



cambridge.org/enc

Research Paper

Cite this article: Choudhary BB et al. (2022) Impact of soil and water conservation measures on farm productivity and income in the semi-arid tropics of Bundelkhand, central India. *Environmental Conservation* 49: 263–271. doi: [10.1017/S0376892922000352](https://doi.org/10.1017/S0376892922000352)

Received: 2 May 2022

Revised: 6 September 2022

Accepted: 6 September 2022

First published online: 22 September 2022

Keywords:

adoption; impact; propensity score matching; semi-arid region; soil and water conservation

Author for correspondence:


Dr Priyanka Singh,

Email: 89singhpriyanka@gmail.com

© The Author(s), 2022. Published by Cambridge University Press on behalf of Foundation for Environmental Conservation.



Impact of soil and water conservation measures on farm productivity and income in the semi-arid tropics of Bundelkhand, central India

Bishwa Bhaskar Choudhary¹, Inder Dev², Priyanka Singh² , Ramesh Singh³, Purushottam Sharma¹, Khem Chand^{1,4}, Kaushal K Garg³, KH Anantha³, Venkataradha Akuraju³, Sreenath Dixit³, Sunil Kumar¹, Asha Ram² and Naresh Kumar²

¹ICAR – Indian Grassland and Fodder Research Institute, Jhansi, 284 003, UP, India; ²ICAR – Central Agroforestry Research Institute, Jhansi, 284 003, UP, India; ³ICRISAT Development Centre, ICRISAT, Patancheru, 502324, Telangana, India and ⁴ICAR – National Institute of Agricultural Economics and Policy Research, New Delhi, India

Summary

Soil and water are crucial resources for agriculture, especially in arid and semi-arid rain-fed areas, yet farm-level economic impacts and the factors influencing the adoption of measures for their conservation are little studied. The present study used data from 400 farm households to assess factors influencing the adoption of soil and water conservation measures (SWCMs) and their impacts on farm productivity and income in a semi-arid region of central India. We employed a probit model to determine the factors influencing the on-farm adoption of SWCMs and a propensity score matching technique for assessing their impacts. The findings indicate that farmer age and education, off-farm income, farm size and land ownership and access to training are key drivers of the adoption of SWCMs. SWCMs accentuated the input costs by INR 1689–2847 per ha during the *rabi* cropping season (October–February), but also increased crop productivity and net revenue from farming. The impact in the *rabi* season was less sensitive to the unobserved confounders than in the *kharif* season (June–September). Therefore, SWCMs could represent an important strategy for unlocking the cultivation potential of large rain-fed areas and for sustaining the livelihoods of farm households in the ecologically fragile arid and semi-arid tropics.

Introduction

Soil and water are indispensable resources for the sustainability of agriculture and the environment, but they are under immense pressure due to the ever-increasing human population and changing climate (Singh et al. 2022). Globally, c. 52% of total productive land has been degraded, and annually c. 10 million ha of cropland becomes unproductive due to soil erosion (Gachene et al. 2019). The agricultural production system is at stake due to the continuously increasing pressure on underground water resources (Kumar et al. 2019, Sidhu et al. 2019). Moreover, changing climate is projected to accentuate water demand globally for irrigation by c. 40% (Kumawat et al. 2020). The emerging scenario of land degradation and acute water shortages could severely jeopardize global food security and reduce environmental quality. Therefore, judicious use as well as conservation of soil and water resources are more imperative than ever for sustaining agricultural production systems, particularly in rain-fed areas where soil moisture has always been a major factor limiting crop yields.

Rain-fed agriculture accounts for 80% of global agriculture and plays a critical role in food security, yet it is highly vulnerable to climate variability and change (Choudhary & Sirohi 2022). As opportunities for the expansion of irrigated land are limited, unlocking the potential of large cultivated rain-fed and dryland areas is important to address the potential challenges emerging from the burgeoning human population and the rising demand for food projected to occur over the next several decades (Garg et al. 2012).

Rain-fed farming in India is practised in two-thirds of the total cropped area and contributes nearly 44% of the nation's food production (Kumar et al. 2020); however, it suffers from multi-faceted challenges. Rising temperatures and erratic rainfall are likely to have negative impacts on the yields of rain-fed crops (Choudhary & Sirohi 2020). The cultivable lands of rain-fed areas are also characterized by poor soil fertility due to low organic and mineral contents and by being subject to top-soil erosion (Garg et al. 2012). These ecological problems are further aggravated by socioeconomic constraints including abject poverty, poor rural infrastructure and inadequate credit and extension services (Bouma & Scott 2006, Dar et al. 2007); these result in economic marginalization of the population that is dependent on rain-fed agriculture.

