

## A NOTE ON SPIRALLIKE FUNCTIONS

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### Abstract

Let  $f$  be analytic in the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  and let  $\mathcal{S}$  be the subclass of normalised univalent functions with  $f(0) = 0$  and  $f'(0) = 1$ , given by  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ . Let  $F$  be the inverse function of  $f$ , given by  $F(\omega) = \omega + \sum_{n=2}^{\infty} A_n \omega^n$  for  $|\omega| \leq r_0(f)$ . Denote by  $\mathcal{S}_p^*(\alpha)$  the subset of  $\mathcal{S}$  consisting of the spirallike functions of order  $\alpha$  in  $\mathbb{D}$ , that is, functions satisfying

$$\operatorname{Re} \left\{ e^{-i\gamma} \frac{zf'(z)}{f(z)} \right\} > \alpha \cos \gamma,$$

for  $z \in \mathbb{D}$ ,  $0 \leq \alpha < 1$  and  $\gamma \in (-\pi/2, \pi/2)$ . We give sharp upper and lower bounds for both  $|a_3| - |a_2|$  and  $|A_3| - |A_2|$  when  $f \in \mathcal{S}_p^*(\alpha)$ , thus solving an open problem and presenting some new inequalities for coefficient differences.

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### 1. Introduction

Let  $\mathcal{A}$  denote the class of analytic functions  $f$  in the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  normalised by  $f(0) = 0 = f'(0) - 1$ . For  $z \in \mathbb{D}$ , a function  $f \in \mathcal{A}$  has the representation

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n. \quad (1.1)$$

Let  $\mathcal{S}$  denote the subclass of all univalent (that is, one-to-one) functions in  $\mathcal{A}$ .

For  $f \in \mathcal{S}$  denote by  $F$ , the inverse of  $f$ , given by

$$F(\omega) = \omega + \sum_{n=2}^{\infty} A_n \omega^n, \quad (1.2)$$

valid on some disk  $|\omega| \leq r_0(f)$ .

In 1985, de Branges [2] solved the famous Bieberbach conjecture by showing that if  $f \in \mathcal{S}$ , then  $|a_n| \leq n$  for  $n \geq 2$ , with equality when  $f(z) = k(z) := z/(1-z)^2$ , or a rotation of it. It was therefore natural to ask if for  $f \in \mathcal{S}$ , the inequality  $\|a_{n+1}\| - \|a_n\| \leq 1$  is true when  $n \geq 2$ . This was shown not to be the case even when  $n = 2$  [3], and the

following sharp bounds hold:

$$-1 \leq |a_3| - |a_2| \leq \frac{3}{4} + e^{-\lambda_0}(2e^{-\lambda_0} - 1) = 1.029 \dots,$$

where  $\lambda_0$  is the unique value of  $\lambda$  in  $0 < \lambda < 1$ , satisfying the equation  $4\lambda = e^\lambda$ .

Hayman [5] showed that if  $f \in \mathcal{S}$ , then  $\|a_{n+1} - a_n\| \leq C$ , where  $C$  is an absolute constant. The exact value of  $C$  is unknown, the best estimate to date being  $C = 3.61 \dots$  [4], which because of the sharp estimate above when  $n = 2$ , cannot be reduced to 1.

Hayman’s seminal result  $\|a_{n+1} - a_n\| \leq C$  for  $n \geq 2$ , was proved in 1963, using his distinctive method developed to study areally mean  $p$ -valent functions. A different proof was provided by Milin, using the now well-known Lebedev–Milin inequalities, and an excellent account of this result can be found in Duren’s book [3]. Little progress has been made estimating the value of  $C$ . It was shown by Ilina [6] in 1968 that  $C < 4.26 \dots$ . Using a modification of Milin’s method, Grispan [4] improved this bound in 1976 to show that for  $n \geq 2$ ,

$$-2.97 \dots < |a_{n+1}| - |a_n| < 3.61 \dots$$

No other advances appear to have been made in this direction during the intervening years, until a recent result of Obradović *et al.* [9] who, using the Grunsky inequalities, have shown that the upper bound for  $C$  can be improved when  $n = 3$  to  $|a_4| - |a_3| \leq 2.1033 \dots$ .

