Laryngology & Otology

cambridge.org/jlo

Review Article

Dr A Fandino takes responsibility for the integrity of the content of the paper

Cite this article: Fandino A, Douglas R. A historical review of the evolution of nasal lavage systems. *J Laryngol Otol* 2021;**135**: 110–116. https://doi.org/10.1017/S002221512100030X

Accepted: 30 August 2020 First published online: 10 February 2021

Key words:

Nasal Lavage; Nasal Lavage Fluid; Nose Diseases; Paranasal Sinuses

Author for correspondence:

Dr Alejandro Fandino, 28 Park Avenue, Grafton, Auckland 1023, New Zealand E-mail: a.fandino@auckland.ac.nz

A historical review of the evolution of nasal lavage systems

A Fandino and R Douglas

Department of Surgery, University of Auckland, Auckland, New Zealand

Abstract

Background. Nasal lavage is an ancient practice that still has a fundamental role in the management of sinonasal conditions. The history related to these devices is extensive and remarkable. By reviewing it, it is hoped that a broader view can be achieved on what is currently possible with nasal lavage and how advances may be made in the future.

Methods. A careful review of different sources, such as ancient manuscripts, registered patents and scientific papers, was conducted to achieve a thorough examination of the history related to nasal rinsing devices.

Conclusion. Nasal lavage has evolved significantly since first considered for medical use and has always played a central role in the treatment of patients with sinonasal conditions. Further innovation is still necessary to surmount the shortcomings of current nasal lavage systems.

Introduction

'The subject of "nasal douches and sprays" possesses only a mild interest for the experienced rhinologist, because he has long ago settled in his mind the relative value of these measures, and has dismissed them from the realm of things to be considered. This, however, does not mean that rhinologists are united in sentiment in regard to them, but it is because their individual experience with them has been so large that arguments have little influence'.

This statement, written in 1893 by the American rhinologist Jonathan Wright, is mostly still true today. However, there are many ways to perform nasal lavage, and their relative efficacies are not so well established that which method to choose should indeed be outside the realm of things to be considered. Finding the best method of delivering fluid into the nasal cavity is still a matter of constant discussion, despite this treatment being a conceptually simple but indispensable tool used effectively by patients for centuries.

Nasal lavage uses the shear force generated by a liquid being flushed through a nozzle to wash mucus and detach crusts from the nasal mucosa. Additionally, it enhances mucociliary clearance and reduces the concentration of inflammatory mediators.²

Despite its widespread use, there is no current consensus regarding which irrigation method is preferable and which device delivers the best clinical results.² For instance, syringe administration has fundamental shortcomings, such as low output pressure and low volume, yet it remains a very popular method. On the other hand, squeeze bottles are currently the method of rinsing recommended most commonly by otolaryngologists, but the limitation of a relatively small volume persists, with additional concerns such as bottle contamination caused by reversed flow.^{3–5}

From simple pots used in Ayurvedic medicine to modern electrically powered models, we will re-examine various types of devices, evaluating the advantages and disadvantages of each. By doing so, we hope a broader view can be achieved on what is currently possible with nasal lavage and how advances may be made in the future.

Ancient account of nasal rinsing

Ayurveda

The Neti pot, described in the Ayurveda, was the first nasal rinsing device. This ceramic pot has been used in Hatha Yoga for thousands of years for Jala Neti (purification with water). However, the practice of nasal lavage was encouraged for spiritual and hygienic reasons, rather than for the treatment of sinonasal conditions. It was not until 2007, when the American celebrity physician Dr Mehmet Oz appeared on *The Oprah Winfrey Show* and demonstrated the device, that the Neti pot started to be widely used in Western societies for nasal conditions.

However, currently, there is no evidence that shows a clear clinical benefit of the Neti pot over other lavage systems. For many patients, it is seen as a good option because it is simple to use and inexpensive. Gravity provides the flow, so the sheer force on the mucosa is limited. For some patients, the gentleness of the Neti pot is advantageous as the Eustachian tube orifice closing pressure is not exceeded.

