

# The impact of parent socio-economic status on executive functioning and cortical morphology in individuals with schizophrenia and healthy controls

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**Background.** Relatively lower executive functioning is characteristic of individuals with schizophrenia. As low socio-economic status (SES) early in life (i.e. parent SES) has been linked with lower executive skills in healthy children, we hypothesized that parental SES (pSES) would be more strongly related to executive functioning in individuals with schizophrenia than in controls and have a greater impact on prefrontal cortical morphology.

**Method.** Healthy controls ( $n=125$ ) and individuals with schizophrenia ( $n=102$ ) completed tests assessing executive functioning and intelligence. The groups were matched on pSES, which was evaluated with the Hollingshead–Redlich scale. A principal components analysis (PCA) was conducted on 10 variables from six executive tests, yielding three specific components (fluency, planning and response inhibition). Voxel-based morphometry (VBM) was used to evaluate effects of pSES on gray matter (GM) concentration.

**Results.** Lower pSES was associated with lower scores across the three executive functioning components, and a significant group by pSES interaction was observed such that low pSES, in particular, affected individuals with schizophrenia. These effects remained significant when intellectual ability, education and self-SES (sSES) were added as covariates. VBM revealed that lower pSES was associated with reduced GM volume in several anterior brain regions, especially the superior frontal gyrus, in patients but not in controls.

**Conclusions.** These findings suggest that individuals with schizophrenia may be particularly vulnerable to the adverse impact of low pSES, in terms of both lower executive skills and reduced anterior GM volumes.

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**Key words:** Executive function, frontal lobes, schizophrenia, socio-economic status, voxel-based morphometry.

## Introduction

Growing up in an impoverished socio-economic environment is associated with environmental, health and developmental disparities in children that persist well into adulthood. Although genetic factors clearly affect brain development, there is also substantial evidence for early sociocultural influences on the risk of developing both schizophrenia (van Os *et al.* 2010) and relatively lower cognitive functioning (Nisbett *et al.* 2012). Socio-economic status (SES), in particular,

has been examined frequently for its impact on cognitive skills (Hanscombe *et al.* 2012). The effects of low SES are probably greater in some cognitive domains than others. Executive or ‘frontal lobe’ skills may be especially affected (Hackman *et al.* 2010). The neurological substrate for these skills includes diverse prefrontal regions that frequently show morphological abnormalities in schizophrenia (Eisenberg & Berman, 2010). The adverse effects of low SES may also be greater for some individuals than others, including individuals vulnerable to schizophrenia. We have suggested that a cardinal feature of schizophrenia is reduced canalization, or difficulty in getting development back on a normal trajectory following significant perturbations (Yeo *et al.* 1999, 2007). Consistent with

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this perspective, individuals at risk for schizophrenia have been found to be more influenced by such environmental issues as obstetric complications (McNeil *et al.* 2000) and marijuana (Habets *et al.* 2011) and alcohol use (Welch *et al.* 2011). The current study thus sought to test the hypothesis that low parental SES (pSES) has a greater adverse impact on executive skills and cortical morphology in individuals with schizophrenia than in healthy controls.

Impaired executive functioning is one of the most commonly noted deficits associated with schizophrenia (Eisenberg & Berman, 2010). Several different types of correlated skills are typically subsumed under 'executive' or 'frontal lobe' skills, including planning, monitoring, working memory, fluency, cognitive control and self-regulation/impulse control. Of course, other cognitive deficits are common in schizophrenia and recent large-scale factor analytic studies demonstrate the existence of a generalized cognitive deficit, in addition to deficits in secondary factors (Dickinson *et al.* 2011). Executive deficits may also be central to the endophenotype of schizophrenia (Gottesman & Gould, 2003) for several reasons. Executive ability is heritable (Friedman *et al.* 2008) and non-schizophrenic relatives of individuals with schizophrenia have relatively impaired executive performance (Snitz *et al.* 2006) and reduced prefrontal gray matter (GM) volumes (Goghari *et al.* 2010). Moreover, a study with first-episode patients showed that severe impairment of executive functioning was present at the beginning of the disease (Hutton *et al.* 1998). It is also important to note that executive deficits have important real-world consequences for individuals with schizophrenia. Lower executive skills predict reduced insight (Chan *et al.* 2012), reduced daily living skills (Puig *et al.* 2012) and reduced levels of remission (Hofer *et al.* 2011). Despite these prominent correlates, caution must be exercised in asserting the primary cognitive importance of executive deficits, as general intellectual ability ('g') correlates substantially with most measures of executive skill.

