This enables function structures to capture additional information, such as the precedence of functions in relation to each other. PFMs do not capture these details and are limited to a flat list with no connectivity among functions. In addition, the repository restricts the vocabulary of function lists to the FB and allows one input and output flow per function. Function structures are unrestricted in both the vocabulary and the number of inputs and outputs of each function.

3. FUNCTION STRUCTURE STUDY

3.1. Objective

The Design Repository is the largest implementation of the FB, so it is appropriate to analyze models stored in the Design Repository. The intent of this study is to understand how the FB is currently being used within the repository's function structures. By exploring how each term is used, the expressiveness of the FB can be assessed for reverse engineering and archiving of consumer products.

3.2. Protocol

In this study, the hair dryer and 10 additional products were analyzed. The hair dryer was chosen because it has been studied in previous research (Leung et al., 2005; Mocko et al., 2007; Sen et al., 2009, 2010) and combines several mechanical engineering domains. The remaining 10 products were chosen so that the sample of 11 products best represents the entire population in the repository based on two criteria: the type of product and the size of the model. The 10 additional products chosen were the Black and Decker Jigsaw attachment, Brother Sewing Machine, cassette player, Delta Circular Saw, Delta Nail Gun, dryer, Digger Dog, garage door opener, Oral B Toothbrush, and Shop-Vac.

To evaluate the first selection criterion, the products were grouped according to the following common categories that were determined empirically: home appliances, shop tools, toys, electronics, and all other products. The sample was selected to match the population's distribution, as shown in

Figure 4. The sample contains five home appliances (hair dryer, sewing machine, dryer, toothbrush, and vacuum), three shop tools (jigsaw attachment, nail gun, circular saw), one toy (Digger Dog), one electronic (cassette player), and one other type of product (garage door opener). Because the sample contains only 11 products, the sample's distribution has a resolution of 9%. The percentage of products from each category in the sample is within 2% of that of the population, so the number of products chosen from each category for the sample is optimal based on the categories identified in the population.

The second criterion for choosing the sample is the size of the graphical function structure, which is defined as the number of functions. The products chosen were selected from a set of 58 products with a downloadable graphical function structure available in the repository. The 58 function structures were classified as small, medium, and large sized. The limits of small, medium, and large were based on the average and standard deviation of the number of functions. Models that were within one standard deviation of the average were medium sized; small and large models were outside this range. It is important to note that the average and standard deviation are used only to establish the small, medium, and large categories of models; statistical arguments are not made on these values, as the size is not a normal distribution. The average and standard deviation of the population and sample are shown in Table 4, and the distribution of model size is shown in Figure 5. The sample of products chosen contains an optimal size distribution of products because the resolution of the sample distribution is 9%.

Using the two criteria of product type and model size, the 11 products chosen for this study are representative of the entire population of 110 products in the repository. Thus, the observations and conclusions drawn on the sample of 11 products are extended to the entire population in the repository. Traditional statistical methods used to compute sample size are predicated on *a priori* knowledge of distribution and confidence and are not relevant in this calculation.

These 11 function structures are analyzed by counting the frequency of use of each term instance in the collection of

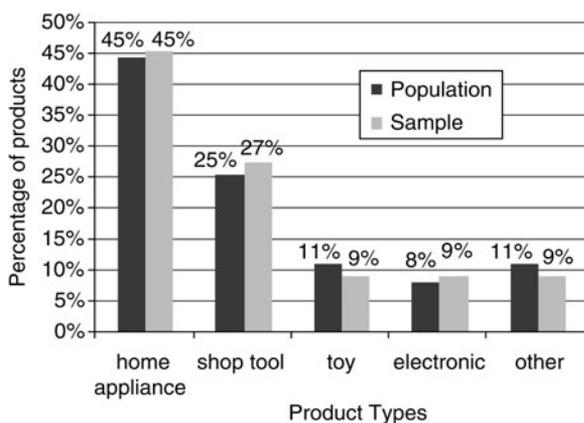


Fig. 4. The distribution of product types in the population and sample.

Table 4. Average and standard deviation of model size in population and sample

	Population	Sample
Number of products	58	11
Average size	25.4	27.2
Standard deviation	13.4	11.8

models. The terms are categorized as functions verbs, function nouns, or flows according to the guidelines defined in this section. A sample function block with input and output flows, taken from the hair dryer function structure shown in Figure 6, is used to explain the experimental procedure. Articles, prepositions, and conjunctions are ignored in this experiment, which is indicated in Figure 6 by strikethrough text.

Function verbs are inside a function block and are part of the FB's function vocabulary (see Table 1). Function verbs were always the first word inside a function block. In the example (Fig. 6), the only function verb is *convert*, which is indicated by bold text. Function nouns are inside a function block, may include an adjective describing the noun, and are usually part of the FB's flow vocabulary (see Table 2). A function can contain more than one function noun. In the example (Fig. 6), the two function nouns are *electrical energy* and *thermal energy*, which are indicated by single underscored text. Flows are arrows that enter or exit function blocks and are usually part of the FB's flow vocabulary (see Table 2). Any label associated with an arrow was considered a flow. In some cases, an arrow had more than one label, separated by a comma, probably for the purpose of reducing the number of arrows in the function structure. In these cases, each label was considered a flow. In some cases, flows were not labeled in the function structure; unlabeled flows were counted the same as their most recently labeled flow. Flows were counted each time they entered a function block; flows that exited a block but did not enter another block (outputs of the entire system) were also counted. For example, in the hair dryer function structure (see Fig. 2) the flow *human energy* is counted five times because it enters four function blocks

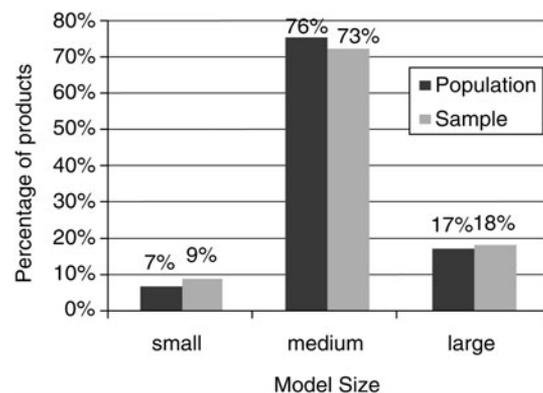


Fig. 5. The distribution of the model size in the population and sample.

