Off-plane motion of a prolate capsule in shear flow

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The objective of this study is to investigate the motion of an ellipsoidal capsule in a simple shear flow when its revolution axis is initially placed off the shear plane. We consider prolate capsules with an aspect ratio of two or three enclosed by a membrane, which is either strain-hardening or strain-softening. We seek the equilibrium motion of the capsule as we increase the capillary number Ca, which measures the ratio between the viscous and elastic forces. The three-dimensional fluid-structure interaction problem is solved numerically by coupling a boundary integral method (for the internal and external flows) with a finite element method (for the wall deformation). For any initial inclination with the flow vorticity axis, a given capsule converges towards a unique equilibrium configuration which depends on Ca. At low capillary number, the stable equilibrium motion is the rolling regime: the capsule aligns its long axis with the vorticity axis, while the membrane tank-treads. As Ca increases, the capsule takes a complex wobbling motion at equilibrium, precessing around the vorticity axis. As Ca is further increased, the capsule long axis oscillates about the shear plane, while the membrane rotates around a capsule cross-section that also oscillates over time (oscillating-swinging regime). The amplitude of the oscillations about the shear plane decreases as Ca increases and the capsule finally takes a swinging motion in the shear plane. It is found that the transitions from rolling to wobbling and from wobbling to oscillating-swinging depend on the mean energy stored in the membrane.

Key words: biological fluid dynamics, capsule/cell dynamics

1. Introduction

Capsules are small liquid droplets enclosed by a thin deformable elastic membrane. They are used to protect and transport the particle internal content. Many occurrences may be found in nature (cells, eggs, seeds), but capsules have also numerous applications in bioengineering, pharmaceutics and cosmetics.

Nowadays artificial capsules can be produced in large quantities by first creating an emulsion and then adding a cross-linking agent to form a membrane around the droplets (Chang, Macintosh & Mason 1966; Lévy *et al.* 1991, 1994, 1995; Edwards-Lévy, Andry & Lévy 1993, 1994; Andry, Edwards-Lévy & Lévy 1996). This results

in the fabrication of capsules that are approximately spherical in shape. However, non-spherical capsules have a higher surface-to-volume ratio than spherical ones (for the same internal volume) and could therefore be interesting to use in order to enhance mass transfer between the internal and external media (Schneeweiss & Rehage 2005). Nature has taken this course with red blood cells, which are small biconcave discs. Microfluidic systems have been developed recently to produce non-spherical artificial capsules. In particular, Xiang *et al.* (2008) and Liu *et al.* (2009) have fabricated oblate and prolate microcapsules with arbitrary aspect ratio. More recently, Koleva & Rehage (2012) have fabricated slightly oblate polysiloxane capsules with an aspect ratio of 0.97–0.99.

When an initially spherical capsule is suspended in a simple shear flow, it elongates in the straining direction, while the vorticity of the flow induces a tank-treading rotation of the membrane around a steady deformed shape (Barthès-Biesel & Rallison 1981; Ramanujan & Pozrikidis 1998; Lac et al. 2004; Li & Sarkar 2008). In the case of a slightly non-spherical capsule, Chang & Olbricht (1993) and Walter, Rehage & Leonhard (2001) have observed experimentally a more complex behaviour (the capsules used by Walter et al. have an aspect ratio of approximately 0.97). The capsule appears to have a tank-treading motion in the shear plane but undergoes small oscillations about the straining direction. This regime was also observed by Abkarian, Faivre & Viallat (2007) for red blood cells and is now called swinging. As the shear rate increases, the swinging regime evolves towards a tank-treading regime where the cell orientation is steady. At low shear rates, red blood cells have a solid-like tumbling motion, where they rotate as a solid body about the vorticity axis (Abkarian & Viallat 2008). Furthermore, Dupire, Socol & Viallat (2012) observed that the orbit of the red blood cell is unstable near the transition between the tumbling and the swinging regimes. Such an intermittent regime was also observed by Koleva & Rehage (2012).

Motivated by the experimental observations on red blood cells, numerical simulations have been carried out to understand the behaviour of non-spherical capsules in shear flow (Ramanujan & Pozrikidis 1998; Sui et al. 2008; Walter, Salsac & Barthès-Biesel 2011). These studies have considered the motion of an oblate capsule in a simple shear flow, in view of their relevance to red blood cells, Only Walter et al. (2011) have additionally studied the behaviour of a prolate capsule. In all of these numerical studies, the revolution axis of the capsule is initially positioned in the shear plane. Since the fluid inertia is either neglected or very small, Stokes flow conditions prevail and by symmetry the capsule axis must remain in the shear plane where it reaches an equilibrium periodic motion. These numerical models show that at low shear rate, the capsule rotates ('tumbles') about the vorticity axis as a quasi-solid body. As the shear rate increases, the capsule elongates in the maximum strain rate direction and the membrane rotates. However, since the initial geometry is not isotropic, the capsule elongation and orientation oscillate about mean values as observed experimentally in the swinging regime. The behaviour of prolate and oblate capsules is qualitatively the same, but the transition between tumbling and swinging occurs at lower shear rates for the oblate capsules (Walter et al. 2011).

For spheroidal capsules, there is another obvious equilibrium configuration, which occurs when the capsule revolution axis is perpendicular to the shear plane. From symmetry considerations, it is clear that in Stokes flow, the capsule axis must then remain parallel to the vorticity axis. The sections of the capsule parallel to the shear plane lose their initial circular shape and are elongated in the strain direction, while the membrane tank-treads about the steady deformed shape. We will call this motion mode rolling, with reference to Abkarian, Lartigue & Viallat (2001) and

Dupire *et al.* (2012). Of course in experiments, the capsule revolution axis is rarely aligned with either the shear flow or the vorticity axis. This raises the question of the mechanical stability of the motion of a capsule initially positioned with its axis in the shear plane or perpendicular to it.