The manner in which low pSES might impact executive skill and its anatomical substrates is poorly understood. A meta-analysis of functional neuroimaging studies concluded that similar brain networks were activated in both individuals with schizophrenia and controls during performance of executive tasks, for example dorsolateral, ventrolateral and midline prefrontal regions, in addition to the anterior cingulate gyrus (Minzenberg *et al.* 2009). We are not aware of any studies that have specifically examined the impact of pSES on these brain structures, although studies of related social factors have started to emerge. Healthy children of healthy parents with low income were found to have reduced hippocampal volume in

a recent voxel-based morphometry (VBM) study (Hanson *et al.* 2011), but prefrontal regions were not examined. Another study focusing on adverse childhood experiences found that healthy adults with substantial early life stress had reduced anterior cingulate and caudate volumes (Cohen *et al.* 2006), and a related study noted that childhood emotional maltreatment was associated with reduced medial prefrontal cortex volumes (van Harmelen *et al.* 2010). An important question is whether the regions identified in these studies are affected by low pSES in individuals with schizophrenia.

The first aim of the current study was to investigate the association of pSES with executive function in both healthy controls and patients with schizophrenia. The results indicated a greater impact of pSES in patients than controls. To follow up this finding, the second major aim of the current study was to evaluate the impact of pSES on cortical GM in both groups using VBM.

## Method

### Participants

Data were collected from four different sites: the Mind Research Network/University of New Mexico in Albuquerque, New Mexico, the University of Minnesota, Massachusetts General Hospital, and the University of Iowa. Patients were recruited from hospitals and out-patient clinics associated with the sites. Patients with a history of neurologic or psychiatric diseases other than schizophrenia were excluded. Additionally, patients who experienced head injuries, a history of substance dependence or abuse, or an IQ  $\leq 70$  were excluded. All study participants underwent an extensive clinical diagnostic assessment that included either the SCID-I/P or the SCID-NP (First *et al.* 2002) or the Comprehensive Assessment of Symptoms and History (CASH; Andreasen *et al.* 1992). Control participants were recruited using flyers, newspaper advertisements and by word-of-mouth. For statistical purposes, ethnicity was quantified as 'minority' (African American, Asian, Native American, Hispanic/Latino, or mixed) or 'non-minority'. Patient and control groups did not differ in terms of age, handedness or pSES. As expected, however, controls had significantly more education than patients, better self-SES (sSES), and were more likely to be male and minority group members. Participants included 102 schizophrenia patients (76 male, 26 female) and 125 healthy controls (76 male, 49 female). Demographic information, including age, ethnicity, pSES and sSES, is shown in Table 1.

**Table 1.** Demographic information

	Controls	Patients	Significance
Age, years mean (s.d.)	32.39 (10.92)	34.36 (10.89)	n.s.
Education, years mean (s.d.)	15.35 (1.98)	13.26 (2.63)	< 0.001
Parental SES mean (s.d.)	2.70 (0.77)	2.82 (1.00)	n.s.
Self-SES mean (s.d.)	2.66 (0.53)	3.53 (0.98)	< 0.001
Sex (M, F)	76, 49	76, 26	0.03
Ethnicity (% minority)	11	24	0.01

SES, Socio-economic status; M, male; F, female; s.d., standard deviation; n.s., not significant.

Significance levels determined by an independent-samples *t* test or  $\chi^2$  analysis. Ethnicity was coded as 'minority' and 'not-minority'.