© The Author(s), 2021. Published by Cambridge University Press



Fig. 1. Johann Ludwig Wilhelm Thudichum (1829–1901): inventor of the modern concept of nasal rinsing. Image courtesy of the National Library of Medicine.

Greek and Roman lavage techniques

Greek and Roman medical literature described the use of syringes for rectal, vaginal, uterine, bladder, ear and sinus douches. A procedure named nasal clyster (nasal enema) is detailed by various Greek and Roman physicians.

Scribonius Largus called the apparatus he used a 'horn', to which a fluid-filled bag was attached. Aretaeus wrote about an aulos or tube for a nasal injection of euphorbium and other components, to evacuate phlegm in cases of chronic headache

Table 1. Classification of nasal lavage systems

Device	Material	Propelling mechanism
Syringe	– Ivory – Metallic – Glass – Hard rubber – Plastic	– Plunger (piston) – Insufflator (bulb)
Bottle	– Glass – Metallic – Plastic	– Gravity
Cup or dish	– Porcelain – Glass	– Gravity
Electric model	– Plastic	– Electric pump

('kephalaia'). He describes how an injection is made through a nasal tube consisting of two pipes united by one outlet, so that both nostrils can be injected at one time. He argued that this mechanism prevented the discomfort derived from distention caused by the liquid if each nostril was injected separately. His description does not say whether the liquid was delivered via a bag or a syringe. ^{9,10}

Galen mentions a nasal syringe in his writings, but does not describe the device he used. Although Hippocrates gave instructions on how to treat nasal polyps, he did not recommend nasal lavage as a treatment for nasal conditions. ¹¹

Dark and Middle Ages to Renaissance and Enlightenment

The progress made by Greek and Roman physicians was largely lost during the Dark and Middle Ages. During these times, bizarre functions were attributed to the sinuses, such as holding lubricant for the motion of the eyeballs, or permitting the brain to drain its malevolent spirits to the external world. The sinuses were termed 'la cloaca del cerebro' (the sewer of the nose) by a sixteenth century anatomist. 12

The nose was believed to be the entry point for a number of diseases, including the plague. This idea was based on the miasmatic theory, where pestilence originated from invisible fumes that emanated from rotting corpses and contaminated soil or water.

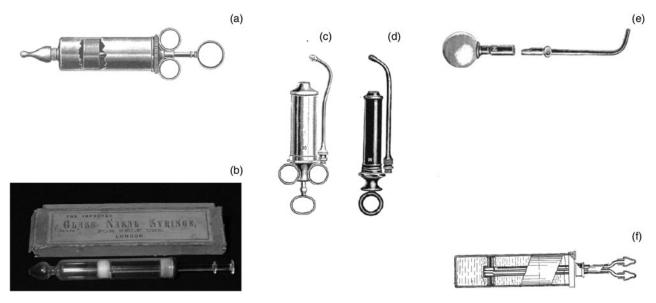


Fig. 2. (a) Metal syringe with plunger and nozzle adapted for anterior nasal rinsing.¹⁷ (b) Glass nasal syringe.¹⁶ (c & d) Metal and black rubber syringes with nozzle for posterior nasal rinsing.¹⁷ (f) Bilateral nasal syringe.¹⁸

A Fandino, R Douglas

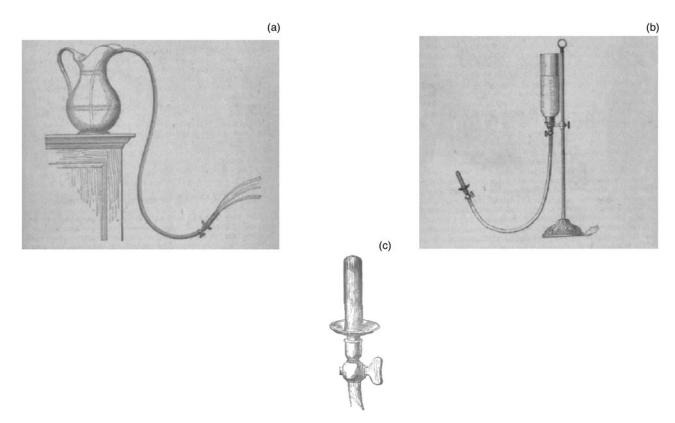


Fig. 3. (a) First design by Thudichum with a ceramic jug, rubber tube and metallic nozzle. (b) Upgraded design by Thudichum, with inverted glass bottle opened and superiorly attached to a rubber tube and metallic nozzle. (c) Metallic nozzle with two-way valve to control flow rate. 19

