



# Variation in provider compliance with sports restriction guidelines in children with an isolated bicuspid aortic valve

## Original Article

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### Abstract

**Background:** Published guidelines for sports restriction for children with a bicuspid aortic valve remain controversial. We sought to describe practice variation and factors influencing sports restrictions in these children. **Methods:** This retrospective single-centre study included children (7–18 years old) with an isolated bicuspid aortic valve at baseline from 1 January, 2005 to 31 December, 2014. Sports restrictions, factors potentially influencing decision-making, and outcomes were collected. Descriptive statistics and multivariable mixed-effects logistic regression models were performed with providers and patients as random effects. Provider variation was estimated using intraclass correlation coefficients. Odds ratios, 95% confidence intervals, and p-values were reported from the models. **Results:** In 565 encounters (253 children; 34 providers), 41% recommended no sports restrictions, 40% recommended high-static and high-dynamic restrictions, and 19% had no documented recommendations. Based on published guidelines, 22% of children were inappropriately restricted while 30% were not appropriately restricted. The paediatric cardiology provider contributed to 37% of observed practice variation ( $p < 0.001$ ). Sports restriction was associated with older age, males, greater ascending aorta z-score, and shorter follow-up interval. There were no aortic dissections or deaths and one cardiac intervention. **Conclusion:** Physicians frequently fail to document sports restrictions for children with a bicuspid aortic valve, and documented recommendations often conflict with published guidelines. Despite this, no adverse outcomes occurred. Providers accounted for a significant proportion of the variation in sports restrictions. Further research to provide evidence-based guidelines may improve provider compliance with activity recommendations in this population.

Individuals with a bicuspid aortic valve represent 0.5–2% of the general population. Potential risks associated with participating in exercise and sports are largely related to valve function, accelerated aortic aneurysm formation, and aortic dissection with intense exertion.<sup>1–9</sup> Because of these potential risks, guidelines have been published to aid health care practitioners in providing practical recommendations for sports participation.<sup>10,11</sup> The Bethesda Conference Guidelines for Sports Participation recommend avoiding high-intensity static or dynamic sports and sports with the potential for bodily collision for individuals with an isolated BAV (normal heart size and less than mild aortic stenosis or aortic regurgitation) associated with a dilated aortic root ( $\geq 40$  mm or the equivalent per body surface area in children [z-scores  $> 2$ ]).<sup>10</sup> Since there is little high-quality evidence supporting these sports restrictions, the guidelines are largely based on expert consensus, and the recommendations remain controversial.<sup>12,13</sup> The goals of this study were to describe the practice variation in sports restriction and to assess potential factors influencing these management decisions in children with an isolated bicuspid aortic valve.

### Materials and methods

This single-centre retrospective cohort study included children ( $< 18$  years old) with an isolated bicuspid aortic valve with minimal dysfunction ( $\leq$  mild aortic stenosis and/or aortic regurgitation) at diagnosis, evaluated over a 10-year period (1/1/2005 to 12/31/2014). We limited our cohort to children with isolated bicuspid aortic valve and minimal dysfunction for easier comparability. Encounters where there was  $>$  mild aortic stenosis or regurgitation were also

included, as long as the patient initially had  $\leq$  mild aortic stenosis and regurgitation at diagnosis. Children with aortic stenosis and regurgitation were included, as long as the degree of valve dysfunction was mild or less at the time of diagnosis. Children were not excluded based on their degree of aortic root or ascending aorta dilation. Children with other CHDs were excluded. Children with a genetic syndrome were also excluded. Study data were collected and managed using REDCap electronic data capture tools hosted at the University of Utah.<sup>14</sup> The University of Utah Institutional Review Board approved this study under a waiver of consent.

The echocardiographic database (Syngo Dynamics™, Siemens Medical Solutions USA, Inc., Ann Arbor, MI, USA) was searched for keyword “bicuspid aortic valve” to obtain a list of potentially eligible patients. The electronic medical record was reviewed to determine inclusion and exclusion criteria.