(import, guide, export, and convert) and it is an output of the system (via export). Two flows are included with the sample function block shown in Figure 6: *electrical energy* and *thermal energy*. These flows are indicated by double underscored text in the figure.

Data are collected according to the descriptions above for all functions and flows in the function structures. Some terms are used that are not part of the FB vocabulary (non-FB terms), so they are translated into FB terms. Non-FB terms can be included in the graphical function structures because the repository does not enforce the use of the vocabulary for this representation (see Section 2.1). The terms are translated to the secondary level because it is the most commonly used level. After translating the non-FB terms to the FB, the function structures are referred to as translated function structures.

3.3. Results of function structure study

3.3.1. Function structure translation

In the 11 function structures, 45 unique non-FB terms are used as either a noun or a flow. Each of these terms is translated to FB terms using the correspondent list provided with the FB vocabulary (Hirtz et al., 2002) as well as design knowledge about the product that has been modeled. An example of translation required in the hair dryer function structure, shown in Figure 2, is the translation of *air* and *hot air* to *gas*. The original terms used in the 11 products, shown in the first column of Table 5, are translated to FB terms, shown in the second column of the table. The terms marked NT in the table are not translated because they are used in addition to an FB term [e.g., “solid (clothes)”]. If these terms are translated, then the FB term will be counted twice for a single instance.

3.3.2. FB term frequencies within function structures

The term frequencies for the original function structure verbs are shown in Table 6. The first column in the table shows the term used in the function structure, the second column shows the total number of instances in which the term was used, and the third column gives the frequency of use as a percentage of the total number of instances. Non-FB terms were never used as a function verb, so function verbs did not need to be translated into FB terms.

The term frequencies for the original and translated function structure nouns are shown in Table 7. The first column in the table shows the exact term used in the function structure, the second column shows the total number of instances

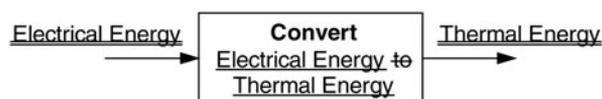


Fig. 6. A sample function block from a hair dryer. Articles, prepositions, and conjunctions are ignored in this experiment, which is indicated by strike-through text. The two function nouns are *electrical energy* and *thermal energy*, which are indicated by single underscored text.

Table 5. Non-FB term translation to secondary level

Original Non-FB Term	FB Secondary Term
(Clothes), (lint), (time)	NT
Noise	Acoustic energy
Alignment, button, button signal, feed speed, ff/rew, forward/reverse, intensity, limit signal, motion, on/off, play/stop, sewing/bobbin refill, speed, stereo audio, stitch width	Control signal
Air, hot air	Gas
Hand	Human material
Reaction, weight	Mechanical energy
Debris and air, toothpaste/debris mixture	Mixture
Blade, brads, debris, dirty teeth, garage, garage door, power pack, saw blade, sewed material, teeth, thread, toothpaste, wood, wrench	Solid
Analog video, safety signal	Status signal
Heat	Thermal energy

Note: FB, functional basis; NT, not translated.

in which the term was used, and the third column gives the frequency of use as a percentage of the total number of instances. The non-FB terms are also indicated.

All non-FB nouns in the 11 function structures were translated into secondary-level FB terms according to the mapping shown in Table 5. The term frequencies for nouns after this translation are shown in the fourth and fifth columns in Table 7. The fourth column shows the total number of instances

Table 6. Statistical analysis results for original function structure verbs

Function Verb	Frequency	
Export	48	16.0%
Import	45	15.0%
Transfer	45	15.0%
Convert	44	14.7%
Guide	21	7.0%
Actuate	15	5.0%
Change	14	4.7%
Transmit	9	3.0%
Distribute	8	2.7%
Regulate	8	2.7%
Store	8	2.7%
Couple	5	1.7%
Supply	5	1.7%
Secure	4	1.3%
Separate	3	1.0%
Stop	3	1.0%
Process	3	1.0%
Position	3	1.0%
Indicate	2	0.7%
Translate	2	0.7%
Rotate	2	0.7%
Support	1	0.3%
Mix	1	0.3%
Track	1	0.3%
Total	300	100%

Table 7. Statistical analysis results for original and translated function structure nouns

Function Noun	Frequency			
	Before Translation		After Translation	
Electrical energy	81	22.9%	81	23.2%
Mechanical energy	58	16.4%	62	17.8%
Solid	40	11.3%	48	13.8%
Control signal	21	5.9%	28	8.0%
Human energy	21	5.9%	21	6.0%
Human material	12	3.4%	20	5.7%
Rotational energy	15	4.2%	15	4.3%
Status signal	10	2.8%	12	3.4%
Gas	9	2.5%	9	2.6%
Electromagnetic energy	6	1.7%	6	1.7%
Human force	6	1.7%	6	1.7%
Acoustic energy	5	1.4%	5	1.4%
Mixture	5	1.4%	5	1.4%
Translational energy	5	1.4%	5	1.4%
Signal	4	1.1%	4	1.1%
Magnetic energy	4	1.1%	4	1.1%
Torque	4	1.1%	4	1.1%
Pneumatic energy	3	0.8%	3	0.9%
Thermal energy	3	0.8%	3	0.9%
Auditory status signal	2	0.6%	2	0.6%
Solid-gas mixture	2	0.6%	2	0.6%
Visual signal	2	0.6%	2	0.6%
Energy	1	0.3%	1	0.3%
Solid-solid	1	0.3%	1	0.3%
Non-FB Terms				
Hand	8	2.3%		
Garage door	5	1.4%		
Reaction	3	0.8%		
(Time)	2	0.6%		
Button signal	2	0.6%		
Garage	2	0.6%		
On/off	2	0.6%		
Safety signal	2	0.6%		
(Clothes)	1	0.3%		
(Lint)	1	0.3%		
Blade	1	0.3%		
Button	1	0.3%		
Limit signal	1	0.3%		
Motion	1	0.3%		
Weight	1	0.3%		
Total	353	100%	349	100%

Note: FB, functional basis.

in which the term was used and the fifth column gives the frequency of use as a percent of the total number of instances.