## Measures

### Cognitive tests

Participants completed several tests of diverse cognitive skills (Sponheim *et al.* 2010). However, only measures of intelligence and executive functioning are discussed in the current report, as these skills have been most frequently studied with respect to SES. For additional information on the neuropsychological assessments used in the current study, see Lezak *et al.* (2012). Intelligence was assessed with selected subtests of the Wechsler Adult Intelligence Scale III (WAIS-III; Wechsler, 1997): Block Design, Letter Number, Vocabulary, and Similarities. An estimate of intelligence was calculated from the average of these age-corrected subtest scaled scores. Executive skills were assessed with a battery of six tests, yielding a total of 10 variables. Verbal fluency was assessed with the letter fluency (letters F, A and S) and category fluency tests (animals, fruits) from the Delis–Kaplan Executive Functional System (Delis *et al.* 2001). Both total time and number of errors on the Trail Making Test B, a measure of processing speed, working memory and sequencing, were also assessed. A computerized version of the Tower of London test (Shallice, 1982) was administered to assess planning and problem solving. Three variables from this test were used: excess moves on the three-, four- and five-ring problems. The California Computerized Assessment Package (CalCap) taps processing speed, attention and executive skills (LaPointe *et al.* 2007). We included false positive errors from the Serial Pattern Matching 1 and 2 (Sequential Reaction Time SEQ1 and SEQ2) subtests, as false positive errors in part reflect impulsive

responding, a core component of executive skill. A measure of general intellectual functioning was obtained by averaging scaled scores from the four subtests of the WAIS-III.

### SES

SES is generally viewed in terms of capital, including material resources (financial capital), non-material resources such as education (human capital), and resources obtained through social connection (social capital) (Bradley & Corwyn, 2002). For the purposes of this study, pSES was calculated using the modified Hollingshead–Redlich scale (Hollingshead & Redlich, 1958). This scale established a 'global' rating of the highest SES level sustained for a significant period of time; it is based on occupation and educational level of both parents and comprises a five-point scale (1=highest, 5=lowest). Occasionally, classification involved some clinical judgment.

### Symptom scales

The global ratings for delusions and hallucinations on the Scale for the Assessment of Positive Symptoms (SAPS; Andreasen, 1983) provided a measure of positive symptoms. Negative symptoms were represented by the sum of global ratings for alogia, affective flattening, anhedonia and avolition on the Scale for the Assessment of Negative Symptoms (SANS; Andreasen *et al.* 1992). Disorganized symptoms were assessed through the global rating of formal thought disorder, bizarre behaviors and inappropriate affect on the SAPS.

### VBM

Analyses were conducted to assess the potential impact and importance of pSES on regional GM volumes. VBM was used to assess GM of the entire cortex, as opposed to a region of interest (ROI) analysis, which requires *a priori* selection of a few regions. VBM is a computerized structural magnetic resonance imaging (MRI) analysis technique that enables an unbiased voxel-by-voxel comparison of cortical volumes (Whitwell, 2009).

### MRI acquisition

Pulse sequences and field strengths (three sites at 1.5 T and one site at 3.0 T) implemented according to the scanner manufacturers (General Electric and Siemens) differed across the four sites. For a more details on the MRI parameters and differences across sites, see Segall *et al.* (2009).

### VBM analyses

All VBM procedures were conducted using Statistical Parametric Mapping (SPM, [www.fil.ion.ucl.ac.uk/spm](http://www.fil.ion.ucl.ac.uk/spm); Wellcome Department of Cognitive Neurology, UK), a program running through Mathworks (Matlab 7.2, MathWorks, USA). Each T1 image was segmented into GM, white matter and cerebrospinal fluid images using unified segmentation parameters (Ashburner & Friston, 2005). Total cortical GM volume measures were obtained as a result of this analysis. Unmodulated normalized GM images were smoothed using a 10-mm Gaussian kernel. (For a more comprehensive description of VBM preprocessing procedures used on this dataset, see Segall et al. 2009.) Multiple regressions were conducted to assess the effect of pSES on GM concentration within the schizophrenia group and the healthy control group. In each analysis, age, sex, ethnicity and image acquisition site (dummy coded) were entered as covariates. A false discovery rate (FDR) correction for multiple comparisons ( $p=0.05$ ) and a cluster size threshold of 10 voxels ( $K=10$ ) were used for all analyses. Regions significantly associated with pSES were examined next to determine whether GM variation in these regions was related to the executive function measures.

### Statistical analysis

All statistical analyses were conducted in SPSS version 17.0 (SPSS Inc., USA). A principal components analysis (PCA) with oblimin rotation (which allows for the emergence of correlated factors) was performed on the 10 executive function variables, from participants of both groups, to determine a smaller number of latent factors. Subsequently, multiple regression procedures were used to evaluate the relationship between pSES and cognitive skills, controlling for various extraneous factors (e.g. sex, age and ethnicity). We also report a secondary analysis with additional covariates (general intellectual ability, education level and sSES). As each of these additional covariates is related to the diagnosis of schizophrenia, we have substantially less power to detect group effects in this analysis. Thus, our initial analysis provides the most accurate estimate of effect sizes whereas the secondary analysis potentially provides insight into the robustness of any possible pSES effects.

