

# Disturbances in the spontaneous attribution of social meaning in schizophrenia

W. P. Horan<sup>1\*</sup>, K. H. Nuechterlein<sup>1</sup>, J. K. Wynn<sup>1</sup>, J. Lee<sup>1</sup>, F. Castelli<sup>2</sup> and M. F. Green<sup>3</sup>

<sup>1</sup> University of California, Los Angeles, CA, USA

<sup>2</sup> California Institute of Technology, Pasadena, CA, USA

<sup>3</sup> University of California, Los Angeles, VA Greater Los Angeles Healthcare System, Los Angeles, CA, USA

**Background.** Schizophrenia patients show disturbances on a range of tasks that assess mentalizing or ‘Theory of Mind’ (ToM). However, these tasks are often developmentally inappropriate, make large demands on verbal abilities and explicit problem-solving skills, and involve after-the-fact reflection as opposed to spontaneous mentalizing.

**Method.** To address these limitations, 55 clinically stable schizophrenia out-patients and 44 healthy controls completed a validated Animations Task designed to assess spontaneous attributions of social meaning to ambiguous abstract visual stimuli. In this paradigm, 12 animations depict two geometric shapes ‘interacting’ with each other in three conditions: (1) ToM interactions that elicit attributions of mental states to the agents, (2) Goal-Directed (GD) interactions that elicit attributions of simple actions, and (3) Random scenes in which no interaction occurs. Verbal descriptions of each animation are rated for the degree of Intentionality attributed to the agents and for accuracy.

**Results.** Patients had lower Intentionality ratings than controls for ToM and GD scenes but the groups did not significantly differ for Random scenes. The descriptions of the patients less closely matched the situations intended by the developers of the task. Within the schizophrenia group, performance on the Animations Task showed minimal associations with clinical symptoms.

**Conclusions.** Patients demonstrated disturbances in the spontaneous attribution of mental states to abstract visual stimuli that normally evoke such attributions. Hence, in addition to previously established impairment on mentalizing tasks that require logical inferences about others’ mental states, individuals with schizophrenia show disturbances in implicit aspects of mentalizing.

Received 21 September 2007; Revised 8 May 2008; Accepted 15 May 2008; First published online 8 July 2008

**Key words:** Anthropomorphizing, mentalizing, schizophrenia, social cognition, theory of mind.

## Introduction

Rapidly growing evidence indicates that individuals with schizophrenia show disturbances in the domain of social cognition (Green *et al.* 2005; Penn *et al.* 2006). One aspect of social cognition that has received a great deal of research and theoretical attention is mentalizing or ‘Theory of Mind’ (ToM). Mentalizing is a multifaceted construct that typically refers to the capacity to ascribe distinct mental states to oneself and others, and to make correct inferences about the content of those mental states (Premack & Woodruff, 1978; Frith & Frith, 2003; Leslie *et al.* 2004). Mentalizing involves processes at a number of levels, from relatively automatic perceptual processes, such as biological motion perception, to higher-level conceptual processes, such as understanding others’ intentions and emotions

(Leslie, 1994; Blakemore & Decety, 2001). It is theorized to reflect an evolved psychological capacity that facilitates effective social communication and interpersonal functioning (Brothers, 1990; Dunbar, 1998; Brune & Brune-Cohrs, 2006; Burns, 2006).

Recent reviews document performance deficits in schizophrenia patients on a diverse range of tasks (Brune, 2005; Harrington *et al.* 2005). Some studies indicate that patients perform poorly on the classic false-belief tests (e.g. Wimmer & Perner, 1983) that use short stories with or without accompanying props to assess understanding of basic first-order beliefs (i.e. that someone can hold a false belief about a state of the world) or more complex second-order beliefs (i.e. that someone can have a false belief about the belief of another person). Patients also show deficits on tasks that involve arranging cartoon panels into coherent stories based on the characters’ mental states, and on tasks that use written vignettes to assess detection of deception, hints or sarcasm (see Brune, 2005; Harrington *et al.* 2005). These impairments appear to

\* Address for correspondence: W. P. Horan, Ph.D., 300 UCLA Medical Plaza, Suite 2240, Los Angeles, CA 90095-6968, USA.  
(Email: horan@ucla.edu)

have functional and clinical relevance, as they show significant associations with patients' actual community functioning (Couture *et al.* 2006). In addition, mentalizing disturbances have been proposed to underlie particular symptoms (Frith, 1992, 2004; Corcoran *et al.* 1995). In his influential theory, Frith proposed that patients with negative symptoms may never have developed an adequate ability to mentalize, those with thought disorder and certain positive symptoms possess a mentalizing capacity but use it in a faulty manner (e.g. hyper-mentalizing associated with paranoid delusions), and those with passivity experiences (e.g. delusions of control) or in remission may have spared ToM. Although some studies support these hypothesized associations, the results have been inconsistent (see Brune, 2005; Harrington *et al.* 2005).