The term frequencies for the original function structure flows are shown in Table 8. The first column in the table shows the exact term used in the function structure, the second column shows the total number of instances in which the term was used, and the third column gives the frequency of use as a percent of the total number of instances. The non-FB terms are also indicated.

All non-FB flows in the 11 function structures were translated into secondary-level FB terms according to the mapping

Table 8. Statistical analysis results for original and translated function structure flows

Flow	Frequency			
	Before Translation		After Translation	
Electrical energy	89	17.3%	89	17.3%
Mechanical energy	75	14.6%	82	16.0%
Control signal	34	6.6%	70	13.6%
Solid	21	4.1%	67	13.1%
Human material	40	7.8%	59	11.5%
Human energy	28	5.5%	28	5.5%
Human force	20	3.9%	20	3.9%
Gas	5	1.0%	15	2.9%
Status signal	13	2.5%	14	2.7%
Pneumatic energy	10	1.9%	10	1.9%
Solid-solid	9	1.8%	9	1.8%
Acoustic energy	8	1.6%	9	1.8%
Rotational energy	8	1.6%	8	1.6%
Thermal energy	6	1.2%	8	1.6%
Electromagnetic energy	6	1.2%	6	1.2%
Mixture	0	0.0%	6	1.2%
Magnetic energy	4	0.8%	4	0.8%
Material	3	0.6%	3	0.6%
Translational energy	3	0.6%	3	0.6%
Auditory signal	1	0.2%	1	0.2%
Visual signal	1	0.2%	1	0.2%
Torque	1	0.2%	1	0.2%
Non-FB Terms				
Hand	19	3.7%		
On/off	14	2.7%		
Air	8	1.6%		
Blade	8	1.6%		
Ff/rew	7	1.4%		
Play/stop	7	1.4%		
Weight	7	1.4%		
Thread	6	1.2%		
Saw blade	5	1.0%		
Debris	4	0.8%		
Power pack	4	0.8%		
Wrench	4	0.8%		
Brads	3	0.6%		
Debris and air	3	0.6%		
Toothpaste	3	0.6%		
Toothpaste/debris mixture	3	0.6%		
Wood	3	0.6%		
Dirty teeth	2	0.4%		
Heat	2	0.4%		
Hot air	2	0.4%		
Sewed material	2	0.4%		
Teeth	2	0.4%		
Alignment	1	0.2%		
Analog video	1	0.2%		
Feed speed	1	0.2%		
Forward/reverse	1	0.2%		
Intensity	1	0.2%		
Noise	1	0.2%		
Sewing/bobbin refill	1	0.2%		
Speed	1	0.2%		
Stereo audio	1	0.2%		
Stitch width	1	0.2%		
Total	513	100%	513	100%

Note: FB, functional basis.

shown in Table 5. The term frequencies for flows after this translation are shown in the fourth and fifth columns in Table 8. The fourth column shows the total number of instances in which the term was used and the fifth column gives the frequency of use as a percentage of the total number of instances.

3.4. Observations from function structure study

The following observations are made on the results of the frequency analysis of function structures:

- *All function verbs are FB terms, but some nouns and flows are not.* All verbs used in these 11 function structures are FB terms; however, 15 unique non-FB nouns and 32 unique non-FB flows are used. Of the 353 instances of function nouns, 90.7% are FB terms; of the 513 flow instances, only 75% of the flow instances use the FB vocabulary.
- *A few terms are used in a majority of instances.* The five most frequent verbs (*import, export, transfer, convert, and guide*) account for 67.7% of all verb instances. The five most frequent nouns after translation (*electrical energy, mechanical energy, solid, control signal, and human energy*) account for 68.8% of all noun instances, and the five most frequent flows after translation (*electrical energy, mechanical energy, control signal, solid, and human material*) account for 71.5% of all flow instances. Thus, over two-thirds of verbs, nouns, and flows can be accounted for by five FB terms in their respective categories.
- *The secondary level of the hierarchy is used most often.* Secondary terms are used 95%, 79%, and 66% of the time for verbs, nouns, and flows, respectively. If non-FB terms are ignored (translating is not acceptable because a level must be chosen during translation), then these values increase to 95%, 87%, and 88%, respectively. Thus, when an FB term is selected, approximately 90% of the time the term chosen is at the secondary level.
- *Most verbs are a type of channel, and most nouns and flows are a type of energy.* Table 9 and Table 10 further demonstrate how the FB is used in these 11 function structures. The percentages in these tables represent the number of nouns, verbs, or flows that are labeled with the term or its hierarchical child or grandchild. For example, in Table 10, the noun *status signal* (secondary) is composed of 0.6% *auditory status signal* (tertiary), 0.6% *visual status signal* (tertiary), and 3.4% *status signal* (secondary), for a total of 4.6%. Similarly, the 13.8% *signal* (primary) is the total of 4.6% *status signal* (secondary), 8.0% *control signal* (secondary), and 1.1% *signal* (primary). It can be seen from these tables that 57.3% of all verbs are types of *channel*, 61.9% of all nouns are types of *energy*, and 52.2% of all flows are types of *energy*.