In [10], the present authors gave sharp upper and lower bounds for  $|a_3| - |a_2|$ , when  $f$  belongs to some important subclasses of starlike and convex functions in  $\mathbb{D}$ . Also, in [11], the same authors gave corresponding sharp bounds for  $|A_3| - |A_2|$  for  $f \in \mathcal{S}$  and a variety of subclasses.

### 2. Definitions

The classes  $\mathcal{S}^*(\alpha)$  and  $\mathcal{S}_p^*(\alpha)$  of starlike and spirallike functions of order  $\alpha$  are defined as follows.

**DEFINITION 2.1.** Let  $f \in \mathcal{A}$  be given by (1.1). For  $0 \leq \alpha < 1$ , denote by  $\mathcal{S}^*(\alpha)$  the subclass of  $\mathcal{S}$  consisting of starlike functions of order  $\alpha$ , so that  $f \in \mathcal{S}^*(\alpha)$  if and only if, for  $z \in \mathbb{D}$ ,

$$\operatorname{Re}\left\{\frac{zf'(z)}{f(z)}\right\} > \alpha.$$

Although similar in the form of definition, the class of spirallike functions defined below is significantly more difficult to deal with, with relatively little precise information known.

**DEFINITION 2.2.** Let  $f \in \mathcal{A}$  be given by (1.1). For  $0 \leq \alpha < 1$ , denote by  $\mathcal{S}_p^*(\alpha)$  the subclass of  $\mathcal{S}$  consisting of spirallike functions of order  $\alpha$ , so that  $f \in \mathcal{S}_p^*(\alpha)$  if and only if, for  $z \in \mathbb{D}$  and  $\gamma \in (-\pi/2, \pi/2)$ ,

$$\operatorname{Re}\left\{e^{-i\gamma}\frac{zf'(z)}{f(z)}\right\} > \alpha \cos \gamma. \tag{2.1}$$

We note that  $\mathcal{S}^*(0)$  is the class of starlike functions  $\mathcal{S}^*$ , and that Leung [7] established the sharp inequalities  $\|a_{n+1}\| - \|a_n\| \leq 1$  for  $n \geq 2$ . In [10], corresponding inequalities for functions in  $\mathcal{S}^*(\alpha)$  were obtained in the case  $n = 2$ .

The problem of extending these inequalities to the class  $\mathcal{S}_p^*(\alpha)$  has been considered by Arora *et al.* [1], and earlier by Li [8]. In [1, 8], using Leung's method, it was shown that Leung's result remains valid in  $\mathcal{S}_p^*(\alpha)$  for all  $n \geq 2$  when  $\alpha = 0$ . Also in [8], Li gave a partial solution to finding sharp upper and lower bounds for  $|a_3| - |a_2|$  when  $0 \leq \alpha < 1$  and  $\gamma \in (-\pi/2, \pi/2)$ . In this paper we give the complete solution to finding sharp upper and lower bounds for  $|a_3| - |a_2|$  in  $\mathcal{S}_p^*(\alpha)$ , together with corresponding sharp upper and lower bounds for  $|A_3| - |A_2|$ .

### 3. Preliminary lemma

Denote by  $\mathcal{P}$  the class of analytic functions  $p$  with positive real part on  $\mathbb{D}$  given by

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n. \quad (3.1)$$

In the subsequent sections we note that the classes  $\mathcal{P}$ ,  $\mathcal{S}_p^*(\alpha)$  and the functionals  $|a_3| - |a_2|$  and  $|A_3| - |A_2|$  are rotationally invariant.

We will use the following lemma given in [11], concerning the coefficients of functions in  $\mathcal{P}$ , given by (3.1), noting that for our application it is critical that  $B_2 \in \mathbb{C}$ . In [11] the general nature of Lemma 3.1 enabled sharp upper and lower bounds to be found for  $|A_3| - |A_2|$  for a variety of classes of convex and starlike functions.