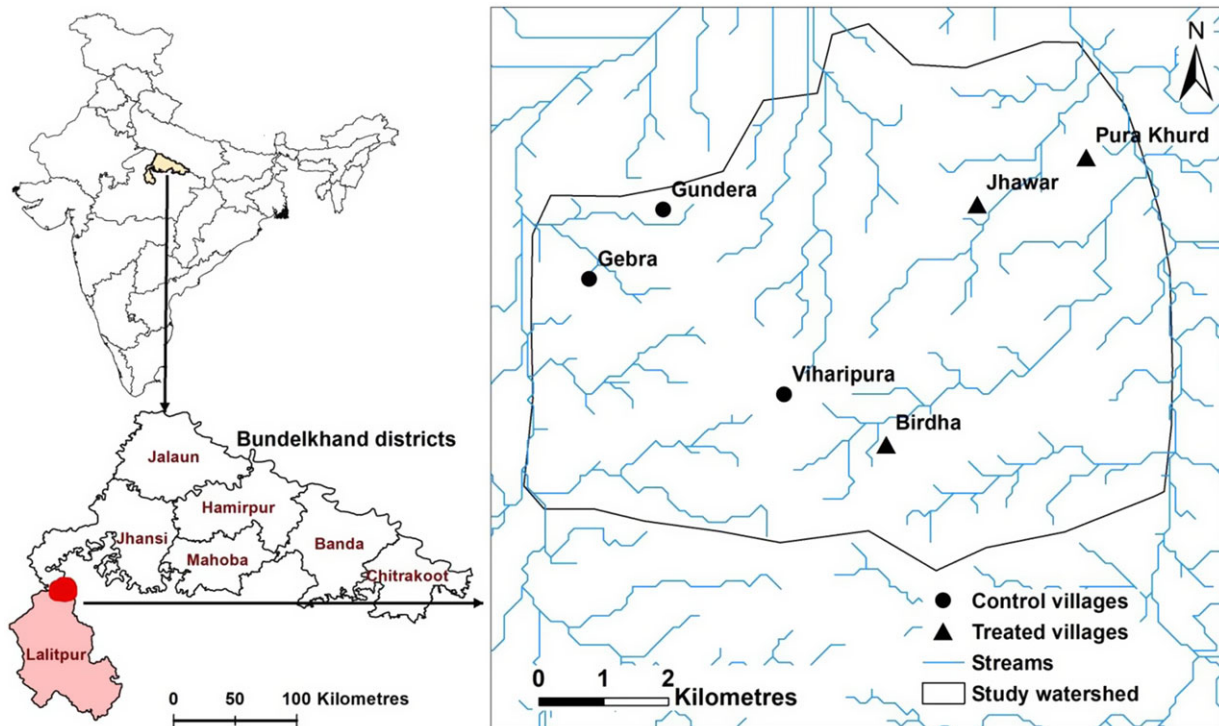


Fig. 1. The location of the study area.

Among various measures to enhance and sustain crop productivity in rain-fed areas, the adoption of appropriate soil and water conservation measures (SWCMs) in farms has widely been accorded prime importance (Nkegbe et al. 2011, Kumar et al. 2020). Some farm-level SWCMs that are extensively practised worldwide include contour bunding, field bunding, grass and tree planting on bunds, agroforestry and orchard plantation (Mishra et al. 2018); these limit top-soil loss and improve the quality as well as water retention capacity of soil (Mwango et al. 2015, Singha 2017).

Adoption of SWCMs may also increase crop productivity. Studies in Ethiopia (Yaebiyu et al. 2015), Latin America, the Middle East and sub-Saharan Africa (Barron et al. 2009), northern Ghana (Nkegbe & Shankar 2014) and China (Chen et al. 2013) have reported positive impacts of SWCMs on farm productivity and income.

In India, research and development programmes on SWCMs have been conducted over the past five decades across various regions (Joshi et al. 2005). Although there has been much research on the impacts of SWCMs on hydrological and various environmental and ecological parameters in rain-fed areas (Garg et al. 2012, Singh et al. 2014), evidence of farm-level impacts is currently limited in India. Kumar et al. (2020) improved our understanding of the impacts of soil conservation measures on crop yield to a considerable extent, but they did not account for the potential differences between adopters and non-adopters due to unobservable variables, the presence of which could lead to misinterpretations of the results (Caliendo & Kopeinig 2005).

Additionally, the factors influencing the farm-level adoption of SWCMs, particularly in the semi-arid tropics, are poorly explored. India ranks first among the rain-fed agricultural countries of the world in terms of both extent and value of produce (Rao et al. 2015), so investigating the drivers of the on-farm adoption of

resource conservation measures in rain-fed areas and their farm-specific impacts is imperative. The present study therefore sought to address two important research questions: (1) what are the key determinants that influence farmers' decisions on whether or not to adopt SWCMs? And (2) does it pay to adopt SWCMs in terms of productivity of crops and income from farming?

Material and methods

Study area, sampling procedure and data

Our analyses are based on primary survey data collected in the year 2020 from the Lalitpur district of the Bundelkhand region of central India. The district falls within the semi-arid tropics, receiving an average annual rainfall of *c.* 880 mm, of which the *kharif* season (June–September) received *c.* 90% and the remaining 10% is distributed throughout the remaining 9 months (October–May). The prevalent undulating topography, hard rock geology, low soil fertility, scarce groundwater resources and poor and erratic rainfall lead to frequent droughts and crop failures (Garg et al. 2020).

We purposively selected the three villages of Birdha, Purakhurd and Jhavar (Fig. 1) for our study because a broad set of soil and water conservation activities such as field bunding, plantation of fodder grasses on bunds and blocks, orchard plantation and agroforestry activities, farm pond construction, surface water harvesting and drainage channel construction were promoted there under the Knowledge-based Integrated Sustainable Agriculture Network Mission India for Transforming Agriculture (KISAN MITrA) project (Supplementary Annex S1, available online). Simultaneously, three contiguous non-project intervention villages, namely Gundera, Gebra and Viharipura, were identified as 'controls' to minimize potential biases in the estimands due to any spill-over effects between beneficiaries and non-beneficiaries. However, the

selected control villages had similarities with the treatment villages in their agro-climatic, infrastructural and socioeconomic circumstances. Household heads were stratified based on land size category in each village and then a probability proportional to size method was used to draw sample households from each village. Finally, the respondent household heads were selected by using a random sampling technique.

With the help of a pre-tested and well-structured schedule (administered in Hindi), we collected data from 1031 plots of 400 households, out of which 150 farmers were adopters of SWCMs (from treatment villages) and 250 were non-adopters (from control villages).

Analytical framework

Drivers of the adoption of soil and water conservation measures

Following the theory of expected utility, we assumed that a farmer's decision on whether or not to adopt a SWCM given the risk and uncertain prospects is based on the comparison of expected utility (Mercer 2004). Farmers will adopt and practise the promoted interventions if the expected utility from adoption (U_a) is greater than that derived from non-adoption (U_n). As measurement of utility is difficult, profit can be used as a proxy and, if combined with attitude to risk, farmers are described as maximizing the expected utility of profit rather than expected profit (Borges et al. 2015).

The utility derived from the adoption of SWCMs will have a binary choice component determined by observable characteristics X_i and a stochastic error term ε_i

$$I_i^* = \beta X_i + \varepsilon_i; I_i = 1, \text{ if } I_i^* > 0, \text{ and } 0 \text{ if otherwise.}$$

where I_i is a dichotomous variable for the adoption and β is a vector of parameters to be estimated. Farmers will adopt SWCMs if $I_i^* = U_a - U_n > 0$. The probability of adopting the technologies can then be estimated as:

$$\Pr(I_i = 1) = \Pr(I_i^* > 0) = 1 - D(-\beta X_i)$$

where $\Pr(I_i = 1)$ is the probability of adoption and D represents the cumulative distribution function for ε_i .