The objective of this paper is thus to study the motion of a capsule in a simple shear flow when its revolution axis is initially positioned *off* the shear plane. We will consider prolate capsules and thus complement the work of Walter *et al.* (2011). The advantage of working with this geometry is that the tumbling-to-swinging transition occurs at higher shear rates for prolate than for oblate capsules, which facilitates the computations. In particular, we will demonstrate that the capsule typically deviates from the tumbling and swinging motions, when the revolution axis is initially placed outside the shear plane.

The motion of a capsule in a flow is a classical fluid–structure interaction problem. We use the numerical method developed by Walter *et al.* (2010) to treat this problem. This method, based on the coupling of a membrane finite element method for the capsule deformation with a boundary integral method for the internal and external flows, has been shown to be very precise and to remain numerically stable. The problem and the numerical method are briefly outlined in § 2. The behaviour of a prolate capsule initially positioned off the shear plane is presented in § 3 as a function of the shear rate. The effect of membrane law and aspect ratio on the capsule motion is shown in § 4. The results are then discussed in § 5.

2. Problem statement and numerical method

2.1. Problem statement

We consider an initially spheroidal capsule and denote by 2a the length of the revolution axis and by 2b the length of the two orthogonal axes. The capsule is prolate with aspect ratio a/b. We define a length scale $\ell=(ab^2)^{1/3}$ as the radius of the sphere with the same volume as the capsule. We shall consider two capsule shapes corresponding to a/b=2 ($a/\ell=1.587, b/\ell=0.794$) and a/b=3 ($a/\ell=2.08, b/\ell=0.693$), respectively. The reference frame based on the undeformed capsule principal axes is denoted $\mathbf{R}'(\mathbf{O}, \mathbf{e}'_x, \mathbf{e}'_y, \mathbf{e}'_z)$, where \mathbf{O} is the centre of mass of the capsule. Assuming that the revolution axis is along \mathbf{e}'_z , the capsule surface is given by

$$\left(\frac{x'}{b}\right)^2 + \left(\frac{y'}{b}\right)^2 + \left(\frac{z'}{a}\right)^2 = 1,\tag{2.1}$$

where (x', y', z') is the position of a membrane material point.

The capsule is filled with a Newtonian incompressible fluid with viscosity μ . It is freely suspended in an unbounded Newtonian incompressible fluid with the same viscosity μ . The external fluid is subjected to a simple shear flow with shear rate $\dot{\gamma}$ and undisturbed velocity

$$\boldsymbol{v}^{\infty} = \dot{\gamma} y \boldsymbol{e}_{x} \tag{2.2}$$

in the laboratory reference frame $R(O, e_x, e_y, e_z)$. The Reynolds number of the flow is assumed to be very small. Thus, the internal and external flows are governed by the Stokes equations. The symmetry of the problem and of the governing equations implies that, when the revolution axis of a capsule is initially in the shear plane or perpendicular to it, it remains as such.

At time $\dot{\gamma}t = 0$, the position of the capsule in space is defined by the angles between the basis vectors of frames \mathbf{R}' and \mathbf{R} . As shown in figure 1, we chose $(\mathbf{e}_x, \mathbf{e}_x') = 0$

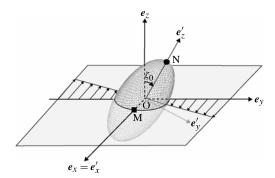


FIGURE 1. Reference configuration of the prolate capsule at $\dot{\gamma}t = 0$. The capsule inclination ζ_0 is the initial angle between the flow vorticity axis e_z and the capsule revolution axis e_z' . During the capsule deformation, we will follow the motion of two specific points of the capsule membrane: the point M is initially on the short axis e_x' (\blacksquare) and the point N on the long axis e_z' (\blacksquare).

and $(\mathbf{e}_z, \mathbf{e}_z') = (\mathbf{e}_y, \mathbf{e}_y') = \zeta_0$. This means that the capsule revolution axis initially makes an angle ζ_0 with the vorticity axis and an angle $\pi/2 - \zeta_0$ with the shear plane.

The capsule membrane is modelled as an isotropic hyperelastic surface with shear modulus G_s and area dilatation modulus K_s . Two types of membrane constitutive laws can be considered, where the material is either strain-softening or strain-hardening (Barthès-Biesel, Diaz & Dhenin 2002). A strain-softening membrane can be described by the neo-Hookean law (NH). The principal elastic tensions τ_1 and τ_2 are then given in terms of the in-plane principal stretch ratios λ_1 and λ_2 by

$$\tau_1 = \frac{G_s}{\lambda_1 \lambda_2} \left[\lambda_1^2 - \frac{1}{(\lambda_1 \lambda_2)^2} \right] \quad \text{(likewise for } \tau_2\text{)}. \tag{2.3}$$

The surface shear and area dilatation moduli are related by $K_s/G_s=3$. Conversely, a strain-hardening membrane can be described by the Skalak law (SK), initially proposed by Skalak *et al.* (1973) to model the red blood cell membrane

$$\tau_1 = \frac{G_s}{\lambda_1 \lambda_2} \left[\lambda_1^2 (\lambda_1^2 - 1) + C (\lambda_1 \lambda_2)^2 \left((\lambda_1 \lambda_2)^2 - 1 \right) \right] \quad \text{(likewise for } \tau_2 \text{)}. \tag{2.4}$$

The surface shear and area dilatation moduli are then related by $K_s = G_s(1 + 2C)$, where C is a constant such that C > -1/2. For C = 1 ($K_s/G_s = 3$), the two laws NH and SK lead to the same small deformation behaviour. Note that the Skalak membrane material can undergo surface-area changes while being strain-hardening.