The outcome was paediatric cardiology provider recommendation regarding sports restriction at the time of a clinic encounter, as documented in the electronic medical record. Although the older 36<sup>th</sup> Bethesda Conference Guidelines for Sports Participation were used as appropriate for the study period, these were very similar to the updated guidelines,<sup>10,11</sup> with essentially identical criteria for restriction of aortic stenosis and regurgitation. Differences include that more recent guidelines state that athletes with severe aortic stenosis may participate in low-intensity sports, and athletes with severe aortic regurgitation can participate in competitive sports if there is normal exercise tolerance, no cardiac dysfunction, or progressive left ventricular dilation, instead of no sports participation. For aortic dilation, both guidelines base their restriction recommendations on the degree of aortic dilation, though z-scores and smaller dimensions for restriction for females were implemented in the more recent guidelines. Restriction categories were none, high-static or high-dynamic, moderate or greater intensity, low or greater intensity, or not documented in the medical record.<sup>10</sup> If a specific sport was restricted, this sport's restriction category was translated into the category of restriction.

Potential predictors for sports restriction included paediatric cardiology provider, age at encounter, sex, bicuspid aortic valve morphology, aortic stenosis, aortic regurgitation, and aorta z-scores (aortic root, ascending aorta). The outcomes were aortic dissection, endocarditis, and death. We also collected data on surgeries and procedures to address aortic valve dysfunction and aortic root or ascending aorta dilation. Paediatric cardiology provider recommendations included the follow-up interval, use of non-invasive imaging (echocardiogram or cardiac MRI), and medication usage.

### Statistical methods

We included every encounter  $\geq 7$  years of age since this was estimated to be the time when children are likely to become involved in sports. Last carried forward single imputation was used to estimate missing values for time-varying variables including aortic root z-score, ascending aorta z-score, aortic stenosis, and aortic regurgitation. Descriptive statistics were summarised using mean ( $\pm$  standard deviation) or median (interquartile range) for continuous variables depending on distribution skew. Categorical variables were summarised using frequencies and percentages. Univariable and multivariable logistic mixed-effect models were used to evaluate the association of the predictor variables and the outcome category of sports restriction. Two separate logistic

mixed-effect models were constructed, where one model estimated the odds of “sports restriction” versus “no restriction,” and the other estimated the odds of “not documented” versus “no restriction.” Random effects for both patients and providers were included in these models. Odds ratios, 95% confidence intervals and p-values were reported from the models. Firth's penalised logistic regression was used to obtain inferential results for the ascending aorta z-score variable due to quasi-separation.<sup>15</sup> The intraclass correlation coefficient was calculated with and without adjusting for covariates to describe the relative contributions of providers and patients, and the impact of including covariates. All statistical analyses were performed using SAS 9.4 (SAS Inc., NC). Statistical significance was assessed at the 0.05 level, and all tests were two-tailed.

## Results

### Study population

The 253 patients who met study criteria had 565 encounters among 34 paediatric cardiology providers. The average age of patients for all encounters was  $11.5 \pm 3.1$  years (range: 7 to 17 years), and 78% were male. The majority of patients had right/left fusion BAV morphology at 74% versus 26% with right/non-fusion BAV morphology. More than mild aortic stenosis was present for 2.6% of encounters, and  $>$ mild aortic regurgitation was present for 0.7% of encounters. The majority of encounters had aortic root and ascending aorta z-scores that were not dilated at 81 and 54%, respectively. The average aortic root and ascending aorta z-scores were 0.8 (standard deviation  $\pm 1.4$ ) and 2.2 (standard deviation  $\pm 1.8$ ). Patients were followed for an average of  $4.1 \pm 2.4$  years. Aortic root and ascending aorta dilation were previously studied in this cohort. No clinically significant progression in aortic dilation was observed for this cohort, with ascending aorta z-score increasing by 0.1/year and no significant change to the aortic root z-score.<sup>16</sup> Only 11 patients ( $<1\%$ ) were on medications that potentially target aortic enlargement, including 7 patients on angiotensin-converting enzyme inhibitors, 4 patients on angiotensin receptor blockers, and 1 patient on a beta-blocker medication.

### Sports restriction recommendations

Overall, paediatric cardiology providers recommended no sports restrictions for 41% of encounters, high-static and high-dynamic restrictions for 40% of encounters and failed to document any recommendation regarding restrictions for 19% of encounters. No moderate-static and moderate-dynamic restrictions or low-static and low-dynamic restrictions were recommended for any encounter (Table 1). Males were restricted in a larger proportion of encounters (47% versus 15% in females,  $p < 0.01$ ).