Estimation of outcome variables

We focused on four outcome indicators: per hectare gross revenue, net revenue, total variable (input) cost and productivity of major crops grown in the study area. The total gross revenue from crops was calculated as:

$$\text{GrossRenue} = \sum_{i=1}^n (Y_i \times \text{FGP}_i) + (Q_i \times P_i)$$

where Y_i is the quantity of the i th crop sold in the market at its farm gate price (FGP) and Q_i indicates the quantity of the i th crop consumed by the household; P_i is the market price of the i th crop. Net revenue was estimated by subtracting the total variable cost from the gross revenue. A major concern in the computation of costs and revenues is that there can be a composition of commodity effects instead of adoption effects driving differences in outcome variables (Singha 2017); however, the data suggested that the crop composition between treatment and control households was similar.

Nonetheless, various methods are available for quantifying agricultural productivity at the plot level; we relied on farmers' recall during the survey of production per hectare (i.e., yield of major crops in both of the cropping seasons). Ideally, such surveys should be carried out as soon as farmers harvest the crop; however, farmers can recall yields of up to three to six previous seasons (Erenstein et al. 2007). There were untimely rains during the maturity of the *kharif* crops during 2019, which caused almost complete failures of crops such as sesame, green gram and black gram. Therefore, we restricted our analysis to the major crops harvested during the *kharif* (groundnut, maize and paddy) and *rabi* (wheat, mustard and chickpea) seasons of the survey year.

Propensity score matching

An empirical challenge in assessing causal effects in this study is to examine the outcome and its counterfactuals for the same farmer; what would have been the impact in the absence of farmer adoption (Holland 1986). Ideally, the solution for this would be to randomly assign the treatment (SWCMs in this study) among farmers; however, this could not be implemented. Therefore, we relied on propensity score matching (PSM) method, a quasi-experimental technique that is widely used in impact assessment studies, to deal with the problem of the missing counterfactuals (Priscilla & Chauhan 2019, Sharma et al. 2021).

The first step in PSM is to estimate the predicted probability values of participation in the soil and water conservation program (propensity scores) using a probit or logit model. We used the standard probit model (0 = untreated and 1 = treated) to obtain the propensity score (Rosenbaum & Rubin 1983):

$$P(X_i) = P(Z = 1|X_i)$$

where $P(X_i)$ is the propensity score of the i th household and $P(Z = 1|X_i)$ indicates the probability of treatment given the observable covariates (X) of the i th household.

To ensure that there were no systematic differences in covariates of the treatment and control groups in the matched sample, a balancing test was conducted (Rosenbaum & Rubin 1985, Sianesi 2004). Regarding choice of covariates, Caliendo and Kopeinig (2005) suggested that these should either be fixed over time or measured before participation. Various combinations of covariates with higher-order and interaction terms were thus attempted for the balancing test. The set of covariates that satisfied the balancing test and were therefore chosen for estimating the propensity score are presented in Table 1.

Three matching algorithms – namely nearest neighbour matching (NNM), kernel-based matching with bandwidth 0.01 and radius matching with calliper 0.1 – were then employed. Though these matching procedures differ in creating the counterfactuals and assigning weights to the neighbours and have their own limitations, using all three methods provides a robustness check for the results.

PSM requires imposing conditional independence and common support assumptions for identification. If these two assumptions are met, the impacts of SWCMs on outcome variables indicated by the average treatment effect on the treated (ATT) is computed by restricting the matches to the households with propensity scores that fell in the area of common support (Caliendo & Kopeinig 2005):

Table 1. Summary statistics for explanatory and outcome variables.

Variable	Control (C; n = 250)	Treated (T; n = 150)	Mean difference (C - T)
<i>Explanatory variables</i>			
Age of household head (years)	47.65	46.63	1.02
Experience of household head in farming (years)	27.63	26.83	0.80
Education of household head (years of schooling)	3.62	5.27	-1.654***
Household size (n)	6.00	6.19	-0.19
Females of working age (%)	27.26	28.29	-1.04**
Operational holding (ha)	1.57	1.97	-0.40***
Migrated members in family (%)	15.76	9.10	6.65*
Soil texture	1.06	1.13	-0.078**
Soil colour	2.10	2.06	0.04
Soil stoniness	2.56	2.85	-0.287***
Seed cost per hectare in <i>kharif</i> (INR)	2304.51	6529.67	-4225.16*
Fertilizer cost per hectare in <i>kharif</i> (INR)	1343.83	949.72	394.11**
Pesticide cost per hectare in <i>kharif</i> (INR)	945.98	1117.89	-171.91**
Human labour cost per hectare in <i>kharif</i> (INR)	7194.02	10,089.85	-2895.83*
Machine labour cost per hectare in <i>kharif</i> (INR)	4205.52	4773.69	-568.17**
Seed cost per hectare in <i>rabi</i> (INR)	3240.27	3659.38	-419.11
Fertilizer cost per hectare in <i>rabi</i> (INR)	2478.03	2163.05	314.98
Pesticide cost per hectare in <i>rabi</i> (INR)	978.76	543.89	434.87*
Human labour cost per hectare in <i>rabi</i> (INR)	7606.22	10545.34	-2939.12*
Machine labour cost per hectare in <i>rabi</i> (INR)	4843.22	6044.53	-1201.31*
<i>Outcome variables</i>			
<i>Kharif</i>			
Total input cost (INR/ha)	24,700.00	21,721.18	2976.35***
Gross revenue (INR/ha)	44,460.00	41,990.00	2470.00
Net revenue (INR/ha)	18,276.64	21,501.35	-3224.71***
Groundnut yield (kg/ha)	946.01	1220.18	-274.17*
Maize yield (kg/ha)	1055.75	1472.51	416.76
Paddy yield (kg/ha)	715.14	1193.67	478.53*
<i>Rabi</i>			
Input cost (INR/ha)	17,578.99	18,379.27	-800.28
Gross revenue (INR/ha)	39,520.00	49,400.00	-9880.00*
Net revenue (INR/ha)	22,682.59	29,640.00	-6955.41**
Wheat ^a yield (kg/ha)	2586.09	2909.66	-320.57*
Mustard yield (kg/ha)	613.37	1003.84	-390.47*
Chickpea yield (kg/ha)	1039.61	1435.81	-396.20*

*p < 0.1, **p < 0.05, ***p < 0.01.

