

# Mineral magnetic record of the Miocene-Pliocene climate transition on the Chinese Loess Plateau, North China

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## Abstract

Pre-Quaternary terrestrial climate variability is less well understood than that during the Quaternary. The continuous eolian Red Clay sequence underlying the well-known Quaternary loess-paleosol sequence on the Chinese Loess Plateau (CLP) provides an opportunity to study pre-Quaternary terrestrial climate variability in East Asia. Here, we present new mineral magnetic records for a recently found Red Clay succession from Shilou area on the eastern CLP, and demonstrate a marked East Asian climate shift across the Miocene-Pliocene boundary (MPB). Pedogenic fine-grained magnetite populations, ranging from superparamagnetic (SP)/single domain (SD) up to small pseudo-single domain (PSD) sizes (i.e., from <30 nm up to ~1000 nm), dominate the magnetic properties. Importantly, our mineral magnetic results indicate that both pedogenic formation of SP grains and transformation of SP grains to SD and small PSD grains accelerated across the MPB in the Shilou Red Clay, which are indicative of enhanced pedogenesis. We relate this enhanced pedogenesis to increased soil moisture availability on the CLP, associated with stronger Asian Summer Monsoon precipitation during an overall period of global cooling. Our study thus provides new insights into the Miocene-Pliocene climate transition in East Asia.

**Keywords:** Environmental magnetism; Chinese Loess Plateau; Red clay; Paleoclimate; Asian Summer Monsoon; Miocene-Pliocene transition

## INTRODUCTION

The Chinese Loess Plateau (CLP) hosts a vast expanse (~300,000 km<sup>2</sup>) of thick eolian dust deposits that provide a globally outstanding terrestrial archive of Late Cenozoic climatic and environmental changes (Liu, 1985; An, 2014). These eolian deposits can be divided into two parts: the well-known Quaternary loess-paleosol sequence and the underlying Miocene-Pliocene Red Clay sequence. While the climatic history recorded by Quaternary loess-paleosol sequences is relatively well constrained, the climatic

significance of Red Clay sequences is far from established (An, 2014; Ao et al., 2016; Nie et al., 2016). Existing Miocene-Pliocene paleoclimate records from the semi-arid CLP (An et al., 2001, 2005; Nie et al., 2014; Ao et al., 2016), arid western China (Miao et al., 2012), and humid South China (Clift et al., 2014) provide contrasting information on climate variability over this time interval.

Formation of fine magnetic grains (from <30 nm up to ~1000 nm) in the Chinese loess-paleosol and Red Clay deposits, including superparamagnetic (SP), stable single domain (SD), and small pseudo-single-domain (PSD) particles, is closely linked to *in situ* pedogenic weathering modulated by climate and can be quantified using magnetic techniques (Zhou et al., 1990; An et al., 1991; Verosub et al., 1993; Evans and Heller, 1994; Heller and Evans, 1995; An et al., 2001; Liu et al., 2003, 2004; Bloemendal and Liu, 2005;