The capsule motion and deformation are thus governed by the membrane constitutive law, the ratio of the area dilatation and shear moduli K_s/G_s , the particle initial aspect ratio a/b and initial orientation ζ_0 , and by the capillary number $Ca = \mu \dot{\gamma} \ell/G_s$, which measures the ratio between the viscous and the elastic forces.

2.2. Numerical method

The motion and deformation of the capsule are solved by means of the numerical technique developed by Walter *et al.* (2010). This method couples a membrane finite element method (for the mechanics of the capsule wall) with a boundary integral method (for the internal and external flows). The method is briefly described in this

subsection. More details on the procedure may be found in Walter *et al.* (2010) or in the book chapter (Barthès-Biesel, Walter & Salsac 2010).

At time $\dot{\gamma}t = 0$, the capsule is in its reference ellipsoidal shape, when we start the flow. We then perform a Lagrangian tracking of the position of the membrane material points over time. At a given time, the position of the material points is known and we may thus compute the stretch ratios λ_1 and λ_2 and the elastic tension tensor τ from (2.4). The load q exerted by the fluids on the membrane is found by using the finite element method to solve the membrane equilibrium equation

$$\nabla_s \cdot \tau + q = 0, \tag{2.5}$$

where ∇_s represents a surface gradient. The fluid velocity may be written as a boundary integral on the deformed surface S of the capsule

$$\boldsymbol{v}(\boldsymbol{x}) = \boldsymbol{v}^{\infty}(\boldsymbol{x}) - \frac{1}{8\pi\mu} \int_{S} \left(\frac{\boldsymbol{I}}{\|\boldsymbol{r}\|} + \frac{\boldsymbol{r} \otimes \boldsymbol{r}}{\|\boldsymbol{r}\|^{3}} \right) \cdot \boldsymbol{q}(\boldsymbol{y}) \, \mathrm{d}S(\boldsymbol{y}), \tag{2.6}$$

where v(x) is the velocity of the membrane point located at x, r = x - y and I is the identity tensor. An explicit second-order Runge-Kutta method is then used to integrate the velocity and obtain the new position of the membrane points at the following time step.

2.3. Discretization, stability and convergence

The surface of the capsule is discretized with triangular curved P_2 elements (figure 1). The mesh is initially generated for a spherical capsule by inscribing an icosahedron (regular polyhedron with 20 triangular faces) in a sphere. The elements are subdivided sequentially until the desired number of elements is reached (Ramanujan & Pozrikidis 1998; Walter *et al.* 2010). At the last step, nodes are added at the middle of all of the element edges and projected onto the sphere in order to generate the P_2 elements. The mesh is then deformed into an ellipsoid following (2.1). In the study, the capsule mesh has 2562 nodes and 1280 elements.

The numerical method is stable, when the time step satisfies the condition $\dot{\gamma} \triangle t < O(hCa)$, where h is the typical non-dimensional mesh size (Walter *et al.* 2010). We use $\dot{\gamma} \triangle t = 5 \times 10^{-3}$ for $Ca \ge 0.5$ and decrease the time step proportionally for lower Ca.

A capsule initially placed off the shear plane takes a very long time to reach the equilibrium state. Computational times of the order of $\dot{\gamma}t=10^2-10^3$ are therefore needed to capture the dynamics. With such long computational times, the numerical error may no longer be negligible. We thus monitor the relative error $\varepsilon_V=|V-V_0|/V_0$ on the capsule volume V, where V_0 is the capsule initial volume. For off-plane capsules, the error at $\dot{\gamma}t=100$ is $\sim O(10^{-2})$ for $Ca \leq 0.9$ and $O(10^{-3})$ for Ca > 0.9.

2.4. Analysis of results

Depending on the parameters, the capsule motion and deformation become complex and difficult to analyse. The global geometry of the capsule is evaluated by means of the ellipsoid of inertia of the deformed shape. We denote by L_i the half-lengths of the principal axes of the ellipsoid of inertia $(L_1 > L_2 > L_3)$ and v_i the corresponding unit vectors in \mathbf{R} ($v_1 = e_z'$ at time $\dot{\gamma}t = 0$ for a prolate capsule). The membrane rotation is measured from the motion of two points (figure 1):

(i) the point M is the Lagrangian position at time $\dot{\gamma}t$ of the membrane point that was initially located on the capsule short axis e'_x ;

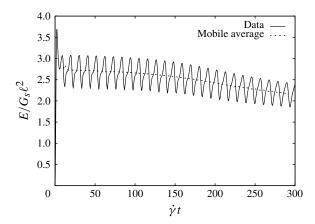


FIGURE 2. Time evolution of the elastic energy $E/G_s\ell^2$ stored in the membrane (solid line) for a C2SK capsule with $\zeta_0 = 85^\circ$ and Ca = 0.9. The mobile average (dotted line) is obtained with a non-dimensional period $\dot{\gamma}T = 21.55$.

(ii) the point N is the Lagrangian position at time $\dot{\gamma}t$ of the membrane point that was initially located on the capsule long axis e'_{z} .

The capsule global motion is measured from the position of the capsule tip P, which corresponds to the Eulerian position in \mathbf{R} of the intersection between the \mathbf{v}_1 axis and the membrane. At time $\dot{\gamma}t = 0$, the points N and P are superimposed. The projections of P in the shear xy-plane or in the xz-plane are denoted P' and P", respectively.

The capsule deformation can be analysed using the Taylor parameters

$$D_{ij} = \frac{L_i - L_j}{L_i + L_j} \quad (i, j = 1, 2, 3 \text{ and } i \neq j).$$
 (2.7)

Owing to the capsule initial ellipsoidal shape, the initial values of the Taylor parameters are $D_{23}^0 = 0$ and $D_{12}^0 = D_{13}^0 = (a-b)/(a+b) = 1/3$ for an aspect ratio a/b = 2 or 1/2 for a/b = 3. The overall deformation can also be measured by the elastic energy E stored in the capsule wall (Walter *et al.* 2011)

$$E(t) = \int_{S_0} w_s(\lambda_1, \lambda_2, t) \, \mathrm{d}S_0, \tag{2.8}$$

where w_s is the strain energy function per unit area of undeformed membrane and S_0 is the initial surface of the capsule.