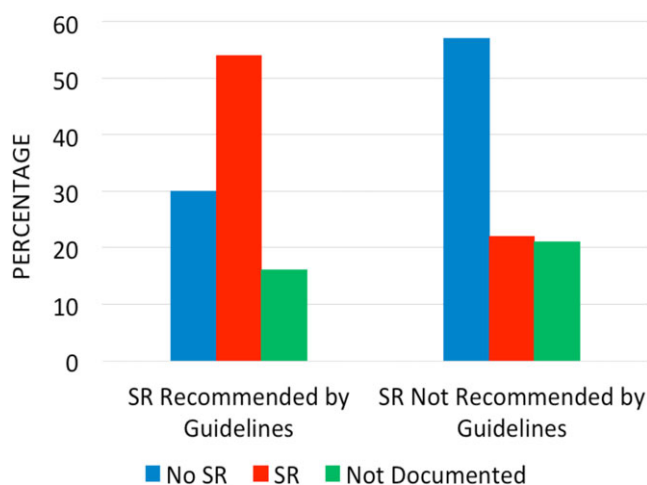
For those encounters where the 36<sup>th</sup> Bethesda Conference Guidelines<sup>10</sup> recommended sports restrictions, 54% of providers complied with the recommendations and documented high-static and high-dynamic sports restrictions. However, despite the guidelines recommending sports restrictions, 30% of providers documented that they recommended no restrictions and 16% had no documented recommendations (Fig 1).

For encounters where the Bethesda Guidelines recommended no sports restrictions<sup>10</sup>, 57% of providers also recommended no restrictions, but 22% restricted the child from sports, and 21% failed to document any recommendations regarding sports.

**Table 1.** Aggregate characteristics for sports restrictions for all encounters in children with a bicuspid aortic valve

Characteristic	Encounters with no restrictions (reference)	Encounters with sports restrictions*	Encounters with sports restrictions not documented	p-value
Total (N, %)	229 (41%)	231 (40%)	105 (19%)	
Age at encounter (years, mean $\pm$ SD)	11.1 $\pm$ 3.0	12.0 $\pm$ 3.1	11.0 $\pm$ 3.2	<0.01
Dilated aortic root (z-score $\geq$ 2, N, %; mean $\pm$ SD)	29 (13%) 0.53 $\pm$ 1.23	59 (26%) 1.15 $\pm$ 1.54	19 (18%) 0.72 $\pm$ 1.49	<0.01
Dilated ascending aorta (z-score $\geq$ 2, N, %; mean $\pm$ SD)	83 (36%) 1.61 $\pm$ 1.53	169 (73%) 2.87 $\pm$ 1.84	50 (48%) 1.80 $\pm$ 1.66	<0.01
Aortic stenosis, $\leq$ mild (N, %)	229 (100%)	228 (99%)	104 (99%)	0.24
Aortic regurgitation, $\leq$ mild (N, %)	227 (99%)	220 (95%)	103 (98%)	0.03
Bicuspid aortic valve morphology (right/left fusion, N, %)	167 (73%)	175 (76%)	72 (70%)	0.48
Recommended follow-up interval (years), (mean $\pm$ SD)	1.9 $\pm$ 1.0	1.3 $\pm$ 0.7	1.6 $\pm$ 1.0	<0.01
Cardiac imaging obtained at encounter (N, %)	213 (93%)	225 (97%)	92 (88%)	<0.01

\*High-static and high-dynamic sports restrictions.

Sports Restrictions (SR) by 36<sup>th</sup> Bethesda Conference Recommendation

**Figure 1.** Sports Restrictions by 36<sup>th</sup> Bethesda Conference Recommendation: The proportion of high-static or high-dynamic sports restrictions recommended, no sports restrictions recommended or sports restrictions not documented by provider, categorised by whether sports restrictions were indicated by the 36<sup>th</sup> Bethesda Conference. SR = sports restrictions.