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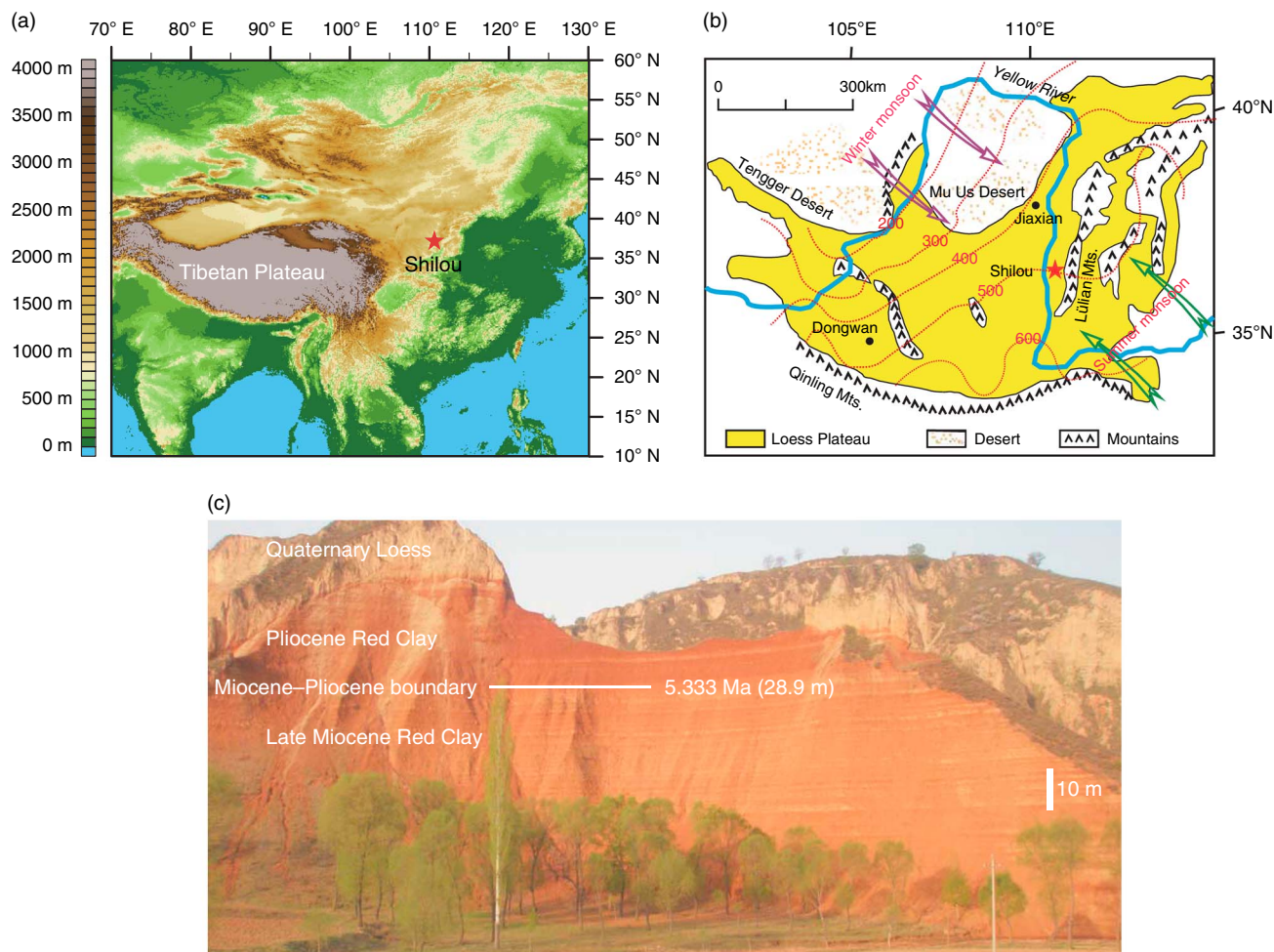
Nie et al., 2008; Ao et al., 2016). Therefore, magnetic parameters are widely used to investigate pedogenic weathering, monsoon evolution, aridification history, and global climate change recorded in Quaternary loess-paleosol sequences (Kukla et al., 1988; An et al., 1991; Verosub et al., 1993; Florindo et al., 1999; Evans and Heller, 2001; Deng et al., 2005, 2006; Liu et al., 2007, 2012, 2013, 2015). However, considerably less environmental magnetic research has focused on the underlying Miocene-Pliocene Red Clay sequences (Nie et al., 2016). Here, we investigate late Miocene-early Pliocene climate change in East Asia; new mineral magnetic records of a Red Clay succession from Shilou (36°55' N, 110°56' E) on the eastern CLP form the basis for our paleoclimatic interpretations.

## GENERAL SETTING

The Asian monsoon, which is the most dynamic component of the global monsoon system, is characterized by seasonal reversal of winter and summer monsoons (Webster, 1994;

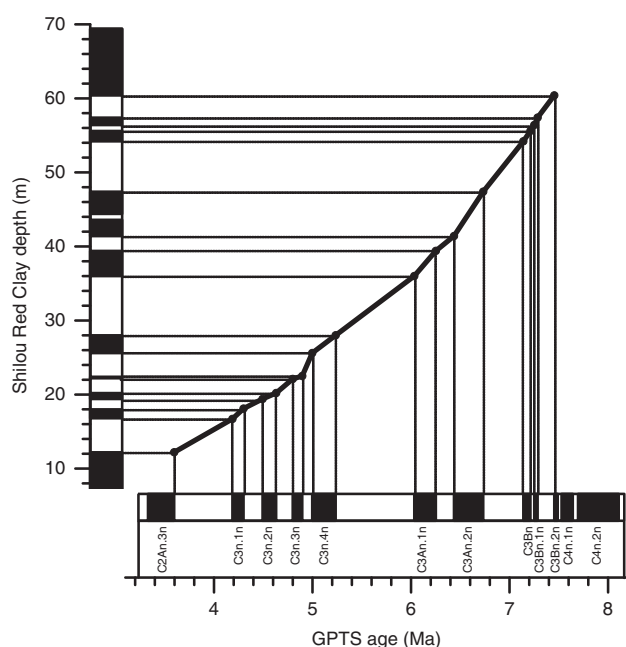
Wang et al., 2005; An et al., 2015). The Asian Winter Monsoon (AWM) transports cold and dry air from high-latitude Eurasia toward South China, while the Asian Summer Monsoon (ASM) transports heat and moisture from the equatorial oceans to North China (Wang et al., 2005; An et al., 2015).

The Shilou Red Clay section lies between the Lüliang Mountains and the Yellow River on the eastern CLP, at the northern margin of ASM influence (Fig. 1). The climate and environment of the Shilou area are dominated by a seasonal reversal of AWM and ASM circulations. This region today has a mean annual temperature of ~9°C and mean annual precipitation of ~500 mm, with over 60% of the precipitation falling in the summer. The Shilou Red Clay sequence has a thickness of up to ~90 m and ranges in age from ~8.2 to 2.6 Ma (Ao et al., 2016). In general, the horizontally stratified Shilou Red Clay consists of reddish soils that are intercalated with carbonate nodules (Fig. 1c). It has a redder color and has experienced stronger pedogenesis than the overlying Quaternary loess-paleosol sequence (Fig. 1c).