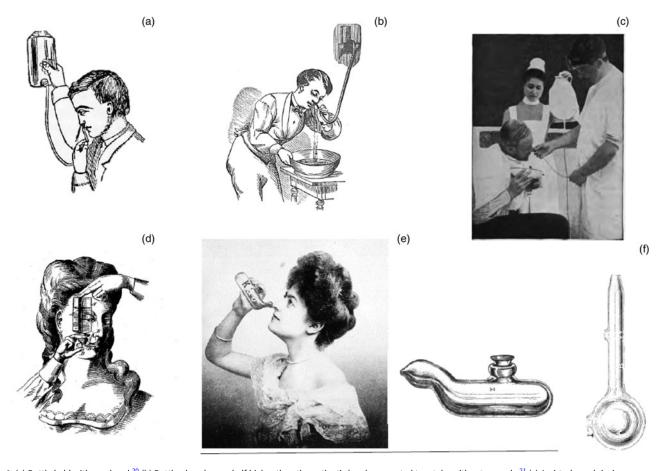


Fig. 4. (a) Bottle held with one hand. ²⁰ (b) Bottle placed on a shelf higher than the patient's head, connected to a tube without a nozzle. ²¹ (c) Assisted nasal rinsing device with collapsible reservoir. ²² (d) Moyle's catarrhal douche. ²³ (e) Birmingham nasal douche, made of glass. (f) Evans' nasal douche. ¹⁷

It is, nonetheless, possible that nasal rinsing continued through this period, but it certainly was not considered an important part of the treatment for nasal conditions. However, in the sixteenth century, Petras Forestus claimed to have cured ozaena by 'copious nasal douching with perfumed white wine in which cypress, roses, and myrrh were dissolved'. He also used silver nitrate and alum rubber mixed with honey, applied with a probe.¹²

Ivory and bone were used for syringes for aural and nasal use, mainly in the eighteenth century and early nineteenth century. The plunger was made of wood, with either linen or tow binding to provide a seal.¹³

Nineteenth century

The eminent anatomist Emil Zuckerkandl (1849–1910) provided the first detailed and accurate description of the anatomical and developmental features of the nose and sinuses, opening this region to surgical and medical experimentation.¹²

In the light of his writings, nasal rinsing became an important therapeutic option for sinonasal disease. Johann Ludwig Wilhelm Thudichum (1829–1901) (Figure 1), a fellow of the Royal College of Surgeons of England and inventor of the famous nasal speculum, wrote a paper entitled 'On a new mode of treating diseases of the cavity of the nose', in 1864. ¹⁴ This may be the first scientific publication to describe a specific technique for nasal rinsing using a device created for the purpose of treating conditions of the sinonasal cavity.

Thudichum based his experiments and subsequent treatments both on the anatomical observations made by Zuckerkandl and on the experiments performed by German physician E H Weber, renowned for his studies of human physiology. Weber described how the soft palate closes against the choanae when breathing through the mouth, thus permitting the retention of fluid in the nasal cavity. He noted that the fluid which entered from one nostril was expelled through the contralateral nostril, as long as the individual kept breathing through the mouth. ¹⁵

This observation provided the guidance for Thudichum to invent, with the help of the English medical instrument company Weiss & Son, two nasal lavage devices based on the principle of liquid propulsion by gravity. In the same year that Thudichum proposed his novel technique, the eminent French Professor Armand Trousseau published a paper in *The Boston Medical and Surgical Journal*, where he explained that as part of the treatment for the chronic nasal condition ozaena, nasal rinsing should be performed with syringes.