### Sports restrictions by patient characteristics, valvar, and aortic anatomy

Recommendations for sports restrictions were more frequent in older children with an average age of 12.0  $\pm$  3.1 years ( $p < 0.01$ , Table 1). When categorised by age 7–12 years versus  $\geq 12$  years, providers for 45% of encounters in the 7–12 years recommended no restrictions versus 36% of encounters with age  $\geq 12$  years. Conversely, providers for 48% of encounters for  $\geq 12$  years had restrictions versus 34% in the 7–12 years. No sports restrictions were recommended by providers in 21% of encounters for 7–12 years versus 16% for  $\geq 12$  years.

The aortic root at the level of the sinuses of valsalva was dilated for 26% of encounters where restrictions were recommended (mean  $\pm$  standard deviation: 1.15  $\pm$  1.54) versus 13% of encounters

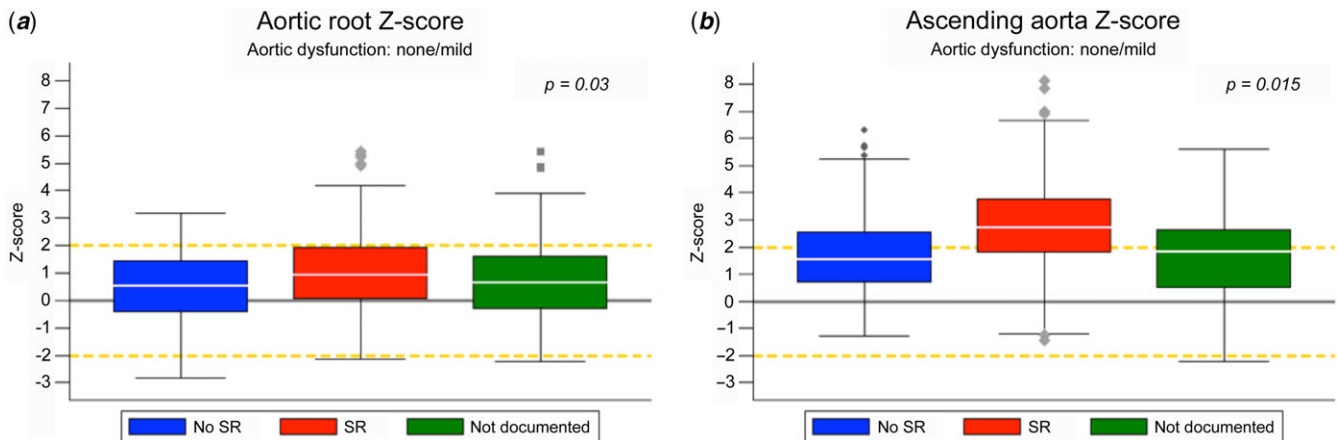
where no restrictions were recommended (mean  $\pm$  standard deviation: 0.53  $\pm$  1.23) and 18% of encounters with no documented recommendations (mean  $\pm$  standard deviation: 0.72  $\pm$  1.49) ( $p < 0.01$ ). The ascending aorta was dilated for 73% of encounters with restrictions (mean  $\pm$  standard deviation: 2.87  $\pm$  1.84) versus 36% of encounters with no restrictions (mean  $\pm$  standard deviation: 1.61  $\pm$  1.53) and 48% of encounters with no recommendation regarding sports participation (mean  $\pm$  standard deviation: 1.80  $\pm$  1.66) ( $p < 0.01$ ). BAV morphology was not significantly different between restriction recommendations ( $p = 0.48$ ).

Over 98% of all encounters had  $\leq$  mild aortic stenosis, and the prevalence was similar among the 3 recommendation categories: 1) sports restrictions, 2) no sports restrictions, and 3) no documented recommendation ( $p = 0.24$ , Table 1). Mild or less aortic regurgitation was present for 95% of encounters where the provider recommended high-static or high-dynamic restrictions, 99% where the provider recommended no restrictions, and 98% where the provider failed to document recommendations ( $p = 0.03$ , Table 1).

For encounters where the child had  $\leq$  mild aortic stenosis or aortic regurgitation, the aortic root and ascending aorta z-scores were significantly higher for encounters with high-static or high-dynamic sports restrictions compared to no restrictions and failure to document recommendations ( $p = 0.03$  and  $p = 0.02$ , respectively, Fig 2). As opposed to the recommendations in the Bethesda Guidelines,<sup>10</sup> the provider recommended no competitive sports restrictions (Table 1) in 36% of encounters where the child had a dilated ascending aorta. Conversely, paediatric cardiology providers recommended sports restrictions for 22% of encounters where the individuals had  $\leq$  mild aortic stenosis or aortic regurgitation and no aortic root dilation (Fig 1).