**Figure 1.** (color online) Schematic map of the site location and geological setting. (a) Map of Asian topography. (b) Map of the Chinese Loess Plateau with location of the studied Shilou red clay section. The Yellow River is the major river system in North China. The dashed red lines denote contours of mean annual precipitation (mm) on the Chinese Loess Plateau. (c) Field photograph of the Shilou-A red clay section. The upper part (Pliocene) has a distinctly redder color than the lower part (Late Miocene), which is consistent with enhanced pedogenesis and increased summer monsoon precipitation across the Miocene-Pliocene boundary.

This study focuses on the ~70-m-thick Shilou Red Clay section studied by Xu et al. (2009) (here named the Shilou-A section), which is located ~1 km east of our recently investigated section with ~90-m-thick Shilou Red Clay (here referred to as the Shilou-B section; Ao et al., 2016). Two previous correlations of the Shilou-A magnetostratigraphy to the geomagnetic polarity timescale (GPTS) suggest contrasting ages of ~11–2.6 Ma (from polarity subchron C5n.2n to C2An.1n; Xu et al., 2009) and ~5.2–2.6 Ma (from C3n.4n to C2An.1n; Anwar et al., 2015) for the ~70-m-thick Shilou Red Clay sequence. However, these magnetostratigraphic interpretations both involve ambiguous polarity divisions, which result in poor and equivocal correlations to the GPTS. Furthermore, these age assignments (~11–2.6 Ma or ~5.2–2.6 Ma) are inconsistent with the generally accepted age from ~8–7 Ma to 2.6 Ma for the Red Clay sequences on the central and eastern CLP (Sun et al., 1998; Ding et al., 1999; Qiang et al., 2001). In addition, the age assignment of ~5.2–2.6 Ma (Anwar et al., 2015) is not supported by the presence of the late Miocene micromammal *Meriones* sp. in the lower Shilou-A section at 46.6 m (Xu et al., 2012). Our recent magnetostratigraphy from the 90-m-thick Shilou-B section (Ao et al., 2016), with a much higher resolution and significantly improved definition of respective polarity zones, enables unequivocal magnetostratigraphic correlation (C4r.1r–C2An.1n, ~8.2–2.6 Ma) to the GPTS. This results in a revised correlation of the ~70-m-thick Shilou-A magnetostratigraphy to the GPTS from C4n.2n to C2An.1n (~8–2.6 Ma). Thus, a chronology from 3.6 to 7.5 Ma (12.2–60.4 m) for the late Miocene-early Pliocene Shilou Red Clay within the ~70-m-thick Shilou-A succession is



**Figure 2.** Age-depth model for the 12.2–60.4 m interval of the Shilou-A red clay succession established from the updated magnetostratigraphic correlation (Ao et al., 2016) to the geomagnetic polarity timescale (GPTS; Hilgen et al., 2012).

established based on our updated magnetostratigraphic correlation (Ao et al., 2016). The chronology was constructed via linear interpolation using geomagnetic polarity reversals for age control, assuming constant long-term sedimentation rates between reversals (Fig. 2).