Soil texture: sandy = 1, loamy = 2, clay = 3, silt = 4; soil colour: grey = 1, red = 2, brown = 3, black = 4; soil stoniness: high = 1, medium = 2, low = 3, non-stony = 4.

1.00 USD = INR 76.00 as of 2 April 2022.

^aWheat grown in the study area is a low-input cultivar of *Triticum aestivum*.

$$ATT = E(Y_{1i} - Y_{0i})$$

where $E(Y_i)$ denotes the expected value of the i th outcome variable; 1 represents the treated, 0 otherwise.

Adoption of agricultural technology is also governed by unobserved confounders such as risk attitude (Shiveley 2001), neighbourhood adoption and perception of benefits (Singha 2017). If

households in the treatment and control groups differ in these unobserved confounders, the estimated ATT will be biased. Therefore, we used a bounding sensitivity method proposed by Rosenbaum (2002) for the ATT that was significantly different from zero to test whether inferences regarding impact were sensitive to 'hidden bias' due to unobserved confounders.

Results

Descriptive statistics of the variables

The average age of the sampled household heads indicates that farm households were still in their active farming years. It is evident that the households from treated (adopters) and control villages (non-adopters) were significantly different in terms of many observed characteristics (Table 1). For instance, relative to control villages, household heads of treated villages were better educated and had larger operational holdings. Larger proportions of households in treated villages (67%) derived income from off-farm sources. Furthermore, adopters were better exposed to training and demonstrations and had better access to credit services. These differences indicate that the control group was not a clean counterfactual and thus use of matching techniques to estimate the causal impacts of SWCMs became important.

The season-wise differences in outcome indicators clearly indicate that net revenue earned per hectare of land by the households in treatment villages was significantly higher than that of control villages in both the *kharif* and *rabi* cropping seasons. This implies that adopters of SWCMs on farms were systematically better off than their non-adopter counterparts. However, as the effects of confounders have not been controlled for, it would be inappropriate to draw any inferences regarding the impacts of SWCMs on these performance indicators.

Drivers of the adoption of soil and water conservation measures

The probit model fitted the data well (Table 2). The probability of the adoption of SWCMs significantly increased with age and education of the family head. The dependency ratio that connotes economically inactive household members was negatively associated with the adoption of SWCMs. Furthermore, a positive and significant association between off-farm income and the probability of the adoption of SWCMs was observed.

Operational holdings and land ownership had positive effects on the probability of the adoption of SWCMs. Furthermore, both the soil type in the plot as well as the slope of the plot had significant and positive associations with the probability of the adoption of the promoted SWCMs. In line with our prior expectations, we observed that perceptions of degradation had a favourable bearing on the decision to adopt SWCMs. Exposure to training and attending farm demonstrations in the previous year (2018–2019) were also significant determinants of the adoption decision.

Matching quality

We first underline here the quality of the matching through all three algorithms as the success of PSM lies in matching the observable covariates across treatment and control groups (Becerril & Abdulai 2009). Conforming to the requirement of the balancing test, the pseudo- R^2 dropped significantly to 1.2%, 0.5% and 1.8% for NNM, kernel and calliper matching, respectively, from

Table 2. Factors determining the adoption of soil and water conservation measures.

Variables	Coefficients	Standard error	Marginal effect
Age of household head	0.017*	0.003	0.0063
Experience of household head in farming	0.021	0.009	0.0113
Male household head	0.034	0.119	0.0106
Education of household head	0.019*	0.007	0.0014
Household size	0.031	0.004	0.0019
Dependency ratio	-0.231*	0.110	-0.0124
Migrated members in family	-0.037	0.119	-0.0014
Off-farm income	0.0171*	0.007	0.0133
Operational holdings	0.338*	0.009	0.0210
Land ownership	0.032**	0.016	0.0190
Soil type	0.219*	0.007	0.0132
Slope of plot	0.243*	0.003	0.0211
Perception of soil degradation on plots	0.041*	0.013	0.0236
Training	0.117**	0.059	0.0061
Credit	0.019	0.104	0.0076
Log likelihood	-241.36		
Likelihood ratio χ^2	49.71*		

*p < 0.01, **p < 0.05.

c. 20% before matching (Table 3). The higher and significant likelihood ratio before matching signifies the presence of systematic differences between the treatment and comparison groups. The non-significant likelihood ratio after matching indicates that these differences have been removed, making the two groups comparable.

Furthermore, the matching procedure led to a substantial reduction in bias (65.95–79.57%) and, as per the prerequisite criteria (Rosenbaum & Rubin 1985), the mean standardized bias was well below 20% after matching for all three algorithms. The non-significant p-values of the pseudo-R² of the likelihood ratio test and low mean standardized bias suggest that the specification of propensity successfully balanced the distribution of covariates between the treatment and control groups.

The distribution of propensity scores and the region of common support through all three matching algorithms are depicted in Fig. 2. Suitable matches of adopters and non-adopters are termed as ‘Treated: On support’, while adopters with bad matches from among the controls are termed as ‘Treated: Off support’ in Fig. 2. There was a clear indication of considerable overlap of the distributions of the propensity scores for the adopters and non-adopters of SWCMs after matching (Fig. 2), suggesting that the assumption of common support firmly holds.

In the NNM algorithm, all of the observations from treated units found a good match and thus there were no ‘Treated: Off support’ observations (Fig. 2a). However, in the kernel and calliper matching techniques, few observations are ‘Treated: Off support’ and thus discarded during the analysis (Fig. 2b,c).

Estimation of treatment effects

The estimated causal impacts of SWCMs, depicted as ATT, on selected farm performance indicators are presented in Table 4. The estimates of different matching algorithms, while quantitatively different, were qualitatively similar.

The ATT was positive and statistically significant for most of the outcome variables during the *rabi* season. The estimated

Table 3. Indicators of matching quality before and after matching.