After the concept of therapeutic nasal lavage was established, the race for creating nasal rinsing devices developed momentum. Numerous prototypes and designs were introduced to the market, with this process being accelerated by the burst of creativity associated with the industrial revolution. For the sake of clarity, we will classify the nasal rinsing devices according to their method for fluid propulsion (Table 1).

Syringes

Despite the emerging belief in the nineteenth century that larger volumes of fluid were desirable to achieve better clinical results, the utilisation of syringes continued and is still used by many today. This is mostly because of their valuable characteristics: ease of use, low costs and transportability. Models developed in the nineteenth century were usually made either from metal, hard rubber or glass, and some had interchangeable nozzles to achieve anterior or posterior lavage. Most syringes used a

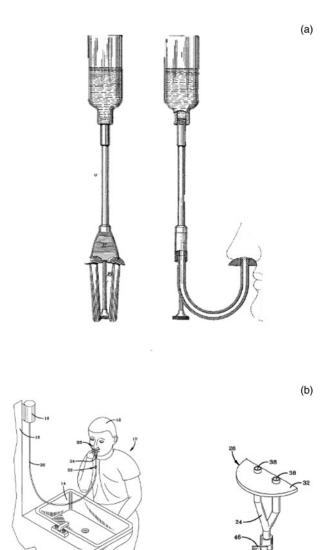


Fig. 5. (a & b) Bilateral nasal douches with flow achieved by gravity. 24,25

plunger mechanism (Figure 2a-d), ¹⁶ but other models had an insufflator for propelling fluid (Figure 2e). ¹⁷ A design from 1931 had a double nozzle, with one nozzle introducing liquid into the nasal cavity and the other draining it (Figure 2f). ¹⁸

Bottles

Flow by gravity

In order to achieve the principle of high volume and continuous flow, Thudichum described two prototypes in his book entitled 'On Polypus in the Nose and Other Affections of the Nasal Cavity'. ¹⁹ One prototype consisted of a ceramic jug in which a rubber tube was introduced, with a metallic plate in its distal end (Figure 3a). The liquid was initially drawn from the jug by mouth suction through the metallic nozzle, creating a syphon effect. His second model replaced the ceramic jug with an inverted glass bottle opened at the top (Figure 3b), ¹⁹ to allow for greater flow.

From this point on, many similar models were developed by other inventors, with containers made either from glass or metal (usually brass). The bottle could be held with one hand (Figure 4a)²⁰ or positioned on a shelf higher than the head of the individual (Figure 4b).²¹

114 A Fandino, R Douglas

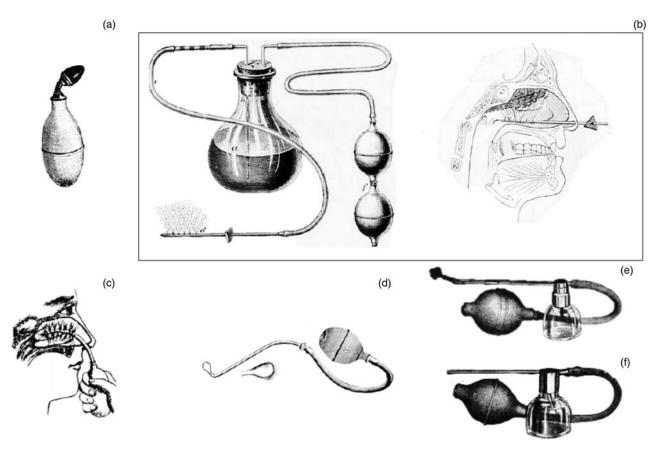


Fig. 6. (a) Pocket bulb with nozzle. (b) Rumbold's nasal spray. (c) Bulb with a soft, rubber, perforated nasal tube. (d) Holme's post-nasal device. (e) DeVilbiss No. 36 posterior insufflator. (f) DeVilbiss No. 32 anterior insufflator.