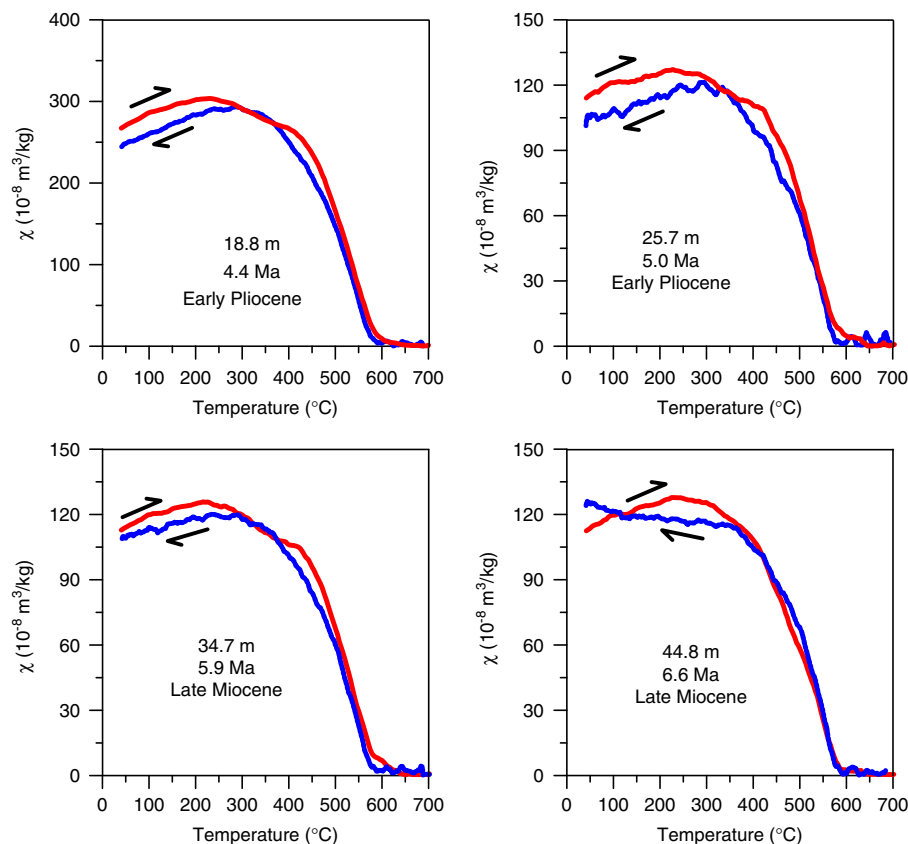
## SAMPLING AND METHODS

After removal of the weathered outcrop surface, 703 fresh samples were collected from the whole (~70-m-thick) Shilou-A Red Clay succession at 10 cm stratigraphic intervals (equivalent to a time spacing of ~8 ka). A total of 473 samples from the 12.2–60.4 m interval (3.6 to 7.5 Ma) were selected for the present study. Samples were powdered and then packed into non-magnetic cubic boxes for low-frequency magnetic susceptibility ( $\chi_{lf}$ ) measurements in the laboratory.  $\chi_{lf}$  was measured with a Bartington Instruments MS2 magnetic susceptibility meter at 470 Hz. An anhysteretic remanent magnetization (ARM) was imparted using a peak alternating field (AF) of 100 mT and a 0.05 mT direct current (DC) bias field, and was measured using a 2-G Enterprises superconducting rock magnetometer (model 755R) housed in a magnetically shielded room. ARM is expressed in terms of the ARM susceptibility ( $\chi_{ARM}$ ), which was obtained by dividing ARM intensity by the DC field strength.

Temperature-dependent susceptibility ( $\chi$ -T) curves were measured in an argon atmosphere (with an argon flow rate of 100 mL/min) from room temperature to 700°C and back to room temperature using a MFK1-FA susceptometer equipped with a CS-3 high-temperature furnace (AGICO, Brno, Czech Republic). A  $\chi$  run with an empty furnace tube was measured to determine the temperature-dependent background before measuring the sediment samples. The susceptibility of each sediment sample was obtained by subtracting the measured furnace tube background  $\chi$  using the CUREVAL 5.0 program (AGICO, Brno, Czech Republic). Isothermal remnant magnetization (IRM) acquisition curves were measured at 30 field steps up to a maximum field of 2 T. Samples were magnetized with an ASC IM-10-30 pulse magnetizer, and IRMs were measured with an AGICO JR-6A spinner magnetometer. First-order reversal curve (FORC) measurements (Roberts et al., 2000, 2014) were made using the variable resolution FORC protocol (Zhao et al., 2015) with a Princeton Measurements Corporation (Model 3900) vibrating sample magnetometer (VSM). For each sample, 80 FORCs were measured at fields up to 300 mT with an averaging time of 200 ms. Data were processed using the software of Zhao et al. (2015) with a smoothing factor of 3.

## RESULTS

Heating and cooling  $\chi$ -T curves are nearly reversible (Fig. 3), which indicates that little magnetic mineral transformation occurred during thermal treatment (Ao et al., 2009). All of the



**Figure 3.** (color online)  $\chi$ -T curves for selected samples from the Shilou-A red clay sequence. The red and blue lines represent heating and cooling curves, respectively.

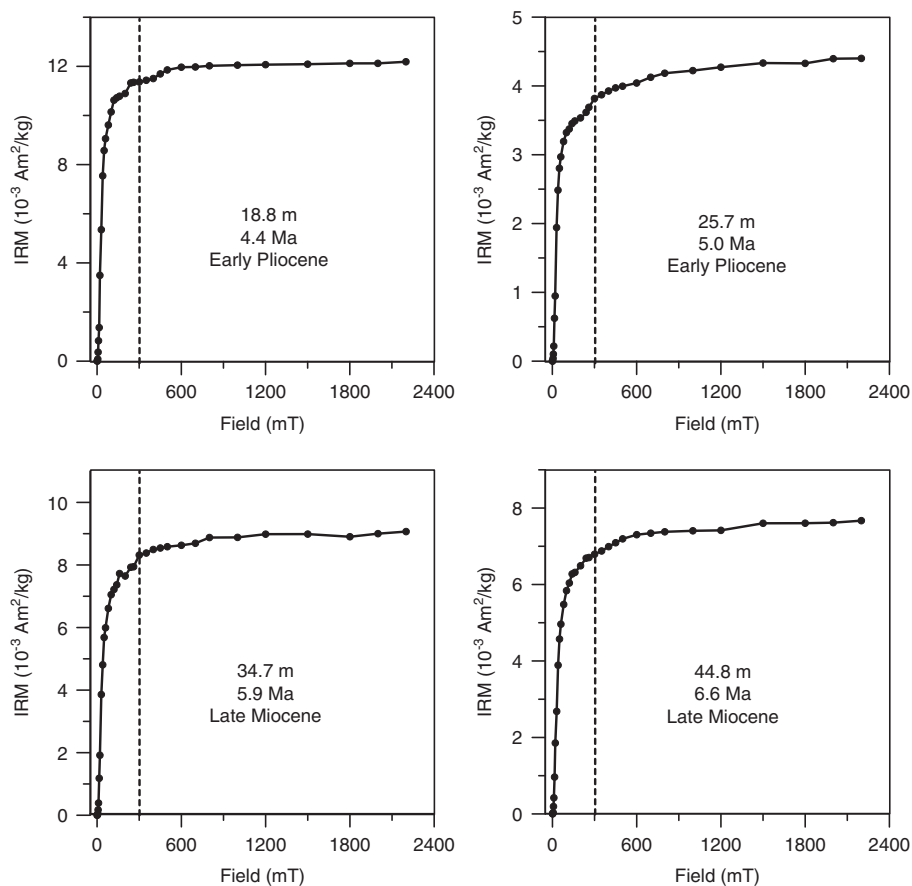
heating curves are characterized by a major inflection at  $\sim 580^\circ\text{C}$ , which corresponds to the Curie temperature of magnetite and is consistent with the ubiquitous presence of magnetite in Red Clay (Liu et al., 2003; Xu et al., 2009; Ao et al., 2016). A steady increase in  $\chi$  from room temperature to  $\sim 200$ – $300^\circ\text{C}$  indicates the gradual unblocking of fine (SP/SD) magnetite particles (Liu et al., 2005, 2010; Deng, 2008). There was no magnetic mineral transformation during heating, so the slight drop in  $\chi$  to  $\sim 400^\circ\text{C}$  is possibly due to changes in crystallinity, grain size, or morphology of magnetic particles (Dunlop and Özdemir, 1997; Ao et al., 2012).