Test	Before matching	After matching		
		NNM	Kernel	Calliper
Pseudo-R ²	0.199	0.012	0.005	0.018
LR χ^2 (p-value)	52.47* (0.00)	5.06 (0.83)	2.21 (0.980)	7 (0.64)
Mean standardized bias	32.31	9.50	6.60	11.00
Total bias reduction (%)	–	70.59	79.57	65.95

LR = likelihood ratio; NNM = nearest neighbour matching.

impact of SWCMs on gross revenue was INR 7617–9111 per hectare. The productivity of wheat increased by 456–471 kg per hectare, while those of mustard and chickpea rose by 318–345 and 296–343 kg per hectare, respectively. Although the higher yield and gross revenue were observed with higher input costs, the significant and positive impact on net revenue (range INR 5000–6785 per hectare) suggests that SWCMs led to a significant improvement in farm performance for adopters in the study area. However, during the *kharif* season, only the yield of paddy significantly increased by c. 429–476 kg per hectare.

The sensitivity analysis of the effects of uncontrolled confounders showed a 1.05–1.10 value of Γ for paddy yield in the *kharif* season, which implies that the credibility of a positive effect of SWCM adoption on the productivity of paddy would be questioned if households with similar characteristics differed in their odds of adoption by even 5–10%. In the case of net revenue during the *rabi* season, the fact that the hidden bias exceeded a value of 2 suggests that for farmers who are similar in observable confounders, the causal interpretation of the impacts of SWCMs on the concerned outcomes remained intact even if they differ in their odds ratios by 100%. The higher the value of Γ , the lower the hidden bias would be, and the converse is also true (Singha 2017).

Discussion

The present study has shown the farm-level impacts and likely effects of unobserved confounders on the estimated impacts of SWCMs. The econometric analysis indicated that older farmers were more likely to adopt SWCMs, and this may be because older farmers have relatively better farm experience and are capable of detecting soil erosion problems. Alternatively, older farmers are usually more open to risk-taking as they have the necessary network resources to get involved in soil and water conservation projects. Our findings are in congruence with Illukpitiya and Gopalakrishnan (2004) and Nkegbe et al. (2011). The learning opportunities of educated farmers from exposure to technical advice, training and farm demonstrations may be higher. The direct relationship between the adoption of resource conservation practices and the education levels of households also has good literature support (Tizale 2007, Wordofa et al. 2020). Off-farm income relaxes the liquidity constraints of farm households (Diirro 2013, Choudhary & Singh 2019) and has also been reported to increase the adoption of resource conservation efforts (Pattanayak et al. 2003). The majority of the farmers in arid and semi-arid regions are resource poor; therefore, concentrated efforts by different layers of government to open avenues for non-farm employment should help promote the adoption of resource conservation practices among farmers.

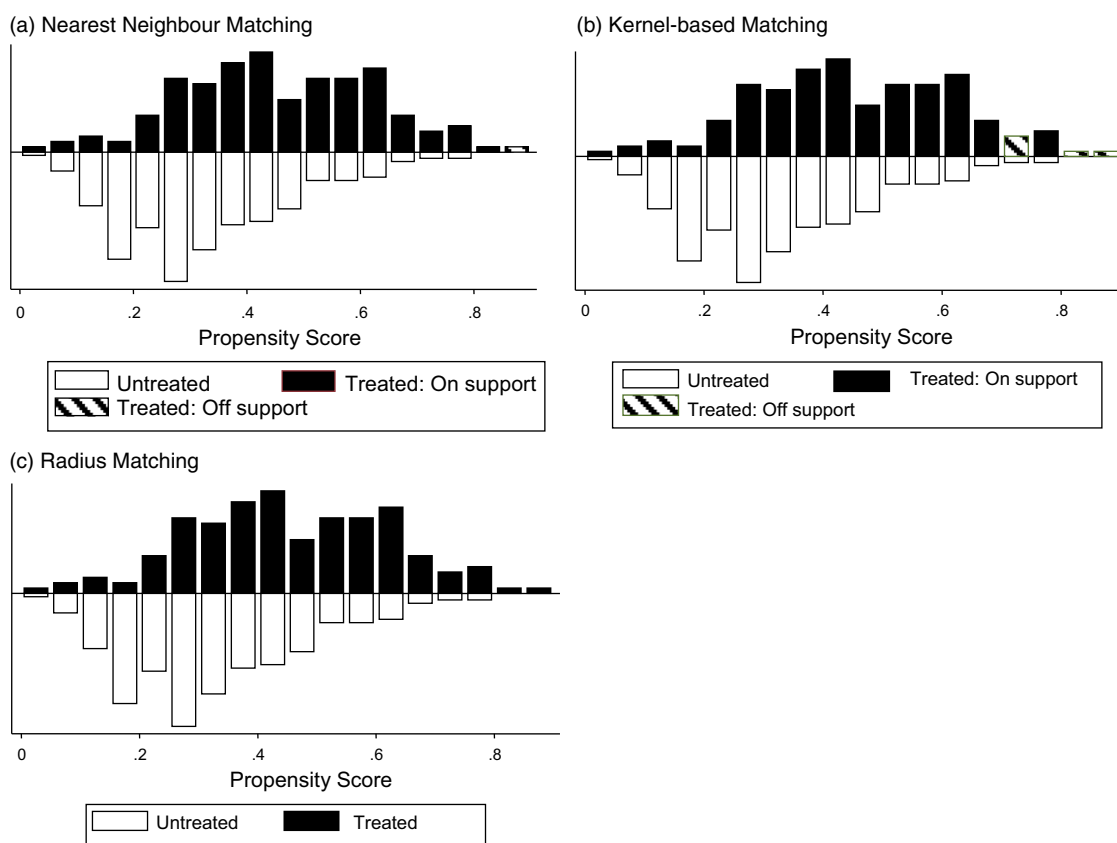


Fig. 2. Propensity score distribution and common support under the three matching algorithms. (a) Nearest neighbour matching, (b) kernel-based matching and (c) radius matching.

Greater farm areas and tenure security support the future use of the same land and thus incentivize farmers to invest in on-farm conservation measures to reduce land degradation problems and harness long-term benefits. Earlier studies have also empirically established that the area of plots and land ownership have positive effects on the decision to adopt soil and water conservation practices (Gebremedhin & Swinton 2003, Kassa et al. 2013). The positive relationship of the probability of the adoption of SWCMs with soil type and slope is worth emphasizing. The majority of the farmers' plots in the study area had gentle to steep slopes with coarse-textured soil (*Rakar* in local parlance) that are prone to erosion through run-off (Table 2). Training and farm demonstrations boost credibility among farmers regarding new technologies and help to counter the negative effects of a lack of formal education on the adoption decision. The positive relationship between training by extension personnel and technology adoption among farm households has good literature support (Mango et al. 2017, Sharma et al. 2021).