In most cases, the capsule has a kind of gyroscopic motion, where it rotates and reorients itself. Correspondingly, the coordinates of any point, the membrane energy, the capsule deformation, etc. all have pseudo-periodic oscillations with amplitude changing over time. We have used a centred moving average method (Hay & Bull 2009) to smooth the data and to visualize the time evolution of the parameters (figure 2). This method replaces a value x(t) by its average over a period T centred around the time value t. Here, we define the period of the motion as the time required for a point initially at (x, 0, 0) to return on the e_x axis. Unless otherwise mentioned, all results pertain to quantities that are averaged over one period.

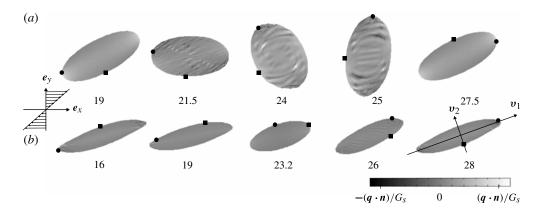


FIGURE 3. Capsule C2SK shape when $\zeta_0 = 90^\circ$: shape evolution over one half-period at steady state for Ca = 0.1 (a) and Ca = 2 (b). The grey scale corresponds to the normal component of the load $\mathbf{q} \cdot \mathbf{n}$ on the membrane. The maximum values of the normal load are $\mathbf{q} \cdot \mathbf{n}/G_s = 0.9$ (a) and 25 (b). The value of the non-dimensional time $\dot{\gamma}t$ is given below each shape. The points M (\blacksquare) and N (\blacksquare) were initially on the short and long axis, respectively (figure 1).

To simplify notation, we call C2SK and C3SK the capsules with a SK membrane of aspect ratios two and three, respectively, and call C2NH the capsules with a NH membrane of aspect ratio two.

3. Results

We first consider a prolate capsule a/b=2 enclosed by a SK (C=1) membrane, and study in detail the effect of the initial orientation ζ_0 and of the flow strength measured by Ca. The influence of the membrane law and of the aspect ratio will be briefly discussed in § 4.

3.1. Motion of a capsule with $\zeta_0 = 90^\circ$

Before studying the motion of a capsule initially placed off the shear plane with an arbitrary angle, we will first summarize the dynamics of a capsule when its revolution axis is initially positioned in the shear plane ($\zeta_0 = 90^{\circ}$). Walter *et al.* (2011) have shown that the long axis remains in the shear plane. They have also shown that the capsule motion is a function of the capillary number Ca. At low capillary numbers (Ca < 0.25), the capsule rotates about the vorticity axis like a quasi-solid particle; its cross-section with the shear plane exhibits small deformations (figure 3a). This regime is referred to as tumbling.

For Ca > 0.35, the capsule has a quasi-fluid behaviour. The angle of the capsule long axis with the streamlines and the capsule deformation oscillate about mean values (figure 3b), because of the geometrical anisotropy of the initial shape. This is the so-called swinging regime. As Ca increases, the membrane deformation increases and the long axis is tilted towards the streamlines. Furthermore, the oscillation amplitudes of the deformation and orientation also decrease with increasing Ca. Asymptotically, the capsule tends towards the pure tank-treading regime, where the membrane rotates around a steady deformed profile.

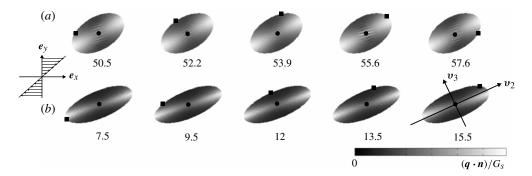


FIGURE 4. Capsule C2SK shape when $\zeta_0 = 0^\circ$: shape evolution over one half-period at steady state for Ca = 0.1 (a) and Ca = 0.6 (b). Same legend as in figure 3. The maximum values of the normal load are $\mathbf{q} \cdot \mathbf{n}/G_s = 0.5$ (a) and 2.5 (b).

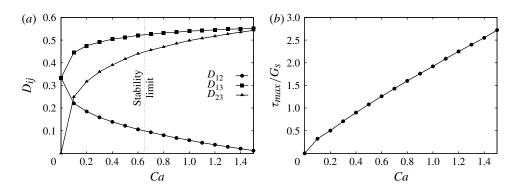


FIGURE 5. Capsule C2SK. Influence of the capillary number Ca on the rolling regime at $\zeta_0 = 0^\circ$: (a) capsule deformation estimated by the Taylor parameters D_{ij} , where the dotted line represents the stability limit; (b) maximum membrane tension τ_{max} .

3.2. Motion of a capsule with $\zeta_0 = 0^\circ$

There is no available study of the case where the revolution axis of the capsule is initially perpendicular to the shear plane and thus parallel to the vorticity axis $(\zeta_0 = 0^\circ)$. In this situation, the capsule long axis remains parallel to the vorticity for symmetry reasons. The shear flow exerts a viscous torque on the membrane and thus the capsule cross-sections parallel to the shear plane that were initially circular become elongated in the strain direction. The membrane then rotates around the steady capsule shape as shown in figure 4. This capsule motion is the same for all of the values of the capillary number and is called the rolling regime.