**LEMMA 3.1.** *Let  $B_1, B_2$  and  $B_3$  be numbers such that  $B_1 \geq 0$ ,  $B_2 \in \mathbb{C}$  and  $B_3 \in \mathbb{R}$ . Let  $p \in \mathcal{P}$  and be given by (3.1). Define  $\Psi_+(p_1, p_2)$  and  $\Psi_-(p_1, p_2)$  by*

$$\Psi_+(p_1, p_2) = |B_2 p_1^2 + B_3 p_2| - |B_1 p_1| \quad \text{and} \quad \Psi_-(p_1, p_2) = -\Psi_+(p_1, p_2).$$

Then

$$\Psi_+(p_1, p_2) \leq \begin{cases} |4B_2 + 2B_3| - 2B_1, & \text{when } |2B_2 + B_3| \geq |B_3| + B_1, \\ 2|B_3|, & \text{otherwise,} \end{cases} \quad (3.2)$$

and

$$\Psi_-(p_1, p_2) \leq \begin{cases} 2B_1 - B_4, & \text{when } B_1 \geq B_4 + 2|B_3|, \\ 2B_1 \sqrt{\frac{2|B_3|}{B_4 + 2|B_3|}}, & \text{when } B_1^2 \leq 2|B_3|(B_4 + 2|B_3|), \\ 2|B_3| + \frac{B_1^2}{B_4 + 2|B_3|}, & \text{otherwise,} \end{cases} \quad (3.3)$$

where  $B_4 = |4B_2 + 2B_3|$ . All inequalities in (3.2) and (3.3) are sharp.

### 4. Bounds for $|a_3| - |a_2|$

We prove the following inequalities for functions in  $\mathcal{S}_p^*(\alpha)$ .

**THEOREM 4.1.** *Let  $f \in \mathcal{S}_p^*(\alpha)$  be given by (1.1). Then for  $0 \leq \alpha < 1$ ,*

$$-\frac{2(1-\alpha)\cos\gamma}{\sqrt{1+\sqrt{1+4(2-\alpha)(1-\alpha)\cos^2\gamma}}} \leq |a_3| - |a_2| \leq (1-\alpha)\cos\gamma. \tag{4.1}$$

*Both inequalities are sharp.*

**PROOF.** First note that from (2.1) we can write

$$e^{-iy}\left(\frac{zf'(z)}{f(z)} - 1\right) = \cos\gamma(\alpha + (1-\alpha)p(z) - 1), \tag{4.2}$$

for some  $p \in \mathcal{P}$ . Thus, equating coefficients, we obtain

$$\begin{aligned} a_2 &= (1-\alpha)e^{iy}p_1\cos\gamma, \\ a_3 &= \frac{1}{2}(1-\alpha)(e^{iy}p_2\cos\gamma + (1-\alpha)e^{2iy}p_1^2\cos^2\gamma). \end{aligned} \tag{4.3}$$

We first use Lemma 3.1 to find the upper bound for  $|a_3| - |a_2|$ . From (4.3), we obtain

$$|a_3| - |a_2| = \frac{1}{2}(1-\alpha)\cos\gamma(|p_2 + (1-\alpha)e^{iy}p_1^2\cos\gamma| - |2p_1|),$$

so that in Lemma 3.1 we take  $B_1 = 2$ ,  $B_2 = (1-\alpha)e^{iy}\cos\gamma$  and  $B_3 = 1$ . A simple calculation shows that the condition  $|2B_2 + B_3| \geq |B_3| + B_1$  is equivalent to

$$\sqrt{1+4(1-\alpha)\cos^2\gamma+4(1-\alpha)^2\cos^2\gamma} \geq 3,$$

which is easily seen to be false unless  $\cos\gamma = 1$  and  $\alpha = 0$ , which reduces to the case of starlike functions. Thus from Lemma 3.1 we deduce that  $|a_3| - |a_2| \leq (1-\alpha)\cos\gamma$  as required.