Looking back at the previously reported findings regarding the various benefits of SWCMs to the livelihoods of people, the current study reveals that some of them may not hold true in all cases due to the interplay of several local factors. While significant improvements have been accomplished in terms of agronomic and economic parameters such as crop productivity and unit returns on cultivation during the *rabi* season, these impacts were marginal at best, if not negative (though statistically non-significant) during the *kharif* season. Such lacklustre results for the *kharif* season can be explained based on pre-existing cultivation practices as well as circumstances that prevailed in the study area. Groundnut (*Arachis*

hypogae), black gram (*Vigna mungo*), maize (*Zea mays*) and paddy (*Oryza sativa*) are the major *kharif* season crops in these areas. During the season under study, unseasonal heavy rains during the harvest period caused massive crop damage in terms of yield. This was combined with quality deterioration of the produce, preventing farmers from fetching good prices at the market. The yield advantage in paddy realized by the treatment farmers could not translate into unit revenue.

Additionally, *anna-pratha*, the traditional practice in which cattle are let loose particularly during the *kharif* season for free grazing in others' fields, damaged the crops in the study area. Rathod and Dixit (2020) reported a 30–35% loss of agriculture produce due to *anna-pratha* in the Bundelkhand region. This loss cripples the ability of farmers to diversify as well as intensify cropping activities despite the adoption of SWCMs. The ill-conceived public policies to control free grazing could prove to be more costly and may undermine the very basis of the promotion of soil and water conservation practices in semi-arid regions. As fodder shortage during the *kharif* season is the underlining reasons for farmers abandoning cattle to free grazing, sincere efforts need to be directed towards increasing rain-fed fodder production and conservation measures in the study area. Construction of fodder banks at the block (district subdivision) level in fodder-stressed districts must become a priority policy focus. Additionally, initiatives for the genetic improvement of cattle breeds and rapid livestock pregnancy diagnosis will go a long way towards preventing cattle from being seen as pests.

Given the undulating topography of the study area, the targeted interventions in the treatment villages also aimed at reducing

Table 4. Estimates of average treatment effect on the treated: impacts of soil and water conservation measures on farm performance based on survey data.

Season	Outcome (per hectare)	Average treatment effect on the treated			Critical level of hidden bias (Γ)
		NNM	Kernel	Calliper	
<i>Kharif</i>	Total input cost (INR/ha)	732.73 (0.35)	2060.82 (1.12)	715.51 (0.32)	NA
	Gross revenue (INR/ha)	2101.97 (0.71)	365.56 (0.14)	3396.25 (1.12)	NA
	Net revenue (INR/ha)	2835.65 (1.58)	1694.42 (1.05)	2682.42 (1.34)	NA
	Groundnut yield (kg/ha)	197.60 (1.46)	192.66 (1.06)	209.95 (1.59)	NA
	Maize yield (kg/ha)	224.77 (1.09)	128.44 (1.59)	234.65 (1.15)	NA
	Paddy yield (kg/ha)	476.71* (3.15)	466.83* (3.91)	429.78* (2.83)	1.05–1.10
<i>Rabi</i>	Total input cost (INR/ha)	2546.57* (2.60)	1689.48** (1.90)	2847.91* (2.58)	1.25–1.30
	Gross revenue (INR/ha)	9111.83* (5.04)	8857.42* (5.35)	7617.99* (3.67)	1.80–1.85
	Net revenue (INR/ha)	6785.09* (3.76)	7390.24* (4.53)	5000.71* (2.37)	2.15–2.20
	Wheat yield (kg/ha)	471.77* (3.09)	456.95* (4.08)	464.36* (2.98)	1.60–1.65
	Mustard yield (kg/ha)	326.04* (6.83)	345.80* (6.77)	318.63* (6.56)	1.20–1.25
	Chickpea yield (kg/ha)	343.33* (6.29)	296.40* (5.24)	326.04* (6.11)	1.35–1.40

Figures in parentheses are bootstrapped z-statistics using 50 replications.

*p < 0.01, **p < 0.05.

NA = not applicable; NNM = nearest neighbour matching.

runoff velocity generated from the catchment during monsoon and harvesting a fraction of it to provide supplemental irrigation for *rabi* season crops. These measures effectively hold the soil layer firmly, thus reducing soil loss during heavy rainfall and increasing water percolation into the soil (Mondal et al. 2012, Singha 2017). The more tangible impacts of various rainwater-harvesting interventions for the *rabi* season crops at different locations in central India have also been reported. For instance, the productivity of crops fed by the stored water in ponds during the *rabi* season increased by 15–25% (Sahu et al. 2015), while in the Parasai-Sindh watershed (Jhansi district, central India) farms reported negligible changes in the yields of groundnut, sesame and black gram cultivated in the *kharif* season under rain-fed conditions, as supplemental irrigation was provided for *rabi* season crops (Garg et al. 2020).

Similarly to Datta (2015) and Singha (2017), in our analysis, higher yields and gross revenues were observed with higher input costs. The input cost largely comprised labour costs (Table 1). Therefore, the positive ATT of the input cost per hectare during both of these seasons (though not significant in the *kharif* season) may be indicative of complementarity between labour demand and on-farm soil and water conservation activities.

The values of critical levels of hidden bias (Γ ; 1.05–1.10 to 2.15–2.20) were well within the acceptable range as reported in the previous literature (Mendola 2007, Keele 2010). Because the critical value of Γ for the yield of *rabi* season crops was higher than that of *kharif* season crops (e.g., paddy), we conclude that the inference regarding the estimated impact on crop productivity in the *rabi* season will not change even in the presence of large amounts of uncontrolled heterogeneity; the impacts of SWCMs in the *rabi* season are less sensitive to the unobservable confounders than in the *kharif* season.

Conclusions

Farmers’ adoption decisions are driven by multiple factors that vary greatly under different agroecological and socioeconomic settings, and we offer important lessons for the promotion of improved resource conservation practices in arid and semi-arid regions. We suggest that households having more economically active and educated members and ownership of larger plot areas should be the focal point of entry for promoting the adoption of SWCMs. For resource-poor farmers, earnest efforts towards opening avenues for non-farm employment could be crucial to enhancing their investing capacity on farms and thus the adoption of resource conservation practices at a large scale. In addition, strengthening the extension services and training facilities and increasing their outreach will go a long way towards enhancing the adoption of conservation efforts.