Table 9. Hierarchy distribution of verb usage within translated function structures

Primary	Verb	Secondary	Verb
Branch	3.7%	Separate	1.0%
		Distribute	2.7%
Channel	57.3%	Import	15.0%
		Export	16.0%
		Transfer	18.0%
		Guide	8.3%
		Couple	1.7%
Connect	2.0%	Mix	0.3%
		Actuate	5.0%
Control magn.	13.3%	Regulate	2.7%
		Change	4.7%
		Stop	1.0%
		Convert	14.7%
		Provide	4.3%
Signal	2.0%	Store	2.7%
		Supply	1.7%
		Sense	
Support	2.7%	Indicate	1.0%
		Process	1.0%
		Stabilize	
		Secure	1.3%
		Position	1.0%

4. FUNCTION LIST STUDY

4.1. Objective

The objective of this study is to understand how the FB is used within function lists in the Design Repository. Function lists enforce the use of the FB, so the trends may be different from those found in function structures.

Table 10. Hierarchy distribution of translated function structure nouns and flows

Primary	Noun	Flow	Secondary	Noun	Flow
Material	24.4%	31.0%	Human	5.7%	11.5%
			Gas	2.6%	2.9%
			Liquid	0.0%	0.0%
			Solid	13.8%	13.1%
			Plasma	0.0%	0.0%
Signal	13.8%	16.8%	Mixture	2.3%	2.9%
			Status	4.6%	3.1%
			Control	8.0%	13.6%
Energy	61.9%	52.2%	Human	7.7%	9.4%
			Acoustic	1.4%	1.8%
			Biological	0.0%	0.0%
			Chemical	0.0%	0.0%
			Electrical	23.2%	17.3%
			Electromagnetic	1.7%	1.2%
			Hydraulic	0.0%	0.0%
			Magnetic	1.1%	0.8%
			Mechanical	24.6%	18.3%
			Pneumatic	0.9%	1.9%
Radioactive	0.0%	0.0%			

4.2. Protocol for function list study

In this study, the function lists in the Design Repository are analyzed by counting the frequency of use of FB terms. The function lists are obtained by downloading the PFM for the products. At the time of the download, the repository contained 110 products. In the first part of this study, each unique phrase in the PFM for all products is counted to determine the frequency of use of the phrases within the repository. This required summing the values in the rows of the PFM to determine the total number of instances in which the phrase appears in the Design Repository. A portion of a PFM is shown in Table 11 and used to illustrate the experimental procedure. In Table 11, the phrase *actuate control to electrical* has a total of three instances: one in the Shop-Vac and two in the hair dryer.

In the second part of this study, the terms in the function phrases are categorized as function verbs or function nouns. Flows are not counted in this study because flow information is not available in the function lists. Articles, prepositions, and conjunctions are ignored as indicated in Table 11 by strike-through text.

Function verbs are the first term in each function phrase and are part of the FB's function set. The frequency of each verb is calculated by summing the values in the entire row for each row in which the verb is used. In the example (Table 11), the function verbs are *actuate* and *convert*, indicated by bold text in the figure; the verb *actuate* has a frequency of seven because it is used once in the Shop-Vac to *actuate control to electrical*, twice in the hair dryer to *actuate control to electrical*, once in the Shop-Vac to *actuate electrical*, and three times in the hair dryer to *actuate electrical*. Similarly, *convert* has a frequency of two in this example.

Function nouns are the object of the function phrase and are part of the FB's flow set. The function phrases may contain one or two function nouns. The frequency of each noun is calculated by summing the values in the entire row for each row in which the noun is used. In the example, the function nouns are *control signal*, *electrical energy*, and *mechanical energy*, indicated by underscored text in the figure; the noun *control signal* has a frequency of three because it is used once in the Shop-Vac and twice in the hair dryer. *Electrical energy* has a frequency of nine because it is used by both products in all function phrases. *Mechanical energy* has a frequency of two because it is used one time in each product.

Table 11. Sample of functions from Shop-Vac and Supermax Hair Dryer PFM

Function	Shop-Vac	Supermax Hair Dryer
Actuate <u>control</u> to <u>electrical</u>	1	2
Actuate <u>electrical</u>	1	3
Convert <u>electrical</u> to <u>mechanical</u>	1	1

Note: PFM, product function matrix. The function verbs are in bold, the function nouns are underscored, and the prepositions are ignored and indicated by strikethrough text.

4.3. Results of function list study

The results of the function list study are summarized because of their length; the 20 most frequent phrases are shown in Table 12. There are 438 unique phrases and 4631 phrase instances.

The individual term frequencies for the verbs and nouns in the function lists are delineated in Table 13 and Table 14, respectively. The results also show the frequency as a percent of the total number of instances of verbs or nouns in the given set of products.

The function list analysis was also completed on the sample of 11 products studied previously in Section 3 in order to compare the function list representation with function structures. Of note are the most frequent phrases shown in Table 15.

4.4. Observations from function list study

The following observations are made on the results of the frequency analysis of function lists:

- *A few phrases are used frequently.* The top 10 phrases account for 42% of all phrase instances, and the top 20 phrases account for 54% of instances (see Table 12). Only 17 phrases are used more than 1% of the time. Furthermore, of the 438 unique phrases, 320 phrases (73%) are used only once or twice, accounting for only 6.9% of the total number of phrase instances.
- *A few terms are used in a majority of verb instances.* The five most frequent verbs (*transfer*, *import*, *convert*, *export*, and *guide*) account for 68.4% of all verbs. Similar to the function structure results, over two-thirds of verbs, nouns, and flows can be accounted for by 5 FB verbs.