Although schizophrenia patients show disturbances across a range of mentalizing tasks, several methodological features of commonly used paradigms may limit their ability to validly assess this broad construct. First, many of these tasks were designed for use in studies of normal or abnormal (particularly in the context of autism) child development, using stimuli that are developmentally inappropriate for adults with schizophrenia. A related concern is that these brief tasks often use categorical 'all-or-none' scoring formats that limit their ability to detect more fine-grained quantitative individual differences (Klin, 2000). Second, tasks that use written social vignettes make considerable demands on cognitive processes such as verbal ability and memory, which are known to be disrupted in schizophrenia (Nuechterlein *et al.* 2004). The use of explicit verbal stimuli might serve to artificially enhance mentalizing task performance by facilitating language-based strategies for generating solutions to problems concerning others' mental states (Klin, 2000).

Third, standard ToM tasks do not capture spontaneous mentalizing as it typically occurs in the course of daily life (Frith, 2004). The ToM tasks described above require subjects to make reflective, logical inferences about others' mental states in clearly defined social problem-solving situations, which has been described as explicit mentalizing (Frith, 2004). However, social interactions are typically ambiguous and dynamic, and successfully navigating them relies on an ability to rapidly apprehend others' fluctuating intentions and emotions from contextual cues (Klin, 2000). Much of this ongoing processing appears to occur in a largely automatic, non-reflective manner that is not captured by explicit mentalizing tasks (Frith & Frith, 2003; German *et al.* 2004; Spiers & Maguire, 2006; Lieberman, 2007). This process has been described as implicit mentalizing (Frith, 2004) and

assessed using a variety of behavioral, physiological and neuroimaging paradigms that expose subjects to socially relevant cues (Puce & Perrett, 2005; Frith & Frith, 2006). The perceptual cues that spontaneously trigger attributions of agency and associated patterns of neural activation can be quite impoverished, including simple motion patterns displayed by non-human figures (Heider & Simmel, 1944; Heberlein & Adolphs, 2004). In a recent elaboration of his theory, Frith (2004) speculated that although schizophrenia patients show explicit mentalizing impairments, their use of implicit mentalizing is probably intact.

The current study sought to address these methodological issues by examining the performance of schizophrenia patients on an Animations Task designed to assess implicit aspects of mentalizing across diverse age groups (Abell *et al.* 2000; Castelli *et al.* 2000, 2002). In this paradigm, subjects watch a series of videos depicting geometric figures that move about in Random, Goal-Directed (GD), or Theory of Mind (ToM) movement conditions and provide open-ended descriptions after each video. This paradigm was inspired by Heider & Simmel's (1944) classic studies of social attribution in healthy adults, in which a silent video that depicted geometric shapes moving in a contingent fashion almost invariably elicited anthropomorphic descriptions, such as intentions, personality traits, and emotions. The validity of this and similar paradigms is supported by studies indicating that: (1) these socially impoverished stimuli trigger automatic attributions of agency and mentalizing in healthy adults and children across different cultures (Hashimoto, 1966; Marek, 1966; Berry *et al.* 1992; Berry & Springer, 1993; Abell *et al.* 2000; Castelli *et al.* 2000), (2) the task activates a putative mentalizing neural network in healthy subjects (Blakemore & Decety, 2001; Frith & Frith, 2003), and (3) the social interpretation of the stimuli, and corresponding brain activity, is substantially reduced in children and adults with autism or Asperger's syndrome (Abell *et al.* 2000; Bowler & Thommen, 2000; Castelli *et al.* 2000; Klin, 2000; Klin & Jones, 2006).

The animations used in the current study have been used in one prior study of schizophrenia. Russell *et al.* (2006) administered the task to a sample of predominantly in-patients with clinical diagnoses of schizophrenia using the original task administration and scoring procedures (Abell *et al.* 2000). At the beginning of the task, subjects were instructed that they were going to see animations showing 'an interaction with feelings and thoughts', 'a simple interaction' or a 'random movement'. A title was provided for each upcoming scene that provided a cue about the intended content (e.g. ToM animations were given human titles such as 'a grandmother and grandson')

and the GD animations were given animal titles). Mentalizing was scored using a categorical approach, with each response categorized as using action, interaction, or mental state attribution terms. Compared to healthy controls, patients were less likely to use mentalizing terms to describe ToM scenes and generally showed lower levels of accuracy. Symptom-based subgroup analyses indicated that disturbances were most apparent in patients with negative symptoms, thought disorder and paranoia, as compared to those with passivity symptoms (e.g. delusions of control) or in remission.

The current study evaluated whether well-characterized schizophrenia out-patients demonstrate spontaneous mentalizing impairments using modified Animations Task administration and scoring procedures (Castelli *et al.* 2000, 2002). The modified administration procedure did not provide explicit cues about the nature of the task or scene contents. In addition, Intentionality ratings are based on a continuous scale that may more sensitively capture individual differences.

The primary prediction was that patients would demonstrate less intentionality and accuracy than controls in their descriptions for the ToM animations but not for the Random animations. We did not have strong predications about group differences for the less complex GD condition. However, some studies suggest that patients with particular symptoms, such as paranoid or disorganized symptoms, show impaired visual perception of causality or contingent movement (e.g. Blakemore *et al.* 2003; Tschacher & Kupper, 2006).