In order to further investigate the evolution of the capsule deformation, we have plotted the Taylor parameters calculated at steady state in figure 5(a). For low flow strength the principal direction v_1 is along the vorticity axis. The deformation within the shear plane is thus measured by D_{23} , which sharply increases from zero (initially circular cross-section) to a plateau value a little above 0.5 (of the same order as the maximum deformation for a spherical capsule in simple shear flow). The deformation in planes perpendicular to the shear plane are measured by D_{12} and D_{13} . The decrease of D_{12} with Ca is due to the pinching of the capsule by the straining effect of the

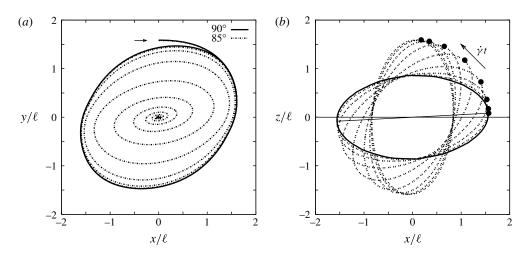


FIGURE 6. Motion of a C2SK capsule with $\zeta_0 = 85^\circ$ at Ca = 0.1: (a) Comparison of the trajectory of point P' in the shear xy-plane with the case $\zeta_0 = 90^\circ$. The arrow indicates the initial position of P'. (b) Evolution of the capsule shape in the xz-plane at the beginning of each period (solid line: capsule shape at $\dot{\gamma}t = 0$). The black point indicates the position of point P" at $\dot{\gamma}t = 5$, 22, 38, 55, 721, 89, 106 and 123.

shear flow. For $Ca \ge 1.5$, the capsule reaches a shape that is hardly influenced by the flow strength.

The maximum value τ_{max} of the principal elastic tensions within the membrane is shown in figure 5(b). We find that the elastic tension level and correlatively the risk of rupture increase quasi-linearly with Ca. The maximum is along the v_1 principal direction; it is located in the shear plane at the intersection of the capsule edge with the v_3 principal direction indicated in figure 4(b). This is where the rupture will most likely occur when the failure criterion of the membrane material is exceeded. The minimum of the principal tensions τ_{min} is found to be about zero for all of the values of Ca (data not shown). It is slightly negative until Ca = 0.4 ($\tau_{min}/G_s \in [-0.04, 0]$), so that the membrane undergoes moderate compression locally. This explains why wrinkles appear at the capsule apices along the long axis (i.e. v_1) in figure 4 for Ca = 0.1 and not for Ca = 0.6.

Although we have shown results for large values of Ca, we will see in the following that for Ca > 0.6, the rolling configuration is no longer mechanically stable.

3.3. Off-plane capsule at low flow strength ($Ca \le 0.6$)

When the capsule axis is displaced from the shear plane by a small angle of 5° ($\zeta_0 = 85^{\circ}$), the capsule long axis does not go back to the shear plane (see supplementary movie file movie 1 available at http://dx.doi.org/10.1017/jfm.2013.62). As shown in figure 6(a) for Ca = 0.1, the projection P' of the capsule tip in the shear plane moves away from the fixed trajectory reached for $\zeta_0 = 90^{\circ}$. It spirals around the flow vorticity axis e_z and eventually converges towards it. This is also apparent from figure 6(b), which shows the evolution of the projection P" of point P in the xz-plane. The stable equilibrium position is thus the rolling regime. It is the converging position for any off-plane orientation $\zeta_0 < 90^{\circ}$ (not shown). At equilibrium the capsule deformation and tank-treading motion are identical to those of the same

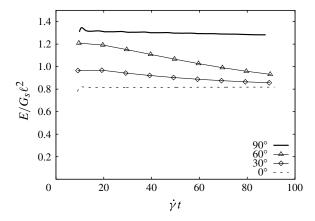


FIGURE 7. Capsule C2SK: time evolution of the elastic energy stored in the membrane $E/G_s\ell^2$, for various initial inclinations of the capsule with the shear plane (Ca = 0.5). The case $\zeta_0 = 90^\circ$ represents the mechanically unstable tumbling motion.

capsule initially positioned at $\zeta_0 = 0^\circ$ (figures 4 and 5), i.e. with its revolution axis initially along the vorticity axis.

As shown in figure 7, when the capsule is not constrained in the shear plane by symmetry, the elastic energy stored in the membrane decreases during the transient motion until it reaches the value for a rolling capsule. The equilibrium configuration is thus that for which the mean deformation (as measured by the energy) is the smallest. We also note in figure 7 that the initial orientation angle ζ_0 influences the time the capsule needs to reach its equilibrium position. Indeed, the smaller the initial angle ζ_0 , the smaller the time. The transient time until equilibrium also increases with the capillary number (not shown).

In conclusion we find that, for Ca up to 0.6, the mechanically stable situation corresponds to the rolling regime, a configuration where the capsule long axis is normal to the shear plane and the membrane tank-treads around it. Since the deformation is small at low capillary number, the capsule behaves almost as a solid ellipsoid and takes the position that dissipates the least energy (Jeffery 1922). Consequently, the tumbling motion found when the capsule axis is in the shear plane $(\zeta_0 = 90^\circ)$ is an unstable equilibrium state. Over long times, the accumulation of numerical errors is enough to slowly destabilize it. Considering the fact that in a suspension, the initial capsule orientation is usually random, we can expect that most of the capsules align their long axis with the flow vorticity and are eventually all in the rolling regime.

3.4. Transition at moderate flow strength (0.6 < Ca < 1)

For $Ca \ge 0.7$, the capsule no longer tends towards the rolling motion observed for lower values of Ca. Its motion is now a function of Ca.

For example, for Ca = 0.9 and different initial orientations $\zeta_0 \in]0^\circ, 90^\circ[$, the time evolution of the mean elastic membrane energy $E/G_s\ell^2$ shows that it converges towards a common equilibrium value (figure 8). For Ca = 0.9, this equilibrium state corresponds more or less to the motion that the capsule takes almost immediately (i.e. after a short transient) for an initial angle $\zeta_0 = 15-30^\circ$.