The measured IRM acquisition curves are characterized by a major increase below 300 mT (Fig. 4), which supports the interpretation of a dominant contribution from magnetite. The slight increase between 300 and 2000 mT is consistent with the presence of hematite. On a mass-specific basis, hematite has a much weaker magnetization than magnetite (Dunlop and Özdemir, 1997), thus large hematite concentrations are necessary to contribute substantially to IRM when magnetite is also present.

All FORC diagrams have closed contours with maximum contour density at a  $B_c$  value of  $\sim 10$  mT (Fig. 5), which indicates a substantial presence of SD magnetite (Roberts et al., 2000, 2014; Egli et al., 2010). The outer contours are generally divergent along the  $B_u$  axis, which points to a small PSD component (Roberts et al., 2000, 2014; Muxworthy and Dunlop, 2002).

Magnetic parameters (e.g.,  $\chi_{\text{ARM}}$  and  $\chi_{\text{IF}}$ ) and their ratios are sensitive indicators of changes in magnetic mineralogy and are useful for establishing high-resolution paleoclimatic records because such parameters can be good proxies for important paleoenvironmental and paleoclimatic processes (Evans and Heller, 2003; Liu et al., 2012, 2015). For the Shilou-A Red Clay sequence,  $\chi_{\text{ARM}}$  and  $\chi_{\text{IF}}$  have consistent variations, which are characterized by a prominent shift to higher values across the Miocene-Pliocene boundary (MPB, 5.333 Ma; Fig. 6a and b). Such a shift across the MPB is better characterized in the adjacent  $\chi_{\text{ARM}}/\chi_{\text{IF}}$  record (Fig. 6c):  $\chi_{\text{ARM}}/\chi_{\text{IF}}$  increases significantly from  $\sim 4.1$  at  $\sim 5.6$  Ma to  $\sim 7.0$  at  $\sim 5$  Ma. Overall,  $\chi_{\text{ARM}}/\chi_{\text{IF}}$  ranges from 3.3 to 6.2 (average 5.2) during the late Miocene (7.5–5.333 Ma) and from 4.7 to 7.0 (average 5.8) during the early Pliocene (5.333–3.6 Ma; Fig. 6c). Such a  $\chi_{\text{ARM}}/\chi_{\text{IF}}$  shift is also observed in our recently investigated Shilou-B section across the MPB (Ao et al., 2016; Fig. 6d). The two  $\chi_{\text{ARM}}/\chi_{\text{IF}}$  records have generally consistent variability, particularly over longer periods. Minor differences between them are probably related to different sampling intervals (10 cm sampling interval in the  $\sim 70$ -m-thick Shilou-A succession, versus a 2-cm sampling interval in the  $\sim 90$ -m-thick Shilou-B succession) and minor differences in sedimentary continuity and/or short-term magnetostratigraphic age model issues.