### Results

Demographic characteristics of both groups are provided in Table 1. The patient group had significantly less education and lower sSES. It was also composed of relatively more males and more members of minority groups. Age and pSES did not differ across

groups. The largest percentage of our sample (47.6%) was at level-3 pSES. sSES and pSES were correlated at  $r=0.47$  ( $p<0.001$ ) in controls and  $r=0.44$  ( $p<0.001$ ) in patients. Specific diagnoses of individuals within the patient group were: paranoid ( $n=65$ ; 64%), undifferentiated ( $n=25$ ; 24%), disorganized ( $n=6$ ; 5%), schizophreniform ( $n=4$ ; 4%), residual ( $n=2$ ; 2%) and schizo-affective ( $n=1$ ; 1%). For descriptive purposes, the patient group obtained these mean scores on schizophrenia symptom scales: positive symptoms=4.97 (s.d.=2.78); negative symptoms=7.91 (s.d.=3.92), and disorganized symptoms=1.93 (s.d.=2.00). Test data for the executive ability variables (raw scores), the intellectual ability variable (average of scaled scores) and executive components are provided in Table 2.

A PCA of the 10 executive function measures revealed three components with an eigenvalue >1. These were retained as measures of executive function and the loadings of individual tests on these components are shown in Table 3. Component 1 (35.14% of total variance accounted for), labeled 'Fluency', had the highest loadings from the animals, total FAS and fruits fluency tasks. Component 2 (13.06%), labeled 'Planning', included Trails B: time, Trails B: errors, and excess moves on the three-, four- and five-ring versions of the Tower of London test. Component 3 (11.16% variance) was termed 'Inhibition' and had strong loadings from the CalCap SEQ1: False Positive and CalCap SEQ2: False Positive variables. Table 4 shows correlations among the three executive function components and correlations of each with intelligence.

A general linear model multivariate analysis was conducted with the three components. Dependent variables included the three executive functions; fixed factors included group, ethnicity, pSES and sex; age was entered as a covariate. The model also included an interaction of group and pSES. The overall model was significant, and there was a significant effect of group, as the patient group performed worse than the control group on each component. A main effect was noted for pSES across the three executive functioning components ( $F_{12,212}=3.79$ ,  $p<0.001$ ).

The main effect of pSES was significant for all three executive functioning components individually (Fluency:  $F_{4,214}=2.47$ ,  $p<0.05$ ; Planning:  $F_{4,214}=2.95$ ,  $p<0.05$ ; Inhibition:  $F_{4,214}=7.95$ ,  $p<0.001$ ). However, the interaction of group and pSES was also significant overall ( $F_{12,212}=3.02$ ,  $p<0.001$ ). The interaction of group and pSES was significant individually for Planning ( $F_{4,214}=4.60$ ,  $p<0.001$ ) and Inhibition ( $F_{4,214}=4.63$ ,  $p<0.001$ ). Within-group follow-up analyses of the interaction revealed a significant adverse effect for pSES among individuals with schizophrenia, but not controls, on these two executive components.

**Table 2.** Test performance of controls and patients with significance testing

Test variables	Controls Mean (s.d.)	Patients Mean (s.d.)	Significance
Fluency: Animals (number of words)	22.48 (4.51)	17.76 (4.95)	<0.001
Fluency: Fruits (number of words)	15.77 (3.94)	11.52 (3.46)	<0.001
Fluency: FAS (number of words)	42.16 (10.18)	34.86 (10.91)	<0.001
Trails B: Time (s)	55.50 (19.67)	94.88 (68.41)	0.004
Trails B: Number of errors	41 (0.83)	0.82 (1.28)	<0.001
Three-ring Tower of London: Excess moves	3.81 (4.53)	8.84 (9.74)	<0.001
Four-ring Tower of London: Excess moves	1.72 (2.29)	3.80 (3.87)	<0.001
Five-ring Tower of London: Excess moves	0.99 (2.05)	4.05 (7.89)	<0.001
CalCap SEQ1: False positive errors	0.86 (1.29)	2.02 (2.22)	<0.001
CalCap SEQ2: False positive errors	2.45 (1.69)	3.44 (2.15)	<0.001
Intelligence (mean scaled score)	12.39 (1.87)	9.54 (2.69)	<0.001
Executive components			
Verbal Fluency	0.52 (0.85)	-0.52 (0.954)	<0.001
Planning	0.39 (0.45)	-0.44 (1.32)	<0.001
Inhibition	0.26 (0.55)	-0.25 (0.94)	<0.001

CalCap, California Computerized Assessment Package; s.d., standard deviation.