We choose, therefore, to examine in detail the motion of a capsule with $\zeta_0 = 15^{\circ}$ for Ca = 0.9 (see supplementary movie file movie 2). The capsule rotates as a whole

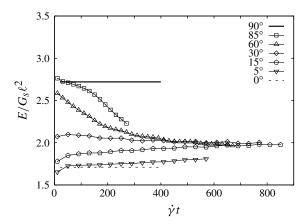


FIGURE 8. Capsule C2SK at Ca = 0.9: effect of the initial orientation on the time evolution of the elastic energy stored in the membrane $E/G_s\ell^2$.

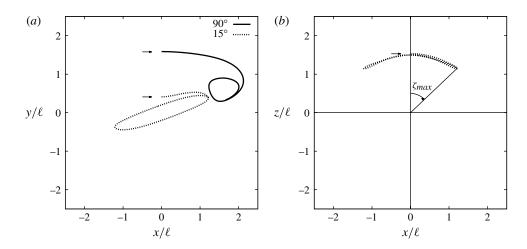


FIGURE 9. Motion of a C2SK capsule with $\zeta_0 = 15^\circ$ at Ca = 0.9 up to the end of the first pseudo-period $(0 < \dot{\gamma}t < 26)$: (a) trajectory of point P' in the shear plane (comparison with $\zeta_0 = 90^\circ$); (b) trajectory of point P" in the xz-plane for $\zeta_0 = 15^\circ$. The arrows indicate the initial position at $\dot{\gamma}t = 0$. The horizontal line at z = 0 represents the shear plane.

around the vorticity axis, while its tip P has a wobbling motion as shown in figure 9. Indeed, the projection P' of P in the shear plane follows a roughly elliptical trajectory (figure 9a), while the height of P above the shear plane oscillates (figure 9b). This is of course different from the swinging motion obtained for $\zeta_0 = 90^\circ$, where the tip of the capsule oscillates in the shear plane as shown in figure 9(a). We quantify this motion by means of ζ_{max} , which corresponds to the maximum angle between the capsule longest principal axis v_1 and the vorticity axis (in figure 9b, one can see the projection of the angle ζ_{max} in the xz-plane). The value of ζ_{max} depends on Ca as shown in figure 10(a). We retrieve the fact that for $Ca \leq 0.6$, $\zeta_{max} = 0^\circ$, which corresponds to the rolling motion. As the capillary number is increased above 0.6, the

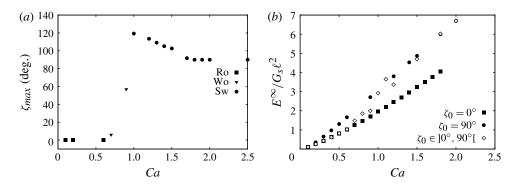


FIGURE 10. C2SK capsule. (a) Evolution of the maximum inclination ζ_{max} of the capsule longest axis with the vorticity axis at equilibrium as a function of Ca: Ro: rolling, Wo: wobbling, Sw: oscillating–swinging tending to pure swinging. (b) Evolution of the mean elastic energy E^{∞} stored in the membrane at equilibrium as a function of Ca for initially off-plane capsules (diamond). Comparison with the cases $\zeta_0 = 0^{\circ}$ (square) and 90° (circle).

capsule starts to precess around the vorticity axis with a maximum amplitude ζ_{max} , which increases sharply with Ca.

The evolution of the mean elastic energy stored in the membrane at equilibrium E^{∞} (figure 10b) also indicates clearly that the capsule bifurcates from the rolling regime ($\zeta_0 = 0^{\circ}$ curve) for $Ca \ge 0.7$. In the wobbling regime, the capsule deformation is still moderate but the energy of deformation is a little larger than the one that would be found in the rolling regime for the same Ca.

3.5. Off-plane motion at high flow strength ($Ca \ge 1$)

For a capillary number larger than one, we find another type of motion. For example, for Ca=1.5 and different initial orientations, the mean elastic membrane energy $E/G_s\ell^2$ converges in time towards a common value as shown in figure 11. This equilibrium state is reached after a short transient for an initial angle $\zeta_0=60^\circ$. The details of the motion of a capsule with $\zeta_0=60^\circ$ at Ca=1.5 are then shown in figure 12 (see supplementary movie file movie 3). The capsule assumes what we call an oscillating–swinging motion, where the tip of the capsule oscillates both about the shear plane (figure 12b) and within the shear plane about a mean inclination with respect to the flow direction (figure 12a). The rotational motion is now taken over by the membrane as is apparent from the trajectory of point N in the shear plane (figure 12a). This behaviour corresponds to values of $\zeta_{max} \geqslant 90^\circ$, as shown in figure 10(a).

As Ca increases, the amplitude of the oscillations about the shear plane decreases. For large values of the capillary number $Ca \ge 1.8$, the capsule positions its long axis in the shear plane ($\zeta_{max} = 90^{\circ}$) for any initial orientation ζ_0 : it undergoes the swinging regime described by Walter *et al.* (2011) and summarized in § 3.1. The convergence of the oscillating–swinging regime towards a pure swinging regime is also shown in figure 10(b): as Ca increases, the equilibrium elastic energy tends towards the values obtained in the swinging regime. The evolution of the capsule profile at equilibrium is therefore similar to the one shown in figure 3(b) for $\zeta_0 = 90^{\circ}$. The membrane tank-treads around the time-oscillating profile. However, even these oscillations decrease as Ca is increased: the capsule tends asymptotically towards a pure tank-treading motion at very large values of the capillary number.

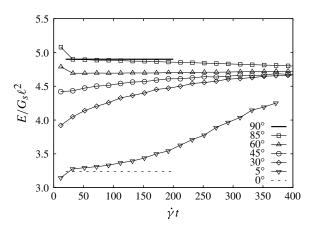


FIGURE 11. C2SK capsule at Ca = 1.5: effect of the initial orientation on the time evolution of the elastic energy stored in the membrane $E/G_s\ell^2$.