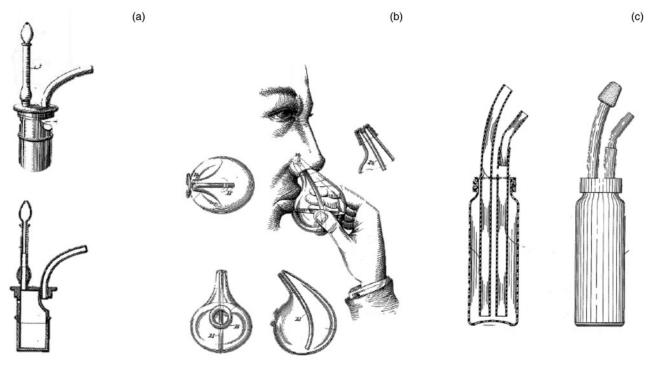


Fig. 7. (a) Munyon's medicament vessel.²⁷ (b) Fowler's glass nasal douche.²⁸ (c) Griffin's nasal irrigator.²⁹

In order to achieve control of the flow rate, early models had either a Thudichum's nozzle with a two-way valve (Figure 3c), or no nozzle wherein control of flow rate was achieved by compressing the rubber tube between the finger and thumb (Figure 4b). This last technique was proposed by Dr R V Pierce in 1895, a fervent supporter of nasal rinsing, who wrote; 'Let no one

entertain any feeling of timidity on commencing the use of this instrument, as its operation is perfectly simple and harmless, and, with the fluids which we recommend, is never attended with any strangling, choking, pain or other disagreeable sensations'.²¹

An alternative technique was an assisted nasal douche designed by the American otolaryngologists Edward Davis

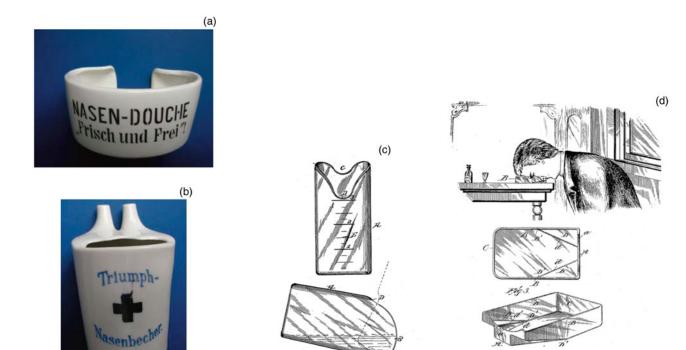


Fig. 8. (a & b) Porcelain nasal cups from the beginning of the twentieth century.³² (c) Evans's glass nasal cup.³³ (d) Harris's glass nasal dish.³⁴

and Beaman Douglas (Figure 4c).²² With time, smaller and more portable devices (initially made from glass),^{17,23} appeared on the market (Figure 4d–f).

Some inventors proposed the simultaneous rinsing of both nasal cavities with a bilateral nozzle and pressure provided by gravity (Figure 5a and b).^{24,25} The scarcity of prototypes makes it difficult to find accounts of their possible benefits over other types of rinsing systems. However, these models had a clear risk of coughing and aspiration when the individual tried to breathe through the mouth.

Squeezing mechanisms (insufflators)

Squeeze bottles were first proposed around the same time as gravity-operated devices. Squeeze bottles are simple to operate and inexpensive, but are limited by their variable flow pressure and low volumes. Despite these shortcomings, they remain one of the mainstays of nasal rinsing today. Before the appearance of plastic bottles in the mid-twentieth century, a rubber bulb or insufflator was used as the propelling mechanism, and models were made for either anterior (Figure 6a and c) or posterior (Figure 6d) nasal rinsing.

In this category, atomisers or spraying devices are included, which have maintained their popularity, regardless of their low shearing force. Models have been developed for anterior (Figure 6f)¹⁷ and posterior (Figure 6e) application. Rumbold's apparatus was intended to rinse the superior nasal cavity (Figure 6b). It appears to have come into disuse because of a painful sensation when actioned.²⁶

Mouth-actioned devices

These devices use the expiratory pressure to propel the contents of the bottle into the nose. Initial models were made from hard rubber with metallic components (Figure 7a).²⁷As with other types of rinsing devices, glass later became a preferred material for production. A good example is Fowler's nasal douche, which

was a one-piece glass container that had an inner tube to increase outflow pressure (Figure 7b).²⁸ Glass was then progressively substituted with hard plastic (Figure 7c).²⁹ A significant advantage of this type of device is that the output pressure can be easily controlled by the user.²⁹