Table 12. Top 20 phrase frequencies

Function Phrase	Frequency	
Transfer electrical	600	12.96%
Transfer mechanical	372	8.03%
Import human material	157	3.39%
Import human energy	146	3.15%
Export human material	128	2.76%
Change mechanical	127	2.74%
Guide solid	127	2.74%
Import solid	101	2.18%
Export solid	84	1.81%
Actuate electrical	82	1.77%
Transfer control	81	1.75%
Guide human material	75	1.62%
Import electrical	69	1.49%
Convert electrical to mechanical	58	1.25%
Stabilize solid	56	1.21%
Guide mechanical	51	1.10%
Supply electrical	50	1.08%
Couple solid	45	0.97%
Export human energy	43	0.93%
Actuate control to electrical	41	0.89%
Total for top 20 phrases	2493	53.8%
Total for all phrases	4631	100%

Table 13. Function list verb results

Function	Frequency	
Transfer	1236	26.7%
Import	633	13.7%
Convert	447	9.7%
Export	429	9.3%
Guide	422	9.1%
Change	205	4.4%
Actuate	193	4.2%
Store	158	3.4%
Regulate	128	2.8%
Supply	100	2.2%
Stop	98	2.1%
Distribute	93	2.0%
Separate	70	1.5%
Stabilize	69	1.5%
Secure	53	1.1%
Transmit	46	1.0%
Couple	45	1.0%
Position	42	0.9%
Process, mix	27	0.6%
Indicate, support	18	0.4%
Sense	17	0.4%
Detect	9	0.2%
Collect	8	0.2%
Transport, rotate	6	0.1%
Extract, inhibit	5	0.1%
Contain	4	0.1%
Increment, remove	2	0.0%
Translate, shape, connect, signal, increase, decrease, decrement, condition, measure, display	1	0.0%
Total	4631	100%

Furthermore, the 20 most frequent verbs account for 97.6% of all verbs instances.

- *A few terms are used in a majority of noun instances.* The five most frequent nouns (*electrical energy, mechanical energy, solid, human material, and control signal*) account for 69.6% of all nouns. Similar to the function structure results, over two-thirds of nouns can be accounted for by 5 FB flow terms. In addition, the 20 most frequent nouns account for 98.3% of all noun instances.
- *Approximately three-fourths of the vocabulary is used in the repository.* The function lists used only 42 of the 53 verbs in the vocabulary and 34 of 45 function nouns.
- *The secondary level of the hierarchy is used most often.* Secondary terms are used 97.4% of the time for verbs and 90.9% of the time for nouns. These frequencies are slightly higher than the frequencies observed in the graphical function structures.
- *Function lists contain approximately twice as many functions as function structures.* The function structures of the 11-product sample studied previously contained 300 functions, but the function lists of the same products contained 622 functions.
- *Most verbs are a type of channel, and most nouns and flows are a type of energy.* Table 16 and Table 17 show

Table 14. Function list noun results

Function Noun	Frequency	
Electrical	1264	23.8%
Mechanical	974	18.3%
Solid	638	12.0%
Human material	436	8.2%
Control	381	7.2%
Human energy	334	6.3%
Rotational	159	3.0%
Mixture	135	2.5%
Solid-liquid	118	2.2%
Gas	112	2.1%
Thermal	107	2.0%
Liquid	104	2.0%
Status	95	1.8%
Pneumatic	81	1.5%
Signal	56	1.1%
Electromagnetic, translational	51	1.0%
Optical	44	0.8%
Chemical	40	0.8%
Acoustic	38	0.7%
Colloidal	18	0.3%
Magnetic	16	0.3%
Hydraulic	15	0.3%
Liquid-gas, visual	8	0.2%
Discrete	6	0.1%
Gas-gas	4	0.1%
Biological, solid-gas, auditory, analog	3	0.1%
Solid-solid	2	0.0%
Liquid-liquid, solid-liquid-gas	1	0.0%
Total	5309	100.0%

the types of terms that are being used in the Design Repository, grouped according to the FB hierarchy. The percentages in these tables represent the number of nouns, verbs, or flows that are labeled with the term or its hierarchical child or grandchild (because of the high number of instances, values of 0.0% may correspond to an actual frequency of 0, 1, or 2). Similar to the function structures, 60.0% of the verbs are a type of *channel* and 59.8% of nouns are a type of *energy*.

5. ANALYSIS OF TERM USAGE STUDY

This study focuses on three key areas of analysis: the hierarchical organization of the FB vocabulary, the expressiveness of the vocabulary, and the expressiveness of the two function representations.

5.1. Hierarchical organization of the FB

In the 110 repository models, the primary, secondary, and tertiary terms are used approximately 1%, 94%, and 5% of the time, respectively. The high use of secondary verbs, nouns, and flow terms by the modelers implicitly suggests that the modelers recognized a greater value in the secondary level than the other levels. In addition, if the secondary and tertiary

Table 15. Top 20 phrase frequencies in 11-product sample

Function Phrase	Frequency	
Transfer electrical	104	16.72%
Transfer mechanical	97	15.59%
Transfer control	27	4.34%
Change mechanical	26	4.18%
Import human energy	20	3.22%
Export human energy	16	2.57%
Guide solid	16	2.57%
Export human material	15	2.41%
Guide mechanical	14	2.25%
Import human material	13	2.09%
Convert electrical to mechanical	12	1.93%
Convert human energy to control	12	1.93%
Guide gas	12	1.93%
Import solid	11	1.77%
Actuate electrical	10	1.61%
Export solid	9	1.45%
Actuate control to electrical	8	1.29%
Distribute mechanical	8	1.29%
Convert human material to control	6	0.96%
Export mechanical	6	0.96%
Total for top 20 phrases	442	71.0%
Total for all phrases	622	100%

Table 17. Hierarchy distribution of function list nouns

	Primary		Secondary
Material	29.8%	Human	8.2%
		Gas	2.1%
		Liquid	2.0%
Signal	10.4%	Solid	12.0%
		Plasma	0.0%
		Mixture	5.5%
		Status	2.0%
		Control	7.3%
Energy	59.8%	Human	6.3%
		Acoustic	0.7%
		Biological	0.1%
		Chemical	0.8%
		Electrical	23.8%
		Electromagnetic	1.8%
		Hydraulic	0.3%
		Magnetic	0.3%
		Mechanical	22.3%
		Pneumatic	1.5%
		Radioactive	0.0%
Thermal	2.0%		
Sum	100.0%		98.9%

terms used within the models are abstracted to their primary-level parents, 60% of the flows are *energy* and 60% of the functions are *channel*. Thus, if the modeler had used primary terms, *channel energy* could be used to describe the majority of the functions carried out by the products. The major difference between function models, then, would be the number of functions and flows, not the type of functions and flows. Be-