## Method

### Participants

Participants included 55 schizophrenia out-patients and 44 non-patient controls. Patients met criteria for schizophrenia based on the Structured Clinical Interview for DSM-IV (SCID; First *et al.* 1996). Diagnostic interviewers were trained to a minimum  $\kappa$  of 0.75 for rating psychotic and mood symptoms by the Treatment Unit of the Department of Veterans Affairs VISN 22 Mental Illness Research Education and Clinical Center (MIRECC). All patients were receiving anti-psychotic medications at clinically determined dosages ( $n=47$  for atypical only;  $n=3$  for typical only;  $n=4$  for both). Non-patient controls were recruited through newspaper advertisements and flyers posted in the local community. Controls were screened with the SCID and SCID-II (First *et al.* 1994) and were excluded if they met criteria for any psychotic disorder, bipolar mood disorder, recurrent depression,

substance dependence, or paranoid, schizotypal, schizoid, avoidant or borderline personality disorder. Controls were also excluded if there was any evidence (according to participant report) of a history of psychotic disorder among their first-degree relatives. Additional exclusion criteria for all subjects included age  $<8$  or  $>55$  years, active substance use disorder in the past 6 months, identifiable neurological disorder, mental retardation, or seizure disorder.

### Clinical ratings

#### Brief Psychiatric Rating Scale (BPRS)

For all patients, psychiatric symptoms during the previous month were rated using the expanded 24-item UCLA version of the BPRS (Overall & Gorham, 1962; Lukoff *et al.* 1986) by a trained rater. BPRS raters achieved a median intra-class correlation coefficient (ICC) of 0.80 or higher across all items compared with the criterion ratings (Ventura *et al.* 1993). From this version of the BPRS, five empirically derived subscales scores [based on the mean of items comprising the scale (possible range 1–7); Guy, 1976] and a 24-item total score were derived.

#### Scale for the Assessment of Negative Symptoms (SANS)

Negative symptoms during the preceding month were evaluated using the SANS (Andreasen, 1984). Four SANS global scales were used in the current study: Affective flattening, Alogia, Anhedonia-Asociality, and Avolition-Apathy. The Attention scale was not included, given findings suggesting that it is not conceptually related to the negative symptom construct (Blanchard & Cohen, 2006).

### Measures

#### Wechsler Test of Adult Reading (WTAR; Wechsler, 2001)

The WTAR is a task of general word knowledge that is frequently used as an estimate of pre-morbid verbal ability. Participants are handed a written list of 50 words and are asked to read aloud all of the words to the best of their ability. A total score is calculated from the number of correctly pronounced words.

#### Animations task

*Materials.* Twelve silent animations, lasting 34–45 s each, were presented on a computer screen using Quicktime software. All featured a big red triangle and a small blue triangle, moving about on a white background (see details in Castelli *et al.* 2000). The stimulus parameters of movement change were equated across conditions.

*Procedure.* Administration procedures followed those described by Castelli *et al.* (2002). Subjects were told that the task was designed to learn about how people perceive movement. They were informed that they would be shown a series of animations and that they would be asked to provide a description of how they perceived or interpreted the movements after each animation. Two practice animations were administered to familiarize subjects with the task and to ensure that they comprehended the instructions.

A total of 12 animations were shown: four animations for each of the three different conditions. The stimuli were presented in an intermixed, random order. The scripts (i.e. type of interaction intended by the developers of the task) underlying the ToM interactions involved one agent coaxing, seducing, mocking or surprising another. The GD and Random conditions serve as control conditions that also involve triangles moving in a self-propelled manner, but do not depict interactions that involve complex mental states. The scripts underlying the four GD interactions involved one agent chasing, fighting, dancing or leading another. In the Random animations the triangles moved in a non-interactive, non-contingent manner. After each scene, the experimenter always asked the same neutral question: 'What was happening in this animation?' On no occasion was feedback given, but subjects were generally praised for their descriptions. All responses were digitally recorded and transcribed for scoring.

*Scoring.* Full scoring details and examples of responses are provided in Castelli *et al.* (2000). In brief, the verbal descriptions given after each animation were coded along two different dimensions: (1) 'Appropriateness': how accurately the descriptions capture the events depicted in the animations, as intended by the underlying scripts; and (2) 'Intentionality': the degree to which purposeful movements and mental states are described. In addition, the Length of each narrative was calculated using a word count. The Appropriateness score is based on the following 0–3 scale: 0 = 'don't know' responses; 1 = focuses solely on a minor aspect of the animation; 2 = partial description; 3 = fully correct description.

The Intentionality score reflects the degree to which the subject describes complex, intentional mental states. This rating is made based on the selection of verbs in the narratives (i.e. verbs that conveyed internal mental states were scored higher). It was rated independently of whether the verb correctly matched the underlying script. Thus, a particular description can be rated high on Intentionality but relatively low on Appropriateness. The degree of Intentionality is rated on a 0–5 scale: 0 = non-purposeful action

(e.g. 'they are just bouncing around'); 1 = purposeful action without another (e.g. 'they are swimming in circles'); 2 = purposeful action with another (e.g. 'the blue one is copying the red one'); 3 = goal-directed intention (e.g. 'the blue one is trying to get away from the red one'); 4 = attribution of mental states during reciprocal interaction (e.g. 'the red one is feeling upset by what the other one did'); 5 = one agent intentionally affecting or manipulating the mental state of another agent (e.g. 'the red triangle is tricking the blue triangle to embarrass it').