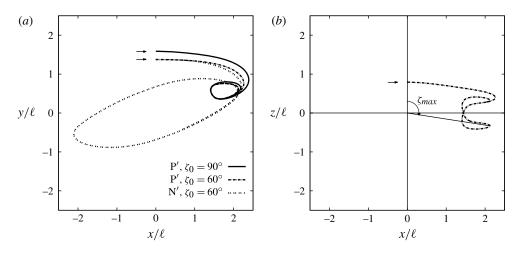


FIGURE 12. Motion of a C2SK capsule with $\zeta_0 = 60^\circ$ at Ca = 1.5 up to the end of the first pseudo-period $(0 < \dot{\gamma}t < 29)$: (a) trajectories of point P' (comparison with $\zeta_0 = 90^\circ$) and of point N', the projection of point N in the shear plane; (b) trajectory of point P" in the xz-plane. The arrows indicate the initial position at $\dot{\gamma}t = 0$. The horizontal line at z = 0 represents the shear plane.

3.6. Global effect of Ca

In conclusion, the motion and deformation of a prolate ellipsoidal capsule in shear flow depend in a complex way on the flow strength. There are two obvious equilibrium states for which the capsule keeps symmetry properties with respect to the shear plane and which correspond respectively to $\zeta_0 = 0$ or 90° . The mean equilibrium energy stored in the membrane E^∞ shown in figure 10(b) indicates that the energy is larger when the capsule axis is in the shear plane ($\zeta_0 = 90^\circ$) than when it is perpendicular to it ($\zeta_0 = 0^\circ$). However, the energy criterion is not enough to govern the equilibrium state of the capsule even in Stokes flow. Indeed the capsule motion is the result of nonlinear fluid–structure interactions. This may explain why

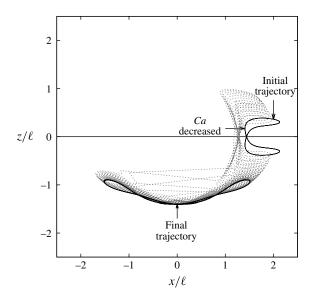


FIGURE 13. Time evolution of a capsule C2SK initially undergoing stable oscillating–swinging motion at Ca = 1.5, when the capillary number is suddenly changed to Ca = 0.9. The trajectory of the capsule tip P' in the xz-plane is followed in time.

there is a bifurcation from the rolling state towards the swinging state. During this transition, the capsule has first a quasi-solid wobbling motion followed by a quasi-fluid oscillating—swinging motion.

The question of the uniqueness of the equilibrium state then arises. In other words, is there an hysteresis effect? In order to give an answer to this question, we did the following experiment: starting from the oscillating-swinging equilibrium state found for Ca=1.5, we have suddenly reduced the capillary number to Ca=0.9. The resulting trajectory of the projection P" of the capsule tip in the xz-plane is shown in figure 13. We note that the amplitude of the oscillations of the capsule about the shear plane (x-axis) increases with time until the capsule switches to the wobbling motion. It converges towards the same configuration as obtained for a capsule initially at Ca=0.9 as shown in figure 9(b). If then we suddenly decrease Ca from 0.9 to 0.1, the capsule goes to the rolling regime described in § 3.3 (not shown). We thus conclude that the equilibrium states we find are unique.

4. Effect of membrane law and capsule aspect ratio

In order to assess the robustness of the results obtained with a SK law, we now consider a capsule with aspect ratio a/b=2 and a strain-softening NH membrane. We find again that for low flow strength ($Ca \le 0.5$), the stable mode of motion of the C2NH capsule is the rolling motion. In this regime, a capsule with a NH membrane is easier to deform than one with a SK membrane (figure 14). Indeed for the same value of Ca, the capsule deformation is larger for a NH membrane than for a SK one.

As shown in figure 15(a), for Ca = 0.6, the C2NH capsule has a wobbling motion followed by an oscillating-swinging motion for $Ca \ge 0.7$. However, for $Ca \ge 0.9$, the capsule does not seem to reach a steady trajectory. This is in agreement with the fact that there is no stable swinging regime in the shear plane for large values $Ca \ge 1$.

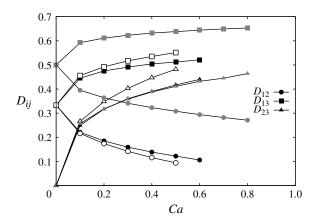


FIGURE 14. Influence of the capillary number Ca on the capsule deformation estimated by the Taylor parameters D_{ij} during the stable rolling regime $\zeta_0 = 0^{\circ}$. Open symbols: C2NH; black closed symbols: C2SK; grey closed symbols: C3SK.

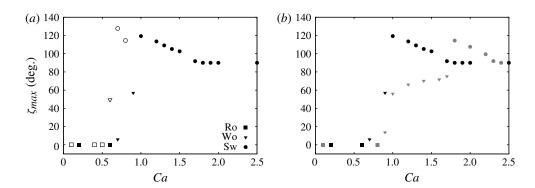


FIGURE 15. Influence of the constitutive law (a) and of the aspect ratio (b) on the maximum inclination ζ_{max} as a function of Ca: Ro, rolling; Wo, wobbling; Sw, oscillating–swinging tending to pure swinging; open symbols, C2NH; black closed symbols, C2SK; grey closed symbols, C3SK.

Indeed, there is a critical flow strength for which the strain-softening elastic tension cannot balance the large viscous tension applied by the fluid (Barthès-Biesel 2011).

The case of a capsule with a SK membrane and aspect ratio a/b = 3 is now considered. Note that since we consider equal volume capsules, the capsule dimensions are now $a/\ell = 2.08$ and $b/\ell = 0.693$. The capsule cross-section is thus smaller than it is for a/b = 2. The rolling motion is again found to be the stable regime for $Ca \le 0.8$. It is then followed by a wobbling motion for $0.9 \le Ca \le 1.7$ and by an oscillating-swinging motion with decreasing oscillation about the shear plane as Ca increases (figure 15b).