Table 16. Hierarchy distribution of function list verbs

	Primary		Secondary
Branch	3.7%	Separate	1.7%
		Distribute	2.0%
Channel	60.0%	Import	13.7%
		Export	9.3%
		Transfer	27.8%
		Guide	9.3%
Connect	1.6%	Couple	1.0%
		Mix	0.6%
Control magnitude	13.7%	Actuate	4.2%
		Regulate	2.8%
		Change	4.5%
		Stop	2.2%
		Convert	9.7%
Provide	5.8%	Store	3.7%
		Supply	2.2%
Signal	1.6%	Sense	0.6%
		Indicate	0.4%
		Process	0.6%
		Stabilize	1.5%
Support	3.9%	Secure	1.1%
		Position	0.9%
		Sum	100.0%

cause the repository models were created from existing products through reverse engineering, the modelers knew more specific information about the product than provided by the primary level. For example, in the hair dryer function structure (see Fig. 2), the modeler knows from the product that the output flow of the electric motor is mechanical energy. It is likely that the modeler used *mechanical energy* (secondary) rather than *energy* (primary) to improve the benefits of design archiving and reuse as the secondary-level description captures more detail than the primary. Conversely, even though the product could be described using tertiary terms when available, modelers chose not to publish function structures using these terms. In 25% of the flow instances and 9.3% of the function nouns, modelers instead chose to use non-FB terms even when corresponding tertiary terms were available. This deviation from the vocabulary indicates that, although the tertiary does provide additional detail over the secondary level, it did not provide either enough detail or the right type of detail preferred by the modelers. For example, in the hair dryer model the modeler deviated from the vocabulary, labeling a *discrete control signal* (tertiary) as *on/off* (non-FB), which is a more expressive description; in the motor, the modeler chose to represent the output flow as *mechanical energy* rather than *rotational energy*, suggesting that the tertiary level did not provide additional detail of use to the modeler. Overall, the modeling resolution provided by the hierarchy, especially the tertiary level, is inadequate and inappropriate for capturing product functionality with sufficient details necessary for design archiving and reuse. Thus, the claim made by Hirtz and colleagues (2002) that the secondary level provides the most specific function detail that is practical for engineering design is neither fully supported nor re-

jected through this study. The high use of the secondary level supports this claim, suggesting that the secondary level is the most practical level within the current vocabulary. However, the need for a more adequate or more appropriate tertiary level suggests that, through further development of the vocabulary, a more practical level of detail may be achieved.

5.2. Expressiveness of the FB vocabulary

The non-FB terms are also more expressive than the FB terms because they can contain qualifiers of the flows, such as *hot air* or *dirty teeth*. For example, the hair dryer function model includes the qualifier *hot* to capture the difference between the input and output flows of the function *guide gas*, as shown in Figure 7a. The corresponding FB term, however, is *gas* for both *air* and *hot air*, as shown in Figure 7b, which cannot express the change of state of the flow. Therefore, the use of qualifiers makes it possible to represent the different states of input and output flows, thus making the flows more expressive than the flows presently allowed in the FB. The use of non-FB terms as flows and flow qualifiers leads to the rejection of the null hypothesis for the noun vocabulary, and the acceptance of the research hypothesis, “The FB does not provide adequate coverage for describing the functionality of mechanical products.”

Conversely, non-FB terms were not used in any of the verb instances in the 11 function structures. For example, the modelers could have used the adverb *quickly* to capture the level of performance of the heating element modeled by the function *convert electrical energy to thermal energy* in the hair dryer function structure (Fig. 2); however, modelers did not use verb qualifiers in this manner. This absence of use of non-FB terms as function verbs causes the researchers to fail to reject the null hypothesis, “The FB provides adequate coverage for describing functionality of mechanical products.” This observation leads to new research areas to examine if the verb vocabulary is more mature than the flow vocabulary and/or if the verbs are less important for modeling products for design archiving and reuse.

5.3. Expressiveness of function representations

This study leads to the analysis of the two representations (function structures and function lists) in terms of three differ-

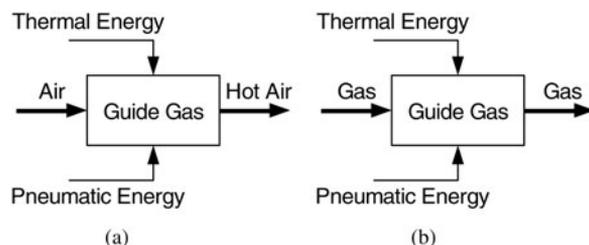


Fig. 7. Hair dryer function (a) with non functional basis qualifiers and (b) without qualifiers.

ent measures of expressiveness: representational efficiency, coverage of function to component mapping, and coverage of design intent. Of the two representations, the function structure provides a higher representational efficiency than the function lists. Although function structures allow multiple flows to enter or exit a function block, function lists allow only one input and one output flow per function. For functions that have multiple inputs or outputs, the function is repeated in the function list to capture the additional flows (see Section 2.2). As a result, the function lists for the 11 products contain more than twice as many functions (618) as the function structures (300) and are thus less efficient.

Conversely, function lists are more expressive than function structures in terms of the coverage of function to component mapping. Function lists capture the functionality of each component found in the product dissection, whereas function structures capture functional details without explicitly mapping them to components, resulting in fewer functions. For example, because the modeler is required by the reverse engineering protocol to catalog the functionality of each component, the function of a screw in the hair dryer is captured in the list as *couple solid*, where the solids are the left and right housing of the product. However, because function structures do not require this explicit mapping between functions and components, this functionality is not typically captured in the function structure representation because it does not contribute to the main flow of energy and material through the product. It is interesting that, because the *solids* are components of the system, the model implies that portions of the product itself flow through it, making the function structure logically inconsistent. These logical inconsistencies in function structures within the Design Repository are outside the scope of this paper and are reserved for future explorations.