Prior to scoring the transcripts, three raters were trained by one of us (F.C.), who developed the scoring system and co-rated a set of practice transcripts with the raters. Each transcript was independently rated by all three raters, who were blind to group membership. ICCs were excellent for ratings of Appropriateness (ToM = 0.97, GD = 0.93, Random = 0.98) and Intentionality (ToM = 0.96, GD = 0.97, Random = 0.94). Consensus ratings for each item were used in the data analyses.

### Data analysis

Group differences in participant characteristics were evaluated with paired *t* tests for continuous variables and  $\chi^2$  tests for categorical variables. For the primary analyses, group differences on the Animations Task were evaluated using 2 (group)  $\times$  3 (condition: ToM, GD, Random) repeated-measures ANOVAs for three dependent measures: Intentionality, Appropriateness, and number of words. Associations between task performance and clinical symptoms were evaluated with Pearson correlation coefficients. All statistical tests are two-tailed, using a significance level of  $p < 0.05$ .

## Results

### Preliminary analyses

Preliminary analyses evaluated correlations between Appropriateness and Intentionality ratings. The strength and direction of the correlations differed substantially across the Random (patients =  $-0.85$ , controls =  $-0.90$ ), GD (patients =  $0.31$ , controls =  $0.20$ ), and ToM (patients =  $0.85$ , controls =  $0.69$ ) conditions. In the Random condition, relatively high Intentionality ratings indicate a tendency to hyper-mentalizing (under-mentalizing is not possible) and are strongly linked to describing the intended meaning of the scenes inappropriately. By contrast, relatively low Intentionality ratings in the ToM condition indicate under-mentalizing (hyper-mentalizing is not possible) and are strongly linked to describing the intended meaning of the scenes inappropriately. Thus, the pattern of relationships across conditions is expected.

**Table 1.** Participant characteristics for schizophrenia ( $n=55$ ) and control ( $n=44$ ) groups

Characteristic	Schizophrenia	Control	Statistics
Age, mean (s.d.)	40.1 (10.8)	39.7 (9.1)	$t(97)=0.04$
Sex (%)			$\chi^2(1, n=98)=0.01$
Male	76	74	
Female	24	24	
Ethnicity (%)			$\chi^2(1, n=102)=2.81$
Caucasian	35	41	
African American	29	36	
Hispanic	23	15	
Asian	8	3	
Other	6	5	
Marital status (%)			$\chi^2(1, n=102)=3.80$
Never married	70	60	
Currently married	5	7	
Ever married	25	33	
Education, mean (s.d.)	13.1 (1.9)	13.0 (1.0)	$t(97)=0.75$
Parental education, mean (s.d.)	14.4 (3.1)	13.9 (2.3)	$t(97)=0.86$
Wechsler Test of Adult Reading, mean (s.d.)	30.4 (9.8)	35.4 (8.7)	$t(97)=2.59^*$

s.d., Standard deviation.

\*  $p < 0.01$ .

### Participant characteristics

As shown in Table 1, the groups did not significantly differ on age, sex, ethnicity, marital status, education, or parental education. WTAR scores were significantly lower in patients than controls [ $t(97)=2.59, p < 0.01$ ], indicating lower estimated verbal abilities in the patient group. Consequently, the primary analyses were conducted both without and with WTAR scores included as a covariate.

This out-patient schizophrenia sample had generally mild levels of symptoms. Mean ratings on the BPRS subscales were: 1.7 (s.d.=0.6) for Anergia, 2.4 (s.d.=0.8) for Anxiety/Depression, 2.5 (s.d.=1.2) for Thought Disturbance, 1.2 (s.d.=0.3) for Activation and 2.0 (s.d.=0.8) for Hostile Suspiciousness. The mean BPRS Total score (based on the sum of the 24 BPRS items) was 44.0 (s.d.=9.7). Mean scores on the SANS were: 1.8 (s.d.=1.3) for Affective flattening, 0.8 (s.d.=1.0) for Alogia, 2.9 (s.d.=1.2) for Apathy/Avolition and 2.5 (s.d.=1.2) for Anhedonia/Asociality. Patients had a mean age of onset of 22.1 years (s.d.=6.2) and a mean duration of illness of 17.4 years (s.d.=9.3).

### Performance on the Animations Task

Descriptive statistics for the Animations Task are presented in Table 2. For Intentionality ratings, there were significant effects for condition [ $F(2, 97)=325.25,$

**Table 2.** Ratings of participants' descriptions on the Animations Task

Scale	ToM	GD	Random
Intentionality			
Schizophrenia	3.1 (0.9)	2.2 (0.6)	1.2 (0.9)
Control	3.7 (0.7)	2.4 (0.5)	1.2 (0.9)
Appropriateness			
Schizophrenia	1.8 (0.5)	2.3 (0.5)	2.2 (0.5)
Control	2.1 (0.4)	2.5 (0.4)	2.3 (0.5)
Length			
Schizophrenia	56.7 (34.8)	38.1 (24.8)	36.2 (25.9)
Control	62.4 (36.4)	43.1 (35.2)	38.5 (29.4)

ToM, Theory of Mind; GD, Goal-Directed.