In conclusion we find the same qualitative motion (rolling followed by wobbling and eventually swinging with oscillations about the shear plane), irrespective of the capsule membrane law or aspect ratio. The main effect of these parameters is to change a little the values of Ca at transition. In particular, it seems that the main factor that triggers the transition from rolling to wobbling is the deformation of the membrane. Indeed,

from figure 14, we note that the last result of stable rolling motion before transition is obtained for roughly the same values of the three deformation parameters

$$D_{23} = 0.45 \sim 0.47$$
, $|D_{12} - D_{12}^0| = 0.23$, $D_{13} - D_{13}^0 = 0.16 \sim 0.22$. (4.1)

This means that it corresponds to the same mean elastic energy in the membrane $E/G_s\ell^2=1\sim 1.3$, which is rather small compared with the high levels of elastic energy reached in the swinging regime.

5. Discussion and conclusion

The study of the mechanical stability of the motion of a prolate ellipsoidal capsule under shear flow has provided new interesting results. We have found that for a prolate capsule in Stokes flow, the two obvious symmetric configurations where the capsule axis is either parallel or perpendicular to the shear plane do not always correspond to stable equilibrium states. Since in the Stokes regime, the dynamic time-dependent term is removed from the Navier–Stokes equations, the only way to test the stability of an equilibrium solution is to perturb it. We have adopted this method and shown that for low flow strength, the capsule assumes a rolling motion with its axis parallel to the flow vorticity, whereas for high flow strength, the swinging motion in the shear plane is stable. We have not tried to determine with a high precision, the values of *Ca* for which transition occurs. The critical value is obtained within an interval of 0.1.

For example, in the case of a capsule with a/b=2 and a SK (C=1) membrane, we find that for moderate flow strength (up to Ca=0.6), the stable equilibrium corresponds to the rolling regime: the prolate capsule orients its long axis parallel to the vorticity direction. For high flow strength $(Ca \ge 1.8)$, the capsule, however, places its long axis in the shear plane and follows a swinging regime with oscillations decreasing with Ca. In the intermediate range $(0.7 \le Ca \le 0.9)$, the capsule first exhibits a complex wobbling motion and precesses around the vorticity axis. Its long axis then makes a mean angle with the vorticity axis which increases with Ca. For Ca > 1, the capsule oscillates about the shear plane and assumes a swinging motion. The amplitude of the oscillations decrease with Ca.

Jeffery (1922) found that the final orientation of a rigid ellipsoidal particle suspended in an external flow was such that the viscous energy dissipation is minimum. Correspondingly, a prolate ellipsoid would have its long axis parallel to the vorticity. For small capillary numbers, the capsule behaves almost like a solid ellipsoid. It is thus not surprising that the stable equilibrium state, i.e. the rolling regime, corresponds to the Jeffery's regime. For Ca > 0.6, the capsule no longer converges towards the configuration that minimizes the viscous dissipation as can be surmised from figures 8 and 11. The membrane deformation plays an important role and the fluid-structure interactions dictate the equilibrium configuration. We have corroborated these results by studying other prolate capsules with either a different membrane law or a different aspect ratio. We find that all of these capsules have a stable rolling regime at low shear rate, from which they depart when a given level of deformation (or of elastic energy in the membrane) is reached. This allows us to surmise the role of the viscosity ratio η between the internal and external fluids. Using a viscosity ratio $\eta = 1$ simplifies significantly the computations which are then shorter. As we have studied the dynamic response of a capsule this is an appreciable advantage. For spherical capsules, it has been shown that $\eta < 1$ leads to a moderate increase of the capsule deformation of order 20% for the same value of Ca (Foessel et al. 2011). Thus, we can expect a low internal viscosity capsule to quit the rolling regime for values of Ca lower than those found for $\eta = 1$. Conversely as η increases above unity, the internal viscosity effect is to decrease the capsule deformability. We can thus expect that the stability limit of the rolling regime will increase with the internal viscosity.

Experimentally, for a given capsule population, the capsule shape (size ratio a/b and characteristic length ℓ), internal viscosity μ and membrane elasticity moduli (G_s, K_s) are fixed. Thus, the only way to increase Ca is through the shear rate $\dot{\gamma}$ and the external fluid viscosity (but then the viscosity ratio also changes while it is assumed to be unity in this study). Typical artificial capsules have a shear elastic modulus of the order of $G_s = 0.1$ to 1 N m⁻¹ (Chang & Olbricht 1993; Chu et al. 2011; Koleva & Rehage 2012; Zhang & Salsac 2012), while their size varies from $\ell = 30~\mu m$ to 1 mm. With these values, we have to apply a viscous stress $\mu\dot{\gamma}$ of the order of 100 Pa to obtain a capillary number of Ca = 0.1. At the same time, we have to keep the flow Reynolds number $Re = \rho a^2\dot{\gamma}/\mu$ small (where ρ is the fluid density). Experimental observations are best made at low values of the shear rate, typically $\dot{\gamma} < 10~\text{s}^{-1}$ so that the experimental time t is not too short (see, for example, Abkarian et al. 2007). Thus, high values of the shear stress are difficult to achieve unless the external fluid viscosity is very large. We conclude that it is challenging to reach large values of Ca experimentally.

Furthermore, artificial capsules tend to break up for deformation levels of order 2–10% (Chang & Olbricht 1993; Koleva & Rehage 2012) with a polymer membrane and of order 20–30% for a polymerized albumin membrane (Carin *et al.* 2003). It follows that although interesting from the theoretical point of view, the high *Ca* behaviour is not very likely to be observed. Thus, the most probable configuration that can be observed experimentally is the rolling regime.

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Supplementary movies

Supplementary movies are available at http://dx.doi.org/10.1017/jfm.2013.62

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