Function structures are more expressive than function lists because they are capable of capturing intended flows through a product. Most function blocks contain only one or two nouns: up to one input flow and one output flow. For blocks with multiple inputs or outputs, the nouns in the block are used to describe only the intended transformative action of the block, rather than accounting for all of the inputs and outputs associated with the block. For example, in the function of an electric motor (see Fig. 3), the nouns *electrical energy* and *mechanical energy* show the intent of the motor, whereas the flow of *thermal energy* is not included in the block. For this reason, there is a higher number of flow instances (513) compared to noun instances (353) within the 11 examined products.

6. CONCLUSIONS AND RECOMMENDATIONS FOR FUTURE WORK

The Design Repository is the largest function-based product database available to the design research community and captures a large amount of knowledge about existing products. The repository has been developed through extensive effort by several universities and research groups, and many

researchers across different academic institutions have both authored and used Design Repository data for concept generation, failure analysis, behavior modeling, and biomimicry. However, the findings from this study indicate that the FB and function representations can be further formalized to increase their usefulness for reverse engineering and information archiving in design.

The usage study suggests that the FB verb vocabulary provides better coverage than the noun vocabulary for consumer, electromechanical products. Further, modelers desire additional expressiveness in the flow vocabulary, as demonstrated by the use of non-FB terms and flow qualifiers. The desired expressiveness can be realized by

1. an additional vocabulary of flow qualifiers, enabling designers to show qualitative differences between input and output flows of a function;
2. an extended tertiary-level vocabulary, providing detail beyond the secondary level that is more useful than the existing tertiary terms for modeling function; or
3. both.

The non-FB terms identified in this study can serve as a reference for more specific terms that will provide the necessary expressiveness desired by the modelers. For example, *on/off*, which occurred 14 times in 8 of the 11 function structures, may provide more useful detail than *discrete control signal* (tertiary).

The high usage of a few functions leads to new research areas that are currently being pursued by the authors. For example, *import* and *export* are used in approximately 31% and 23% of functions in function structures and lists, respectively. If most products in the repository *import* and *export human material*, then it may not be useful for a designer to include these functions in each model because, from an information point of view, they do not add value to the model. However, high-frequency functions may be useful to designers for idea generation and other design activities, warranting their inclusion in the function model. In order to address the usefulness of these high-frequency functions, user studies are currently being conducted to understand if these functions affect the interpretability of function structures (Thomas et al., 2009) and if they enhance designer's creativity in conceptual design.

Function structures and function lists have both been used to model the functionality of consumer products, but each captures different information about the product. Function structures capture a product's intended flows, use a more expressive language of flows, and allow multiple inputs and outputs to individual functions, but they capture only system-level functions. Function lists do not capture designer's intent, the connectedness between functions, and free language terms, but they do support both system-level and component-level functions. The differences between these representations are being further explored to understand the usefulness of the information to designers. The two distinct representations may be combined into a single representation,

potentially increasing the reasoning capabilities of repository tools.

REFERENCES

- Bohm, M.R., Stone, R.B., & Szykman, S. (2005). Enhancing virtual product representations for advanced design repository systems. *Journal of Computing and Information Science in Engineering* 5(4), 360–372.
- Bohm, M.R., Vucovich, J.P., & Stone, R.B. (2008). Using a design repository to drive concept generation. *Journal of Computing and Information Science in Engineering* 8(1), 014502–014501.
- Brown, D.C., & Blessing, L. (2005). The relationship between function and affordance. *Proc. 17th Int. Conf. Design Theory and Methodology*. New York: ASME.
- Chandrasekaran, B., & Josephson, J.R. (2000). Function in device representation. *Engineering With Computers* 16(3), 162.
- Collins, J.A., Hagan, B.T., & Bratt, H.M. (1976). Failure-experience matrix—a useful design tool. *Journal of Engineering for Industry Series B* 98(3), 1074–1079.
- Design Engineering Lab. (2008). *Design Repository*. Rolla, MO: Missouri University of Science and Technology, Design Engineering Lab. Accessed at <http://repository.designengineeringlab.org/> on February 22, 2008.
- Garbacz, P. (2006). Towards a standard taxonomy of artifact functions. *Applied Ontology* 1(3), 221–236.
- Grantham Lough, K.A., Stone, R.B., & Tumer, I.Y. (2008). Failure prevention in design through effective catalogue utilization of historical failure events. *Journal of Failure Analysis and Prevention* 8(5), 469–481.
- Hirtz, J., Stone, R.B., McAdams, D.A., Szykman, S., & Wood, K.L. (2002). A functional basis for engineering design: reconciling and evolving previous efforts. *Research in Engineering Design* 13(2), 65.
- Hubka, V., & Eder, W.E. (1988). *Theory of Technical Systems: A Total Concept Theory for Engineering Design*. New York: Springer-Verlag.
- Hubka, V., & Eder, W.E. (2001). Functions revisited. *Proc. 13th Int. Conf. Engineering Design*.
- Hundal, M.S. (1990). Systematic method for developing function structures, solutions and concept variants. *Mechanism & Machine Theory* 25(3), 243–256.
- Kirschman, C.F., & Fadel, G.M. (1998). Classifying functions for mechanical design. *Journal of Mechanical Design* 120(3), 475–482.
- Kurfman, M.A., Stone, R.B., VanWie, M., Wood, K.L., & Otto, K.N. (2000). Theoretical underpinnings of functional modeling: preliminary experimental studies. *Proc. 12th Int. Conf. Design Theory and Methodology*. New York: ASME.
- Leung, P., Ishii, K., & Benson, J. (2005). Modularization of work tasks for global engineering. *Proc. ASME 2005 Int. Mechanical Engineering Congress and Exposition*. New York: ASME.
- McAdams, D.A., Stone, R.B., & Wood, K.L. (1999). Functional interdependence and product similarity based on customer needs. *Research in Engineering Design* 11(1), 1–19.
- Mocko, G.M., Summers, J.D., Fadel, G.M., Teegavarapu, S., Maier, J.R.A., & Ezhilan, T. (2007). A modelling scheme for capturing and analyzing multi-domain design information: a hair dryer design example. *Proc. 16th Int. Conf. Engineering Design*.
- Nagel, R.L., Midha, P.A., Tinsley, A., Stone, R.B., McAdams, D.A., & Shu, L.H. (2008). Exploring the use of functional models in biomimetic conceptual design. *Journal of Mechanical Design* 130(12), 121102.
- Otto, K.N., & Wood, K.L. (2001). *Product Design Techniques in Reverse Engineering and New Product Development*. Upper Saddle River, NJ: Prentice Hall.
- Pahl, G., Beitz, W., Feldhusen, J., & Grote, K.H. (2007). *Engineering Design: A Systematic Approach*, 3rd ed. London: Springer-Verlag.
- Salton, G. (1988). *Automatic Text Processing: The Transformation, Analysis, and Retrieval of Information by Computer*. Reading, MA: Addison-Wesley.
- Sen, C., Caldwell, B.W., Summers, J.D., & Mocko, G.M. (2009). Topological information content and expressiveness of function models in mechanical design. *Proc. 29th Computers and Information in Engineering Conf.* New York: ASME.
- Sen, C., Caldwell, B.W., Summers, J.D., & Mocko, G.M. (2010). Evaluation of the functional basis using an information theoretic approach. *Artificial Intelligence for Engineering Design, Analysis and Manufacturing* 24(1), 87–105.