Values given as mean (standard deviation).

$p < 0.001$ ] and group [ $F(2, 97)=5.87, p < 0.05$ ] as well as for the condition  $\times$  group interaction [ $F(2, 97)=5.98, p < 0.005$ ]. These effects remained significant when WTAR scores were included as a covariate. Within the control group, Intentionality ratings were higher for ToM scenes than for GD scenes [ $t(43)=13.11, p < 0.001$ ], which were in turn higher than Random scenes [ $t(43)=10.59, p < 0.001$ ], supporting the validity of this paradigm. Similarly, within the patient group, Intentionality ratings were higher for ToM scenes than for

GD scenes [ $t(45)=10.47, p<0.001$ , which were in turn higher than Random scenes [ $t(45)=9.66, p<0.001$ ].

As predicted, the groups did not differ significantly on Intentionality ratings for the Random condition [ $t(97)=0.02, p>0.05$ ] and patients had lower Intentionality ratings than controls for ToM animations [ $t(97)=3.75, p<0.001$ ]. Patients also had lower ratings than controls for GD animations [ $t(97)=2.23, p<0.05$ ]. To determine whether the group difference on the ToM condition was significantly larger than that on the GD condition, a follow-up 2 (group)  $\times$  2 (condition: GD *v.* ToM) ANOVA was conducted. This analysis revealed a significant interaction [ $F(1,97)=5.63, p<0.05$ ], indicating that the between-group difference for ToM animations ( $d=0.76$ ) was significantly larger than for GD animations ( $d=0.48$ ).

For Appropriateness ratings, there were significant effects for condition [ $F(2,97)=21.84, p<0.001$ ] and group [ $F(2,97)=8.45, p<0.005$ ] but not for the condition  $\times$  group interaction [ $F(2,97)=0.18, p>0.05$ ]. The condition and group effects remained significant when WTAR scores were included as a covariate. Patients had generally lower Appropriateness ratings than controls across conditions. Irrespective of group, Appropriateness ratings were significantly lower for the ToM condition compared to the GD ( $t=7.19, p<0.001$ ) and Random conditions ( $t=5.00, p<0.001$ ), which did not differ significantly from each other ( $t=0.84, p>0.05$ ), (all  $df=97$ ).

For the Length of the narratives, there was a significant condition effect [ $F(2,97)=62.98, p<0.001$ ]. Importantly, the groups did not differ significantly on Length [ $F(2,97)=0.63, p>0.05$ ] and the condition  $\times$  group interaction [ $F(2,97)=0.54, p>0.05$ ] was also non-significant. This pattern was not altered by including WTAR scores as a covariate. Across the groups, descriptions for ToM scenes were longer than the GD scenes [ $t(97)=10.77, p<0.001$ ], which were in turn longer than the Random scenes [ $t(97)=2.10, p<0.05$ ].

#### *Associations with clinical symptoms within the patient group*

For clinical symptoms rated on the BPRS, there were no significant correlations or notable trends between the five subscales or total scores on the one hand, and Intentionality or Appropriateness scores on the other (all  $r$ 's  $<0.20$ ). To further evaluate associations between the Animations Task and symptoms, we computed indices from the BPRS items that correspond to the major symptom domains described by Liddle (1999): Psychomotor Poverty, Conceptual Disorganization, and Reality Distortion. We also evaluated associations with the individual BPRS Paranoia

item. None of these correlations were significant (all  $r$ 's  $<0.20$ ).

Looking at negative symptoms as rated by the SANS, most of the correlations with performance were non-significant, but a few reached significance for the Random scenes. Higher Apathy/Amotivation correlated with higher Intentionality ( $r=0.35, p<0.05$ ) and lower Appropriateness ( $r=-0.32, p<0.05$ ) ratings. Similarly, higher Anhedonia/Asociality correlated with higher Intentionality ( $r=0.39, p<0.01$ ) and lower Appropriateness ( $r=-0.30, p<0.05$ ) ratings. Associations with these negative symptoms all remained significant when WTAR scores were entered into partial correlations (all  $p$ 's  $<0.05$ ). No correlations were significant for ToM or GD scenes or for Affective Flattening and Alogia (all  $r$ 's  $<0.20$ ). Performance on the Animations Task also showed no significant associations with age of onset or duration of illness ( $r$ 's  $<0.20$ ).

#### **Discussion**

Most prior studies of mentalizing in schizophrenia used verbal vignettes or social cartoon stimuli to assess whether patients can make rational inferences about the mental states of others in explicitly defined social situations. The current study focused on a different component of mentalizing, namely the capacity to spontaneously attribute social meaning to ambiguous visual stimuli. Although Frith (2004) speculated that the mentalizing impairments of schizophrenia patients are limited to explicit tasks, the current findings suggest that their impairments extend to implicit aspects of mentalizing as well.