We suggest that there are positive and significant impacts of the adoption of SWCMs on farm performance indicators such as crop yields and gross and net revenues in the *rabi* cropping season. The resource conservation measures also increase input costs in farming. Therefore, engaging labour in constructing on-farm structures for soil and water conservation during lean periods is imperative to avoiding high labour charges during cropping seasons. We also found that the impact of SWCM adoption in the *rabi* season is less sensitive to uncontrolled confounding factors. We underline the need to scale up SWCMs in ecologically fragile regions such as those in the arid and semi-arid tropics to address water scarcity and sustain the livelihoods of resource-poor farmers.

Supplementary material. To view supplementary material for this article, please visit <https://doi.org/10.1017/S0376892922000352>.

Acknowledgements. The support of the field assistant staff of the KISAN MITra project for data collection is duly acknowledged. Special thanks are

given to the editor of the journal and reviewers for their critical comments that helped to improve the manuscript.

Financial support. None.

Competing interests. The authors declare none.

Ethical standards. None.

References

- Barron J, Noel S, Mikhail M (2009) *Review of Agricultural Water Management Intervention Impacts at the Watershed Scale: A Synthesis Using the Sustainable Livelihoods Framework*. Stockholm, Sweden: Stockholm Environment Institute.
- Becerril J, Abdulai A (2009) The impact of improved maize varieties on poverty in Mexico: a propensity score matching approach. *World Development* 38: 1024–1035.
- Borges JAR, Luzardo F, Vanderson TX (2015) An interdisciplinary framework to study farmers' decisions on adoption of innovation: insights from expected utility theory and theory of planned behavior. *African Journal of Agricultural Research* 10: 2814–2825.
- Bouma JA, Scott C (2006) *The Possibilities for Dryland Crop Yield Improvement in India's Semi-Arid Regions: Observations from the Field*. Comprehensive Assessment Discussion Paper No. 3. Colombo, Sri Lanka: Comprehensive Assessment Secretariat.
- Caliendo M, Kopeinig S (2005) *Some Practical Guide for the Implementation of Propensity Score Matching*. Discussion Paper Series 1588. Bonn, Germany: Institute for the Study of Labor (IZA).
- Chen H, Zhu T, Krotta M, Calvo JF, Ganesh SP, Makot I (2013) Measurement and evaluation of livelihood assets in sustainable forest commons governance. *Land Use Policy* 30: 908–914.
- Choudhary BB, Singh P (2019) How unequal is rural Punjab? Empirical evidence from spatial income distribution. *Current Science* 117: 1855–1862.
- Choudhary BB, Sirohi S (2020) Modelling climate sensitivity of agriculture in Trans and Upper Gangetic Plains of India. *Theoretical and Applied Climatology* 142: 381–391.
- Choudhary BB, Sirohi S (2022) Understanding vulnerability of agricultural production system to climatic stressors in north Indian plains: a meso-analysis. *Environment Development and Sustainability*. Epub ahead of print, DOI: [10.1007/s10668-021-01997-7](https://doi.org/10.1007/s10668-021-01997-7).
- Dar WD, Bantilan MCS, Anupama GV, Deepthi H, Padmaja R (2007) Dryland agriculture in Asia: ideas, paradigms and policies. In: AN Balisacan, N Fuwa (eds), *Reasserting the Rural Development Agenda: Lessons Learned and Emerging Challenges in Asia* (pp. 191–226). Singapore: Institute of Southeast Asian Studies.
- Datta N (2015) Evaluating impacts of watershed development program on agricultural productivity, income, and livelihood in Bhalki watershed of Bardhaman district, west Bengal. *World Development* 66: 443–456.
- Diirro G (2013) *Impact of Off-Farm Income on Technology Adoption Intensity and Productivity: Evidence from Rural Maize Farmers in Uganda*. Working Paper 11. Washington, DC, USA: International Food Policy Research Institute.
- Erenstein O, Malik RK, Singh S (2007) *Adoption and Impact of Zero-Tillage in the Rice–Wheat Zone of Irrigated Haryana, India*. New Delhi, India: International Maize and Wheat Improvement Centre (CIMMYT).
- Gachene CKK, Nyawade SO, Karanja NN (2019) Soil and water conservation: an overview. In: W Leal Filho, AM Azul, L Brandli, PG Ozuyar, T Wall (eds), *Zero Hunger* (pp. 810–823). Cham, Switzerland: Springer International Publishing.
- Garg KK, Anantha KH, Nune R, Venkataradha A, Singh P, Gumma MK et al. (2020) Impact of land use changes and management practices on groundwater resources in Kolar district, southern India. *Journal of Hydrology: Regional Studies* 31: 100732.
- Garg KK, Wani SP, Barron J, Karlberg L, Rockstrom J (2012) Up-scaling potential impacts on water flows from agricultural water interventions: opportunities and trade-offs in the Osman Sagar catchment, Musi sub-basin, India. *Hydrological Processes* 27: 3905–3921.
- Gebremedhin B, Swinton SM (2003) Investment in soil conservation in northern Ethiopia: the role of land tenure security and public programs. *Agricultural Economics* 29: 69–84.
- Holland PW (1986) Statistics and causal inference. *Journal of the American Statistical Association* 81: 945–960.
- Illukpitiya P, Gopalakrishnan C (2004) Decision making in soil conservation: application of a behavioral model to potato farmers in Sri Lanka. *Land Use Policy* 2: 321–331.
- Joshi PK, Jha AK, Wani SP, Joshi L, Shiyani RL (2005) *Meta-Analysis to Assess of Watershed Programme and People Participation*. Comprehensive Assessment Research Report 8. Colombo, Sri Lanka: IWMI.
- Kassa Y, Beyene F, Haji J, Legesse B (2013) Impact of integrated soil and water conservation program on crop production and income in West Harerge Zone, Ethiopia. *International Journal of Environmental Monitoring and Analysis* 1: 111–120.
- Keele L (2010) *An Overview of rbounds: An R Package for Rosenbaum Bounds Sensitivity Analysis with Matched Data*. White paper. Columbus, OH, USA: Citeseer.
- Kumar A, Sirohi S, Pandey D, Devi RH, Choudhary BB (2019) Gross economic efficiency of water use in agriculture and water-saving farm plans for Punjab. *Agricultural Economics Research Review* 32: 43–53.
- Kumar S, Singh DR, Singh A, Singh NP, Jha GK (2020) Does adoption of soil and water conservation practice enhance productivity and reduce risk exposure? Empirical evidence from Semi-Arid Tropics (SAT), India. *Sustainability* 12: 6965.
- Kumawat A, Yadav D, Samadharmam K, Rashmi I (2020) Soil and water conservation measures for agricultural sustainability. In: RS Meena, R Datta (eds), *Soil Moisture Importance* (pp. 1–22). London, UK: IntechOpen.
- Mango N, Makate C, Tamene L, Mponela P, Ndengu G (2017) Awareness and adoption of land, soil and water conservation practices in the Chinyanja Triangle, southern Africa. *International Soil and Water Conservation Research* 5: 122–129.
- Mendola M (2007) Agricultural technology adoption and poverty reduction: a propensity score matching analysis for rural Bangladesh. *Food Policy* 32: 372–393.
- Mercer DE (2004) Adoption of agroforestry innovations in the tropics: a review. *Agroforestry Systems* 61–62: 311–328.
- Mishra PK, Singh M, Kumar G (2018) Water management and conservation innovations for doubling farmers' income. In: SK Chaudhari, AK Patra, DR Biswas (eds), *Soil and Water Management Innovations towards Doubling the Farmers' Income* (pp. 23–47). Bulletin of the Indian Society of Soil Science 32. New Delhi, India: Indian Society of Soil Science.
- Mondal B, Singh A, Jha GK (2012) Impact of watershed development programmes on farm-specific technical efficiency: a study in Bundelkhand region of Madhya Pradesh. *Agricultural Economics Research Review* 25: 299–308.
- Mwango SB, Msanya BM, Mtakwa PW, Kimaro DN, Deckers J, Poesen J et al. (2015) Effectiveness of selected soil conservation practices on soil erosion control and crop yields in the Usambara Mountains, Tanzania. *Journal of Agriculture and Ecology Research International* 2: 129–144.
- Nkegbe PK, Bhavani S, Graziano MC (2011) Smallholder adoption of soil and water conservation practices in northern Ghana. *Journal of Agriculture, Science and Technology* 2: 595–605.
- Nkegbe PK, Shankar B (2014) Adoption intensity of soil and water conservation practices by smallholders: evidence from northern Ghana. *Bio-based and Applied Economics* 3: 159–174.
- Pattanayak SK, Mercer DE, Sills E, Yang JC (2003) Taking stock of agroforestry adoption studies. *Agroforestry Systems* 57: 173–186.
- Priscilla L, Chauhan AK (2019) Economic impact of cooperative membership on dairy farmers in Manipur: a propensity score matching approach. *Agricultural Economics Research Review* 32: 117–123.
- Rao CR, Lal R, Prasad J, Gopinath KA, Singh R, Jakkula VS et al. (2015) Chapter four – potential and challenges of rainfed farming in India. *Advances in Agronomy* 133: 113–181.
- Rathod P, Dixit S (2020) Dairying in Bundelkhand region of Uttar Pradesh: constraints to realizing the potential. *Indian Journal of Animal Sciences* 90: 3–11.