Significance levels determined by independent-samples *t* tests. See Method section for test details.

**Table 3.** Structure matrix for test loadings on executive function components

	Fluency	Planning	Inhibition
Animals	-0.810	-0.326	-0.393
Total FAS	-0.789	-0.253	-0.191
Fruits	-0.823	-0.271	-0.184
Trails B: Time	0.554	<b>0.684</b>	0.392
Trails B: Errors	0.311	<b>0.566</b>	0.264
Excess moves: three rings	0.084	<b>0.685</b>	0.102
Excess moves: four rings	0.227	<b>0.764</b>	0.230
Excess moves: five rings	0.331	<b>0.717</b>	0.139
CalCap SEQ1: False positive errors	0.323	0.295	<b>0.799</b>
CalCap SEQ2: False Positive Errors	0.164	0.152	<b>0.851</b>

CalCap, California Computerized Assessment Package.  
Bold values indicate strongest test loadings.

An additional, supplementary general linear model multivariate analysis was performed adding three more covariates (WAIS-III mean scaled score, sSES and education level). Despite reduced power to detect main effects and interactions with the group variable due to the additional covariates, significant multivariate main effects were noted for group ( $F_{3,204} = 3.54, p = 0.016$ ) and pSES ( $F_{12,204} = 3.21, p < 0.001$ ), and also for their interaction ( $F_{12,204} = 2.11, p = 0.015$ ). Looking more closely at effects for each executive function variable, the main effect of pSES was significant

**Table 4.** Correlations between major cognitive measures, by group (patients/controls)

	Fluency	Planning	Inhibition
Planning	0.36***/0.01	-	
Inhibition	0.38***/0.11	0.24*/0.12	
Intelligence	0.55***/0.51***	0.55***/0.30**	0.41***/0.26**

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ .

for Planning ( $F_{4,206} = 3.74, p = 0.006$ ) and Inhibition ( $F_{4,206} = 5.85, p < 0.01$ ), whereas the interaction of pSES with group was significant only for the Inhibition variable ( $F_{4,206} = 4.03, p = 0.004$ ). These results indicate the robust nature of the pSES by group interaction, as it remains a significant predictor of overall executive function, even when central features of the extended phenotype of schizophrenia are covaried.

### Imaging results

Given the interactions described above, VBM analyses and correlations with overall GM volume were conducted independently in each group. pSES was negatively correlated with total cortical GM in the patient group after partialling age, sex and ethnicity, such that lower pSES categories were associated with reduced GM ( $r = -0.25, p = 0.01$ ); among controls, no relationship was observed ( $r = -0.04, n.s.$ ). Partial correlations also revealed that total cortical GM volume

**Table 5.** Voxel-based morphometry (VBM) analysis of the effects of parental SES (pSES) on gray matter (GM) volume in the patient group, controlling for age, sex and site. All regions indicated were significant at false discovery rate (FDR)  $p < 0.05$ . *K* indicates cluster size and *x*, *y* and *z* indicate the location of the peak significance for each cluster. See Fig. 1 for a graphic representation