As predicted, schizophrenia patients had lower Intentionality ratings than controls for ToM animations, but did not differ significantly for the Random control condition. The patients also had lower Intentionality ratings than controls for GD animations, although the magnitude of this difference was significantly smaller than for the ToM animations. These findings indicate that patients were less likely to attribute social meaning to animations depicting complex interactions at a mental state level, as well as less complex interactions depicting contingent, purposeful movement. Patients also received generally lower Appropriateness ratings than controls across conditions, indicating that their narratives less accurately described the underlying scripts of the Animations. The group differences were not simply attributable to overall amount of verbal output or level of verbal ability, as the lengths of the narratives did not differ significantly between groups and the differences persisted when estimated verbal ability was accounted for in the analyses.

The current results are largely consistent with those of the recent study by Russell *et al.* (2006). Following different administration and scoring procedures, Russell and colleagues also found that schizophrenia patients less frequently used mental state attribution terms for ToM scenes and were generally less accurate in describing the underlying scripts of the animations than controls. The current study replicated these findings using alternative administration and scoring procedures in which explicit task instructions and explicit cues for each scene were not provided. The consistency of findings suggests that the impairment shown by schizophrenia patients is observable when subjects are provided with cues about context (as in Russell *et al.* 2006) and also when they are not cued and responses are spontaneous (as in the current study).

A difference between our results and those of Russell *et al.* (2006) concerns the general lack of associations between clinical symptoms and mentalizing in the current study. The few medium correlations that were found between higher negative symptoms and higher Intentionality ratings in the Random condition are inconsistent with findings by Russell *et al.* (2006) and run counter to Frith's theoretical model (1992, 2004). Frith linked this type of hyper-mentalizing (i.e. attributing higher levels of Intentionality than are contextually appropriate) to symptoms such as paranoia and disorganization. Discrepancies across studies could partly reflect the fact that Russell *et al.* evaluated a predominantly in-patient sample that probably displayed a greater range of symptoms than our stabilized out-patient sample. Another difference is that we used a dimensional approach to evaluate symptoms whereas Russell *et al.* categorized patients into subgroups. These discrepancies fit with the larger literature on mentalizing in schizophrenia, in which theoretically predicted relationships to particular symptoms have been reported about as frequently as not (Harrington *et al.* 2005).

It is noteworthy that the schizophrenia patients' overall pattern of performance is somewhat distinctive compared to individuals with autism spectrum disorders. Using the same version of the Animations Task, Castelli *et al.* (2002) found that adults with high-functioning autism or Asperger's disorder demonstrated lower Intentionality and Appropriateness ratings than controls only during the ToM scenes. Intact perception of GD motion in adults and children with autism was also found in a subsequent study that used a novel paradigm to directly assess this arguably 'lower' level of mentalizing (Castelli, 2005). By contrast, we found Intentionality differences between patients and controls that were large in the ToM condition and medium in the GD condition, as well

as generally lower Appropriateness. The group difference in the GD condition is consistent with a few other studies showing relatively low-level agency detection abnormalities in schizophrenia patients using paradigms that involve perceiving contingent movement or biological motion from simple abstract figures (Blakemore *et al.* 2003; Kim *et al.* 2005). Thus, schizophrenia appears to be characterized by a more pervasive pattern of mentalizing disturbances than autism spectrum disorders.

Although the Animations Task has some advantages over other commonly used mentalizing paradigms, this study has some limitations. First, although the stimulus materials in this task are non-verbal and relatively brief, the response format makes non-trivial verbal and cognitive demands that could adversely impact the schizophrenia patients' performance. Second, although the results were not strongly associated with quantity of verbal output or the lower general verbal abilities in this schizophrenia group, the study did not include a broad neurocognitive battery to assess relationships with other processes. Third, the cross-sectional design does not permit an assessment of whether the current findings reflect trait- or state-related phenomena, although the lack of significant associations with symptoms in this community-dwelling out-patient sample suggests that the findings were not strongly impacted by acute clinical symptoms (see also Herold *et al.* 2002). Fourth, patients were chronically ill and medicated. Although performance was not significantly related to age of onset or duration of illness, studies of samples that are early in the course of illness, unmedicated, or randomly assigned to receive different types of anti-psychotic medications will be required to directly address chronicity and medication effects.

Although implicit social cognitive processes are widely believed to play a key role in adaptive functioning (Bargh & Williams, 2006; Lieberman, 2007), implicit aspects of social cognition have received limited attention in studies of functional outcome in schizophrenia. It will be useful in future research to determine whether implicit social cognitive processes explain the large portion of variance in the social functioning of individuals with schizophrenia that is not explained by explicit social and non-social cognitive tasks (Green *et al.* 2000; Couture *et al.* 2006). In addition, social cognitive neuroscientists have developed a variety of neuroimaging paradigms to assess implicit aspects of mentalizing and other social cognitive processes (e.g. Frith & Frith, 2006; Lieberman, 2007), which provides a rich foundation for translational research into the neural correlates of schizophrenia patients' disturbances in these areas.