- Staab, S., & Studer, R. (2004). *Handbook on Ontologies*. New York: Springer.
- Stone, R.B., Turner, I.Y., & Stock, M.E. (2005). Linking product functionality to historic failures to improve failure analysis in design. *Research in Engineering Design* 16(1–2), 96–108.
- Stone, R.B., & Wood, K.L. (2000). Development of a functional basis for design. *Journal of Mechanical Design* 122(4), 359–370.
- Stone, R.B., Wood, K.L., & Crawford, R.H. (2000). A heuristic method for identifying modules for product architectures. *Design Studies* 21(1), 5–31.
- Stroble, J.K., Watkins, S.E., Stone, R.B., McAdams, D.A., & Shu, L.H. (2008). *Modeling the Cellular Level of Natural Sensing With the Functional Basis for the Design of Biomimetic Sensor Technology*, pp. 27–32. Piscataway, NJ: IEEE.
- Szykman, S., Racz, J.W., & Sriram, R.D. (1999). The representation of function in computer-based design. *Proc. 11th Int. Conf. Design Theory and Methodology*. New York: ASME.
- Thomas, J., Sen, C., Mocko, G.M., Summers, J.D., & Fadel, G.M. (2009). Investigation of the interpretability of three function structure representations: a user study. *Proc. 21st Int. Conf. Design Theory and Methodology*. New York: ASME.
- Ullman, D.G. (1992). *The Mechanical Design Process*. New York: McGraw–Hill.
- Ulrich, K.T., & Eppinger, S.D. (2008). *Product Design and Development*. New York: McGraw–Hill.
- Vermaas, P.E. (2007). The functional modelling account of stone and wood: some critical remarks. *Proc. 16th Int. Conf. Engineering Design*.
- Vucovich, J., Bhardwaj, N., Ho, H., Ramakrishna, M., Thakur, M., & Stone, R. (2006). Concept generation algorithms for repository-based early design. *Proc. 26th Computers and Information in Engineering Conf.* New York: ASME.

Benjamin W. Caldwell is a PhD student at Clemson University and an ASME Graduate Teaching Fellow. He completed his master's degree in May 2009 at Clemson University, where he also attained his bachelor's degree in mechanical engineering. Before entering graduate school, he worked at Electrolux Major Appliances where he gained design experience in refrigerator components. He is currently conducting research on consumer product functionality and usage in the field of mechanical design, specifically addressing the interactions among products and users and the relationship between these interactions and product functionality.

Chiradeep Sen is a PhD candidate in mechanical engineering at Clemson University. Chiradeep obtained an MS from Clemson University in 2009 and a BS in mechanical engineering from Jadavpur University, India, in 1995. Between 1995 and 2007 Chiradeep worked in industry where he de-

signed plastic and metal parts; lightweight packaging; injection molding systems; and design automation software for hot runners, centrifugal and reciprocating compressors, and steam turbines. His research interests are the automation of conceptual design; formal representation and reasoning in design; design experiments; knowledge-based and rule-based design; information, uncertainty, and complexity in design; and design education. Chiradeep is a student member of ASME.

Gregory M. Mocko is an Assistant Professor of mechanical engineering at Clemson University. He received his PhD in mechanical engineering from the Georgia Institute of Technology in 2006 with a concentration in computer-aided engineering and design, an MS in mechanical engineering from Oregon State University in 2001 with a focus on system health and failure, and a BS in mechanical engineering and material science from the University of Connecticut in 1999. The focus of his research is on aspects of information management, systems engineering, functional modeling and analysis, engineering requirements, and natural language processing. Dr. Mocko's research is supported by the National Institute of Standards and Technology, US National Science Foundation, BMW North America, Johnson Controls, and US Army TACOM.

Joshua D. Summers is an Associate Professor in mechanical engineering and IDEaS Professor at Clemson University, where he also codirects the Clemson Engineering Design Applications and Research Group. He earned his PhD in mechanical engineering from Arizona State University (design automation) and his MS (submarine design) and BS (fluidized bed design) from the University of Missouri. Dr. Summers previously worked at the Naval Research Laboratory (Virtual Reality Lab and Navy Center for Applied Research in Artificial Intelligence). His research has been funded by government, large industry, and small- to medium-sized enterprises. His areas of interest include collaborative design, knowledge management, and design enabler development with the overall objective of improving design through collaboration and computation.