- Rosenbaum PR (2002) *Observational Studies*, 2nd edition. New York, NY, USA: Springer.
- Rosenbaum PR, Rubin DB (1983) The central role of the propensity score in observational studies for causal effect. *Biometrika* 70: 41–55.
- Rosenbaum PR, Rubin DB (1985) Constructing a control group using multivariate matched sampling methods that incorporate the propensity score. *The American Statistician* 39: 33–38.
- Sahu RK, Rawat AK, Rao DLN (2015) Traditional rainwater management system ('Haveli') in vertisols of central India improves carbon sequestration and biological soil fertility. *Agriculture, Ecosystems & Environment* 200: 94–101.
- Sharma P, Choudhary BB, Singh P, Kumar S, Gupta G, Dev I (2021) Can forage technologies transform Indian livestock sector? Evidences from smallholder dairy farmers in Bundelkhand region of central India. *Agricultural Economics Research Review* 34: 73–82.
- Shively GE (2001) Poverty, consumption risk and soil conservation. *Journal of Development Economics* 65: 267–290.
- Sianesi B (2004) Evaluation of the active labor market programs in Sweden. *The Review of Economics and Statistics* 86: 133–155.
- Sidhu HS, Jat ML, Singh Y, Sidhu RK, Gupta N, Singh P et al. (2019) Sub-surface drip fertigation with conservation agriculture in a rice-wheat system: a breakthrough for addressing water and nitrogen use efficiency. *Agricultural Water Management* 216: 273–283.
- Singh R, Garg KK, Wani SP, Tewari SP, Dhyani SK (2014) Impact of water management interventions on hydrology and ecosystem services in Garhkundar–Dabar watershed of Bundelkhand region, central India. *Journal of Hydrology* 509: 132–149.
- Singh P, Goyal M, Choudhary BB (2022) How sustainable is food system in India? Mapping evidence from the state of Punjab. *Environment, Development and Sustainability*. Epub ahead of print, DOI: [10.1007/s10668-021-02034-3](https://doi.org/10.1007/s10668-021-02034-3).
- Singha C (2017) *Causal Impact of the Adoption of Soil Conservation Measures on Farm Profit, Revenue and Variable Cost in Darjeeling District, India*. Working Paper No.121-17. Kathmandu, Nepal: South Asian Network for Development and Environmental Economics (SANDEE).
- Tizale CY (2007) *The Dynamics of Soil Degradation and Incentives for Optimal Management in Central Highlands of Ethiopia*. PhD dissertation. Pretoria, South Africa: University of Pretoria.
- Wordofa MG, Okoyo EN, Erkalo E (2020) Factors influencing adoption of improved structural soil and water conservation measures in eastern Ethiopia. *Environmental Systems Research* 9: 13.
- Yaebiyo G, Tesfay Y, Assefa D (2015) Socio-economic impact assessment of integrated watershed management in Sheka watershed, Ethiopia. *Journal of Economics and Sustainable Development* 6: 202–213.