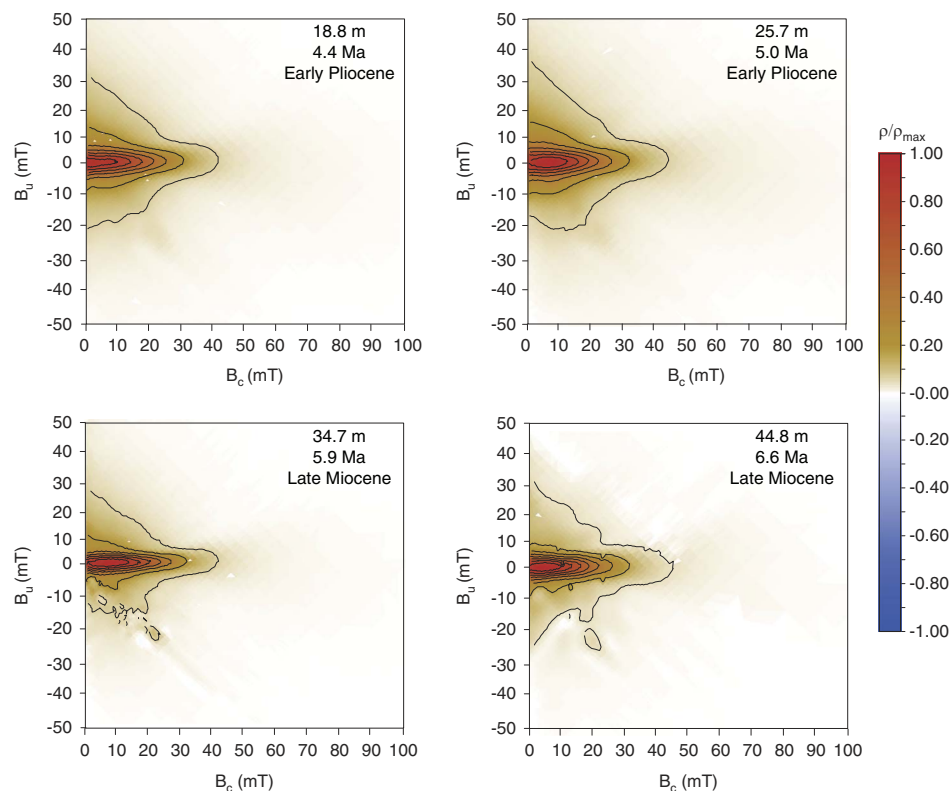


**Figure 4.** IRM acquisition curves for selected samples from the Shilou-A red clay sequence (the same samples as in Fig. 3). The dashed vertical lines at 300 mT are shown to aid distinction between low- and high-coercivity portions of the IRM acquisition curves.

## DISCUSSION

Like the overlying Quaternary loess-paleosol sequence, the magnetic properties of the Red Clay sequence are dominated by fine-grained pedogenic magnetite populations that range from SP/SD to small PSD sizes, as suggested by the aforementioned rock magnetic results and previous studies (Nie et al., 2007, 2008, 2013; Ao et al., 2016). The SP grains, which have high  $\chi_{If}$  values but no stable magnetic remanence, formed during early pedogenesis. Some SP grains could have grown to SD and even to small PSD sizes, which have high  $\chi_{ARM}$  but low  $\chi_{If}$  with increased pedogenesis (Evans and Heller, 2003; Hu et al., 2013; Nie et al., 2013, 2014). Thus,  $\chi_{ARM}/\chi_{If}$ , which reflects the relative amount of SD and small PSD magnetite grains compared to SP grains, allows a more detailed view of pedogenic variations in Red Clay than either  $\chi_{If}$  or  $\chi_{ARM}$  (Geiss and Zanner, 2006; Nie et al., 2013, 2014). This approach is supported by  $\chi_{ARM}/\chi_{If}$  variations of Quaternary loess-paleosol sequences, with distinctly high values in pedogenic paleosol layers and low values in loess layers (Nie et al., 2013). Hematite has much lower magnetization and magnetic susceptibility than magnetite; therefore, the contribution of hematite to  $\chi_{ARM}/\chi_{If}$  variations is not significant when magnetite is also present.

The notable  $\chi_{If}$  and  $\chi_{ARM}$  increases across the MPB in the Shilou Red Clay indicate increases in pedogenic SP and SD to small PSD magnetite grains, respectively. An increased amount of SP magnetite grains is supported by a notable increase in the frequency-dependent magnetic susceptibility percentage ( $\chi_{fd}\%$ , defined as  $(\chi_{If} - \chi_{hf}) / \chi_{If} \times 100\%$ , where  $\chi_{hf}$  is the high-frequency magnetic susceptibility) in the Shilou-B Red Clay section across the MPB (Ao et al., 2016; Fig. 6e). The prominent  $\chi_{ARM}/\chi_{If}$  increase across the MPB further indicates an increasing percentage of SD and small PSD magnetite grains relative to SP grains. Thus, consistent positive shifts of  $\chi_{If}$ ,  $\chi_{ARM}$ ,  $\chi_{ARM}/\chi_{If}$ , and  $\chi_{fd}\%$  indicate enhanced pedogenesis across the MPB on the CLP, which accelerated both formation of SP grains and transformation of SP grains to SD and small PSD grains. Relatively more SD and small PSD grains formed during this type of pedogenic enhancement, which resulted in increased  $\chi_{ARM}/\chi_{If}$  across the MPB. It is unlikely that the increased PSD magnetite fraction resulted from increased detrital input, because parallel grain size records from the Shilou-A Red Clay do not indicate coarser sediments and increased detrital input across the MPB (Xu et al., 2012). In addition, the early Pliocene Shilou Red Clay interval is redder than the underlying late Miocene interval (Fig. 1c), and has more Fe-Mn (hydr)oxide mottles, nodules, concretions, and coatings in soil profiles, which are



**Figure 5.** (color online) First-order reversal curve (FORC) diagrams for selected samples from the Shilou-A red clay sequence (the same samples as in Fig. 3 and 4). The FORC diagrams are scaled to their respective maximum contour density.