Cluster	R/L	Lobe	TD label	BA	<i>K</i>	<i>t</i>	<i>x</i>	<i>y</i>	<i>z</i>
1	R	Frontal	Right medial frontal gyrus	8	1263	4.86	6	28	44
			Right medial frontal gyrus				6	40	44
			Left medial frontal gyrus				-4	22	52
2	L	Frontal	Left middle frontal gyrus	9	136	4.53	-32	34	40
			Left middle frontal gyrus				-42	20	48
			Left middle frontal gyrus				-46	8	52
3	L	Frontal	Left inferior frontal gyrus	9	200	4.45	-62	6	26
			Left precentral gyrus				-58	0	34
			Left precentral gyrus				-60	2	14
4	L	Frontal	Left middle frontal gyrus	46	100	4.42	-46	30	22
5	L	Occipital	Left inferior occipital gyrus		49	4.12	-38	-80	-12
		Occipital	Subgyral				-28	-76	-6
6	L	Frontal	Left precentral gyrus		49	4.01	-52	-12	34
7	R	Frontal	Right inferior frontal gyrus		40	3.91	50	26	20
8	R	Frontal	Right superior frontal gyrus		20	3.82	16	28	54
9	L	Temporal	Left middle temporal gyrus		27	3.81	-56	0	-24
10	R	Limbic	Right cingulate gyrus	32	13	3.77	6	14	34
11	R	Limbic	Right cingulate gyrus		23	3.74	6	-38	38
12	L	Limbic	Left cingulate gyrus		19	3.71	-10	-42	40
13	R	Frontal	Right superior frontal gyrus		15	3.71	18	16	64
14	L	Parietal	Left precuneus (gyrus)	7	19	3.68	-6	-64	56
15	L	Frontal	Left precentral gyrus	6	40	3.68	-42	-6	48
16	R	Frontal	Right inferior frontal gyrus		13	3.66	46	34	-8
17	L	Frontal	Left paracentral lobule		12	3.49	2	-34	58

R, Right; L, left; BA, Brodmann area; TD, Talairach Daemon.

was significantly correlated with Planning ( $r=0.30$ ,  $p=0.002$ ) and Inhibition ( $r=0.26$ ,  $p=0.01$ ) in the patient group; no significant relationships were observed in controls.

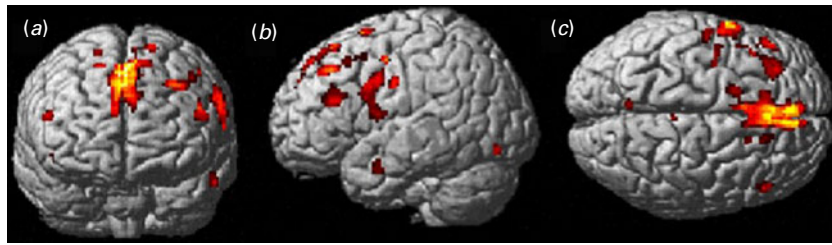
In VBM, regional analyses were localized using WFU-PickAtlas (Maldjian *et al.* 2003), a toolbox running in SPM5. In the patient group, smaller GM volumes were observed bilaterally in the frontal cortex and limbic lobe, and in select regions of the left temporal, occipital and parietal cortices. More specifically, smaller volumes in the right frontal cortex were observed in the medial frontal gyrus ( $t=4.86$ ), inferior frontal gyrus ( $t=3.91$ ,  $t=3.66$ ) and superior frontal gyrus ( $t=3.82$ ,  $t=3.71$ ). Smaller volumes in the left frontal cortex were observed in the middle frontal gyrus ( $t=4.53$ ,  $t=4.42$ ), inferior frontal gyrus ( $t=4.45$ ), precentral gyrus ( $t=4.01$ ,  $t=3.68$ ) and paracentral lobule ( $t=3.49$ ). Smaller volumes were observed in the right cingulate gyrus ( $t=3.77$ ,  $t=3.74$ ) and left cingulate gyrus ( $t=3.71$ ). Additionally, smaller volumes were observed in the left inferior occipital gyrus ( $t=4.12$ ), left middle temporal gyrus ( $t=3.81$ ) and left precuneus ( $t=3.68$ ). pSES was not positively correlated

with any GM volumes in this sample. Table 5 shows the corresponding Montreal Neurological Institute (MNI) coordinates, *t* values, cluster size and localizations of each cluster. Figure 1 shows these results displayed on axial slices.

Given these results, we next used VBM to evaluate the relationship between GM variations in these regions with the three executive function variables in the patient group. Rather than examining the total brain, we limited our analyses to the major regions indicated in Table 5. To do so we placed spheres centered on the coordinates for the three largest clusters, covering approximately 80% of the identified voxels significantly linked with pSES, again controlling for age, sex, ethnicity and site. No regions exceeded the FDR significance threshold for either group.