### Association of sports restrictions and follow-up recommendations

The follow-up interval was shorter for encounters with recommendations of high-static or high-dynamic sports restrictions compared to no restrictions and to no documented recommendations (1.3  $\pm$  0.7 versus 1.9  $\pm$  1.0 versus 1.6  $\pm$  1.0 years, respectively;  $p < 0.01$  for each comparison). Non-invasive imaging was



**Figure 2.** (a) Aortic Root Z-scores with  $\leq$  Mild Aortic Stenosis or Regurgitation, Sorted by Sports Restriction Recommendations. SR = sports restrictions. p-value for detected difference in Z-score across No SR, SR and not documented groups. (b) Ascending Aorta Z-scores with  $\leq$  Mild Aortic Stenosis or Regurgitation, Sorted by Sports Restriction Recommendations. SR = sports restrictions. p-value for detected difference in Z-score across No SR, SR and not documented groups.

performed at most encounters regardless of the sports recommendation, including 97% of encounters with high-static or high-dynamic sports restrictions, 93% of encounters with no restrictions, and 88% of encounters with no documented recommendations ( $p < 0.01$  for both no restrictions and not documented versus sports restrictions, Table 1).

#### Independent predictors of sports restriction recommendations

Older age (odds ratio 1.3, 95% confidence interval 1.2–1.4), male sex (odds ratio 7.9, 95% confidence interval 3.0–21.1), shorter recommended follow-up interval (odds ratio 0.5, 95% confidence interval 0.4–0.7), and larger ascending aorta z-score (odds ratio 1.5, 95% confidence interval 1.2–1.8) were independently associated with recommendation for high-static and high-dynamic sports restrictions compared to no restrictions (multivariable analysis, Table 2). When controlling for clinical variables, encounters where the provider recommended no restrictions were similar to those where they failed to document sports recommendations.

#### Variability in provider recommendations

The individual providers and patients contributed significant variability to recommendations regarding sports restrictions. When comparing encounters where high-dynamic and high-static sports were restricted to encounters where no sports restrictions were recommended, providers accounted for 29% of variability on unadjusted analysis and increased to 37% on adjusted analysis. Individual patient accounted for 31% of the variation on the unadjusted and only 10% on the adjusted analysis ( $p < 0.01$  for all).

There were no adverse outcomes including deaths, aortic dissections, or episodes of endocarditis. For the entire cohort, only one individual had an intervention (aortic root replacement for a dilated aorta and severe aortic regurgitation at 16 years of age).

#### Discussion

Paediatric cardiology providers frequently failed to address sports recommendations for patients with an isolated bicuspid aortic valve and, when addressed, often failed to follow the 36<sup>th</sup> Bethesda Conference guidelines, which were the available guidelines during the study period.<sup>10</sup> Males, older age, and a dilated

ascending aorta were significantly associated with sports restrictions, and in general, individuals with restrictions were followed in the paediatric cardiology clinic at more frequent intervals. The individual provider and patient contributed significant variability in recommendations for restricting sports participation, with provider contributing more than patient to the variability.

Several studies showed no difference in aortic dilation between athletes and non-athletes with a bicuspid aortic valve over short-term follow-up, including one recent study in children.<sup>13,17,18</sup> Baleilevuka-Hart found children who met criteria for sports restrictions based on current guidelines were likely over restricted based on their low incidence of adverse events and absence of disease progression.<sup>12</sup> We found children who were older, males, had a dilated aorta, and more frequent follow-up, rather than severity of disease, were more likely to have sports restrictions. These observations may reflect the provider's perception of risk that is not supported by adverse outcomes with only one child undergoing an intervention during the 10-year study period. The more frequent sports restrictions given to individuals that were older and male may also reflect more prevalent competitive sports participation in these groups, though the observed 1-year difference in age between groups may not be clinically significant (11.1 versus 12.0 years for those without and with sports restrictions, respectively, Table 1). Potential reasons males are more likely to be restricted may be related to the relatively higher proportion of male versus female adolescents participating in moderate to vigorous physical activity<sup>19,20</sup> and competitive contact sports. In addition, biological sex is associated with differences in exercise performance.<sup>21,22</sup> Therefore, providers may assume that since males might perform in a given competitive sport at a higher level compared to females, more sports restrictions for males may be required.