It is easy to see that equality holds in the right-hand inequality in (4.1) when  $f \in \mathcal{S}_p^*(\alpha)$  satisfying (4.2) with  $p(z) = (1+z^2)/(1-z^2)$ .

For the lower bound we use Lemma 3.1 and write

$$|a_2| - |a_3| = (1-\alpha)\cos\gamma(|p_1| - \frac{1}{2}|p_2 + (1-\alpha)e^{iy}p_1^2\cos\gamma|),$$

so that in Lemma 3.1 we take  $B_1 = 1$ ,  $B_2 = ((1-\alpha)e^{iy}\cos\gamma)/2$  and  $B_3 = 1/2$ . Checking the conditions for  $\Psi_-(p_1, p_2)$ , we find that with these values of  $B_1, B_2$  and  $B_3$ , the second condition is satisfied, which after some simplification gives the bound

$$|a_2| - |a_3| \leq \frac{2(1-\alpha)\cos\gamma}{\sqrt{1+\sqrt{1+4(2-\alpha)(1-\alpha)\cos^2\gamma}}},$$

as required.

To see that this lower bound is sharp, consider  $f \in \mathcal{S}_p^*(\alpha)$  defined by (4.2) with

$$p(z) = \frac{1 + \zeta_1(\zeta_2 + 1)z + \zeta_2 z^2}{1 + \zeta_1(\zeta_2 - 1)z - \zeta_2 z^2},$$

where

$$\zeta_1 = \frac{1}{\sqrt{1 + |\mu|}}, \quad \zeta_2 = -e^{i \arg \mu} \quad \text{and} \quad \mu = 1 + 2(1 - \alpha)e^{i\gamma} \cos \gamma.$$

Then

$$a_2 = \frac{2(1 - \alpha)e^{i\gamma} \cos \gamma}{\sqrt{1 + |\mu|}} \quad \text{and} \quad a_3 = 0,$$

which gives equality in the left-hand inequality in (4.1), and so completes the proof of Theorem 4.1. □

**REMARK 4.2.** When  $\alpha = 0$ , we obtain the result obtained by Li in [8].

### 5. Bounds for the difference $|A_3| - |A_2|$ of inverse coefficients

We first note that since  $f(f^{-1}(\omega)) = \omega$ ; equating coefficients gives

$$A_2 = -a_2 \quad \text{and} \quad A_3 = 2a_2^2 - a_3. \tag{5.1}$$

We first find the upper bounds.

**THEOREM 5.1.** *Let  $f \in \mathcal{S}_p^*(\alpha)$ , with inverse coefficients given by (1.2). Then*

$$|A_3| - |A_2| \leq (1 - \alpha) \cos \gamma \left( -2 + \sqrt{1 - 12(1 - \alpha)(3\alpha - 2) \cos^2 \gamma} \right) \tag{5.2}$$

when

$$\frac{1}{\sqrt{3}} < \cos \gamma \leq 1 \quad \text{and} \quad 0 \leq \alpha \leq \frac{5}{6} - \frac{1}{6} \sqrt{1 + 8 \sec^2 \gamma},$$

and

$$|A_3| - |A_2| \leq (1 - \alpha) \cos \gamma$$

when

$$\frac{1}{\sqrt{3}} < \cos \gamma \leq 1 \quad \text{and} \quad \frac{5}{6} - \frac{1}{6} \sqrt{1 + 8 \sec^2 \gamma} < \alpha < 1,$$

and also when

$$0 < \cos \gamma \leq \frac{1}{\sqrt{3}} \quad \text{and} \quad 0 \leq \alpha < 1.$$

All the inequalities are sharp.

**PROOF.** Using (4.3) and (5.1), a simple calculation gives

$$|A_3| - |A_2| = \frac{1}{2}(1 - \alpha) \cos \gamma (| - 3(1 - \alpha)e^{i\gamma} \cos \gamma p_1^2 + p_2| - 2|p_1|).$$

We apply Lemma 3.1, with  $B_1 = 2$ ,  $B_2 = -3(1 - \alpha)e^{i\gamma} \cos \gamma$  and  $B_3 = 1$ , and check the conditions for the bound  $\Psi_+(p_1, p_2)$ .