## Acknowledgments

This research was supported by a NARSAD Young Investigator Award (W. P. Horan), by research grants MH43292 and MH65707 (PI: M. F. Green) and Institutional NRSA MH14584 (PI: K. H. Nuechterlein) from the National Institute of Mental Health, and by the Department of Veterans Affairs VISN 22 MIRECC. We thank Tamara A. Russell for helpful consultation during the planning of this study, and Shelly M. Crosby, Kelly Tillery, Karina Shokat-Fadai, Joseph Ventura, and Sarah Wilson for their contributions to this project.

## Declaration of Interest

None.

## References

- Abell F, Happe F, Frith U** (2000). Do triangles play tricks? Attribution of mental states to animated shapes in normal and abnormal development. *Journal of Cognitive Development* **15**, 1–20.
- Andreasen NC** (1984). *The Scale for the Assessment of Negative Symptoms (SANS)*. The University of Iowa: Iowa City, IA.
- Bargh JA, Williams EL** (2006). The automaticity of social life. *Current Directions in Psychological Science* **15**, 1–4.
- Berry DS, Misovich SJ, Kean KJ, Baron RM** (1992). Effects of disruption of structure and motion on perceptions of social causality. *Personality and Social Psychology Bulletin* **18**, 237–244.
- Berry DS, Springer K** (1993). Structure, motion, and pre-schoolers' perceptions of social causality. *Ecological Psychology* **5**, 273–283.
- Blakemore SJ, Decety J** (2001). From the perception of action to the understanding of intention. *Nature Reviews Neuroscience* **2**, 561–567.
- Blakemore SJ, Sarfati Y, Bazin N, Decety J** (2003). The detection of intentional contingencies in simple animations in patients with delusions of persecution. *Psychological Medicine* **33**, 1433–1441.
- Blanchard JJ, Cohen AS** (2006). The structure of negative symptoms within schizophrenia: implications for assessment. *Schizophrenia Bulletin* **32**, 238–245.
- Bowler DM, Thommen E** (2000). Attribution of mechanical and social causality to animated displays by children with autism. *Autism* **4**, 147–171.
- Brothers L** (1990). The social brain. A project for integrating primate behavior and neurophysiology in a new domain. *Concepts in Neuroscience* **1**, 27–51.
- Brune M** (2005). 'Theory of mind' in schizophrenia: a review of the literature. *Schizophrenia Bulletin* **31**, 21–42.
- Brune M, Brune-Cohrs U** (2006). Theory of mind – evolution, ontogeny, brain mechanisms and psychopathology. *Neuroscience and Biobehavioral Reviews* **30**, 437–455.
- Burns J** (2006). The social brain hypothesis of schizophrenia. *World Psychiatry* **5**, 77–81.
- Castelli F** (2005). The Valley task: understanding intention from goal-directed motion in typical development and autism. *British Journal of Developmental Psychology* **24**, 655–668.
- Castelli F, Frith C, Happe F, Frith U** (2002). Autism, Asperger syndrome and brain mechanisms for the attribution of mental states to animated shapes. *Brain* **125**, 1839–1849.
- Castelli F, Happe F, Frith U, Frith C** (2000). Movement and mind: a functional imaging study of perception and interpretation of complex intentional movement patterns. *Neuroimage* **12**, 314–325.
- Corcoran R, Mercer G, Frith CD** (1995). Schizophrenia, symptomatology, and social inference: investigating 'theory of mind' in people with schizophrenia. *Schizophrenia Research* **17**, 5–13.
- Couture SM, Penn DL, Roberts DL** (2006). The functional significance of social cognition in schizophrenia: a review. *Schizophrenia Bulletin* **32** (Suppl. 1), S44–S63.
- Dunbar RIM** (1998). The social brain hypothesis. *Evolutionary Anthropology* **6**, 178–190.
- First MB, Gibbon M, Spitzer RL, Williams JBW** (1996). *Structured Clinical Interview for DSM-IV Axis I Disorders. Patient Edition*. Biometrics Research: New York.
- First MB, Spitzer RL, Gibbon M, Williams JBW, Benjamin L** (1994). *Structured Clinical Interview for DSM-IV Axis II Personality Disorders (Version 2.0)*. New York State Psychiatric Institute: New York, NY.
- Frith CD** (1992). *The Cognitive Neuropsychology of Schizophrenia*. Lawrence Erlbaum Associates: Hove, UK.
- Frith CD** (2004). Schizophrenia and theory of mind. *Psychological Medicine* **34**, 385–389.
- Frith CD, Frith U** (2006). How we predict what other people are going to do? *Brain Research* **1079**, 36–46.
- Frith U, Frith CD** (2003). Development and neurophysiology of mentalizing. *Philosophical Transactions of the Royal Society of London, Series B* **358**, 459–473.
- German TP, Niehaus JL, Roarty MP, Giesbrecht B, Miller MB** (2004). Neural correlates of detecting pretense: automatic engagement of the intentional stance under covert conditions. *Journal of Cognitive Neuroscience* **16**, 1805–1817.
- Green MF, Kern RS, Braff DL, Mintz J** (2000). Neurocognitive deficits and functional outcome in schizophrenia. Are we measuring the 'right stuff'? *Schizophrenia Bulletin* **26**, 119–136.
- Green MF, Olivier B, Crawley JN, Penn DL, Silverstein S** (2005). Social cognition in schizophrenia. Recommendations from the MATRICS New Approaches Conference. *Schizophrenia Bulletin* **31**, 882–887.
- Guy W** (1976). *ECDEU Assessment Manual for Psychopharmacology*. U.S. Department of Health, Education, and Welfare: Rockville, MD.
- Harrington L, Siegert RJ, McClure J** (2005). Theory of mind in schizophrenia: a critical review. *Cognitive Neuropsychiatry* **10**, 249–286.
- Hashimoto H** (1966). A phenomenal analysis of social perception. *Journal of Child Development* **2**, 1–26.
- Heberlein AS, Adolphs R** (2004). Impaired spontaneous anthropomorphizing despite intact perception and social