There was also a significant difference found in the proportion of individuals of  $\leq$  mild aortic regurgitation between the sports restriction groups. However, the actual difference may not be clinically significant (99% for those with no sports restrictions, 95% for sports restrictions and 98% for those that did not have documented sports restrictions).

Overall, the most striking findings in this study were the frequent deviation from published guidelines, frequent failure to document sports recommendations, and provider variability. Deviation from the guidelines occurred in both directions with

**Table 2.** Characteristics associated with sports restrictions

Characteristics	Univariable analysis		Multivariable analysis	
	Odds ratio (95% CI)	p-value	Odds ratio (95% CI)	p-value
Age at encounter (years)	1.2 (1.0, 1.3)	<b>0.01</b>	1.1 (1.0, 1.2)	<b>&lt;0.001</b>
Sex (Reference: Female)	7.9 (3.0, 21.1)	<b>&lt;0.001</b>	4.9 (2.5, 9.4)	<b>&lt;0.001</b>
Aortic root z-score	1.7 (1.3, 2.3)	<b>&lt;0.001</b>	1.1 (0.9, 1.3)	0.39
Ascending aorta z-score	1.8 (1.5, 2.2)	<b>&lt;0.001</b>	1.4 (1.2, 1.6)	<b>&lt;0.001</b>
Aortic stenosis (Reference: ≤ mild)	6.7 (0.2, 206.8)	0.28	6.6 (0.2, 211.6)	0.28
Aortic regurgitation (Reference: ≤ mild)	4.2 (0.4, 44.5)	0.24	0.9 (0.2, 4.8)	0.94
Bicuspid aortic valve Morphology (Reference: right/left coronary fusion)	0.7 (0.3, 1.6)	0.41	1.1 (0.7, 1.8)	0.73
Recommended follow-up interval (years)	0.3 (0.2, 0.4)	<b>&lt;0.001</b>	0.6 (0.4, 0.7)	<b>&lt;0.001</b>
Non-invasive imaging Study obtained at Encounter (Reference: no)	1.8 (0.4, 7.3)	0.42	1.1 (0.4, 3.4)	0.83

providers recommending sports restrictions when not indicated, and recommending no sports restrictions when guidelines recommend the child should be restricted. While some may argue for “erring on the side of safety,” there may be equal risk in preventing a child from enjoying the health and psychosocial benefits of exercise and sports participation.<sup>23,24</sup> In addition, several recent studies failed to show strong evidence that supports physical activity leads to accelerated aortic dilation and aortic dissection, including in adult elite athletes and children, which may weaken the strength of these related sports restriction guidelines.<sup>13,17</sup> There is, therefore, a strong need for long-term study of bicuspid aortic valve disease progression in children who have participated in a wide variety of documented activities. By improving the level of evidence for sports restrictions, providers may be more willing to comply with guidelines for sports participation for children with a bicuspid aortic valve. This study is limited to a single centre and may not reflect practice at other centres. Sports restrictions may have been discussed, but not documented and, therefore, unavailable on chart review. Information on actual sports participation was not gathered for this study. Newer guidelines were published after our study period; however, these are similar to the 36<sup>th</sup> Bethesda Conference guidelines so unlikely to impact the results and conclusions of this study.<sup>10,11</sup>

## Conclusions

Significant variation is observed among paediatric cardiology providers for sports restrictions in children with a bicuspid aortic valve. Recommendations regarding sports restriction are often not documented, and, when documented, recommendations often fail to align with those from published guidelines. As no adverse outcomes occurred in this cohort, consistent with other studies, further investigation is needed to understand how deviation from sports restriction guidelines impacts long-term bicuspid aortic valve outcomes.

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**Conflicts of interest.** None.

**Ethical standards.** Human and animal experimentation did not occur in this study. The University of Utah Institutional Review Board approved this study under a waiver of consent.

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