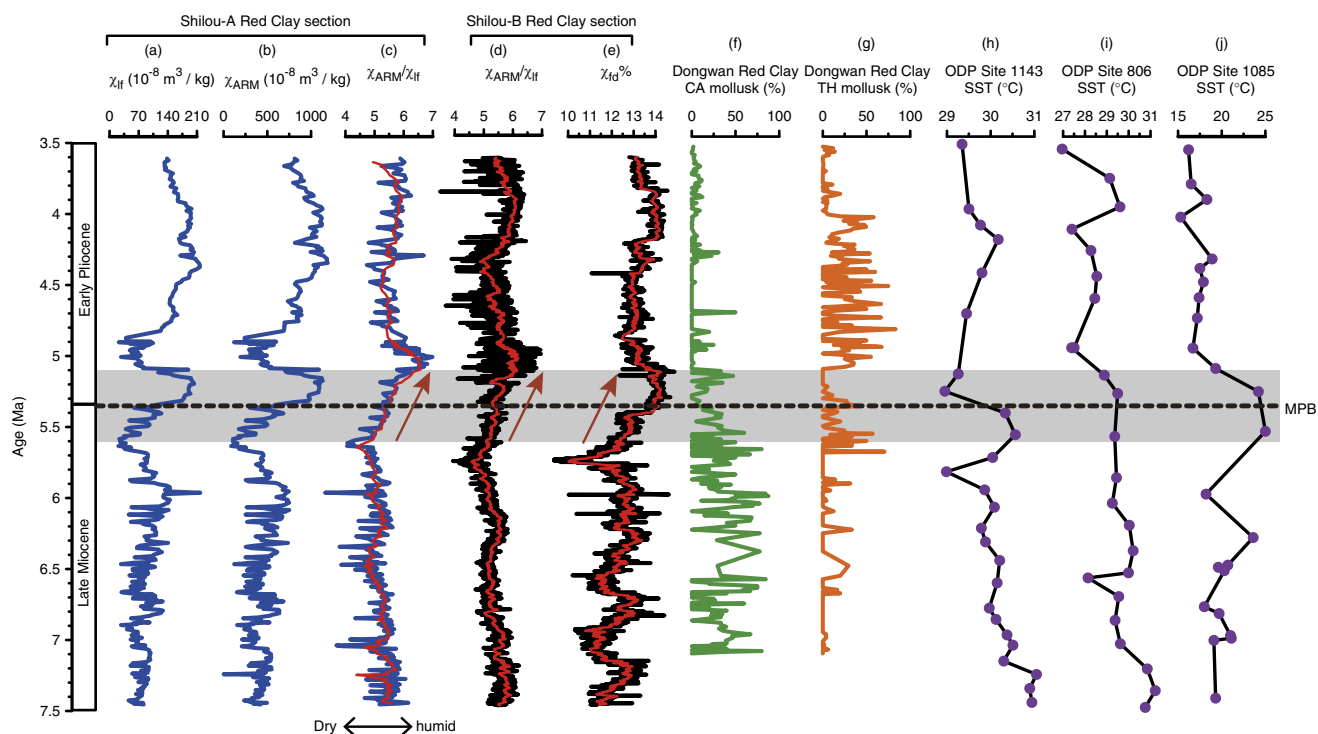
all consistent with enhanced pedogenesis across the MPB (Yang and Ding, 2003; Roberts, 2015; Ao et al., 2016).

Precipitation and temperature exert a primary control on pedogenic weathering (White and Blum, 1995; White et al., 1999; Wei et al., 2006; Clift et al., 2008). Increased precipitation can accelerate pedogenic weathering reactions by enhancing unsaturated soil hydrology, increasing the wetness of reactive mineral surfaces, activating stagnant porewaters that are immobile in drier soils, and decreasing soil solution concentrations and pH (White and Blum, 1995). Higher temperatures can also enhance the pedogenic weathering rate because of the thermodynamic dependence of weathering reactions (White et al., 1999). Therefore, enhanced pedogenesis across the MPB, as suggested by the Shilou Red Clay mineral magnetic record (Fig. 6a–e), is likely indicative of a climatic shift to more humid and/or warmer conditions.