Cups and dishes

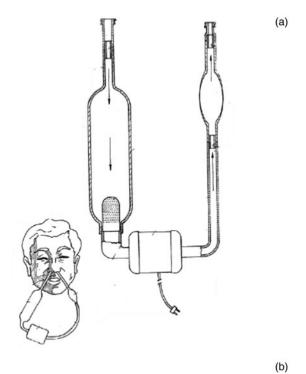
These devices were very popular in the first half of the twentieth century, when many otolaryngologists were concerned that the pressure associated with nasal rinsing had injurious effects on nasal mucosa and the middle ear. The most common materials used for making these cups and dishes were porcelain (Figure 8a and b)³² or glass (Figure 8c). One interesting object is Harris's nasal dish, patented in 1903, where the objective was to apply the wash through the nose in a rather uncomfortable head position, to prevent the passage of liquid into the throat (Figure 8d). The first half of the twentier that the first half of the twentier half of the first half of the first

Electric models

Some very interesting electric models have been designed, such as the 'device for circulating treating fluid through the nasal fossae', which had an integrated electric pump, but never went into production (Figure 9a). Nonetheless, other inventions became very popular, such as Grossan's nasal irrigation system, which became the prototype for some modern designs (Figure 9b). The most appealing feature of these devices is their ability to control flow, with most of them having a pulsating setting. Whether they are indeed superior to their simpler, non-electric counterparts is still a matter of debate.

Discussion

Although simple in concept, sinus lavage has proven to be a key modality for the treatment of sinonasal conditions since antiquity. Currently, the squeeze plastic bottle has become the 116 A Fandino, R Douglas



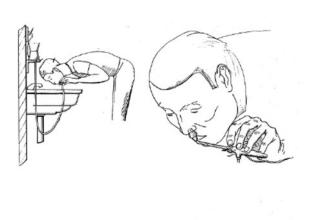


Fig. 9. (a) Baya Pena's recirculating nasal rinsing electric device. ³⁵ (b) Grossan's electric nasal rinsing system with pressure control in the handpiece. ³⁶

most recommended and popular method, having the advantages of transportability, relative low cost and ease of use. However, it has a number of significant limitations, most importantly variations in flow rate and even flow direction.

The ideal device is one that delivers higher volumes at a constant pressure and with continuous flow. Lavage systems currently on the market that use electrical pumps have some of these desired properties; however, their complex operation and dependence on electricity to function, along with their higher price, prevent many patients from using this technology. We feel there is considerable scope for improving the design and function of nasal lavage devices.

Conclusion

Nasal lavage plays a major role in the treatment of sinonasal conditions. The history of the devices created for this purpose is rich. By no means have we covered the whole spectrum of devices created, but we hope that by reviving some of these historical designs, we can inspire otolaryngologists and engineers to perfect current nasal rinsing devices.