## Discussion

The central results of the current study are that (1) low SES in childhood was related to lower planning and inhibition skills in individuals with schizophrenia but not controls, and (2) low SES in childhood was related



**Fig. 1.** Voxel-based morphometry (VBM) analysis of the effects of parental SES (pSES) on gray matter (GM) volume in the patient group, controlling for age, sex and site. (a) Coronal view; (b) sagittal view of the left hemisphere; (c) axial view. All regions indicated were significant at false discovery rate (FDR)  $p < 0.05$ .

to reduced GM in diverse anterior brain regions, especially the superior frontal gyrus, in individuals with schizophrenia but not in controls. These cognitive results were specific to Planning and Inhibition, as no trend was noted for Fluency. Adding additional covariates correlated with the diagnosis of schizophrenia (reduced intellectual functioning, education and sSES) attenuated significance levels, as expected, but left intact the significant interaction of group with pSES. Overall, individuals with schizophrenia showed greater sensitivity to early environmental stress than controls, consistent with our hypothesis (Yeo *et al.* 1999, 2007) that reduced canalization, or reduced buffering to adversity, is central to the phenotype of schizophrenia.

Our negative VBM results relating regional GM density to executive function should be interpreted in the context of our prior report on group differences in regional GM morphology. Widespread GM reductions were found in the patient group, most prominently in the frontotemporal cortex (Segall *et al.* 2009), including the smaller set of regions we now find linked with pSES. The current VBM analyses show that most of the GM correlates of pSES in the patient group were in the prefrontal cortex. The largest cluster was in bilateral superior-medial frontal regions, followed by smaller clusters localized mostly to the anterior half of the left hemisphere. Follow-up VBM analyses revealed no significant association (positive or negative) of GM concentration with executive skills in the patient group. Perhaps this is not surprising, however, as the integrity of many other cortical regions may contribute to the observed levels of executive functioning. Consistent with this formulation, total cortical GM volume was correlated with Planning and Inhibition in patients, but not in controls. Furthermore, other aspects of superior-medial frontal regions besides GM concentration may be important. For example, variation in cortical surface area and thickness, the two determinants of volume, reflect different neurodevelopmental processes that could be more related to executive skill levels than volume or concentration

measures (Winkler *et al.* 2010). Our finding of superior-medial prefrontal GM reduction resembles the superior frontal gyrus GM volume reductions reported by van Harmelen *et al.* (2010), albeit for a somewhat different aspect of childhood environment, emotional maltreatment.

A wealth of important functions has been linked with superior-middle prefrontal regions, in addition to traditional cognitive skills. These include reality monitoring (Buda *et al.* 2011) and dynamic social comparison (Zink *et al.* 2008). Perhaps most important is the fact that this region seems to serve as a cortical hub, a hyper-connected region central to many functional brain networks (Hagmann *et al.* 2008) that seems to be abnormal in individuals with schizophrenia (van den Heuvel *et al.* 2010). Network models suggest that dysfunction of this region leads to a substantial reduction in the brain's global efficiency (van den Heuvel & Sporns, 2011).

The current results add to a growing body of research identifying non-genetic psychosocial risk factors for developing schizophrenia (van Os *et al.* 2010). Healthy adult controls do not seem to be adversely affected by low pSES, although other studies have reported important effects in healthy children (Hackman *et al.* 2010), a pattern consistent with the general reduction in the importance of shared environmental factors with increasing age (Haworth *et al.* 2010). Psychosocial interventions would thus be most effective if targeted at families specifically at risk for developing schizophrenia.

There are several important limitations to this study, and foremost among these is the nature of the specific cognitive variables used. Our measure of intelligence, although based on subtests from the WAIS-III, included primarily verbal tests. Fluency was also assessed with only verbal tests. Future investigations might benefit from the addition of more comprehensive estimates of intelligence and non-verbal measures of fluency. Similarly, our results are specific to the executive tasks used. Our executive measures did not emphasize working memory skill, which is a central

component of most models of executive functioning. Another important consideration stems from the use of pSES, a rather non-specific marker available to characterize environment. We do not know which components of pSES are most important. Important correlates of pSES that could plausibly impact cognitive functioning and morphology include prenatal health care, community or neighborhood variables linked with psychosocial stress, and parental emotional environment. It is also important to note that because our groups were matched on pSES, we cannot evaluate the risk of low pSES for developing schizophrenia. However, matching facilitates analysis of the impact of pSES on specific features of schizophrenia, avoiding the complexities of treating it as a covariate.

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### Declaration of Interest

None.

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