The condition  $|2B_2 + B_3| \geq |B_3| + B_1$  is satisfied when  $|1 - 6 \cos \gamma(1 - \alpha)e^{i\gamma}| \geq 3$ , which is equivalent to  $\sqrt{1 - 12(1 - \alpha) \cos^2 \gamma + 36(1 - \alpha)^2 \cos^2 \gamma} \geq 3$ . A calculation shows that this condition is valid when

$$\frac{1}{\sqrt{3}} < \cos \gamma \leq 1 \quad \text{and} \quad 0 \leq \alpha \leq \frac{5}{6} - \frac{1}{6} \sqrt{1 + 8 \sec^2 \gamma}.$$

Thus substituting the chosen values of  $B_1, B_2$  and  $B_3$  into Lemma 3.1 gives

$$|A_3| - |A_2| \leq (1 - \alpha) \cos \gamma \left( -2 + \sqrt{1 - 12(1 - \alpha)(3\alpha - 2) \cos^2 \gamma} \right)$$

when

$$\frac{1}{\sqrt{3}} < \cos \gamma \leq 1 \quad \text{and} \quad 0 \leq \alpha \leq \frac{5}{6} - \frac{1}{6} \sqrt{1 + 8 \sec^2 \gamma},$$

and

$$|A_3| - |A_2| \leq (1 - \alpha) \cos \gamma$$

when

$$\frac{1}{\sqrt{3}} < \cos \gamma \leq 1 \quad \text{and} \quad \frac{5}{6} - \frac{1}{6} \sqrt{1 + 8 \sec^2 \gamma} < \alpha < 1,$$

and also when

$$0 < \cos \gamma \leq \frac{1}{\sqrt{3}} \quad \text{and} \quad 0 \leq \alpha < 1.$$

The first bound in (5.2) is sharp when  $p(z) = (1 + z)/(1 - z)$ , and the second bound is sharp when  $p(z) = (1 + z^2)/(1 - z^2)$ . □

We next find the lower bounds.

**THEOREM 5.2.** *Let  $f \in \mathcal{S}_p^*(\alpha)$ , with inverse coefficients given by (1.2). Then*

$$|A_2| - |A_3| \leq \frac{2(1 - \alpha) \cos \gamma}{\sqrt{1 + \sqrt{1 + 12(1 - \alpha)(3\alpha - 2) \cos^2 \gamma}}}. \tag{5.3}$$

**PROOF.** We again use Lemma 3.1, this time with  $B_1 = 2$ ,  $B_2 = -3(1 - \alpha)e^{i\gamma} \cos \gamma$  and  $B_3 = 1$ , so that  $B_1^2 \leq 2|B_3|(|4B_2 + 2B_3| + 2|B_1|)$  is true for all  $\alpha \in [0, 1)$  and  $\gamma \in (-\pi/2, \pi/2)$ , which on substitution gives the required inequality (5.3).

To see that this lower bound is sharp, consider  $f \in \mathcal{S}_p^*(\alpha)$  defined by (4.2) with

$$p(z) = \frac{1 + \zeta_1(\zeta_2 + 1)z + \zeta_2 z^2}{1 + \zeta_1(\zeta_2 - 1)z - \zeta_2 z^2},$$

where

$$\zeta_1 = \frac{1}{\sqrt{1+|\nu|}}, \quad \zeta_2 = -e^{i \arg \nu} \quad \text{and} \quad \nu = 1 - 6(1-\alpha)e^{i\gamma} \cos \gamma.$$

Then

$$A_2 = \frac{-2(1-\alpha)e^{i\gamma} \cos \gamma}{\sqrt{1+|\nu|}} \quad \text{and} \quad A_3 = 0,$$

which gives equality in (5.3).  $\square$

**REMARK 5.3.** It is easy to see that when  $\gamma = 0$  in all of the above results, we obtain the corresponding inequalities obtained in [10, 11].

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