Competing interests. None declared

References

- 1 Wright J. Nasal douches and sprays. Medical Record; A Journal of Medicine and Surgery 1893;43:39–41
- 2 Bastier PL, Lechot A, Bordenave L, Durand M, de Gabory L. Nasal irrigation: from empiricism to evidence-based medicine. A review. Eur Ann Otorhinolaryngol Head Neck Dis 2015;132:281–5
- 3 Nguyen SA, Camilon MP, Schlosser RJ. Identification of microbial contaminants in sinus rinse squeeze bottles used by allergic rhinitis patients. World J Otorhinolaryngol Head Neck Surg 2019;5:26–9
- 4 Keen M, Foreman A, Wormald PJ. The clinical significance of nasal irrigation bottle contamination. *Laryngoscope* 2010;120:2110–14
- 5 Foreman A, Wormald PJ. Can bottle design prevent bacterial contamination of nasal irrigation devices? Int Forum Allergy Rhinol 2011;1:303-7
- 6 Rama S, Ballentine R, Hymes A. Science of Breath: A Practical Guide. Honesdale, PA: Himalayan Institute Press, 1998
- 7 Jefferson W. The Neti Pot for Better Health. Summertown, TN: Healthy Living Publications, 2005
- 8 Ho EY, Cady KA, Robles JS. A case study of the Neti pot's rise, Americanization, and rupture as integrative medicine in U.S. media discourse. *Health Commun* 2016;31:1181–92
- 9 Bliquez LJ. The Tools of Asclepius: Surgical Instruments in Greek and Roman Times. Boston: Brill, 2015
- 10 Milne JS. Surgical Instruments in Greek and Roman Times. Oxford: Clarendon, 1907
- 11 Leopold D. A history of rhinology in North America. *Otolaryngol Head Neck Surg* 1996;115:283–97
- 12 Cingi C, Muluk NB. All Around the Nose: Basic Science, Diseases and Surgical Management. Cham: Springer International Publishing, 2020
- 13 Bennion E. Antique Medical Instruments. London: Philip Wilson Publishers.1980
- 14 Thudichum JLW. On a new mode of treating diseases of the cavity of the nose. Lancet 1864;84:628–30
- 15 Weber EH. Archive for Anatomy, Physiology and Scientific Medicine [in German]. Berlin: Verlag Von Veit et Comp, 1847
- 16 BBC. Glass nasal syringe. In: http://www.bbc.co.uk/ahistoryoftheworld/ objects/yCDfWNGxRPej-NFhHWUigw [18 January 2021]
- 17 Fred Haslam & Co. Illustrated Catalogue of Surgical Instruments, and of Allied Lines. New York: Fred Haslam & Co, 1917
- 18 Inaki T. Nasal Irrigator. US patent 1,856,811. 1931 May 3
- 19 Thudichum JLW. On Polypus in the Nose and Other Affections of the Nasal Cavity; Their Successful Treatment by the Electro-Caustic and Other New Methods. London: Longmans, Green & Co, 1877
- 20 Beard GM. Our Home Physician: A New and Popular Guide to the Art of Preserving Health and Treating Disease; With Plain Advice for All the Medical and Surgical Emergencies of the Family. New York: EB Treat & Co, 1869
- 21 Pierce RV. Medical Adviser in Plain English: Or Medicine Simplified. Buffalo, NY: World Dispensary Printing Office and Bindery, 1895
- 22 Davis AE, Douglass B. Nursing in Eye, Ear, Nose and Throat Diseases, 2nd edn. Philadelphia: FA Davis Company, 1920
- 23 Moyle RM. Catarrhal Douche. US patent 113,080. 1871 Mar 28
- 24 Lamport EJ. Nasal Douche. US patent 858,996. 1906 Jul 2
- 25 Liu J-Z. Nasal-Nasopharyngeal Cleaning System. US patent 6,238,377 B1. 2001 May 29
- 26 Robinson BA. Practical Treatise on Nasal Catarrh. New York: William Wood & Co, 1880
- 27 Munyon JM. Medicament Vessel for Inhalers and Nasal Douches. US patent 30,532. 1899 Apr 11
- 28 Fowler EP. Nasal Douche. US patent 1,185,649. 1916 Jun 6
- 29 Griffin WR. Nasal Irrigator. US patent 2,945,495. 1960 Jul 19
- 30 Shaw HL. The injurious effects of the nasal douche and other appliances for flooding the nasal cavity; with eighteen cases. Boston Med Surg J 1876;94:649–57
- 31 Gradle H. The use and danger of the nasal douche. JAMA 1890;14:71
- 32 Lübbers W. Collecting historic ENT instruments. In: https://www.research-gate.net/publication/320306147_Collecting_Historic_ENT_Instruments [15 April 2020]
- 33 Evans WJ. Nasal Cup. US patent 687,139. 1901 Nov 19
- 34 Harris HL. Nasal Dish. US patent 722,102. 1903 Mar 3
- 35 Baya Pena J. Device for Circulating Treating Fluid Through the Nasal Passage. US patent 4,029,095. 1977 Jun 14
- 36 Grossan M. Nasal Irrigation System. US patent 3,847,145. 1974 Nov 12