- knowledge. *Proceedings of the National Academy of Sciences USA* **101**, 7487–7491.
- Heider F, Simmel M** (1944). An experimental study of apparent behavior. *American Journal of Psychology* **57**, 243–249.
- Herold R, Tenyi T, Lenard K, Trixler M** (2002). Theory of mind deficit in people with schizophrenia during remission. *Psychological Medicine* **32**, 1125–1129.
- Kim J, Doop ML, Blake R, Park S** (2005). Impaired visual recognition of biological motion in schizophrenia. *Schizophrenia Research* **77**, 299–307.
- Klin A** (2000). Attributing social meaning to ambiguous visual stimuli in higher-functioning autism and Asperger syndrome: the Social Attribution Task. *Journal of Child Psychology and Psychiatry* **41**, 831–846.
- Klin A, Jones W** (2006). Attributing social and physical meaning to ambiguous visual displays in individuals with higher-functioning autism spectrum disorders. *Brain and Cognition* **61**, 40–53.
- Leslie AM** (1994). TOMM, ToBy, and Agency: core architecture and domain specificity. In *Mapping the Mind: Domain Specificity in Cognition and Culture* (ed. L. A. Hirschfeld and S. A. Gelman), pp. 119–148. Cambridge University Press: New York.
- Leslie AM, Friedman O, German TP** (2004). Core mechanisms in ‘theory of mind’. *Trends in Cognitive Sciences* **8**, 528–533.
- Liddle PF** (1999). The multidimensional phenotype of schizophrenia. In *Schizophrenia in the Molecular Age* (ed. C. A. Tamminga), pp. 1–28. American Psychiatric Association: Washington, DC.
- Lieberman MD** (2007). Social cognitive neuroscience: a review of core processes. *Annual Review of Psychology* **58**, 259–289.
- Lukoff D, Nuechterlein KH, Ventura J** (1986). Manual for the expanded Brief Psychiatric Rating Scale. *Schizophrenia Bulletin* **12**, 578–602.
- Marek J** (1966). Information, perception, and social context. II: The balance and relevance of complex perceptual responses. *Human Relations* **19**, 353–380.
- Nuechterlein KH, Barch DM, Gold JM, Goldberg TE, Green MF, Heaton RK** (2004). Identification of separable cognitive factors in schizophrenia. *Schizophrenia Research* **72**, 29–39.
- Overall JE, Gorham DR** (1962). The Brief Psychiatric Rating Scale. *Psychological Reports* **10**, 799–812.
- Penn DL, Addington J, Pinkham A** (2006). Social cognitive impairments. In *The American Psychiatric Publishing Textbook of Schizophrenia* (ed. J. A. Lieberman, T. S. Stroup and D. O. Perkins), pp. 261–274. American Psychiatric Publishing: Washington, DC.
- Premack D, Woodruff G** (1978). Chimpanzee problem-solving: a test for comprehension. *Science* **202**, 532–535.
- Puce A, Perrett D** (2005). Electrophysiology and brain imaging of biological motion. In *Social Neuroscience: Key Readings in Social Psychology* (ed. J. T. B. Cacioppo and G. Gary), pp. 115–129. Psychology Press: New York, NY.
- Russell TA, Reynaud E, Herba C, Morris R, Corcoran R** (2006). Do you see what I see? Interpretations of intentional movement in schizophrenia. *Schizophrenia Research* **81**, 101–111.
- Spiers HJ, Maguire EA** (2006). Spontaneous mentalizing during an interactive real world task: an fMRI study. *Neuropsychologia* **44**, 1674–1682.
- Tschacher W, Kupper Z** (2006). Perception of causality in schizophrenia spectrum disorder. *Schizophrenia Bulletin* **32** (Suppl. 1), S106–S112.
- Ventura J, Green MF, Shaner A, Liberman RP** (1993). Training and quality assurance with the Brief Psychiatric Rating Scale: ‘The Drift Busters’. *International Journal of Methods in Psychiatric Research* **3**, 221–224.
- Wechsler D** (2001). *Wechsler Test of Adult Reading*. Psychological Corporation: London.
- Wimmer H, Perner J** (1983). Beliefs about belief: representation and constraining function of wrong beliefs in young children’s understanding of deception. *Cognition* **13**, 103–128.