A temperature increase on the CLP would be less likely and is inconsistent with observations of Antarctic glaciation and global cooling across the MPB (Zachos et al., 2001; Rommerskirchen et al., 2011; LaRiviere et al., 2012; Zhang et al., 2014). Within a context of Antarctic glaciation (Zachos et al., 2001), TEX<sub>86</sub> (tetraether index of 86 carbon atoms, cf. Schouten et al. [2002]) temperature proxy data suggest that sea surface temperature (SST) in the South China Sea (Ocean Drilling Program (ODP) Site 1143) and the tropical western Pacific Ocean (ODP Site 806) decreased by  $\sim 2^{\circ}\text{C}$  across the MPB (Zhang et al., 2014), while SST in the mid-latitude South Atlantic Ocean (ODP Site 1085) decreased by

up to  $\sim 8^{\circ}\text{C}$  (Rommerskirchen et al., 2011; Fig. 6h–j). In addition to these SST drops, decreased bottom-water temperatures have also been documented across the MPB in the Pacific and Atlantic Oceans (LaRiviere et al., 2012). Furthermore, a temperature decline is supported by increased percentages of *Pinus* and *Picea* pollen in Red Clay successions across the MPB (Ma et al., 2005). These observations indicate that the CLP was unlikely to have shifted to warmer conditions across the MPB when global climate cooled, although the possibility of regional and/or season-specific East Asian continental warming cannot be entirely excluded at present. Future independent Asian continental temperature reconstructions are crucial to test these scenarios in more detail. According to presently available evidence, we primarily relate increased pedogenesis on the CLP across the MPB to increased soil moisture availability, rather than to temperature changes.

Increased moisture availability on the CLP from the late Miocene to early Pliocene is consistent with other indications of ASM intensification. Examples include decreased eolian detrital fluxes on the CLP (Sun and An, 2002) and into the North Pacific Ocean (Rea et al., 1998), globally increased chemical weathering intensity (Filippelli, 1997), reorganization of the western Himalayan river system (Clift and Blusztajn, 2005), increased flux of clastic material to the South China Sea (Clift et al., 2014), decreased hematite/goethite ratios at ODP Site 1148 from the South China Sea (Clift, 2006), a positive shift of soil carbonate  $\delta^{13}\text{C}$  from the



**Figure 6.** (color online) Changes in East Asian terrestrial climate and sea surface temperature (SST) across the Miocene-Pliocene boundary (MPB). (a–c)  $\chi_{If}$ ,  $\chi_{ARM}$ , and  $\chi_{ARM}/\chi_{If}$  records from the Shilou-A red clay section. (d–e)  $\chi_{ARM}/\chi_{If}$  and  $\chi_{fd}\%$  records from the Shilou-B red clay section (calculated from the raw data reported by Ao et al. (2016)). The red curves (c–e) were obtained by exponential smoothing of the raw data. (f and g) Cold-aridiphilous (CA) and thermo-humidiphilous (TH) terrestrial mollusk changes in the Dongwan red clay sequence (both from Li et al. (2008)). (h–j)  $TEX_{86}$ -based SST records from Ocean Drilling Program (ODP) sites 1143 (South China Sea), 806 (tropical western Pacific Ocean), and 1085 (mid-latitude South Atlantic Ocean; Rommerskirchen et al., 2011; Zhang et al., 2014). See text for discussion.

Siwalik Basin, and positive shifts of hydrogen ( $\delta D$ ) and carbon ( $\delta^{13}C$ ) isotope ratios of leaf wax  $C_{31}$  n-alkane and increased abundance of the planktonic foraminifer *Globorotalia bulloides* and the radiolarian *Actinomma* in the Arabian Sea (Quade et al., 1989; Sanyal et al., 2004; Huang et al., 2007), which are indicative of an intensified ASM. The MPB also coincides with an increase in humidity over the Mediterranean region (Eronen et al., 2009) and with increased moisture availability in tropical America, as indicated by a positive shift of black carbon  $\delta^{13}C$  in the northeastern equatorial Pacific Ocean (Kim et al., 2016). The percentage of terrestrial mollusks on the CLP that prefer cold or dry environments decreases across the MPB (Fig. 6f), while the percentage of terrestrial mollusks that prefer humid or warm environments increases (Fig. 6g), which is interpreted to indicate increased ASM precipitation and/or temperature across the MPB (Li et al., 2008, 2014). Based on the aforementioned evidence, we infer that the mollusk record is more likely indicative of increased precipitation. Overall, the combined records suggest that the cooler early Pliocene had higher ASM precipitation than the warmer late Miocene (Fig. 6). This implies that increased precipitation was not always coupled with increased temperature during the late Miocene and Pliocene, in contrast to the relationship that has been inferred for the Quaternary (Lu et al., 2013; Yang et al., 2015). In addition, global cooling across the

MPB may have facilitated increased moisture availability on the CLP by weakening soil water evaporation.

## CONCLUSIONS

We provide a detailed new mineral magnetic record from the Shilou Red Clay sequence on the eastern CLP. The magnetic mineralogy of the sequence is dominated by pedogenic SP, SD, and small PSD magnetite grains. Our new mineral magnetic record suggests that both pedogenic formation of SP grains and transformation of SP grains to SD and to small PSD grains accelerated across the MPB. These are indicative of enhanced pedogenesis, which is consistent with a marked lithological color shift to redder strata across the MPB. We relate the enhanced pedogenesis to increased ASM precipitation on the CLP across the MPB. Our study suggests a notable climate shift toward more humid conditions on the CLP across the MPB, within a context of global cooling, and contributes to better understanding of late Miocene-early Pliocene Asian continental climate variability.

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